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**Effect of pH and nanoclay content on the morphology and physicochemical properties of soy protein/montmorillonite nanocomposite obtained by extrusion**

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## 27 **Abstract**

28 The present work attempts to clarify the influence of montmorillonite nanoclay content and  
29 pH on the mechanical properties of extruded soy protein nanocomposites. The mechanical  
30 behaviour is dominated by the formation of positive synergies between protein and nanoclay  
31 above a nanoclay concentration threshold. Moreover, the presence of nanoclay can improve  
32 water uptake. The pH also exerts a strong influence on mechanical and water absorption  
33 properties, although montmorillonite tend to reduce this effect.

34 Eventually, this study put forward the feasibility of using a combination of soy protein and  
35 montmorillonite to obtain potentially attractive biodegradable nanocomposite materials,  
36 processed by means of a simple and easily scalable twin-screw extruder.

37

## 38 **Keywords:**

39 *Bioplastic; Extrusion; nanocomposite; Protein Interactions; Rheology; Tensile strength*  
40 *test*

## 41 **1. Introduction**

42 New studies have been devoted to developing new protein-based materials, able to replace  
43 fossil-based polymer for high quality applications such as superabsorbents, barrier properties or  
44 controlled release of active ingredients [1]. The development of superabsorbent biopolymers  
45 requires an in-depth knowledge of the physical, chemical and functional properties of the  
46 proteins to be used, as well as of the processing techniques for the polymeric matrixes  
47 (extrusion, compression or injection moulding). The formulation and processing of these  
48 materials must be evaluated in terms of their physicochemical properties.

49 A superabsorbent material usually retains around twenty times its own weight in water. After  
50 absorption, the aqueous fluid is not free to flow out of the molecular network of the “hydrogel”.  
51 As a result, the fluid is like a state of matter intermediate between liquid and solid, exhibiting  
52 properties of both. The mechanical responses of these systems directly result from interactions  
53 of the polymer network with water [2].

54 To obtain protein-based biopolymers, we require a highly hydrophilic protein, such as soy  
55 protein isolate (SPI), and this type of protein has already been demonstrated to be suitable for  
56 creating bioplastics in combination with a proper plasticiser, exhibiting a high water uptake

57 capacity [3–5]. SPI proteins were initially classified by ultracentrifugal analysis into four  
58 fractions: 2S, 7S, 11S, and 15S [6]. The 11S fraction consists primarily of a single protein and  
59 represents about 60% of the total protein in SPI. This fraction is composed of an acidic  
60 polypeptide (about 38 kDa) and a basic polypeptide (about 20 kDa) linked by a single  
61 disulphide bridge [7]. The second most abundant protein in SPI is the 7S fraction, which  
62 represents about 35% of the total soy protein [6].

63 Lamellar nanofillers have been postulated to improve mechanical and barrier properties of  
64 bioplastics. Natural Montmorillonite (MMT- $\text{Na}^+$ ) is a type of clay mineral widely used in  
65 polymer science as filler. It is widely available in nature as micron-size tactoids. These tactoids  
66 consist of several hundred individual platelets held together by electrostatic forces, which are  
67 forming stacks or groups of stacks, with approximately 1-nm gap between each platelet, and the  
68 structure is supported by Van der Waals interactions [8].

69 The incorporation of this type of materials leads to an increase in water uptake capacity, and,  
70 at the same time, enhances the mechanical properties of the hydrogel (especially strength and  
71 stiffness) [9]. However, the dispersion of such nanoclay platelets or stacks within a polymer  
72 structure is complex and its efficient dispersion in biopolymer matrices is a key problem in the  
73 development of biodegradable nanocomposites, in which it is desirable for the material to be  
74 exfoliated to improve nanocomposite properties. In this context, melt intercalation in an  
75 extruder is one of the most promising techniques for preparing biopolymer nanocomposites  
76 because of its ease and versatility. Layered clays (6-13  $\mu\text{m}$ ) are typically sheared and peeled  
77 apart into platelets (1-10 nm) due to high shear mixing inside the extruder [8]. Notably,  
78 extrusion is one of the most important processing techniques to produce plastics on a  
79 commercial scale.

80 Various behaviours in polymer-MMT blends have been described in the literature depending  
81 on the polymer-clay interactions taking place. If the interactions are very weak, tactoids remain  
82 unaltered in the polymer matrix, no true nanocomposite is formed, and as a consequence,  
83 mechanical properties of the resulting material are markedly different to those of the original  
84 polymer. When there is moderate interaction, the clay interlayer expands, and under these  
85 conditions, polymer chains penetrate into the gaps between plates, leading to an intercalated  
86 structure. On the other hand, if the original tactoid structure is lost during processing, we can  
87 assume that the nanoclay has taken on an exfoliated structure, where clay platelets become  
88 dispersed into the polymer matrix, and this leads to the greatest improvements in polymer

89 performance [10–13]. This behaviour is due to the higher difference in surface-to-volume ratio  
90 between the clay and the polymer matrix, characteristic of nanoparticles [1].

91 To enhance the nanoclay dispersion in polymer matrices and improve the properties of the  
92 resulting nanocomposite, various processing conditions such as shear rate and pH level should  
93 be optimised [8]. Basically, proteins have a net positive charge when the pH is lower than the  
94 isoelectric point (IEP) and a net negative charge when the pH is higher than the IEP [3].  
95 Electrostatic repulsions between protein molecules occur at pH values away from the IEP. As a  
96 consequence, tuning the pH can be used as an alternative method to improve the functional  
97 properties, such as film-forming, gelling, emulsifying, and foaming properties of many proteins  
98 (e.g. gelatin) [14]. Hence, the physical properties of protein-based composite will be highly  
99 influenced by the pH. The proteins with charged residues are more likely to interact with  
100 hydrophilic nanoclays at different degrees. Cloisite Na<sup>+</sup>, an hydrophilic nanoclay which is  
101 frequently used in bio-based nanocomposites, is a cationic clay mineral with a negative charge  
102 on its outer structure [15].

103 Thus, pH may directly affect the interaction and distribution of nanoclays in soy protein  
104 matrix. Nevertheless, there is little data on the effect of pH on the properties of films in which  
105 nanoclays have been incorporated [16].

106 The overall objective of this work was to develop and characterize SPI/MMT-Na<sup>+</sup>  
107 biodegradable nanocomposite plastic materials, plasticised with glycerol (GL) by means of lab-  
108 scale extruder processing. Effects of the pH and MMT content on the structure and properties  
109 (rheological, mechanical and water uptake) of SPI/MMT-Na<sup>+</sup> nanocomposite plastics were  
110 investigated. In addition, X-ray diffraction and transmission electron microscopy (TEM) were  
111 used to investigate the behaviour of clay dispersion in the nanocomposite.

## 112 **2. Experimental**

### 113 **2.1. Materials**

114 Soy Protein Isolated (SPI) Supro 500E was supplied by Protein Technologies International  
115 (Leper, Belgium). The protein content was determined in quadruplicate as % N x 6.25 using a  
116 LECO CHNS-932 nitrogen micro analyser (Leco Corporation, St. Joseph, MI, USA) being  
117 91±0.5 wt.%, the ash and the moisture content were also determined according to A.O.A.C.  
118 methods [17], being 5.2±0.1 and 3.7.0±0.5wt.%, respectively. Glycerol (GL), used as  
119 plasticizer, was purchased from Sigma-Aldrich (St. Louis, Missouri, USA). The pH modifiers,  
120 Ethanolamine and 4-Dodecylbenzenesulfonic acid, were also purchased from Sigma-Aldrich

121 (St. Louis, Missouri, USA). The nanoclay introduced was Cloisite® Na<sup>+</sup> (MMT-Na<sup>+</sup>). It was  
122 obtained from Montmorillonite, and manufactured by Southern Clay Products, Inc. (USA).

## 123 **2.2. Sample preparation**

124 Blends with constant SPI/GL ratio (50/50) were manufactured by means of extrusion process.  
125 This ratio protein/plasticiser was in accordance with previous studies for superabsorbent  
126 materials obtained by injection moulding [5]. The pH was modified by adding 2 wt.% of  
127 organic basic or acid to the glycerol, and the MMT was added after a previous hand-mixing in a  
128 proper ratio with the SPI. One of the limitations of adding aqueous solution of strong acid or  
129 basis (such as NaOH, NH<sub>3</sub>, HCl or H<sub>2</sub>SO<sub>4</sub>) is the incorporation of water as plasticiser, which has  
130 a strong influence even in a short amount [18]. For this reason, the acid and the basis selected  
131 were organic compounds without any plasticiser activity reported until nowadays. The  
132 compounds selected were: 4-dodecylbenzenesulfonic acid and ethanolamine, as acid and basis,  
133 respectively. The pH of the final GL was 1.5 and 9.5, after adding the acid and the basis,  
134 respectively. The pH of the blends before extrusion were also controlled, the pH values obtained  
135 were 6.7 and 9.5, respectively (whereas the pH of the reference system is 8.1).

136 The twin-screw extruder used was the EuroLab 16 (ThermoScientific, Massachusetts, USA).  
137 The diameter of this extruder is 19 mm and the length/diameter ratio (L/D) is 40. In addition,  
138 this extruder is able to control the temperature of 11 heads at the barrel, including the die. The  
139 selected temperature profile is shown in Table 1. These conditions are similar to those used for  
140 protein-based extruded materials [8,19,20]. Other temperature profiles were evaluated.  
141 However, lower temperatures led to low-processed materials, characterised by softness and  
142 brightness. On the other hand, higher temperatures yield materials which are not easily  
143 processable and, as a consequence, these materials produce frequently overloads in the extruder.  
144 The selected processing conditions produce suitable materials, being the extruder torque per  
145 shaft about the 70-80 % of the maximum value allowed (12 N·m). Two feeding units, a  
146 peristaltic liquid pump and a powder dispenser, were used for the addition of liquids and solids,  
147 respectively.

148 Finally, the die selected has the sheet-shape (2 x 50 mm). Then, in order to obtain standardised  
149 probes the sheet was die-cut with the shape of the Dumbbell for tensile strength [21] or  
150 rectangular for DMA, torsion, water uptake and X-Ray measurements. Thus, several systems  
151 were obtained, all of them denoted as SPI, without pH modification (containing from 0 to 9

152 wt.% of MMT) and with the pH modification of the plasticiser (containing 0 and 6 wt.% of  
153 MMT).

## 154 **2.3. Characterization**

### 155 **2.3.1 Dynamic Mechanical Analysis (DMA).**

#### 156 *Tension*

157 DMA tests were carried out with a Seiko DMS 6100 (Seiko Instruments, Japan), on rectangular  
158 probes using oscillatory tension tests. All the experiments were carried out at constant  
159 temperature (30 °C) and strain (between 0.01 and 0.3 %, within the linear viscoelastic region).  
160 The selected frequency range was from 0.0628 to 62.8 rad/s.

#### 161 *Torsion*

162 DMA tests were performed with a Physica MCR 302 (Anton-Paar, Graz, Austria) in torsion  
163 mode. Frequency sweeps were carried out at a constant temperature (30 °C) and a stress of 800  
164 Pa (within the linear viscoelastic region). The selected frequency range was from 0.01 to 16  
165 rad/s.

### 166 **2.3.2. Tensile tests**

167 Tensile tests were performed by using a Shimadzu AG-IS testing machine (Kioto, Japan),  
168 according to ISO 527-2:1993 for Tensile Properties of Plastics [22]. Tensile stress and  
169 elongation at break were evaluated from at least five replicates for each product using type IV  
170 probes and an extensional rate of 10 mm·min<sup>-1</sup> at room temperature.

### 171 **2.3.3. Water uptake capacity.**

172 Water uptake of bioplastics was determined following the ASTM D570 norm (ASTM D570-98,  
173 Standard test Method for Water Absorption of Plastics) [23] using at least three 60×10×1 mm  
174 specimens immersed in distillate water for 2h or 48 h at room temperature.

### 175 **2.3.4. X-Ray Diffraction (XRD).**

176 XRD studies of the composite specimens were carried out using a D8 Discover (BRUKE,  
177 Massachusetts, USA) (40 kV, 30 mA) equipped with Cu K $\alpha$  radiation ( $\lambda=0.154$  nm). The  
178 scanning range ( $2\theta$ ) was from 2 to 30°, and the step size was 0.05°.

179 The clay gallery separation or d-spacing was calculated from Bragg's law using XRD results as  
180 shows Eq. 1 [24]:

181 
$$d = n\lambda/2\sin\theta \quad (1)$$

182 where  $d$  is the spacing between layers of the clay,  $n$  is a whole number,  $\lambda$  the wavelength of X-  
183 ray (1.511 Å) and  $\theta$  the angle at the maximum point of the mean peak in the spectra.

### 184 **2.3.5. Transmission Electron Microscopy (TEM)**

185 Specimens for TEM observations were cut from nanocomposite blocks at room temperature,  
186 using an EM UC7 Ultramicrotome (Leica, Wetzlar, Germany), equipped with a glass knife, to  
187 obtain sections with thickness between 70 and 90 nm. Transmission electron images were taken  
188 with a Libra 120 microscope (Zeiss, Oberkochen, Germany) at an acceleration voltage of 80 kV.

## 189 **3. Statistical analysis**

190 At least three replicates of each measurement were carried out. Uncertainty was determined by  
191 means of standard deviations.

## 192 **4. Results and Discussion**

### 193 **4.1. Linear viscoelastic properties**

#### 194 *Influence of MMT-Na<sup>+</sup> concentration*

195 Figure 1 shows results from dynamic mechanical analysis for systems with the same  
196 protein/plasticiser ratio, different concentrations of MMT-Na<sup>+</sup> (0, 1, 2, 3, 6 and 9 wt.%), at the  
197 reference pH (pH of blends of 8.1 related to the value of soy dissolved in glycerol). Mechanical  
198 spectra are shown in Figure 1A. Considering the profiles obtained, the viscoelastic response is  
199 characteristic of a solid-like behaviour, with the elastic modulus ( $E'$ ) being greater than the  
200 viscous modulus ( $E''$ ), and additionally, they evolve almost in parallel over the whole frequency  
201 range (from 0.06 to 64 rad/s). As can be observed, both moduli ( $E'$  and  $E''$ ) decrease at first,  
202 when a small amount of MMT-Na<sup>+</sup> (1 wt.%) is added. However, when the concentration of  
203 nanoclay exceeds a certain amount (around 2 wt.% of MMT), both moduli increase, that is,  
204 there is a threshold concentration for which there is a positive contribution of the nanoclay to  
205 the protein network structure [25].

206 Several models have been postulated to explain network formation of a solid in a liquid, one  
207 of the most widely used being the percolation model. This model has been useful to explain the  
208 percolation threshold in molten polymers with nanocarbon tubes [26–29], exhibiting remarkable  
209 changes in both electrical conductivity and rheological properties. However, this parameter has

210 been used not only in molten polymers, but also in polymers in a solid state at room temperature  
 211 [30]. In filled polymers, beyond a critical concentration of filler particles (called the percolation  
 212 threshold), particles can touch each other and have van der Waals interactions between them,  
 213 thus leading to stronger reinforcement of the structure. A mathematical treatment has been  
 214 developed to determine the threshold above which the nanoclay have a positive effect on  
 215 mechanical properties of the nanocomposite. According to the procedure followed by  
 216 Fernandez, Landa et al. [31], the values of  $E'$  (elastic modulus at a given MMT %) and  $E_0'$   
 217 (elastic modulus in absence of MMT) are taken for the lowest frequency, 0.01 Hz (ca. 0.06  
 218 rad/s). Then, they are divided to obtain the ratio  $[E'/E_0']_{0.01}$ . The values for this ratio are plotted  
 219 vs.  $(\phi - \phi_c)$ , where  $\phi$  is the concentration of nanoclay and  $\phi_c$  is the concentration threshold.  
 220 Finally, the data are fitted to a power law equation that can be applied when  $\phi > \phi_c$  (Eq. 2):

$$221 \quad \left[ \frac{E'}{E_0'} \right]_{0.01} = (\phi - \phi_c)^t, \quad (2)$$

222 where  $t$  is the power-law exponent, which is a fitting parameter. This equation makes reference  
 223 to an analogous rheological percolation threshold for the penetration of one solid into another,  
 224 based on dynamic viscoelastic properties.

225 The elastic modulus for each system at 0.01 Hz (or 0.06 rad/s) divided by  $E_0$  is plotted in  
 226 Figure 1B versus protein concentration. Additionally, the values for  $\left[ \frac{E'}{E_0'} \right]_{0.01}^{1/t}$  obtained after  
 227 fitting the experimental values to Eq. (2) are plotted vs.  $\phi$  for  $\phi > \phi_c$ . Interestingly, the best fit  
 228 was obtained for an exponent,  $t$ , fairly close to 1, with a percolation threshold concentration  $\phi_c =$   
 229 1.6 wt.%. Although the percolation threshold is similar to values obtained by other authors for  
 230 segregated networks of micro or nanoparticles into molten polymers [27,29], the values for  
 231 parameter  $t$  are lower than those found in the literature that typically range between 1.6 and 2  
 232 [32]

### 233 *Influence of pH*

234 The aim of the experiments reported in this section was to evaluate the mechanical properties  
 235 of biopolymer nanocomposites containing GL at different pH values. The pH value of the GL  
 236 was modified as explained in the experimental section. Furthermore, the influence of the  
 237 addition of 6 wt.% MMT- $\text{Na}^+$  nanoclay was also evaluated at different pH values.

238 Figure 2 shows the rheological characterisation of probes at the selected pH values (6.7, 8.1  
 239 and 9.5), with 6 wt.% MMT- $\text{Na}^+$  and without nanoclay, from frequency sweep tests in the



240 torsion mode. The mechanical spectra obtained were plotted in Figure 2A. These results reveal a  
241 decrease in modulus when GL pH changes; on the other hand, the slope of  $G'$  does not seem to  
242 be frequency dependent, which suggests (before considering  $\tan \delta$  profiles) that the solid  
243 character of all studied systems remains unaltered within the overall frequency interval studied.  
244 After introducing the MMT- $\text{Na}^+$ , however, the elastic and viscous moduli are markedly higher,  
245 always being higher than the values obtained without MMT- $\text{Na}^+$ .

246 Additionally,  $\tan \delta$  has been plotted in Figure 2B. As may be observed, in absence of MMT-  
247  $\text{Na}^+$  is the system without pH-adjustment which exhibits the most solid-like character,  $\tan \delta$   
248 being the lowest. Increasing or decreasing the pH tends to reduce the solid-like characteristics,  
249 which may suggest an improvement in the processability of nanocomposite materials, and may  
250 be related to a less crosslinked polymer network. This effect is more apparent when the pH is  
251 decreased. However, the inclusion of the nanoclay at the studied concentration changes the  
252 mechanical behaviour of the systems. Specifically, whereas  $\tan \delta$  increases with frequency in  
253 systems without MMT- $\text{Na}^+$ ,  $G'$  is higher in systems containing MMT- $\text{Na}^+$  at low frequencies.  
254 The mechanical spectra seem to have shifted, which according to Ferry [33] reflects a transition  
255 from a glassy to a crystalline polymer.

## 256 **4.2. Mechanical characterisation**

### 257 *Influence of MMT- $\text{Na}^+$ concentration*

258 Mechanical characterisation of soy-based extruded nanocomposites was carried out by  
259 means of tensile strength measurements. Figure 3A shows the influence of the MMT  
260 concentration. Parameters from the stress-strain curve (maximum stress,  $\sigma_{\max}$ , strain at break,  $\epsilon$ ,  
261 and Young's modulus,  $E$ ) have been plotted for the control probe (0 wt.% MMT- $\text{Na}^+$ ) and  
262 probes containing MMT- $\text{Na}^+$  (1, 2, 3, 6 and 9 wt.%). As may be observed in this figure,  
263 parameters from the stress-strain curve are in accordance with the data from the mechanical  
264 spectra shown in Figure 1B. Systems containing a small amount of MMT- $\text{Na}^+$  (below 3 wt.%)  
265 exhibit lower values of all parameters. However, a positive synergy effect seems to take place  
266 for a concentration of nanoclay higher than 2 wt.%, MMT- $\text{Na}^+$ , giving rise to an increase in the  
267 maximum stress and Young's modulus (these being c.a. 2.5 and 2 times higher at 9 wt.%,  
268 respectively), while the strain at break decreases from 40 to 20%. This behaviour, occurring  
269 above the estimated percolation threshold, is easy to understand from a qualitative point of view  
270 as hard, non-deformable fillers introduced into the protein matrix tend to reduce the flexibility  
271 (increasing the brittleness) of protein chains, thereby leading to a more rigid structure.

## 272 *Influence of pH*

273 Subsequently, experiments exploring the influence of pH were carried out and the results are  
274 plotted in Figure 3B. This figure shows parameters from the stress-strain curve (maximum  
275 stress, strain at break and Young's modulus) for probes containing 0 and 6 wt.% MMT-Na<sup>+</sup> as a  
276 function of pH. As may be observed in this figure, in absence of MMT-Na<sup>+</sup> the strain at break  
277 passes through a minimum value at intermediate pH, which corresponds to a maximum value  
278 for both the Young's modulus and the maximum stress. All these results reflects that any  
279 modification of pH (reduction or increase) from the original value (8.1), which involves an  
280 increase in the charges of the plasticiser being either positive or negative, leads to a weakening  
281 of the microstructure of the specimen.

282 In contrast, the addition of nanoclay leads to more rigid nanocomposite materials, since  
283 maximum stress and Young's modulus increase, whilst the strain at break decreases. In any  
284 case, the above-mentioned pH-induced weakening effect tends to loss significance, particularly  
285 for the strain at break. Thus, in presence of MMT-Na<sup>+</sup>, the only significant effect observed  
286 corresponds to  $\sigma_{\max}$  and E at the highest pH value. These results seem to be a consequence of  
287 the structure of the nanoclay found for these composite materials above the percolation  
288 threshold (e.g. at 6 wt.% MMT-Na<sup>+</sup>). Thus, once again the mechanical response is controlled by  
289 the presence of nanoclay.

## 290 **4.3. Water uptake capacity**

### 291 *Influence of MMT-Na<sup>+</sup> concentration*

292 Figure 4 shows the water uptake capacity for all systems studied. Figure 4A deals with the  
293 influence of MMT concentration. Thus, the water uptake capacity has been plotted in this figure  
294 for the reference system (bioplastic from extruded soy, containing 0 wt.% MMT-Na<sup>+</sup>), and  
295 nanocomposites materials, containing 1, 2, 3, 6 and 9 wt.% MMT-Na<sup>+</sup>. As a general rule, the  
296 final water uptake after 48 h increases with nanoclay content. However, when the concentration  
297 of MMT is below 2% (i.e. the percolation threshold), a soft decrease in water uptake takes  
298 place. This trend changes above the percolation threshold and the increase in MMT induces an  
299 increase in water uptake. Additionally, the incorporation of nanoclay slows the kinetics of  
300 absorption, since the water uptake after 2h is lower until reach a plateau value. This behaviour  
301 has previously been observed in bioplastics containing exfoliated nanoclay [34,35], being  
302 related to the creation of a structure which gives a tortuous pathway for fluid transport. In this

303 way, the penetration and diffusivity of water molecules is restricted, resulting in a reduction in  
304 the rate of absorption, but not affecting the final water adsorption [34]. Moreover, the trend  
305 observed for water uptake after 48 hours is similar to that one found for mechanical properties.  
306 Hence, while the total water uptake was found to be slightly lower in systems containing 1 and  
307 2 wt.% MMT-Na<sup>+</sup>, water uptake was higher for the systems containing more than 2 wt.%  
308 nanoclay (i.e. above the percolation threshold).

309 As for the influence of GL pH, Figure 4B shows the water uptake for probes containing both  
310 nanoclay concentrations, none and 6 wt.% MMT-Na<sup>+</sup>, and the GL was used with and without  
311 pH modification. A comparison among systems without nanoclay reveals markedly higher  
312 water uptake at 2 and 48 h when the pH is modified. This significant increase may be related to  
313 less protein crosslinking, which also causes the above-mentioned lower mechanical properties.  
314 This behaviour is also related to swelling properties, which are higher for systems whose pH has  
315 been modified than for the system at the initial pH value [36]. This explanation is in accordance  
316 with the results of loss of soluble matter, showing greater loss in systems after pH modification.  
317 This may also be a consequence of the lower level of crosslinking, since the solubility of  
318 proteins increases when the crosslinking decreases, and of the weaker protein-plasticiser  
319 interactions, which are less able to hold the soluble matter. Furthermore, this result may also be  
320 related to protein unfolding and opening of the structure which takes place far from the IEP at  
321 which the protein powder has been obtained [37].

322 A simple observation of the system containing 6 wt.% MMT-Na<sup>+</sup> reveals a significant  
323 increase in water uptake compared to the reference system (without MMT-Na<sup>+</sup> and at reference  
324 pH), especially for the system at a higher basic pH value, which maintains the mechanical  
325 properties and increases the water uptake to 100% (twice the initial value). In addition, the  
326 incorporation of nanoclay slows the kinetics of the absorption (water uptake at 2 h being lower,  
327 but the final water uptake at 48 h being higher).

328 Lastly, the loss of soluble matter is lower for systems containing 6 wt.% MMT-Na<sup>+</sup> than the  
329 systems without MMT-Na<sup>+</sup>, this difference being much greater for systems after pH  
330 modification. Hence, the loss of soluble matter is not only related to the inclusion of inert  
331 MMT-Na<sup>+</sup>, but also to the interactions among protein chains and nanoclay or plasticizer  
332 molecules.

#### 333 **4.4. Structural characterisation**

334 In order to determine the internal structure of the materials, samples were analysed by X-ray  
335 diffraction (XRD). Figure 5 shows XRD diagrams for all the systems studied: Figure 5A  
336 illustrates the influence of nanoclay concentration, whereas Figure 5B shows the influence of  
337 pH.

338 First of all, one useful feature of this diagram, is that it allows us to characterise the nanoclay  
339 exfoliation. The presence of nanoclay is desirable because of the ways they may modify the  
340 structure and mechanical properties of the nanocomposites. The XRD diagram for the MMT-  
341 Na<sup>+</sup> powder reveals seven peaks, in accordance with previous results from other authors [38,39].  
342 The absence of these peaks in the nanocomposites indicates the separation of clay platelets,  
343 which suggests occurrence of exfoliation, at least partially.

344 Results from XRD reveal that among the systems containing MMT-Na<sup>+</sup> only those  
345 corresponding to the higher concentrations (6 and 9 wt.% nanoclay) exhibit most of the peaks of  
346 the pristine nanoclay (being evident for the peak at  $\theta$  around 22.5°). However, the angles of the  
347 peaks are slightly displaced, indicating a certain increase in the interlayer distance. Hence, It  
348 seems that systems are somehow intercalated with a certain degree of exfoliation.

349 In addition, a detailed study of the SPI XRD may also indicate the structure of the protein.  
350 Specifically, the two main peaks present in the SPI have been previously related to the main  
351 protein fractions present in the protein powder (fractions 7S and 11S), the first peak being  
352 caused by the 7S fraction and the second peak by the 11S fraction (the pattern of proteins  
353 belonging to the  $\alpha$ -helix and  $\beta$ -sheet present in the tertiary structure of proteins). Furthermore,  
354 the intensities of these peaks have also been related to the amount of these fractions. In  
355 accordance with these premises, the SPI powder used in this study exhibits both peaks, but  
356 certain changes can be observed when it is processed.

357 Firstly, the peak corresponding to the 7S fraction is almost completely absent for the systems  
358 containing no MMT-Na<sup>+</sup> or only a small amount. The decrease is especially relevant for the  
359 system at a basic pH, where there is a complete absence of the peak for this fraction. These  
360 results are not in accordance with Peng et al. [6], who reported the transformation of the 11S  
361 fraction into 7S and 2S. However, these experiments were carried out in water solution and not  
362 in solid phase.

363 Figure 6 shows images obtained by TEM from samples without MMT-Na<sup>+</sup> (Fig. 6A), as well  
364 as with MMT-Na<sup>+</sup> (Figures 6B and 6C). A fast overview of these pictures reveals noticeable  
365 differences among systems containing or non-containing nanoclay; small clay platelets with

366 exfoliated layers and some intercalated clay structure are visible in Figure 6B and 6C but not in  
367 Figure 6A. The presence of spherical globules together with the absences of platelets in Figure  
368 6A, indicate an extensive region where protein and plasticiser are forming a polymer matrix,  
369 with the presence of some spherical protein aggregates. Despite the fact that TEM images  
370 obtained from bioplastics of films are scarce, some authors have found a similar amorphous  
371 structure for bioplastics [11] or protein-based films [40]. However, the appearance of platelets  
372 in Figures 6B and 6C indicate that the addition of nanoclay (6%wt.) introduces noticeable  
373 structural changes. In fact, both figures show that nanoclay platelets are connected, which is  
374 consistent with the formation of a nanoclay network after a concentration of MMT-Na<sup>+</sup> higher  
375 than the above-mentioned percolation threshold.

376 The orientation of nanoclay platelets or stacks found in this case is different to that one  
377 obtained for egg albumen bioplastics, where MMT-Na<sup>+</sup> nanoclay was present in the form of  
378 large and stripe-shaped aggregates with a defined orientation (arranged almost parallel to each  
379 other) [11]. However, in the present case, the formation of the nanoclay network can also justify  
380 the increase in mechanical properties found (10). The low magnification TEM image provided  
381 in Figure 6C shows that the delaminated silicate lamellas in the bioplastic are further  
382 randomized, disordered and shifted during the extruding process. The dimensions of the silicate  
383 layers are diminished to about 1 nm in thickness (Figure 6C). It indicates the layered MMT-Na<sup>+</sup>  
384 is exfoliated by the soy protein molecules in the sample. However, some of the layered MMT-  
385 Na<sup>+</sup> tactoids are intercalated with a d-spacing of about 5-6 nm (Figure 6B and 6C) which would  
386 correspond to the gallery size between platelets. These thickness values seem to describe an  
387 intercalated structure instead of fully exfoliated, as the platelets appear to exhibit some spatial  
388 ordination and proximity between them. Similar results have been found in similar systems (17).

## 389 **5. Conclusions**

390 A percolation threshold is found for MMT-Na<sup>+</sup> filled nanocomposites at a concentration  
391 close to 2 wt.%. In particular, mechanical and water uptake properties are highly affected by this  
392 event. After adding MMT-Na<sup>+</sup> at a concentration higher than the percolation threshold, the  
393 mechanical properties are dominated by the nanoclay network structure, since protein chains  
394 have less mobility; on the other hand, water uptake capacity is much higher than in the reference  
395 system.

396 A change in the pH value of the plasticiser from the initial value, by adding either an organic  
397 acid or an organic base, produces a noticeable change in mechanical properties. Both effects  
398 lead to nanocomposites with a higher plastic deformation, since polymer chains are able to slide  
399 easily, this being manifested by a lower Young's modulus and maximum stress. They also yield  
400 a much higher strain at break. Both effects also affect the water uptake capacity, since they lead  
401 to lower protein crosslinking that allows the polymer to swell in a greater extent. As a result, a  
402 higher water uptake capacity is in both cases achieved. When nanoclay is added above the  
403 percolation threshold concentration, not only mechanical properties and water uptake capacity  
404 of the bioplastic specimens increase, but also their rheological properties are improved, shifting  
405 from a glassy behaviour (in absence of nanoclay) to a crystalline-like polymer response. TEM  
406 images indicate the partial exfoliation of nanoclay platelets, as well as the formation of a  
407 nanoclay-based network.

408 In summary, the results obtained in this study put forward the feasibility of using a  
409 combination of SPI and MMT- $\text{Na}^+$  to obtain potentially attractive biodegradable nanocomposite  
410 materials, processed by means of a simple and easily scalable twin-screw extruder.

411

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420

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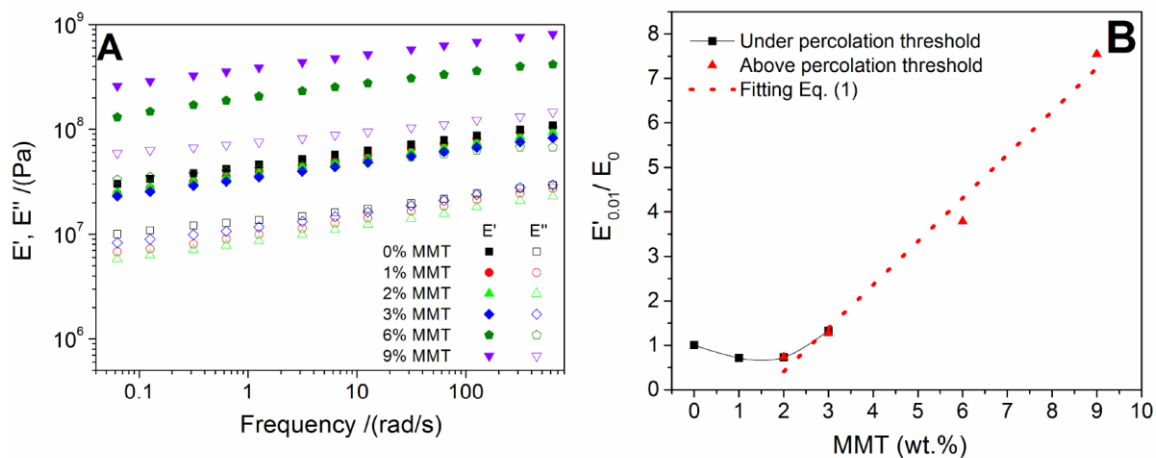
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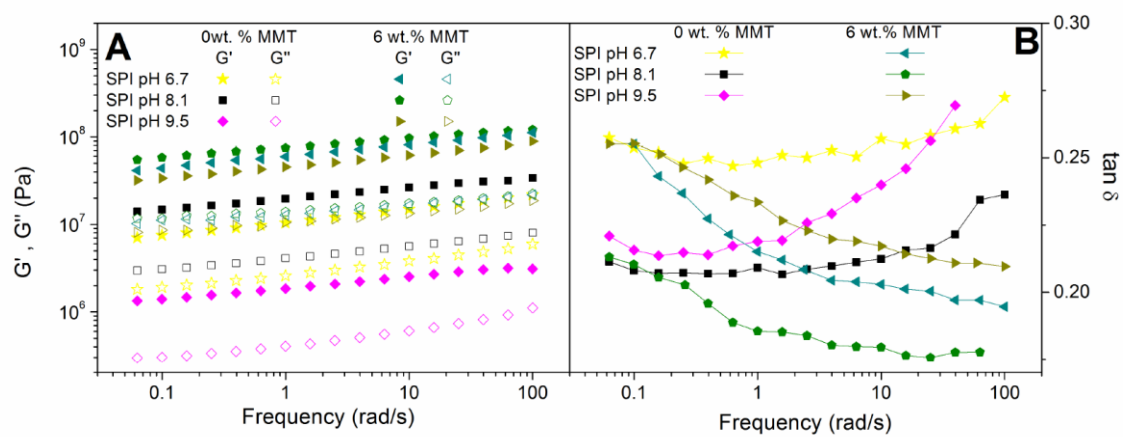
524 **Figures**



525

526 **Figure 1.** Mechanical spectra for the reference system: SPI-based bioplastic containing  
 527 0 % wt. MMT- $\text{Na}^+$  and containing different amounts of MMT- $\text{Na}^+$  (1, 2, 3, 6, 9 wt. %) (A)  
 528 and the effect of MMT- $\text{Na}^+$  concentration on the elastic modulus, where  $E'$  is taken at  
 529 the lowest values of the frequency (0.01Hz) divided by the lowest value of the elastic  
 530 modulus for the reference system,  $E^0$  ( $E'_{0.01}/E^0$ ). This figure also shows the best fits of  
 531 the data to Eq. (2) (B).

532

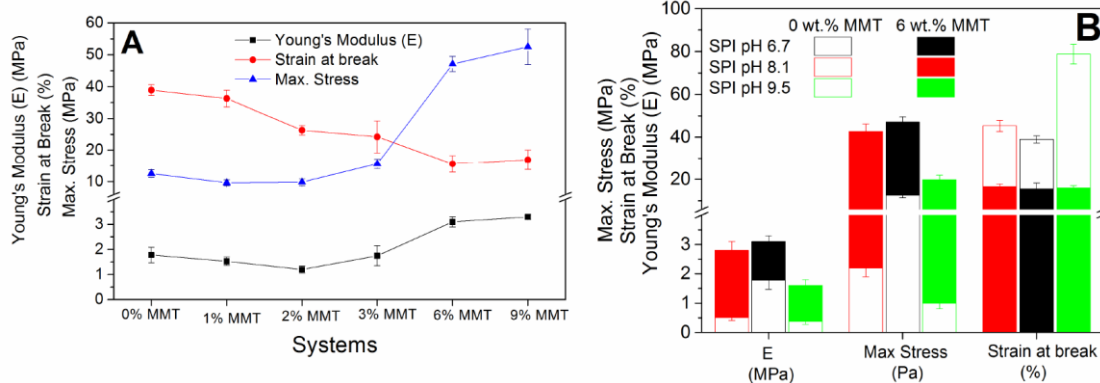


533

534 **Figure 2.** Mechanical spectra (A) and tan  $\delta$  (B) for systems at different GL pH (acid,

535 native and basic) without MMT-Na<sup>+</sup> and containing 6 wt. % MMT-Na<sup>+</sup>.

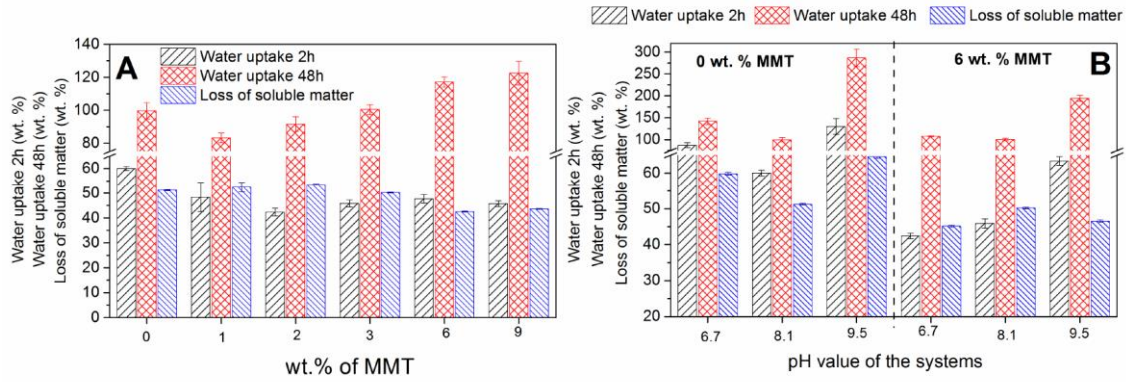
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537

538 **Figure 3.** Parameters from tensile strength measurements: Max. stress, strain at break  
 539 and Youngs' Modulus (E) obtained from stress-strain curve for nanocomposites  
 540 containing different amounts of MMT- $\text{Na}^+$  (1, 2, 3, 6, 9 wt. %) (A), and for systems at  
 541 different GL pH (acid, native and basic) without MMT- $\text{Na}^+$  and containing 6 wt. % MMT-  
 542  $\text{Na}^+$  (B).

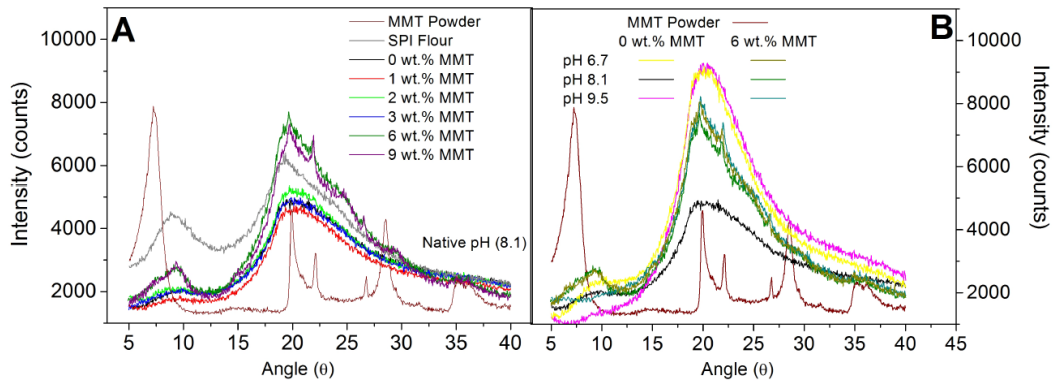
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544

545 **Figure 4.** Evolution of water absorption capacity (%) after immersion for 2 h and 48 h,  
 546 as well as loss of soluble matter (%) for nanocomposites containing different amounts  
 547 of MMT- $\text{Na}^+$  (1, 2, 3, 6, 9 wt. %) (A), and for systems at different GL pH (acid, native  
 548 and basic) without MMT- $\text{Na}^+$  and containing 6 wt. % MMT- $\text{Na}^+$  (B).

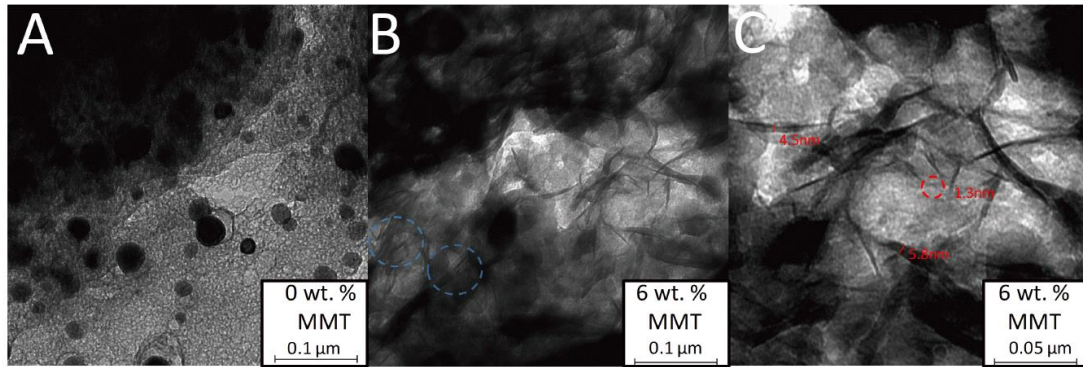
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550

551 **Figure 5.** XRD for the protein powder, for the reference system: SPI-based bioplastic  
 552 containing 0 % wt. MMT-Na<sup>+</sup> and for nanocomposites, containing different amounts of  
 553 MMT-Na<sup>+</sup> (1, 2, 3, 6, 9 wt. %) (A), as well as for the native MMT-Na<sup>+</sup> and for for  
 554 systems at different GL pH (acid, native and basic) without MMT-Na<sup>+</sup> and containing 6  
 555 wt. % MMT-Na<sup>+</sup> (B).

556



557

558 **Figure. 6** TEM images obtained from samples without MMT (A), as well as with MMT  
559 (B and C).

560



561 **Table**

System	Extruder zone										
	1	2	3	4	5	6	7	8	9	10	Die
Temperature (°C)	30	35	45	45	45	60	80	80	80	80	110

562

563 **Table 1.** Temperature profile for SPI-based extruded nanocomposites