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1 **Indoor environmental quality in social housing with elderly occupants in Spain:**  
2 **Measurement results and retrofit opportunities.**

3 **Abstract**

4 Elderly people usually spend more than 80% of their daily lives in apartments that mostly belong  
5 to obsolete buildings with reduced spaces and inadequate indoor environmental quality, which  
6 may lead to tiredness and other adverse health symptoms. In an attempt to evaluate indoor  
7 environmental quality and identify the main influencing factors of social housing occupied by the  
8 elderly in the Mediterranean climate, this research develops a monitoring campaign covering  
9 different seasons to characterise temperature, relative humidity, and carbon dioxide  
10 concentrations, which are directly associated with the presence of potential air pollutants, in multi-  
11 family apartments that only depend on natural ventilation, representing the social housing patterns  
12 in Spain. The results contribute a detailed diagnosis of the indoor environmental quality under  
13 diverse scenarios, and highlight that elderly occupants frequently suffer from unhealthy carbon  
14 dioxide concentrations, above the 900-ppm recommended average value in indoor air guidelines,  
15 and from temperature values outside the established comfort range. The discussion shows the  
16 advantageous character of ventilation patterns during sleeping periods, with a 2,000-ppm  
17 reduction between certain scenarios. Additionally, retrofit opportunities are identified by  
18 diagnosing the influence of the building typology, occupation, climate conditions, air infiltration  
19 rates, and occupant behaviour, and holistic implications are provided to promote efficient urban  
20 regeneration. The conclusions indicate that ventilation habits and future energy renovation  
21 strategies should deal with the sick building syndrome by avoiding high airtightness of insulation  
22 solutions through moving towards healthier housing stock and should provide policy implications  
23 that promote efficient renovation proposals for ageing in place.

24 **Keywords**

25 Indoor air guideline values; Mediterranean climate; Elderly occupants; Renovation opportunities;  
26 Ventilation patterns.

## 27 **1. Introduction**

28 The World Health Organization (WHO) aims to adapt the existing housing stock to the new  
29 requirements and demands arising from the ageing of the global population [1]. This is especially  
30 relevant in Europe, where there is progressive growth in the number of elderly people. It is  
31 expected that 34% of the global population will be over 60 years old by 2050 [2]. Furthermore,  
32 the housing stock in European cities is also ageing, since over 40% of residential buildings in  
33 Europe were built prior to 1960, and more than 80% are over 20 years old [3].

34 The growth and expansion of cities throughout the 20<sup>th</sup> century generated housing typologies with  
35 small spaces that currently remain inhabited without complying with many basic requirements in  
36 terms of habitability, security, and comfort [4,5]. The United Nations [6] also warns of the global  
37 importance of urban regeneration in an efficient and sustainable way that would allow buildings  
38 to be adapted to the new demographic demands of the 21<sup>st</sup> century [7,8]. Urban, social, and health  
39 policies are promoting the "ageing at home" or "ageing in place" concept, which promotes a  
40 higher quality of life for the elderly in their usual residential environment through renovation  
41 proposals that would adapt and improve indoor and outdoor living conditions [9,10].

42 According to Almeida-Silva et al. [11], it is estimated that the elderly spend approximately 22  
43 hours per day inside their homes, mainly in the bedroom and the living room, which highlights  
44 the importance of studying the thermal comfort and CO<sub>2</sub> environmental quality in these rooms,  
45 since they have been shown to directly affect residents' well-being and health [12,13]. Heracleous  
46 and Michael [14] stated that efforts in energy retrofitting should also consider optimizing  
47 ventilation rates to avoid adverse health effects on residential built environments. In addition, Fan  
48 et al. [15] demonstrated the beneficial effects for health and comfort, especially for the elderly,  
49 of having adequate indoor temperatures, in a range of 22 °C to 26 °C, since they consequently  
50 improve the indoor environmental quality. Canha et al. [16] evaluated the indoor air quality in a  
51 bedroom through four different ventilation scenarios during the sleeping period, related to  
52 patterns of door and window use. The results showed significant variations in CO<sub>2</sub> levels that  
53 exceeded indoor air guideline values (IAGVs). Therefore, the elderly, who usually live in reduced  
54 spaces for long periods, can experience harmful concentrations of carbon dioxide, temperature,  
55 relative humidity, and other pollutants, which require appropriate ventilation patterns and  
56 efficient retrofitting actions [17,18].

57 Various studies have observed that high CO<sub>2</sub> concentration levels are directly associated with  
58 high concentrations of air pollutants in indoor environments [19,20]. The reference value of  
59 external CO<sub>2</sub> concentration ranges between 300 and 500 parts per million (ppm), although CO<sub>2</sub>  
60 levels are now constantly rising due to climate change [21]. In fact, certain urban areas of large  
61 cities have reached average values of over 500 ppm due to low air purification and the low  
62 presence of vegetation [22]. According to medical reports, CO<sub>2</sub> concentrations of any occupied

63 indoor space with a good air exchange should not exceed the range 800 to 1,000 ppm [23,24]. In  
64 the case of exceeding this recommended limit, CO<sub>2</sub> concentrations between 1,000 and 2,000 ppm  
65 are considered as air of poor quality, and capable of causing certain health complaints and  
66 drowsiness. Strøm-Tejsten et al. [25] and Zhu et al. [26] showed that reaching high levels of CO<sub>2</sub>  
67 or high temperatures at sleeping time in the bedroom could affect not only sleeping quality and  
68 calmness but also the levels of concentration during the day. Levels between 2,000 and 5,000  
69 ppm are considered to indicate stagnant and stale air that cause the appearance of headaches, loss  
70 of attention, and increasing heart rate [16]. Finally, for those extreme cases in which the levels  
71 are higher than 5,000 ppm, it is not recommended to remain for a long time since the consequences  
72 may worsen, and may even cause brain damage [27].

73 At a legislative level, several countries have, in recent years, specified certain limits of CO<sub>2</sub> indoor  
74 concentrations for non-residential buildings [28,29]. French regulation [30] limits the average to  
75 1,000 ppm for occupation periods, and allows a maximum of 1,300 ppm. Portuguese legislation  
76 also limits CO<sub>2</sub> to a concentration of 984 ppm [31], with a margin of 10% in accordance with  
77 occupation levels, although this has been subsequently increased to an average of 1,250 ppm [32].  
78 There is a strict regulation for non-residential buildings to obligatorily incorporate mechanical  
79 extractors to guarantee suitable levels of CO<sub>2</sub>. Indoor levels in offices, schools, and hospitals are  
80 considered covered through mechanical ventilation [33,34]. Nevertheless, the real values move  
81 away in some cases from those suggested or stipulated in regulations. According to Persily and  
82 de Jonge [35], offices usually have an average concentration of 568 ppm above that of outdoors,  
83 although they can reach up to 2,000 ppm depending on the type of occupation, physical activity,  
84 and room; Stafford [36] stated that mean values in schools during classes exceed 1,000 ppm, and  
85 reach a maximum of 3,000 or 4,000 ppm in certain circumstances.

86 Regarding residential buildings, there are many countries with no strict regulations related to  
87 indoor CO<sub>2</sub> concentrations and in which mechanical ventilation is not mandatory [37,38]. In  
88 Spain, where this study is performed, minimum ventilation rates are required in new buildings  
89 through hybrid ventilation systems, which combine natural and mechanical systems. Maximum  
90 annual mean values of CO<sub>2</sub> concentrations have been established at 900 ppm, limiting maximum  
91 peaks values at 1600 ppm, by official regulations on ventilation for residential buildings [39] and  
92 indoor comfort levels in residential spaces have been set at between 22 °C and 26 °C for  
93 temperature and 55% for relative humidity [40].

94 However, the majority of existing buildings belonging to the housing stock, especially those built  
95 prior to 1980, have no mechanical devices and depend only on natural ventilation through  
96 apertures and windows, which can cause a major problem if air filtration rates are reduced with  
97 energy retrofitting measures and depend solely on occupant behaviour [41,42].

98 All of these previous studies show the importance of considering indoor air quality in the  
99 residential built environment. However, there is a lack of specific studies that diagnose the social  
100 housing situation from the second half of the 20<sup>th</sup> century, which is generally occupied by elderly  
101 people. Thus, the innovation of this study arises on the assessment of indoor air environmental  
102 quality of living spaces occupied by the elderly in the Mediterranean climate, with the purpose of  
103 identifying needs, influential factors, and retrofit opportunities in order to promote sustainable  
104 and healthier urban regeneration of housing stock.

105 This research develops indoor air measurements in three residential apartments that belong to  
106 different reference multi-family buildings, built more than forty years ago. Temperature, relative  
107 humidity, and CO<sub>2</sub> levels in living rooms and main bedrooms are characterised during two entirely  
108 different seasons, winter and spring, in an effort to verify the climatic impact on the indoor  
109 environmental performance throughout the year. Occupation rates and ventilation patterns of the  
110 occupants are also registered.

111 This study provides a detailed diagnosis of the indoor environmental quality before the  
112 implementation of housing renovation proposals, and a diagnosis of its impact on health and well-  
113 being that exceeds certain limits. The results are assessed with respect to IAGVs as defined in  
114 various reports and regulations, and lead to a discussion regarding the influence of the building  
115 typology, occupation, building envelope, climate conditions, and ventilation patterns, thereby  
116 identifying opportunities for improvement and providing holistic renovation implications to  
117 prevent airtightness and unhealthy spaces.

118 The manuscript is structured as follows. First, the selected case studies are defined, including their  
119 characterisation and data collection procedures, and then the monitoring campaign is explained.  
120 Second, the results are provided and assessed, and are organised in terms of data on living rooms  
121 and main bedrooms as well as on both seasons. Finally, average, minimum, and maximum values  
122 are discussed, and the hourly evolution is displayed in order to identify general and specific  
123 conclusions from the various cases and seasons. These provide practical perspectives and  
124 environmental implications for the improvement of the quality of life of this vulnerable population  
125 and promote efficient urban regeneration.

## 126 **2. Materials and methods**

127 A general outline of the characterisation and measurement developed in this research is shown in  
128 Figure 1. The framework focuses on multi-family buildings in the Mediterranean climate  
129 belonging to the existing social housing stock, mostly built before 1980 that contain reduced  
130 spaces and require renovation actions. The research is focused on studying the impact on elderly  
131 people, and the influence of ventilation patterns and long periods of occupation on indoor  
132 environmental quality.

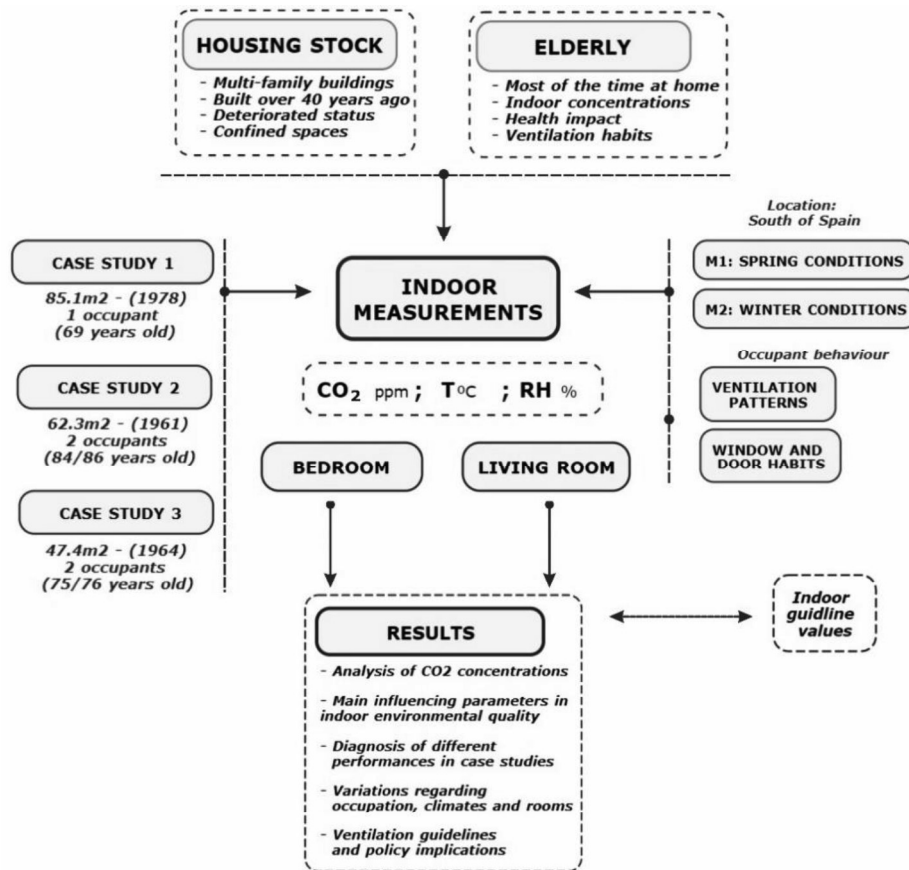


Figure 1. General outline of the research

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## 136 2.1. Characterisation of case studies

137 Three reference multi-family apartments located in Southern Spain were selected as case studies  
 138 in the Mediterranean climate. The apartments present reference design patterns for social housing  
 139 built between 1960 and 1980, with a total surface between 45 and 85m<sup>2</sup> and reduced dimensions  
 140 in their main rooms.

141 Figure 2 illustrates the distribution layout of the three apartments, which are also characterised in  
 142 Table 1, with identification of the number, gender, and age of the occupants in the bedroom (BR)  
 143 and living room (LR) for each case study.

144 All cases present a regular distribution, with approximately the same area per room. Case 1  
 145 presents the largest surface area, with four bedrooms, and Cases 2 and 3 are smaller and have  
 146 three and two bedrooms respectively. The main uses are represented in different colours, the  
 147 selected bedroom and living room for the measurement are marked with a dashed line, and the  
 148 exact location where the measuring equipment was placed is indicated by an isolated red dot. The  
 149 height of the measuring point was fixed at 1.20m.

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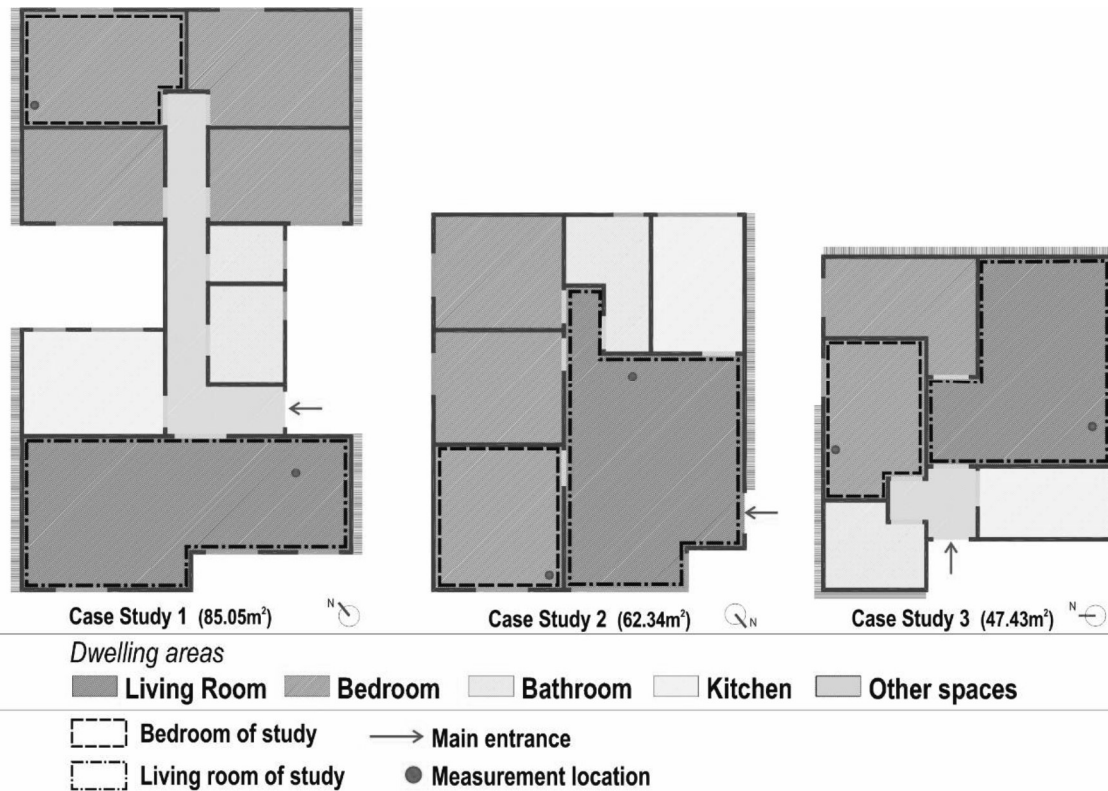


Figure 2. Floor distribution and measuring information of the three case studies

Table 1. Characterisation of dwellings and occupants of each case study

Case Study	Apartment								Occupants			
	Year	Floor	N. of bedr.	Total area ( $m^2$ )	Area of study ( $m^2$ )		Volume of study ( $m^3$ )		Number		Gender	Age
					BR	LR	BR	LR	BR	LR		
CS-1	1978	1 <sup>st</sup>	4	85.1	10.1	24.3	25.8	62.1	1	1	Female	68
CS-2	1961	Ground	3	62.3	10.4	23.5	24.3	55.2	2	2	Male Female	85 83
CS-3	1964	3 <sup>rd</sup> (upper)	2	47.4	8.7	18.0	21.2	44.0	2	2	Male Female	75 74

All these selected cases represent reference social housing apartments with reduced dimensions that generate confined residential environments in their daily use. In fact, the main bedrooms are commonly of less than ten square metres, and in the case of the living rooms, the surface barely exceeds twenty square metres. The height of these apartments is also low, lying in a range of between 2.35 and 2.55 metres. Furthermore, these apartments are located on the ground or first floor, except CS-3, which is located on the top floor, under the roof of the building. Regarding the occupants, all residents are over 65 years old, composed of one widow (CS-1) and two married couples (CS-2/3), with 68 years old as the minimum age and 85 years old as the maximum.

Table 2 characterises the composition and the thermal performance of the façade and windows in the three cases, and also the local heating and sanitary hot water (DWH) systems for these apartments. Each case study is accompanied by a transmittance value (U) and permeability (P) for the windows. Table 3 also defines the number, size, opening, and area of windows in the

168 selected rooms of study and the general ventilation system in each case study, and specifically  
 169 describes the ventilation in kitchens and bathrooms.

170 Table 2. Thermal characterisation of case studies

Case Study	Façade	Windows			Heating	SHW	
		U (W/m <sup>2</sup> ·K)	Type	U (W/m <sup>2</sup> ·K)			P <sub>window</sub> (m <sup>3</sup> /h·m <sup>2</sup> )
	Main composition				General System	System (Location)	
CS-1	Solid brick (12cm) + air chamber (4cm) + ceramic partition (7cm)	2.45	Single-glazed - wood	4.10	≥80	No <sup>1</sup>	Gas boiler (Kitchen)
CS-2	Solid brick (24cm)	3.65	Single-glazed - metallic	5.70	≥80	No <sup>1</sup>	Gas boiler (Kitchen)
CS-3	Solid brick (12cm) + air chamber (3cm) + ceramic partition (5cm)	2.82	Double-glazed - aluminium	3.40	≤50	No <sup>1</sup>	Electric boiler (Bathroom)

171 <sup>1</sup> Temporary use of local heating system based on electric radiators (Joule effect)

172

173 Table 3. Characterisation of windows and ventilation systems of case studies

Case Study	Windows					Ventilation					
	Living room			Bedroom			Type (General system/Kitchen/Bathroom)				
	N.	Sizes (m x m)	Open.	Area (total m <sup>2</sup> )	Ratio (wind/room)	N.		Sizes (m x m)	Open.	Area (total m <sup>2</sup> )	Ratio (wind/room)
CS-1	3:	1.20x1.00	Hinged	3.60	0.15	1:	1.30x1.15	Hinged	1.50	0.15	Infiltration and natural openings <sup>1</sup> K: Window and extractor hood <sup>2</sup> B: Window openings
CS-2	2:	1.20x1.20	Sliding	2.88	0.12	1:	0.90x1.20	Sliding	1.08	0.10	Infiltration and natural openings <sup>1</sup> K: Window and extractor hood <sup>2</sup> B: Window openings
CS-3	2:	1.20x1.00	Hinged	2.40	0.13	1:	0.90x1.20	Sliding	1.08	0.12	Infiltration and natural openings <sup>1</sup> K: Window and extractor hood <sup>2</sup> B: Local extractor fan <sup>3</sup>

174 <sup>1</sup> Dwellings without mechanical ventilation systems. Ventilation is only produced due to infiltration and window openings.

175 <sup>2</sup> Extractor hood whose use is temporary and limited to the times of day when occupants are cooking.

176 <sup>3</sup> Local extractor fan in bathroom whose use is temporary. Its operation is active when the bathroom light is turned on.

177

178 The thermal envelope has no specific insulating materials and, in certain cases, the windows  
 179 present single glazing and very high levels of air permeability. These transmittance values are far  
 180 above the minimum required in current thermal regulations for residential buildings [40]. CS-3  
 181 has replaced the windows in recent years, and therefore its transmittance and permeability values  
 182 are lower, which causes a higher airtightness inside the room. Regarding heating and domestic  
 183 hot water production, Table 2 defines the use in the three cases of local heating systems based on  
 184 electric radiators, which generates no additional CO<sub>2</sub> production, and in Case 1 and 2 there are  
 185 gas boilers for domestic hot water located in the kitchen, which could generate a small additional  
 186 amount of CO<sub>2</sub> in the apartment.

187 Furthermore, it should be noted in Table 3 that none of these apartments have mechanical  
 188 ventilation systems, and hence airflows are only obtained through infiltration and window  
 189 openings. In fact, there is a reduced ratio in the area of windows with respect to the floor area of  
 190 the room, of approximately 10 and 15%, with some sliding windows which reduce the opening  
 191 surface for the airflow renovation. As for the interior doors, they are not sealed around the  
 192 perimeter in any of the cases, not even at the bottom face. Therefore, in cold or hot periods, where  
 193 windows are completely closed, ventilation air flow is characterised only by infiltration leakage,



194 whose value can be defined by an air change rate (ACH) of between 5.6 per hour and 9.4 per hour  
 195 (n50), according to previous studies of the building stock built in the 1960s, 1970s, and 1980s in  
 196 southern Europe, as reported by Escandón et al. [43] and Lizana et al. [44].

197 **2.2. Monitoring campaign**

198 This study has been performed over two separate measurement periods, one during spring  
 199 conditions, carried out in March and April, and another during winter conditions, carried out in  
 200 December and January, in order to compare the effectiveness of natural ventilation and the  
 201 influence of occupant behaviour on the indoor environmental quality in a warm season and in a  
 202 cold season of the year. The measurement was carried out by simultaneously using two sets of  
 203 equipment for each apartment, one for the living room and the other for the main bedroom. It was  
 204 organized sequentially between the three case studies, thereby establishing a fixed time for each  
 205 apartment of at least 48 continuous hours during weekdays, taking into account that the users'  
 206 occupancy in their apartments exceeded 80% of the daily time, in order to monitor the standard  
 207 daily operation for each 24 hours [45]. Permission for the measurement was previously obtained  
 208 from the occupants, and the purpose and phases of this study were explained. The occupants were  
 209 also asked to carry out their normal daily lives in their homes and not to make any unusual  
 210 variations during those days of measurement.

211 Table 4 characterises the equipment that was used in the measurement of the living room and of  
 212 the main bedroom of each apartment, both of the same model. The data collection was taken at  
 213 two-minute intervals during the 48 hours of measurement during weekdays (Monday to Friday)  
 214 in each case study.

215 Table 4. Characterisation of the measuring equipment

Equipment	Measuring interval	Duration	Variables	Limit range	Accuracy
Delta OHM HD 21ABE17	2 minutes	2 days – 48h (continuous monitoring)	CO <sub>2</sub> Carbon Dioxide (ppm) Temperature (°C) Relative humidity (%)	0...5,000 ppm -20...+60 °C 0...100 % RH	±50ppm ±0.2 °C ±2% RH

216 The outdoor CO<sub>2</sub> levels, temperature, and relative humidity during the measurement were taken  
 217 as those given in the records of the city obtained from the State Agency of Meteorology [46]. The  
 218 average, maximum, and minimum temperature values were obtained for each season, as were the  
 219 average outside CO<sub>2</sub> values and relative humidity.  
 220

221 Once the measurement was completed, the occupants of each case study answered a short  
 222 questionnaire regarding the use of windows and doors and way of living during the measurement.  
 223 This information was useful in the identification of the various ventilation patterns during the day  
 224 and night, by defining scenarios related to the conditions of closing (CD) or opening (OD) doors  
 225 in bedrooms and living rooms and by specifying how often they tended to open the windows for

226 ventilation. Ventilation patterns regarding opening windows were much less frequent in winter  
 227 than under spring conditions.

228 Finally, these answers also included information regarding the hours when the apartment was  
 229 unoccupied. This information was useful in confirming that the occupants were mostly in their  
 230 apartments during the two days of measurement, following the previously explained habit of  
 231 spending approximately 80% of the day inside their homes.

### 232 3. Results

233 The results of the indoor measurements carried out in the three apartments are summarised in  
 234 Tables 4 and 5, for the spring and winter seasons respectively. The values are structured in terms  
 235 of the living room and main bedroom. The principal data regarding the outdoor conditions during  
 236 the measurement days for each case study is also displayed [46].

237 Each table characterises the indoor conditions during the measurement, and offers information  
 238 about the operating scenario in terms of open (O) or closed (C) windows (W) and doors (D) in  
 239 the main bedroom and the living room. Regarding the indoor CO<sub>2</sub> concentrations and temperature,  
 240 each table presents the mean values (Mean), the standard deviations (SD), the minimum values  
 241 (Min.), and the maximum values (Max.). Finally, regarding relative humidity, the mean,  
 242 minimum, and maximum values are also defined.

243 Table 5. Summary table of the results obtained under spring conditions

Case Study	Indoor Conditions	CO <sub>2</sub> (ppm)				Temperature (°C)				Relative Humidity (%)		
		Mean <sup>1</sup>	SD <sup>1</sup>	Min.	Max.	Mean	SD	Min.	Max.	Mean	Min.	Max.
<b>OUTDOORS</b>												
CS-1	-	389	-	-	-	12.6	-	7.4	17.2	36.0	-	-
CS-2	-	387	-	-	-	11.8	-	7.2	15.4	38.9	-	-
CS-3	-	395	-	-	-	17.3	-	13.2	24.9	44.7	-	-
<b>LIVING ROOM</b>												
CS-1	CW-OD	600	133	401	1102	18.7	0.3	15.4	19.9	49.8	42.1	54.5
CS-2	CW-OD	659	170	389	1097	13.4	0.5	12.4	14.0	61.8	48.6	72.0
CS-3	CW-CD	649	161	410	1092	22.2	0.7	21.5	23.6	58.8	50.5	64.3
<b>BEDROOM</b>												
CS-1	CW-OD	747	164	408	1115	17.2	0.2	15.7	17.6	58.4	52.4	61.9
CS-2	CW-OD	665	194	400	1148	14.9	0.4	14.1	15.6	56.1	44.3	68.4
CS-3	CW-CD	1687	1438	456	4623	22.2	0.5	21.4	22.9	67.1	53.1	82.8

244 <sup>1</sup> Mean and SD values obtained for the entire measurement period.

245 Table 6. Summary table of the results obtained under winter conditions

Case Study	Indoor Conditions	CO <sub>2</sub> (ppm)				Temperature (°C)				Relative Humidity (%)		
		Mean <sup>1</sup>	SD <sup>1</sup>	Min.	Max.	Mean	SD	Min.	Max.	Mean	Min.	Max.
<b>OUTDOORS</b>												
CS-1	-	381	-	-	-	10.6	-	4.1	14.4	54.5	-	-
CS-2	-	386	-	-	-	9.3	-	1.7	14.8	56.3	-	-
CS-3	-	391	-	-	-	10.4	-	2.1	16.6	61.6	-	-
<b>LIVING ROOM</b>												

CS-1	CW-OD	829	219	475	1273	15.8	0.4	14.2	16.9	54.5	43.1	58.5
CS-2	CW-OD	925	244	455	1982	14.5	0.5	13.7	15.5	60.1	51.6	64.9
CS-3	CW-OD	1230	185	828	1758	17.7	0.9	16.2	19.4	65.7	55.8	71.8
<b>BEDROOM</b>												
CS-1	CW-OD	864	178	383	1391	14.5	0.2	11.7	14.7	59.4	48.3	64.3
CS-2	CW-OD	953	269	437	1728	14.3	0.6	13.0	15.1	61.5	50.4	66.6
CS-3	CW-OD	1384	447	481	2551	17.0	0.7	15.3	17.7	68.5	57.2	75.4

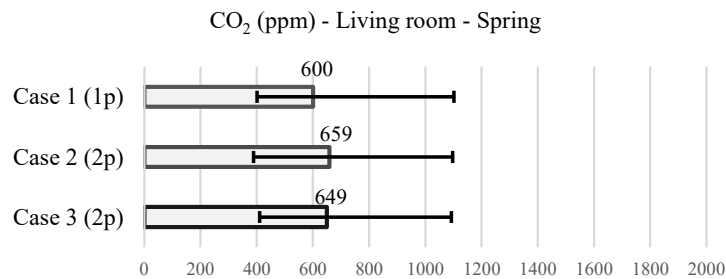
<sup>1</sup> Mean and SD values obtained for the entire measurement period.

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248

249 The graphical display of these results, obtained for the living room or main bedroom during two  
250 different seasons, are shown in subsections 3.1. and 3.2. The data presented in the following  
251 subsections generates a detailed discussion of each use of the dwelling in Section 4. The CO<sub>2</sub> and  
252 temperature values are represented through time evolution and the relative humidity is equally  
253 considered in the discussion of the results.

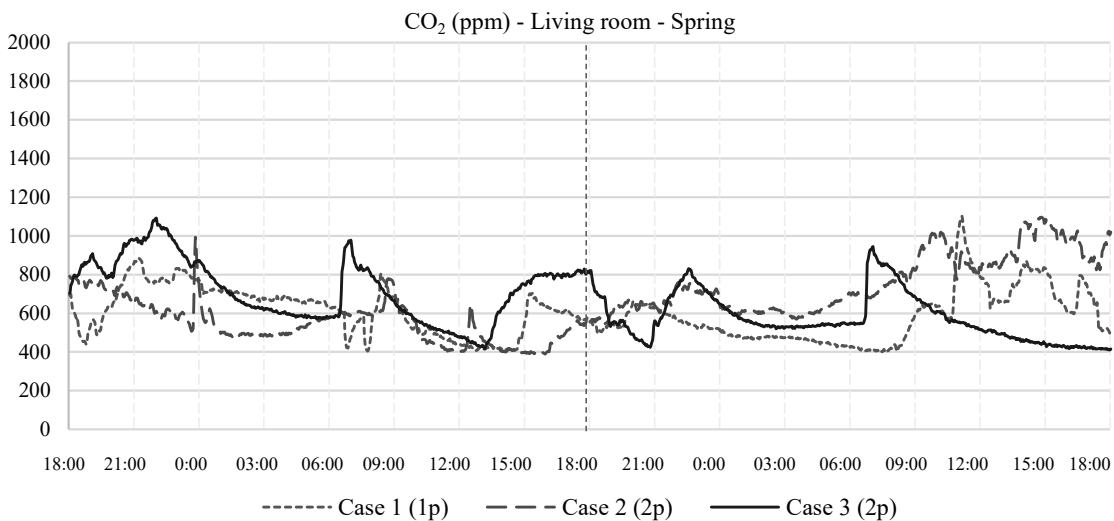
### 254 3.1. Living room

255 Figures 3, 4, and 5 present the results obtained in the living room for the measurements taken  
256 during the spring. Figure 3 shows the average, minimum, and maximum CO<sub>2</sub> values for each case  
257 study, while Figures 4 and 5 show the time evolution of the CO<sub>2</sub> levels and temperature within  
258 the 48 hours of measurement. In the case of the living room, the CO<sub>2</sub> values remain below 2,000  
259 ppm in every case, and hence the display range has been adjusted to lie between 0 and 2,000 ppm  
260 and, in the case of temperature, a range between 10 and 25°C is established.



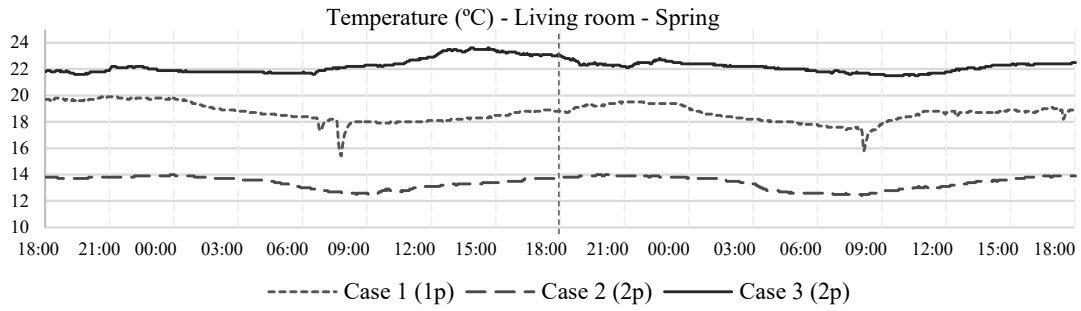
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Figure 3. Display of average, minimum, and maximum CO<sub>2</sub> values under spring conditions



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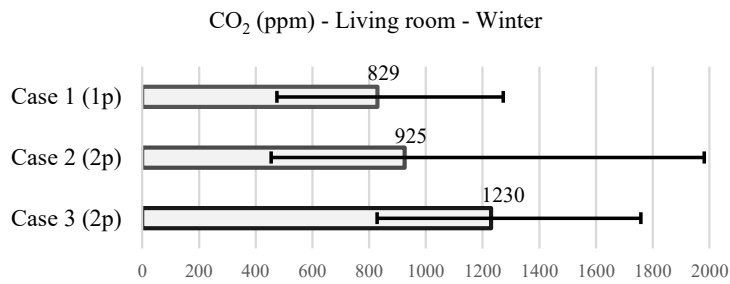
Figure 4. Hourly evolution of CO<sub>2</sub> levels under spring conditions



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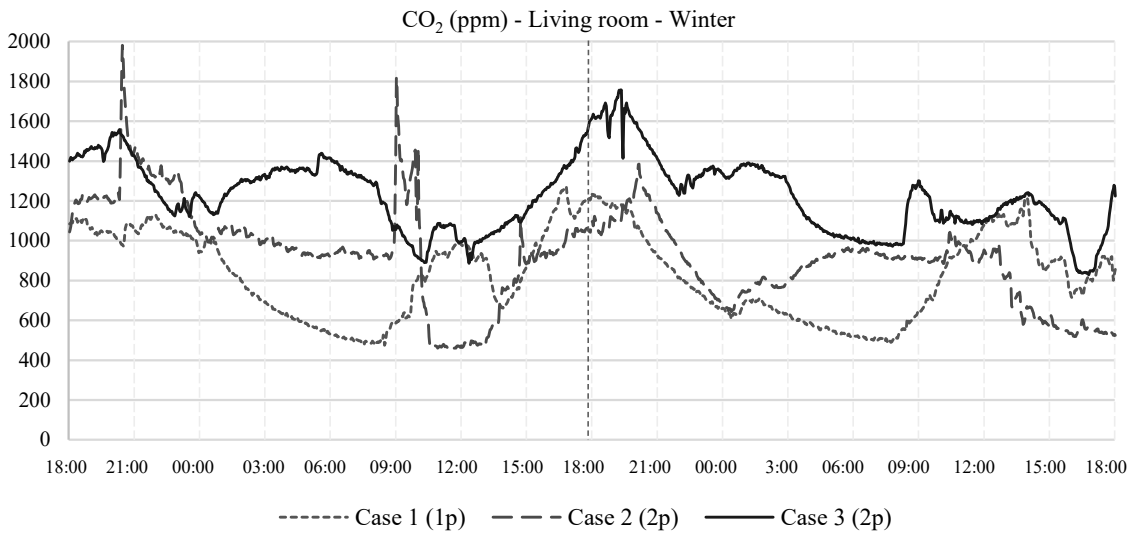
Figure 5. Hourly evolution of temperature under spring conditions

267 Following the same structure, Figures 6, 7, and 8 present the results obtained in the living room  
268 during the winter season, in December and January. The display range is the same for the previous  
269 CO<sub>2</sub> levels as well as for the temperature range.



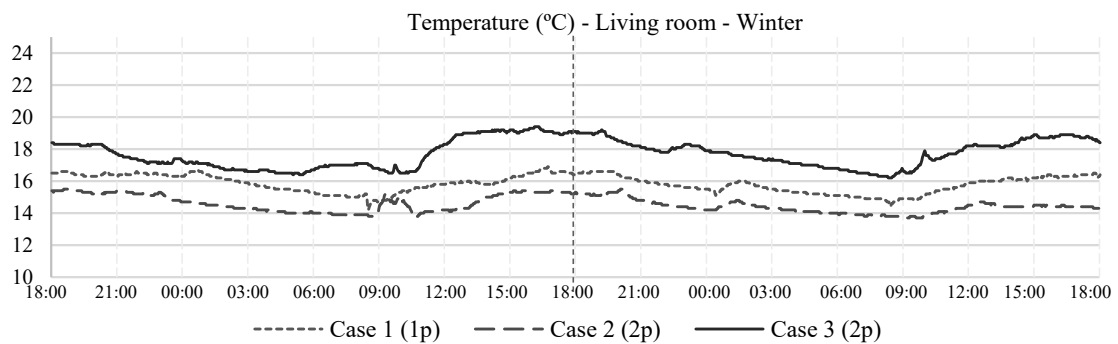
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Figure 6. Display of average, minimum, and maximum CO<sub>2</sub> values under winter conditions



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Figure 7. Hourly evolution of CO<sub>2</sub> levels under winter conditions



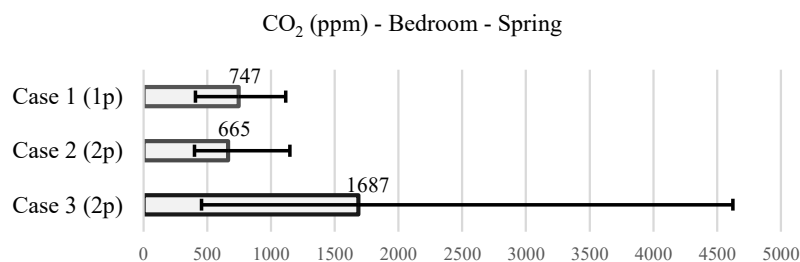
274  
275

Figure 8. Hourly evolution of temperature under winter conditions

276 Although these results are discussed in further detail in Section 4, the main variation arises from  
 277 the large increase in CO<sub>2</sub> levels within the winter period. Both the average and maximum values  
 278 are much higher and there are major elevations in the CO<sub>2</sub> levels in the hourly evolution, which  
 279 reach up to 2,000 ppm. In fact, the hourly evolution in spring presents generally more stable levels  
 280 at around 1,000 ppm. Furthermore, the indoor temperature drops an average of about 5 °C or 6 °C  
 281 in the winter season with respect to spring, with average indoor temperatures of around 15 °C,  
 282 which implies a high degree of discomfort with respect to the comfort temperature range and leads  
 283 the occupants to ventilate less during winter, thereby increasing the indoor CO<sub>2</sub> concentrations.  
 284 Lastly, since there is no general heating system in these social dwellings, and only electric local  
 285 heating devices are available in the living room, there are temperature fluctuations of 3 °C or 4 °C  
 286 in the living room between day and night.

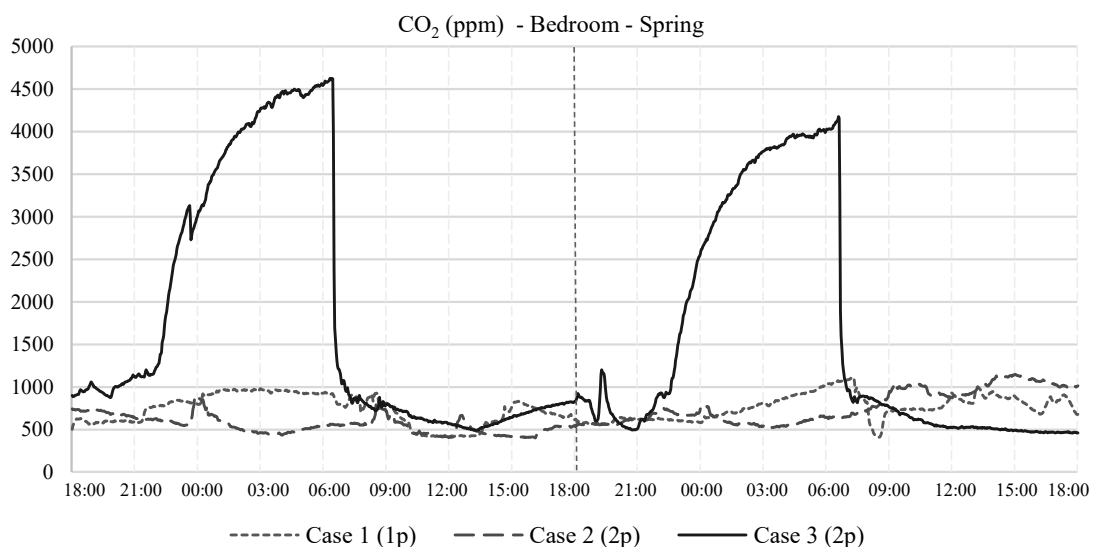
287 **3.2. Bedroom**

288 In this subsection, the results obtained in the bedroom measurements during spring and winter are  
 289 shown in Figures 9 to 14. These figures represent the same sequence as that defined in subsection  
 290 3.1. for the living room. However, due to the high CO<sub>2</sub> concentrations reached in the bedroom in  
 291 certain cases, the display range of CO<sub>2</sub> has been adjusted to represent concentrations between 0  
 292 and 5,000 ppm, which allows visualization of the jumps and variations in these results.



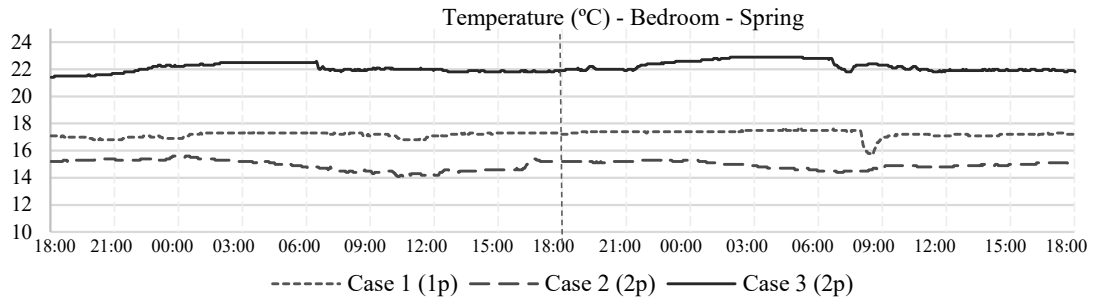
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Figure 9. Display of average, minimum, and maximum CO<sub>2</sub> values under spring conditions



295  
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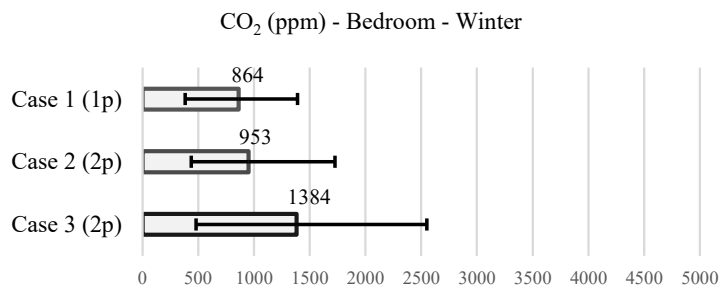
Figure 10. Hourly evolution of CO<sub>2</sub> levels under spring conditions



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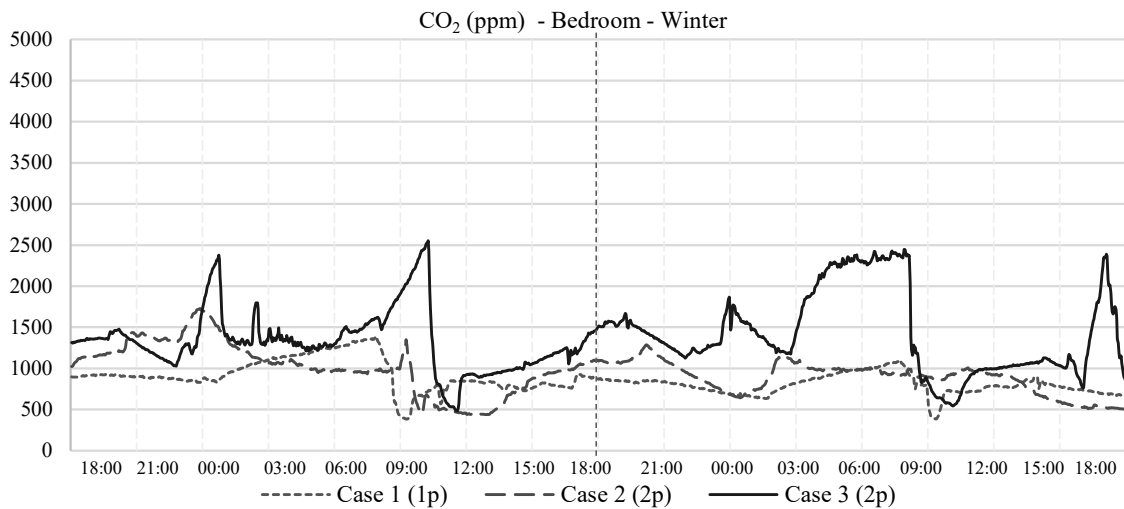
Figure 11. Hourly evolution of temperature under spring conditions

299 Likewise, Figures 12, 13, and 14 show the measurement carried out in the bedroom during the  
300 winter, in which the display range of the results has remained similar to that of the immediately  
301 preceding figures.



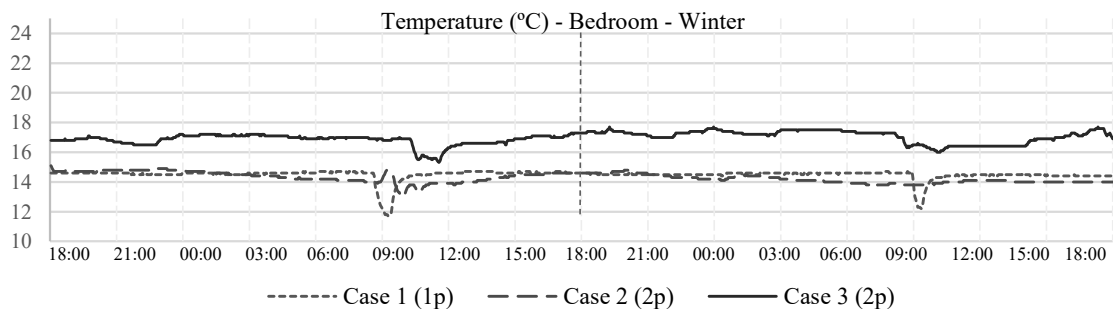
302  
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Figure 12. Display of average, minimum, and maximum CO<sub>2</sub> values under winter conditions



304  
305

Figure 13. Hourly evolution of CO<sub>2</sub> levels under winter conditions



306  
307

Figure 14. Hourly evolution of temperature under winter conditions

308 In the case of the bedroom, highly unfavourable CO<sub>2</sub> levels are reached for certain cases, such as  
309 Case 3, where a level of 4,500 ppm was exceeded in the spring measurement, and subsequently a  
310 notable reduction in CO<sub>2</sub> concentration, of about 2,000 ppm, was measured in winter once the  
311 occupants changed the operating scenario of the bedroom by sleeping with the bedroom door left  
312 half-open. In fact, Case 2 also presents a more favourable value mainly because they also slept  
313 with their bedroom door left ajar. Regarding temperature, there are peaks of temperatures that fall  
314 in the early hours of the morning, when the occupants wake up and usually open the windows.  
315 However, during the rest of the day, the temperatures remain approximately constant due to the  
316 inertia of the thermal envelope, but fail to reach the minimum required temperature values, and  
317 remain at around 6 °C to 8 °C below the comfort range for these occupants, which underlines the  
318 inadequacy of the thermal performance and heating systems in cold periods of the year. Moreover,  
319 the variations between winter and spring are similar to those obtained in the living room, whereby  
320 there is discomfort due to the indoor temperatures during the winter months, and comfort  
321 parameters are approached in spring with warmer indoor temperatures.

322

#### 323 **4. Discussion**

324 This section aims to assess the results presented in Section 3 and to identify the fundamental  
325 variables that have caused a difference in the performances in the indoor environmental quality  
326 in Mediterranean apartments where elderly people live. First, the results are discussed  
327 independently for each Case Study, and then those factors that have exerted a major influence on  
328 the final performance are interrelated.

329 Case 1 is characterised by having a single occupant in the apartment with the largest surface area.  
330 Even so, it is observed that both the living room and main bedroom have average CO<sub>2</sub> values of  
331 over 900 ppm, especially during the winter, with maximum values that exceed 1,200 ppm for  
332 many hours, mainly during the night in the bedroom and at certain peak times of the day in the  
333 living room. This apartment does not reach alarming CO<sub>2</sub> levels, but it could be said that the air  
334 change rate is insufficient even for just one occupant. Regarding the temperature, the apartment  
335 presents an average of approximately 15 °C during the winter and 18 °C during the spring, without  
336 using any permanent heating system, and therefore the thermal range is insufficient to maintain  
337 comfort conditions indoors, especially for the elderly. In terms of relative humidity, intermediate  
338 values between 40% and 60% are presented in both measurements, with the highest percentages  
339 measured in the bedroom during the night, mainly due to the latent breathing of the occupants.

340 Case 2 presents acceptable CO<sub>2</sub> levels during the spring season, with average values of around  
341 700 ppm, both in the living room and bedroom, but which nevertheless increased considerably  
342 during the winter, and reached average values close to 1,000 ppm in the living room and bedroom

343 and maximum levels close to 2,000 ppm, which are unhealthy for the elderly. Regarding these  
344 maximum values, it is observed again in the hourly evolution that that the ventilation patterns are  
345 insufficient, especially in winter conditions, where voluntary natural ventilation is avoided since  
346 it would entail the entry of colder air and a consequent drop in indoor temperature. In fact, this  
347 apartment registered the coldest indoor temperatures, with an average of between 13 °C and 14  
348 °C in winter in the bedroom and living room, which are highly unfavourable for indoor thermal  
349 conditions, and around 16 °C during the spring, which can show that unfavourable temperatures  
350 incite occupants to ventilate insufficiently in these seasons. Finally, the relative humidity values  
351 remain constant between 50% and 60%, and are generally higher than the levels obtained in Case  
352 1.

353 Lastly, Case 3 is the most notable of the three cases, and has enabled a higher number of variables  
354 to be identified that are interrelated in the performance of the results. Case 3 presents particularly  
355 extreme CO<sub>2</sub> values in the bedroom, especially during spring but also in winter, with 1,600 ppm  
356 as the average value and a peak of 4,500 ppm was obtained in the spring period, which can exert  
357 a direct effect on the high air-pollutant concentration and on the health of the occupants. During  
358 the spring measurement, the occupants informed the authors that they had slept with the door and  
359 the window closed in their bedroom, which involves two occupants in a much reduced space  
360 without mechanical ventilation, thereby increasing the possibility of reaching extremely high CO<sub>2</sub>  
361 concentrations, as shown in Figure 10. In addition, during the winter measurement, the occupants  
362 reported that they had slept with the door half-open, hence in the winter measurement the peak  
363 was reduced to a maximum value of 2,500 ppm during the night, which implies a reduction of  
364 2,000 ppm only with the variation of the regime of use of the door, although this value is also  
365 unfavourable and unhealthy for the occupants. Further to the influence on the situation of the  
366 door, another possible influencing factor is that of the windows being recently replaced and the  
367 permeability values being reduced, and hence in the case of there being a more airtight envelope,  
368 the air change values are lower. In the case of the living room, normal values were obtained during  
369 the spring measurement, similar to those of the other cases. The values were much higher during  
370 winter, however, with an average of 1,200 ppm in the living room, which fails to comply with the  
371 established recommended values. It is also worthy of note that the occupants of Case 3 claimed  
372 that they slept better and felt more rested since they slept with the door half-open instead of closed.  
373 Regarding the temperature obtained in Case 3, this is the case with the highest temperatures of  
374 the three cases, mainly because the measurement was carried out during the days in which the  
375 outdoor temperature was higher. Average values of 22 °C have been obtained in the spring and  
376 18 °C in the winter measurement; these are pleasant indoor temperatures which were perhaps  
377 influenced by sunny days and the fact that the apartment is located on the top floor of the building.  
378 Finally, the relative humidity has been more unstable than in previous cases, due to the high



379 concentrations of CO<sub>2</sub> and damp when two occupants are in smaller enclosed spaces. This relative  
380 humidity could facilitate internal condensation and could consequently prompt the appearance of  
381 fungi and possible health problems.

382 In addition to the particular analysis of each case, it has been observed in all cases that, at certain  
383 times of the day, the occupants reach CO<sub>2</sub> concentrations that are much higher than the advisable  
384 values, as was previously identified by Strøm-Tejsen et al. [25] and Zhu et al. [26]. The data  
385 obtained in the three case studies show that the elderly spend many hours of the day with  
386 undesirable CO<sub>2</sub> levels, way above healthy limits. This study also highlights the influence of  
387 natural ventilation patterns and the importance of occupant behaviour in the indoor performance  
388 of each apartment. It has been demonstrated that sleeping with the door closed can cause higher  
389 concentrations of CO<sub>2</sub>, thereby reaching extreme levels, and therefore a consequence of this study  
390 is the influence of the door conditions to balance the CO<sub>2</sub> levels with the remaining rooms.  
391 Alternatively, this balance could be achieved by installing doors that incorporate a ventilation  
392 grid, since these would increase permeability and prevent high concentrations of CO<sub>2</sub>. This  
393 statement also justifies the need to implement different natural ventilation habits and to introduce  
394 mechanical systems that guarantee acceptable indoor conditions.

395 The results have also provided evidence on the connection between high CO<sub>2</sub> concentrations and  
396 winter periods, due to the reduced willingness of the occupants to introduce natural ventilation  
397 because of the low temperatures. However, it is observed that once natural ventilation through the  
398 windows is introduced, CO<sub>2</sub> levels fall to the same CO<sub>2</sub> levels of the outdoor conditions, and  
399 hence natural ventilation can be effective and the problem is mainly the insufficient frequency of  
400 ventilation carried out by the occupants in their daily operation.

401 These results are also related to the constructive composition of the building's thermal envelope.  
402 The selected apartments, which belong to social housing stock built more than 40 years ago,  
403 present poor thermal performance without the addition of specific insulating materials. The  
404 windows also present high permeability values, which can be beneficial, in terms of natural air  
405 change rates, in periods with an absence of adequate ventilation patterns by the occupants, but  
406 this flies in the face of energy renovation policies, which promote the provision of airtight spaces.  
407 In fact, in those dwellings where single-glazed windows have been replaced with double-glazed  
408 windows, CO<sub>2</sub> levels have increased, since there is no mechanical ventilation system that  
409 accompanies natural ventilation.

410 Finally, these results have shown that the bedroom usually has higher CO<sub>2</sub> concentrations mainly  
411 because it is a smaller space, where the occupants spend many hours at night. On the other hand,  
412 the living room, being a larger space and better connected to the remaining rooms, contains  
413 concentrations of a more balanced and uniform nature.

## 414 **5. Conclusions**

415 This paper measures and assesses CO<sub>2</sub> levels, temperature, and relative humidity in three  
416 representative apartments occupied by the elderly in southern Europe. Its contribution involves  
417 the characterisation of indoor environmental quality and its relationship with indoor space  
418 typology, occupation, operating scenarios, constructive composition of the building envelope, and  
419 ventilation patterns. This characterisation has further enriched the understanding of the results  
420 and has supported the identification of unfavourable habits and the promotion of sustainable  
421 renovation strategies to prevent airtightness and unhealthy indoor spaces.

422 The results highlight that elderly people live for long periods of the day with CO<sub>2</sub> levels above  
423 the recommended limit of 900 ppm. These results have revealed that indoor CO<sub>2</sub> concentrations  
424 can reach unhealthy levels, and that this is mainly because the ventilation in the majority of the  
425 apartments that belong to the social housing stock depends exclusively on infiltration and  
426 ventilation rates through window openings, which can vary throughout the year, and depend  
427 heavily on the ventilation habits of the users. Furthermore, indoor temperature values have also  
428 been registered far outside the established ranges for thermal comfort, which in turn greatly limits  
429 any desire to open windows to generate natural ventilation in cold seasons. Regarding relative  
430 humidity, the airtightness obtained in the apartments and the lack of adequate ventilation lead to  
431 significant increases in the relative humidity which facilitates the appearance of damp in indoor  
432 spaces and decreases indoor air quality.

433 Level of occupancy, use of doors and windows, ventilation patterns, climate conditions, and room  
434 typologies have shown their influence and impact with regard to measured values. The highest  
435 CO<sub>2</sub> concentrations have been located mainly in the bedroom during sleeping periods, and can  
436 affect rest, concentration, and the well-being of the tenants. The maximum values have frequently  
437 exceeded 2,000 ppm, with peak periods over 4,500 ppm, which are classified as very harmful to  
438 health in any space. These results have also shown the influence of the opening or closing of a  
439 door in a room during sleeping periods, by identifying the direct impact on the accumulated  
440 concentrations and highlighting the advantageous character of leaving a door fully open or half-  
441 open, whose scenarios show CO<sub>2</sub> concentration values with a reduction of 2,000 ppm.

442 The results have demonstrated the need to introduce practical guidelines and advice for those  
443 members of the elderly population who solely depend on natural ventilation in order to improve  
444 the air change rate in their apartments. Other possible solutions can involve balancing the CO<sub>2</sub>  
445 concentrations inside the apartment through the introduction of grilles in doors and interior  
446 partitions, thereby preventing the aforementioned maximum peaks in such small spaces.  
447 However, these findings also justify the need to reconsider ventilation requirements for the social  
448 housing stock and to promote renovation plans focused on improving ventilation systems and

449 incorporating elements that complement natural ventilation in the built environment.  
450 Additionally, future energy retrofitting actions based on highly insulated buildings must also  
451 consider that high airtightness of certain energy-reduction solutions introduces additional needs  
452 and challenges for healthy indoor air quality.

453 The implications of this study should have an impact on health, environmental, social, and urban  
454 policies in terms of introducing a better definition of ventilation requirements and regulations to  
455 ensure adequate indoor parameters, thereby preventing health disorders and improving the quality  
456 of life of the elderly. One consequence of this implication could be based on defining air renewal  
457 flows in the built environment that could be guaranteed through aerators in the windows or via  
458 electro-mechanical systems, further to voluntary natural ventilation. If these minimum flows are  
459 not guaranteed, then current regulations regarding thermal comfort and energy saving require new  
460 windows to be fitted, which supposes an even greater controversy between sealing against air  
461 filtration and generating higher concentrations of CO<sub>2</sub>.

462 Future research will be addressed towards an in-depth study into the concentrations of indoor air  
463 pollutants and their impact on the health of the elderly.

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