Extracting three-body breakup observables from CDCC calculations with core excitations

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(Dated: January 31, 2017)

Background Core-excitation effects in the scattering of two-body halo nuclei have been investigated in previous works. In particular, these effects have been found to affect in a significant way the breakup cross sections of neutron-halo nuclei with a deformed core. To account for these effects, appropriate extensions of the continuum-discretized coupled-channels (CDCC) method have been recently proposed.

Purpose We aim to extend these studies to the case of breakup reactions measured under complete kinematics or semi-inclusive reactions in which only the angular or energy distribution of one of the outgoing fragments is measured.

Method We use the standard CDCC method as well as its extended version with core excitations (XCDCC), assuming a pseudo-state basis for describing the projectile states. Two- and three-body observables are computed by projecting the discrete two-body breakup amplitudes, obtained within these reaction frameworks, onto two-body scattering states with definite relative momentum of the outgoing fragments and a definite state of the core nucleus.

Results Our working example is the one-neutron halo \(^{11}\)Be. Breakup reactions on protons and \(^{64}\)Zn targets are studied at 63.7 MeV/nucleon and 28.7 MeV, respectively. These energies, for which experimental data exist, and the targets provide two different scenarios where the angular and energy distributions of the fragments are computed. The importance of core dynamical effects is also compared for both cases.

Conclusions The presented method provides a tool to compute double and triple differential cross sections for outgoing fragments following the breakup of a two-body projectile, and might be useful to analyze breakup reactions with other deformed weakly-bound nuclei, for which core excitations are expected to play a role. We have found that, while dynamical core excitations are important for the proton target at intermediate energies, they are very small for the Zn target at energies around the Coulomb barrier.

PACS numbers: 24.10.Eq, 25.60.Gc, 25.70.De, 27.20.+n

I. INTRODUCTION

Recent experimental activities with nuclei in the proximity of the drip-lines have increased the interest in this region of the nuclear landscape. In particular, special attention has been paid to halo nuclei, spatial extended quantum systems with one or two loosely bound valence particles, for example \(^{11}\)Be or \(^{11}\)Li. Breakup reactions have shown to be a useful tool for extracting information from these exotic structures [1]. Reliable and well understood few-body reaction frameworks to describe breakup reactions of exotic nuclei, such as haloes, are therefore needed. Particularly, it is timely to estimate what are the relevant excitations mechanisms for the reaction. In the case of elastic breakup, that we address here, several few-body formalisms have been developed to extract the corresponding cross sections: Continuum-Discretized Coupled-Channels (CDCC) method [2, 3], the adiabatic approximation [4, 5], the Faddeev/AGS equations [6, 7], and a variety of semiclassical approximations [8–12]. The CDCC method is based upon an explicit discretization of all channels in the continuum and requires the solution of an extensive set of coupling equations. It has been applied at both low and intermediate energies.

The standard formulation of these approaches ignore the possible excitations of the constituent fragments: the states of the few-body system are usually described by pure single-particle configurations, ignoring the admixtures of different core states in the wave functions of the projectile. These admixtures are known to be important, particularly in the case of well-deformed nuclei, such as the \(^{11}\)Be halo nucleus [14]. In addition, for such two-body weakly bound system, dynamic core excitation effects in breakup have been recently stud-
ied with an extension of the Distorted Wave Born Approximation (DWBA) formalism within a no-recoil approximation 12–15 and found to be important. This method is based in the Born approximation and ignores higher order effects (such as continuum-continuum couplings). A recent attempt to incorporate core excitation effects within a coupled-channels calculation was done in Ref. 19, using an extended version of the CDCC formalism 20, hereafter referred to as XCDCC. The different calculations have shown that, for light targets, dynamic core excitations give rise to sizable changes in the magnitude of the breakup cross sections. Additionally, these excitations have been found to be dominant in resonant breakup of 19C on a proton target 21. These effects have also been studied within the Faddeev/AGS approach in the analysis of breakup and transfer reactions 15, 22, 23.

Within the CDCC and XCDCC reaction formalisms, the breakup is treated as an excitation of the projectile to the continuum so the theoretical cross sections are described in terms of the c.m. scattering angle of the projectile and the relative energy of the constituents, using two-body kinematics. Because of this, experimental data should be transformed to the c.m. frame for comparison, but this process is ambiguous in the case of inclusive data. This is a common situation, for example, in the case of reactions involving neutron-halo nuclei in which very often only the charged fragments are detected. Furthermore, even in exclusive breakup experiments under complete kinematics, in which this transformation is feasible, the possibility of comparing the calculated and experimental cross sections for different configurations (angular and energy) of the outgoing fragments provides a much deeper insight of the underlying processes, as demonstrated by previous analyses performed by exclusive breakup measurements with stable nuclei 24. The continuous developments at the radioactive beam facilities opens the exciting possibility of extending these studies to unstable nuclei. This will require improvements and extensions of existing formalisms to provide these observations.

In the case of the CDCC framework, fivefold fully exclusive cross sections were already derived in 25. In the present work we extend this framework to the XCDCC formalism and we provide more insight into the contribution of core admixtures (CA) and dynamic core excitation (DCE) in the collision process. A proper inclusion of core excitation effects in the description of kinematically fully exclusive observables in the laboratory frame is the primary motivation of this manuscript with the aim of pinning down the effect of this degree of freedom in angular and energy distributions. Additionally, this allows to compute breakup observables for specific states of the core nucleus, which could be of utility in experiments using gamma rays coincidences. The calculations are carried out within the combined XCDCC+THO framework 19 for breakup reactions of 11Be on protons and 64Zn targets with full three-body kinematics. The formalism is applied to investigate the angular and energy distributions of the 10Be fragments resulting from the breakup, for which new measurements have been made 26.

The paper is organized as follows. In Sec. II we briefly discuss the structure approach to describe two-body loosely-bound systems with core excitation. In Sec. III the expression of the scattering wave functions is derived. The three-body breakup amplitudes are shown in Sec. IV and the related most exclusive observables are presented in Sec. V. In Sec. VI we show some working examples. We study 11Be+p and 11Be+64Zn reactions at low energies and we calculate the angular and energy distributions of the 10Be fragments after the breakup. In Sec. VII the main results and remarks of this work are collected.

II. THE STRUCTURE FORMALISM

In this manuscript, the composite projectile is assumed to be well described by a valence nucleon coupled to a core nucleus and the projectile states are described in the weak-coupling limit. Thus, these states are expanded as a superposition of products of single-particle configurations and core states. The energies and wavefunctions of the projectile are calculated using the pseudo-state (PS) method 27, that is, diagonalizing the model Hamiltonian in a basis of square-integrable functions. For the relative motion between the valence particle and the core, we use a recently proposed extension of the analytical Transformed Harmonic Oscillator (THO) basis 14, which incorporates the possible excitations of the constituents of the composite system. This approach differs from the binning procedure 23, where the continuum spectrum is represented by a set of wave packets, constructed as a superposition of scattering states calculated by direct integration of the multi-channel Schrödinger equation. The main advantage of the PS method relies on the fact that it provides a suitable representation of the continuum spectrum with a reduced number of functions and, as we will see below, it is particularly convenient to describe narrow resonances.

We briefly review the features of the PS basis used in this work to describe the states of the two-body composite projectile. The full Hamiltonian, under the weak-coupling limit, would be written as:

\[ H = \hat{T}_r + V_{cv}(r, \xi) + H_{\text{core}}(\xi), \]

where \( \hat{T}_r \) (kinetic energy) and \( V_{cv}(r, \xi) \) (effective potential) describe the relative motion between the core and the valence while \( H_{\text{core}}(\xi) \) is the intrinsic hamiltonian of the core, whose internal degrees of freedom are described through the coordinate \( \xi \). The eigenstates of \( H_{\text{core}}(\xi) \) correspond to energies \( \epsilon_I \) (defined by the intrinsic spin of the core, \( I \) will be denoted by \( \phi_I \) and, additional quantum numbers, required to fully specify the core states, will be given below.

The core-valence interaction is assumed to contain a noncentral part, responsible for the CA in the projectile
states. In general, this potential can be expanded into multipoles:

\[ V_{cv}(r, \xi) = \sum_{\lambda \mu} V_{\lambda \mu}(r, \xi) Y_{\lambda \mu}(\hat{r}). \]  

(2)

In this work the projectile is treated within the particle-rotor model [28] with a permanent core deformation (assumed to be axially symmetric). Thus, in the body-fixed frame, the surface radius is parameterized in terms of the deformation parameter, \( \beta_2 \), as \( R(\xi) = R_0[1 + \beta_2 Y_{20}(\xi)] \), with \( R_0 \) an average radius. The full valence-core interaction is obtained by deforming a central potential \( V_{cv}^{(0)}(r) \) as follows,

\[ V_{cv}(r, \xi) = V_{cv}^{(0)}(r - \delta_2 Y_{20}(\hat{\xi})), \]  

(3)

where \( \delta_2 = \beta_2 R_0 \) is the so-called deformation length. The transformation to the space-fixed reference frame is made through the rotation matrices \( D_{\mu \rho}^\lambda(\alpha, \beta, \gamma) \) (depending on the Euler angles \( \{ \alpha, \beta, \gamma \} \)). After expanding in spherical harmonics (see e.g. Ref. [29]), the potential in Eq. (3) reads

\[ V_{cv}(r, \hat{\xi}) = \sqrt{4\pi} \sum_{\lambda \mu} V_{cv}^\lambda(r) D_{\mu \rho}^\lambda(\alpha, \beta, \gamma) Y_{\lambda \mu}(\hat{r}), \]  

(4)

with the radial form factors \( u = \cos \theta' \):

\[ V_{cv}^\lambda(r) = \frac{\sqrt{2\lambda + 1}}{2} \int_{-1}^{1} V_{cv}(r - \delta_2 Y_{20}(\theta', 0)) P_{\lambda}(u) \, du. \]  

(5)

In comparison with Eq. (2) we have for a particle-rotor model:

\[ V_{\lambda \mu}(r, \hat{\xi}) = \sqrt{4\pi} V_{cv}^\lambda(r) D_{\mu \rho}^\lambda(\alpha, \beta, \gamma). \]  

(6)

The eigenstates of the Hamiltonian (1) will be a superposition of several valence configurations and core states \( \alpha = (\ell, s, j, \ell') \), with \( \ell' \) (valence-core orbital angular momentum) and \( s \) (spin of the valence) both coupled to \( j \) (total valence particle angular momentum), for a given total angular momentum and parity of the composite projectile, \( J^\pi \), i.e.:

\[ \Psi_{\alpha, J, M}(r, \xi) = \sum_{\alpha'} \frac{R_{\alpha \alpha'}^J(r)}{r} \Phi_{\alpha, J, M}(\hat{r}, \xi, \xi_c), \]  

(7)

where \( n_\alpha \) is the number of such channel configurations and the set of functions

\[ \Phi_{\alpha, J, M}(\hat{r}, \xi, \xi_c) \equiv [Y_{\lambda s j}(\hat{r}) \otimes \phi_I(\xi)]_{JM} \]  

(8)

is the so-called spin-orbit basis.

The functions \( R_{\alpha \alpha'}^J(r) \) are here obtained by diagonalizing the Hamiltonian in a basis of square-integrable states, such as the THO basis. For each channel \( \alpha \), we consider a set of \( N \) functions \( R_{n_\alpha}^{T\text{HO}}(r) \) (with \( n = 1, \ldots, N \)). The eigenvectors of the Hamiltonian will be of the form:

\[ \Psi_{\epsilon, \alpha, J, M}(r, \xi) = \sum_{\alpha} \sum_{n=1}^{N} c_{n, \alpha, J}^{\epsilon} R_{n_\alpha}^{T\text{HO}}(r) \Phi_{\alpha, J, M}(\hat{r}, \xi, \xi_c), \]  

(9)

where \( \epsilon \) is an index that identifies each eigenstate and \( c_{n, \alpha, J}^{\epsilon} \) are the corresponding expansion coefficients in the truncated basis, obtained by diagonalization of the full Hamiltonian (1).

For numerical applications, the sum over the index of the THO basis can be actually performed to get

\[ \Psi_{\epsilon, \alpha, J, M}(r, \xi, \xi_c) = \sum_{n=1}^{N} g_{n, \alpha, J}^{\epsilon}(r) \Phi_{\alpha, J, M}(\hat{r}, \xi, \xi_c) \]  

(10)

where the radial function is:

\[ g_{n, \alpha, J}^{\epsilon}(r) = \sum_{n=1}^{N} c_{n, \alpha, J}^{\epsilon} R_{n_\alpha}^{T\text{HO}}(r). \]  

(11)

The negative eigenvalues of the Hamiltonian (1) are identified with the energies of bound states whereas the positive ones correspond to a discrete representation of the continuum spectrum.

### III. SCATTERING WAVE FUNCTIONS

For the calculation of the three-body scattering observables (see Sec. [IV]) we need also the exact scattering states of the valence+core system for a given asymptotic relative wave vector \( k_f \), and given spins of the core \( (I) \) and valence particle \( (s) \), as well as their respective projections \( (\mu \text{ and } \sigma \text{, respectively}) \), that will be denoted as \( \phi^{(+)}_{k_f; I, s, \mu, \sigma}(r, \xi, \xi_c) \). These states can be written as a linear combination of the continuum states with good angular momentum \( J, M \), that are of the form

\[ \Psi_{\alpha, J, M}(k_f, r, \xi, \xi_c) = \sum_{\alpha'} \frac{f_{\alpha \alpha'}^{J}(k_f, r)}{r} \Phi_{\alpha', J, M}(\hat{r}, \xi, \xi_c), \]  

(12)

where the radial functions \( f_{\alpha \alpha'}^{J}(k_f, r) \) are the solution of the coupled differential equations,

\[ [E_{\alpha'} - T_{\alpha'} - V_{\alpha' \sigma'}^{J} f_{\alpha \alpha'}^{J}(k_f, r) = \sum_{\alpha' \neq \alpha'} \sum_{\alpha''} V_{\alpha' \sigma'}^{J} f_{\alpha'' \alpha'}^{J}(k_f, r), \]  

(13)

where \( E_{\alpha'} = E_{\alpha} - \epsilon_{I'} + \epsilon_{I} \), as a consequence of the energy conservation in the nucleon-core system when the latter is in the state \( I \) or \( I' \), \( T_{\alpha'} \) is the relative kinetic energy operator, and \( V_{\alpha' \sigma'}^{J} \) are the coupling potentials given by

\[ V_{\alpha' \sigma'}^{J}(r) = \langle \alpha' J M | V_{cv} | \alpha'' J M \rangle \]  

(14)

with |\( \alpha' J M \rangle \) denoting the spin-basis defined in Eq. (8).
These radial functions behave asymptotically as a plane wave in a given incoming channel $\alpha$ and outgoing waves in all channels, i.e.: 
\[ f_{\alpha;\alpha'}^J(k_I, r) \rightarrow e^{i\sigma_r} [F_\ell(k_I r)\delta_{\ell,\ell'} + T_{\alpha;\alpha'}^J H_{\ell'}^{(+)}(k_I r)], \]
where $\sigma_r$ are the Coulomb phase shifts, $F_\ell(k_I r)$ the regular Coulomb function and $T_{\alpha;\alpha'}^J$ the T-matrix, that is directly related to the S-matrix according to:
\[ S_{\alpha;\alpha'}^J = \delta_{\alpha,\alpha'} + 2iT_{\alpha;\alpha'}^J. \] (16)

In terms of these good-angular momentum states, the scattering states result (see Appendix A)

\[
\phi_{k_I;\mu;\sigma}^{(+)}(r, \xi_v, \xi_c) = \frac{4\pi}{k_I r} \sum_{\ell,J,M} i^{\ell} Y_{\ell m}(\hat{k}_I) \langle \ell m s \sigma | j m_j \rangle \langle j m_J I \mu | J M \rangle \sum_{\alpha'} f_{\alpha;\alpha'}^J(k_I, r) \Phi_{\alpha', J, M}(\hat{r}, \xi_v, \xi_c),
\] (17)

where $m_j = M - \mu$, and $m = m_j - \sigma$.

**IV. BREAKUP AMPLITUDES**

The scattering problem can be described by means of the breakup transition amplitude $T_{\mu;\mu';0}^{I;J_0}(k_I, K)$ connecting an initial state $|J_0, M_0\rangle$ with a three-body final state comprised by the target (assumed to be structureless), the valence particle and the core, whose motion is described in terms of the relative momentum, $k_I$, and a c.m. wave vector, $K$, that differs from the initial momentum, $K_0$, in $|J_0, M_0\rangle$.

We proceed to relate $T_{\mu;\mu';0}^{I;J_0}(k_I, K)$ to the discrete XCDCC two-body inelastic amplitudes $T_{\mu;\mu';0}^{I;J_0,J'}(\theta_i, K)$, obtained after solving the coupled equations in the XCDCC method and evaluated on the discrete values of $K_i$ given by the $\{K_i\} \equiv \{\theta_i, K_i\}$ grid. In order to obtain this relationship, we replace the exact three-body wave function by its XCDCC approximation in the exact (prior form) breakup transition amplitude. That is, we take $\Psi_{J_0, M_0}(K_0) \simeq \Psi_{J_0, M_0}^{XCD}(K_0)$ and therefore we can write:

\[ T_{\mu;\mu';0}^{I;J_0}(k_I, K) \simeq \langle \phi_{k_I;\mu;\sigma}^{(-)} e^{iK_0 R} | U | \Psi_{J_0, M_0}^{XCD}(K_0) \rangle, \] (18)

with the interaction $U$ between the projectile and the target described by a complex potential expressed as follows:

\[ U = U_{ct}(r, R, \xi) + U_{st}(r, R), \] (19)

where, in addition to the projectile coordinates $r$ and $\xi$, we have the relative coordinate $R$ between the projectile center of mass and the target. Furthermore, the core-target interaction ($U_{ct}$) contains a non-central part, responsible for the dynamic core excitation and deexcitation mechanism, while the valence particle-target interaction ($U_{st}$) is assumed to be central. The scattering wave functions $\phi_{k_I;\mu;\sigma}^{(-)}$ are just the time reversal of those defined in Eq. [17], and whose explicit expression is given in Appendix A.

Next, assuming the validity of the completeness relation in the truncated basis, we get:

\[ T_{\mu;\mu';0}^{I;J_0,J'}(k_I, K) \simeq \sum_{i,J'} \langle \phi_{k_i;\mu;\sigma}^{(-)} | \Psi_{i, J', M'}^{(N)} \rangle \times \langle \Psi_{i, J', M'}^{(N)} | U | \Psi_{J_0, M_0}^{XCD}(K_0) \rangle \]
\[ = \sum_{i,J'} \langle \phi_{k_i;\mu;\sigma}^{(-)} | \Psi_{i, J', M'}^{(N)} \rangle T_{M_0, M'}^{i, J_0, J'}(K), \] (20)

where the transition matrix elements $T_{M_0, M'}^{i, J_0, J'}(K)$ are to be interpolated from the discrete ones $T_{M_0, M'}^{i, J_0, J'}(\theta_i, K_i)$. The interpolation method follows closely the procedure of Ref. [23], with the difference that in this reference the continuum states of the projectile are described through a set of single-channel bins.

The overlaps between the final scattering states and the pseudo-states are explicitly given in the Appendix [13] so Eq. [20] yields the following transition amplitude:

\[ T_{\mu;\mu';0}^{I;J_0}(k_I, K) \simeq \frac{4\pi}{k_I} \sum_{J'} \sum_{\ell,m,j} (-i)^\ell Y_{\ell m}(\hat{k}_I) \langle \ell m s \sigma | j m_j \rangle \times \langle j m_J I \mu | J M' \rangle \sum_i G_{\alpha}^{i,J'}(k_I) T_{M_0, M'}^{i, J_0, J'}(K), \] (21)

where

\[ G_{\alpha}^{i,J'}(k_I) = \sum_{\alpha} \int f_{\alpha;\alpha'}^J(k_I, r) g_{i,\alpha'}^{J'}(r) dr \] (22)

are the overlaps between the radial parts of the scattering states and pseudo-states wave functions. Notice that these overlaps are not analytical and they must be calculated at the energies given by the relative momentum $k_I$. In practice, we compute the term involving the summation over $i$ in the r.h.s. of Eq. [21] on a uniform momentum mesh, and interpolate this sum at the required $k_I$ values when combining them with the scattering amplitudes. In fact, Eq. [20] is formally equivalent to the
relation appearing in Ref. [25] and the main difference concerns the calculation of the overlaps. Moreover, the above expressions can be used within the standard CDCC method (i.e., without core excitations), in which case the core internal degrees of freedom (ξ) are omitted.

V. TWO- AND THREE-BODY OBSERVABLES

The transition amplitudes in Eq. (21), \( T_{\mu ; \sigma M_0}^{1sJ_0}(k_I, K) \) (with the relative momentum \( k_I \) and the c.m. wave vector \( K \)), contain the dynamics of the process for the coordinates describing the relative and center of mass motion of the core and the valence particle. From these amplitudes we can derive the two-body observables for a fixed spin of the core, \( I \), the solid angles describing the orientations of \( k_I (\Omega_k) \) and \( K (\Omega_K) \), as well as the relative energy between the valence and the core, \( E_{rel} \). These observables factorize into the transition matrix elements and a kinematical factor:

\[
\frac{d^2 \sigma^{(I)}}{d\Omega_k d\Omega_K dE_{rel}} = \frac{\mu_{pt} \mu_{cv}}{2\pi^2 \hbar^4 K_0} \frac{1}{J_0 2J + 1 k_I} \times \sum_{\mu, \sigma, M_0} |T_{\mu \sigma M_0}^{1sJ_0}(k_I, K)|^2, \tag{23}
\]

where \( \mu_{cv} \) and \( \mu_{pt} \) are the valence-core and projectile-target reduced masses. The integration over the angular part of \( k_I \) can be analytically done giving rise to the following expression for the two-body relative energy-angular cross section distributions:

\[
\frac{d^2 \sigma^{(I)}}{d\Omega_K dE_{rel}} = \frac{1}{2\pi^2 \hbar^4 K_0} \frac{1}{2J + 1 k_I} \times \sum_{J', M', M_0} \sum_{J_0, M_0} |G_{J_0}^{J'}(k_I)|^2 |T_{M_0, M_0}^{J_0, J'}(K)|^2. \tag{24}
\]

This expression provides angular and energy distributions as a function of the continuous relative energy \( E_{rel} \) from the discrete (pseudo-state) amplitudes. As shown in the next section, this representation is particularly useful to describe narrow resonances in the continuum even with a small number of pseudo-states.

The three-body observables, assuming the energy of the core is measured, are given by [25]:

\[
\frac{d^3 \sigma^{(I)}}{d\Omega_c d\Omega_v dE_c} = \frac{2\pi \mu_{pt}}{\hbar^2 K_0} \frac{1}{2J + 1} \times \sum_{\mu, \sigma, M_0} |T_{\mu \sigma M_0}^{1sJ_0}(k_I, K)|^2 \rho(\Omega_c, \Omega_v, E_c), \tag{25}
\]

where the phase space term \( \rho(\Omega_c, \Omega_v, E_c) \), i.e., the number of states per unit core energy interval at solid angles \( \Omega_c \) and \( \Omega_v \), takes the form [30]:

\[
\rho(\Omega_c, \Omega_v, E_c) = \frac{m_c m_v \hbar k_c \hbar k_v}{(2\pi \hbar)^6} \times \left[ \frac{m_t}{m_c + m_v + m_t (k_c - K_{tot}) \cdot k_v/k_v^2} \right]. \tag{26}
\]

Here, the particle masses are given by \( m_c \) (core), \( m_v \) (valence), and \( m_t \) (target) while \( \hbar k_c \) and \( \hbar k_v \) are the core and valence particle momenta in the final state. The total momentum of the system corresponds to \( hK_{tot} \) and the connection with the momenta in Eq. (21) is made through:

\[
K = k_c + k_v - \frac{m_p}{M_{tot}} K_{tot} \quad k_I = \frac{m_c}{m_p} k_v - \frac{m_c}{m_v} k_c \tag{27}
\]

with \( m_p = m_c + m_v \) and \( M_{tot} = m_c + m_v + m_t \) the total masses of the projectile and the three-body system, respectively.

VI. APPLICATION TO \(^{11}\)BE REACTIONS

As an illustration of the formalism we evaluate several angular and energy distributions after a proper integration of the two- and three-body observables presented in the preceding section. In particular, we consider the scattering of the halo nucleus \(^{11}\)Be on \(^1H\) and \(^{64}\)Zn targets, comparing with data when available. The bound and unbound states of the \(^{11}\)Be nucleus are known to contain significant admixtures of core-excited components [31–

33], and hence core excitation effects are expected to be important.

As in previous works [15, 19, 34], the \(^{11}\)Be structure is described with the Hamiltonian of Ref. [35] (model Be12-b), which consists of a Woods-Saxon central part \( R = 2.483 \text{ fm}, a = 0.65 \text{ fm} \) and a parity-dependent strength \( V_c = -54.24 \text{ MeV} \) for positive-parity states and \( V_c = -49.67 \text{ MeV} \) for negative-parity ones. The potential contains also a spin-orbit term, whose radial dependence is given by \( 4/r \) times the derivative of the central Woods-Saxon part, and strength \( V_o = 8.5 \text{ MeV} \). For the \(^{10}\)Be core, this model assumes a permanent quadrupole deformation \( \beta_2 = 0.67 \) (i.e. \( \delta_2 = 1.664 \text{ fm} \)). Only the ground state \((^0^+_\text{g.s.})\) and the first excited state \((^2^+_\text{g.s.}, E_x = 3.368 \text{ MeV})\) are included in the model space.

A. \(^{11}\)Be + \( p \) resonant breakup

We first perform a proof of principle calculation and apply the method to the breakup of \(^{11}\)Be on a proton target at 63.7 MeV/nucleon. Previous work [16] showed that the main contributions to the total energy distribution arise from the single-particle excitation mechanism populating the \(^5\)2\(^+_1\) resonance at \( E_x = 1.78 \text{ MeV} \) and the contribution from the excitation of the \(^3\)2\(^+_1\) resonance \((E_x = 3.40 \text{ MeV})\) due to the collective excitation of the \(^{10}\)Be core.

We repeat, in here, the calculations of Ref. [16] for the angular distribution using the XCDCC formalism for the reaction dynamics, and the pseudo-state basis for the structure of \(^{11}\)Be. Continuum states up to \( J = 5/2 \) (both parities) were found to be enough for convergence of the
calculated observables. These states were generated di-
agonalizing the 11Be Hamiltonian in a THO basis with 
N = 12 radial functions and valence-core orbital angular 
momenta ℓ ≤ 5. For the interaction between the projec-
tile and the target, Eq. (19), we used the approximate 
proton-neutron Gaussian interaction as in Ref. [16]; the 
central part of the core-target potential was calculated by a 
folding procedure, using the JLM nucleon-nucleon ef-
effective interaction [37] and the 10Be ground-state density 
from a Antisymmetrized Molecular Dynamics (AMD) 
calculation [35]. For the range of the JLM interaction, 
we used the value prescribed in the original work, ℓ =1.2 
fm, and the imaginary part was renormalized by a factor 
N_i =0.8, obtained from the systematic study of Ref. [39]. 
The XCDCC coupled equations were integrated up to 
100 fm and for total angular momenta J_T ≤ 65.

In Fig. 1 we show the two-body breakup angular distri-
butions, as a function of the 11Be^* c.m. scattering angle, 
and we compare the total results with the experimen-
tal data within the two available relative energy intervals 
[10, E_{rel} =0−2.5 (top panel) and E_{rel} =2.5−5 (bottom 
panel). As in previous calculations [13, 19], the agree-
ment with the data is fairly reasonable with the exception 
of the first data point in the higher energy interval. The 
peak appearing at small scattering angles for the lower 
energy interval is due to Coulomb breakup and it was not 
present in our previous calculations due to the smaller 
cutoff in the total angular momentum. We show also the 
separate contribution for each of the states of the core. 
We note that both contributions include the core excita-
tion effect through the admixtures of core-excited com-
ponents in the projectile (structure effect) and the core-
target potential (dynamics). However, the production of 
10Be(2^+) is only kinematically allowed when the excita-
tion energy is above the 10Be(2^+)+n threshold, which 
lies at an excitation energy of 3.87 MeV with respect to 
the 11Be(g.s.). Consequently, for the lower energy in-
terval (top panel) the system will necessarily decay into 
10Be(g.s.)+n, irrespective of the importance of the DCE 
mechanism. Notice that the emitted 10Be(2^+) fragments 
would be accompanied by the emission of a γ-ray with 
the energy corresponding to the excitation energy of this 
state, thus allowing an unambiguous separation of both 
contributions.

This is better seen in Fig. 2 where the differential en-
cerage cross section is plotted after integration over the 
angular variables Ω_K in Eq. (24). The solid line is the 
full XCDCC calculation, considering the core excitation 
effects in both the structure and the dynamics of the re-
action, and includes the two possible final states of the 
10Be nucleus. The 10Be(2^+) contribution (red dashed 
line) only appears for E_{rel} > 3.4 MeV, corresponding to 
the 10Be(2^+)+n threshold. As already noted, above this 
energy, the 10Be fragments can be produced in either the 
g.s. or the 2^+ excited state. We also show the calca-
lation omitting the DCE mechanism (green dot-dashed 
curve) and considering only the CA contributions in the 
structure of the projectile. By comparing with the total 
distribution, it becomes apparent that the DCE mech-
anism is very important in this reaction. In particular, 
the energy spectrum is dominated by two sharp peaks 
corresponding to the 5/2^+ and 3/2^+ resonances with the 
latter mostly populated by a DCE mechanism [15]. De-
spite the relatively small THO basis, the energy profile of 
these resonances is accurately reproduced and this high-
lights the advantage of the pseudo-state method over the 
binning procedure when describing narrow resonances. 
Finally, besides the resonant contribution, we also note 
that there is a non-resonant background at low relative 
energies and above the 10Be(2^+)+n threshold.

Regarding the three-body observables [Eq. (24)], we 
present in Fig. 3 the energy distributions of the 10Be 
fragments from the breakup process at four laboratory 
angles, plotting separately the 0^+ and 2^+ contribu-
tions. We observe from this plot the increasing relative im-
portance of the 10Be(2^+) distribution with the angle. This 
is expected since larger scattering angles of the core im-
plies a stronger interaction with the proton target. It 
is also apparent that this distribution is shifted to lower 
energies with respect to the 10Be(g.s.) due to the higher
excitation energy required to produce the \(^{10}\text{Be}(2^+)\) fragments. The angle-integrated contributions can be seen in Fig. 3, where we note the dominance from the 0\(^+\) component to the overall energy distribution although the 2\(^+\) contribution amounts to 13% of the total cross section at this energy.

### B. \(^{11}\text{Be} + ^{64}\text{Zn}\) breakup

We consider the \(^{11}\text{Be} + ^{64}\text{Zn}\) reaction at 28.7 MeV for which inclusive breakup data have been reported in Ref. [26] and have been analyzed within the standard CDCC framework in several works [41–43] and also within the XCDCC framework [19]. The results presented here follow closely those included in this latter reference, but with two main differences: firstly, in that work the \(^{11}\text{Be}\) scattering angle was approximated by the \(^{11}\text{Be}^*\) angle, assuming two-body kinematics, whereas the appropriate kinematical transformation is applied here; secondly, the XCDCC calculations are performed here in an augmented model space, including higher values of the relative orbital angular momentum between the valence and core particles. In addition, the former analysis is extended by studying the individual contributions of the \(^{10}\text{Be} 0^+\) and \(^{2}\text{Be}\) states when computing the two- and three-body observables. For the sake of comparison, we also perform standard CDCC calculations similar to those presented in [42] but using a larger model space, as detailed below.

For the CDCC calculations, \(^{11}\text{Be}\) continuum states up to \(J = 9/2\) (both parities) and \(J = 11/2^-\) were included for maximum final \(^{10}\text{Be}\) relative energies and orbital angular momenta \(E_{\text{rel}}^{\text{max}} = 12\) MeV and \(\ell_{\text{max}} = 5\), respectively. A THO basis with \(N = 30\) was employed and the involved interactions (i.e., the \(^{10}\text{Be}, ^{12}\text{Be}^*, ^{14}\text{Zn},\) and \(^{12}\text{Be}^*\) potentials) were the same as in Ref. [42] except for that between the neutron and \(^{10}\text{Be}\). As in [42], we use for this potential that from Ref. [12], but we slightly modify the depth for \(\ell = 2\) in order to reproduce the energy of the 5/2\(^+\) resonance obtained with the deformed model Be12-b. As for the XCDCC calculations, the following continuum states were considered: for \(J \leq 5/2\) (both parities), \(\ell_{\text{max}} = 3\) and \(E_{\text{rel}}^{\text{max}} = 12\) MeV. For \(5/2 < J \leq 11/2\), we used \(\ell_{\text{max}} = 5\) and \(E_{\text{rel}}^{\text{max}} = 9\) MeV. A THO basis with \(N = 20\) functions was used for all \(J^\pi\) with the same potentials between the projectile constituents and the target as those used in Ref. [19]. The coupled equations were solved in this case with the parallelized version of

![FIG. 2: (Color online) Differential energy distribution following the breakup of \(^{11}\text{Be}\) on protons at 63.7 MeV/nucleon. Solid and dashed (red) lines correspond to the full \((0^++2^+)\) and the \(2^+\) contribution of the XCDCC calculation while the dot-dashed line (green) represents the result without dynamic core excitation. The arrow indicates the energy of the \(^{10}\text{Be}(2^+)\)+n threshold.](image)

![FIG. 3: (Color online) Calculated laboratory-frame double differential cross section for the \(^{10}\text{Be}\) fragments emitted in the process \(^{11}\text{Be}+p\) at 63.7 MeV/nucleon when four different scattering angles are considered. The blue solid and red dashed lines refer to the contributions from the different core states.](image)

![FIG. 4: (Color online) Calculated differential energy cross section, as a function of the \(^{10}\text{Be}\) energy in the laboratory frame, for the reaction \(^{11}\text{Be}+p\) at 63.7 MeV/nucleon. The solid (blue) and dashed (red) lines describe those calculations when the \(I = 0\) or \(I = 2\) state of the core is adopted.](image)
The dashed line (red) refers to the \(2^+\) present calculations. For the XCDCC calculations, the get stable results. A stabilization procedure similar to that proposed in \[45\] was used to in the solution of the coupled equations. A stabilization calculation, omitting the core deformation, is also shown as a dot-dashed curve (green). Experimental data are from Ref. \[26\].

[FIG. 5: (Color online) Differential cross section, as a function of the laboratory angle, for the \(^{10}\)Be fragments resulting from the breakup of \(^{11}\)Be on \(^{64}\)Zn at \(E_{lab} = 28.7\) MeV. The solid line (blue) is the calculation when the core ground state, \(I = 0\), is selected in Eq. (25) after integration over \(\Omega_c\) and \(E_c\). The dashed line (red) refers to the \(2^+\) contribution. A single-particle calculation, omitting the core deformation, is also shown as a dot-dashed curve (green). Experimental data are from Ref. \[26\].]

The Fresco coupled-channels code \[44\]. The inclusion of high-lying excited states produces numerical instabilities in the solution of the coupled equations. A stabilization procedure similar to that proposed in \[43\] was used to get stable results.

In Fig. 5 we compare the data from Ref. \[26\] with the present calculations. For the XCDCC calculations, the \(0^+\) and \(2^+\) contributions are shown separately as solid (blue) or dashed (red) lines, although the latter is found to be negligible in this case. The new CDCC calculation (green dot-dashed curve) appears to be closer to the results with core deformation but both of them clearly underestimate the experimental breakup cross section, in accordance with previous results \[10\]. Nevertheless, these former calculations were carried out within a reduced model space and the proper kinematical transformation was not applied. The remaining discrepancy with the data could be due to the contribution of non-elastic breakup events (neutron absorption or target excitation) in the data since the neutrons were not detected in the experiment of Ref. \[26\]. In this regard, it is worth recalling that the CDCC method provides only the so-called elastic breakup component, so the target is left in the ground state. The inclusion of these non-elastic breakup contributions has been recently the subject of several works \[16\]–\[18\] but the consideration of this effect is beyond the scope of the present work.

In Fig. 6 we show the breakup cross section as a function of the \(^{11}\)Be excitation energy, with respect to the \(^{10}\)Be(g.s.)\(+n\) threshold. The solid (blue) and dashed (red) lines correspond to the \(^{10}\)Be(g.s) and \(^{10}\)Be\((2^+)\) contributions. Most of the cross section is concentrated at low excitation energies, close to the breakup threshold, being negligible for excitation energies above the \(^{10}\)Be\((2^+)\)+\(n\) threshold. Consequently, in this reaction the \(^{10}\)Be will be mostly produced in its ground state. Moreover, it is remarkable the presence of a prominent peak at energies around the low-lying \(5/2^+\) resonance, where the dominant component corresponds to the \(^{10}\)Be\((0^+)\) configuration. A second bump, corresponding to the population of the \(3/2^+\) resonance, can be barely seen. This small contribution reflects the scarce relevance of the DCE mechanism for this medium-mass target as pointed out in Ref. \[19\] but, contrary to the conclusions therein, the core excitation effects in the structure of the \(^{11}\)Be are not so large and the assumption of a single-particle model for the projectile yields a similar breakup cross section (green dot-dashed line). In addition, unlike the case of the proton target, a dominant non-resonant breakup is found at low relative energies.

The different role of DCE for elastic breakup in the cases of the proton and \(^{64}\)Zn targets can be ascribed to the dominance of the dipole Coulomb couplings in the latter case, which hinders the effect of the quadrupole couplings associated with the excitation of the \(^{10}\)Be core. We expect that, for heavier targets, this dominance is enhanced and therefore the effect of the DCE mechanism will be further reduced.

Finally, a small core excitation effect is also apparent in Fig. 7 where we show the breakup energy distributions of the \(^{10}\)Be emitted fragments within the standard CDCC (only considering the \(^{10}\)Be\((0^+)\) state) or XCDCC (including both \(0^+\) or \(2^+\) states in \(^{10}\)Be) reaction formalisms at four laboratory angles. The relative importance of the \(2^+\) state increases with increasing angle but its absolute magnitude is negligible in all cases. It is also observed an overall reduction of the mean energy of the \(2^+\) core state,
as a consequence of the more negative Q-value. The corresponding angle-integrated energy distribution is shown in Fig. 8. As expected, this distribution is completely dominated by the $^{10}$Be(g.s.) contribution, displaying an asymmetric shape with a maximum around 26 MeV and a large low-energy tail extending down to 15 MeV. For core energies above the peak, the distribution exhibits a pronounced drop as a consequence of the kinematical cutoff from the energy conservation together with the interaction between the phase space factor and the breakup amplitude in the semi-inclusive cross section. Actually, the sharp falloff would be present unless the breakup amplitude is very small around the maximum energy [49].

VII. SUMMARY AND CONCLUSIONS

In this paper we have presented a formalism for the calculation of two- and three-body breakup observables from XCDCC calculations. The method has been applied to the case of the scattering of a two-body projectile consisting on a core and a valence particle, and taking explicitly into account core excitations. The method is based on a convolution of the discrete XCDCC scattering amplitudes with the exact core+valence scattering states. The formalism is a natural extension of that presented in Ref. [25] for the standard CDCC method, the main difference being the multi-channel character of the projectile states in the present case. The convoluted transition amplitudes are then multiplied by the corresponding phase-space factor to produce the desired (two- or three-body) differential cross sections. The formalism provides the separate cross section for specific states of the core nucleus, thus permitting a more direct connection with experimental observables.

The method has been applied to the scattering of $^{11}$Be on protons and $^{64}$Zn. The $^{11}$Be nucleus is described in a simple particle-rotor model, in which the $^{10}$Be core is assumed to have a permanent axial deformation [35]. The core-target interaction is obtained by deforming a central phenomenological potential. Within the developed approach the angular and energy distributions of the $^{10}$Be fragments (with an intrinsic spin, $I$) following the breakup of $^{11}$Be have been calculated and compared with experimental data, when available.

In the $^{11}$Be+$p$ reaction, we find that a significant part of the breakup cross section corresponds to the $^{10}$Be excited state. Moreover, we have confirmed the importance of the DCE mechanism, arising from the non-central part of the core-target interaction, for the excitation of the low-lying $5/2^+$ and $3/2^+$ resonances [16].

We have also studied the $^{11}$Be+$^{64}$Zn reaction at 28.7 MeV, extending the previous analysis performed in Ref. [19]. Although in that reference a sizable difference was observed between the calculations with and without deformation, the present calculations suggest that this difference is largely reduced if a sufficiently large model space is employed for the XCDCC calculation. In view of these new results, we may conclude that, unlike the proton target case, the effect of core excitation is very small in this reaction as far as the breakup cross sections concern. As a consequence, the $^{10}$Be($2^+$) yield is found to be negligibly small. We may anticipate that this conclusion will also hold for other medium-mass or heavy systems. The qualitative difference with respect to the proton case stems from the larger importance of Coulomb couplings in the $^{64}$Zn case.

Although all the calculations presented in this work
have been performed for the $^{11}$Be nucleus, we believe that the results are extrapolable to other weakly-bound nuclei and, consequently, the effects discussed here should be taken in consideration for an accurate description and interpretation of the data. Finally, we notice that the semi-inclusive differential cross sections presented in this paper can be used to produce transverse and longitudinal momentum distributions, which have been used to obtain spectroscopic information using both light and proton targets.

Acknowledgments

The authors would like to thank Prof. J.A. Tostevin for useful comments and discussions. R.D. acknowledges support by the Fundação para a Ciência e a Tecnologia (FCT) through Grant No. SFRH/BPD/78606/2011. This work has also been partially supported by the FCT contract No. PTDC/FIS-NUC/2240/2014 as well as the Spanish Ministerio de Economía y Competitividad and FEDER funds under project FIS2014-53448-C2-1-P and by the European Union’s Horizon 2020 research and innovation program under grant agreement No. 654002.

Appendix A: Calculation of multi-channel scattering states

Here we derive the coefficients $C_{\ell,j,J,M}$, that relate the scattering states with the solution of the Schrödinger equation for good values of $J, M$ according to the expansion

$$
\phi_{k',I;\mu,s\sigma}(r,\xi_v,\xi_c) = \sum_{\ell,j,J,M} C_{\ell,j,J,M} \Psi_{\alpha,J,M}(k_l,r,\xi_v,\xi_c). \tag{A1}
$$

The expansion coefficients are determined by replacing the functions $\Psi_{\alpha,J,M}(k_l,r,\xi_v,\xi_c)$ by their asymptotic behaviour, Eq. (15),

$$
\phi_{k';I;\mu,s\sigma}(r,\xi_v,\xi_c) \rightarrow \sum_{\ell,j,J,M} e^{i\sigma_l} C_{\ell,j,J,M} \sum_{\alpha'} \left[ \frac{F_{\ell}(k_l r)}{r} \delta_{\ell',\ell} + T_{\alpha,\alpha'}^{J}(k_l r) \right] \Phi_{\alpha',J,M}(\hat{r},\xi_v,\xi_c). \tag{A2}
$$

where, in the r.h.s. of the equation, we have separated for convenience the part containing the regular Coulomb function.

To compare this with the asymptotic behaviour we need the partial-wave decomposition of the plane wave:

$$
e^{i\mathbf{k}_l \cdot \mathbf{r}} = \frac{4\pi}{k_l r} \sum_{\ell,m} i^{\ell} (k_l r) j_{\ell}(k_l r) Y_{\ell m}^* (\hat{k}_l) Y_{\ell m} (\hat{r}). \tag{A3}
$$

More generally, in presence of Coulomb, the expansion above becomes:

$$
\chi_C(k_l,r) = \frac{4\pi}{k_l r} \sum_{\ell,m} i^{\ell} e^{i\sigma_l} F_{\ell}(k_l r) Y_{\ell m}^* (\hat{k}_l) Y_{\ell m} (\hat{r}). \tag{A4}
$$

Using this result, the plane wave reads:

$$
\chi_C(k_l,r) \varphi_{\sigma\sigma}^{(v)}(\xi_v) \varphi_{I\mu}^{(c)}(\xi_c) = \frac{4\pi}{k_l r} \sum_{\ell,m} i^{\ell} e^{i\sigma_l} F_{\ell}(k_l r) Y_{\ell m}^* (\hat{k}_l) Y_{\ell m} (\hat{r}) \varphi_{\sigma\sigma}^{(v)}(\xi_v) \varphi_{I\mu}^{(c)}(\xi_c). \tag{A5}
$$

In order to express this state in terms of the basis $[8]$, we use the following expansions:

$$
Y_{\ell m}(\hat{r}) \varphi_{\sigma\sigma}^{(v)}(\xi_v) = \sum_{j,m_j} (\ell ms\sigmajm_j) Y_{(\ell s)jm_j}(\hat{r},\xi_v) \tag{A6}
$$

$$
Y_{\ell m}(\hat{r}) \varphi_{\sigma\sigma}^{(v)}(\xi_v) \varphi_{I\mu}^{(c)}(\xi_c) = \sum_{j,m_j,J,M} (\ell jm_I\mu|JM) \Phi_{\alpha,J,M}(\hat{r},\xi_v,\xi_c). \tag{A7}
$$

So, collecting results,

$$
\chi_C(k_l,r) \varphi_{\sigma\sigma}^{(v)}(\xi_v) \varphi_{I\mu}^{(c)}(\xi_c) = \frac{4\pi}{k_l r} \sum_{\ell,m} Y_{\ell m}^* (\hat{k}_l) i^{\ell} e^{i\sigma_l} F_{\ell}(k_l r) \sum_{j,m_j,J,M} (\ell ms\sigmajm_j) (\ell jm_I\mu|JM) \Phi_{\alpha,J,M}(\hat{r},\xi_v,\xi_c). \tag{A8}
$$

The above expression gives the plane-wave part of

$$
C_{\ell,j,J,M} = \frac{4\pi}{k_l} \sum_{m,m_j} i^{\ell} Y_{\ell m}^* (\hat{k}_l) (\ell ms\sigmajm_j) (\ell jm_I\mu|JM). \tag{A9}
$$
Therefore, the scattering states $\phi_{k_1; J, \mu; \sigma}^{(+)}(r, \xi_v, \xi_c)$ are expressed as follows:

$$\phi_{k_1; J, \mu; \sigma}^{(+)}(r, \xi_v, \xi_c) = \frac{4\pi}{k_1 R} \sum_{\ell, j, J, M} i^\ell Y_{\ell m}(\hat{k}_1) \langle \ell m s \sigma | j m_j | j m_j J \mu | J M \rangle \times \sum_{\alpha'} f_{\alpha', J, M}^{J}(\hat{r}, \xi_v, \xi_c),$$  \hspace{1cm} (A10)

where $m_j = M - \mu$, and $m = m_j - \sigma$.

The scattering states appearing in the T-matrix amplitude, Eq. \([18]\), are $(\phi_{k_1; J, \mu; \sigma}^{(-)}(r, \xi_v, \xi_c))^*$, which can be derived from $\phi_{k_1; J, \mu; \sigma}^{(+)}(r, \xi_v, \xi_c)$ by application of the time-reversal operator, resulting \([50]\).

**Appendix B: Overlap functions**

Starting from the states \((A11)\), and writing the THO eigenstates for a given angular momentum and projection $J', M'$ as:

$$\Psi^{(N)}_{k, J', M'}(r, \xi_v, \xi_c) = \sum_{\alpha' \mu' \nu'} g_{\alpha' \alpha}(r) \Phi_{\alpha', J', M'}(\hat{r}, \xi_v, \xi_c),$$ \hspace{1cm} (B1)

the overlap between them yields

$$\langle \phi_{k_1; J, \mu; \sigma}^{(-)} | \Psi^{(N)}_{k, J', M'} \rangle = \frac{4\pi}{k_1} \sum_{\ell, m, j} (-i)^\ell Y_{\ell m}(\hat{k}_1) \langle \ell m s \sigma | j m_j | j m_j J \mu | J M \rangle \times \langle j m_j J | J' M' | G^{J'}_{\alpha}(\hat{k}_1) \rangle,$$  \hspace{1cm} (B2)

which, in addition to geometric coefficients, contain the function $G^{J'}_{\alpha}(\hat{k}_1) = \sum_{\alpha' \mu' \nu'} (-i)^{\ell \epsilon + \ell' + \mu' + \nu'} \mathcal{O}^{J'}_{\alpha, \alpha'}(\hat{k}_1)$, with the $\mathcal{O}^{J'}_{\alpha, \alpha'}(\hat{k}_1)$ representing the overlaps between the radial functions:

$$\mathcal{O}^{J'}_{\alpha, \alpha'}(\hat{k}_1) = \int f_{\alpha, \alpha'}^{J'}(k_1, r) g_{\alpha'}^{J'}(r) dr.$$  \hspace{1cm} (B3)

We also note that the total parity of the pseudo-states, $\Psi^{(N)}_{k, J', M'}(r, \xi_v, \xi_c)$, is given by the factor $(-1)^{\ell + I}$ and, consequently, the phase appearing in the function $G^{J'}_{\alpha}(\hat{k}_1)$ is equal to one.

\[ \text{References} \]

(2016).