

# HARMONIC MAPPINGS AND CONFORMAL MINIMAL IMMERSIONS OF RIEMANN SURFACES INTO $\mathbb{R}^N$

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**ABSTRACT.** We prove that for any open Riemann surface  $\mathcal{N}$ , natural number  $N \geq 3$ , non-constant harmonic map  $h : \mathcal{N} \rightarrow \mathbb{R}^{N-2}$  and holomorphic 2-form  $\mathfrak{H}$  on  $\mathcal{N}$ , there exists a weakly complete harmonic map  $X = (X_j)_{j=1,\dots,N} : \mathcal{N} \rightarrow \mathbb{R}^N$  with Hopf differential  $\mathfrak{H}$  and  $(X_j)_{j=3,\dots,N} = h$ . In particular, there exists a complete conformal minimal immersion  $Y = (Y_j)_{j=1,\dots,N} : \mathcal{N} \rightarrow \mathbb{R}^N$  such that  $(Y_j)_{j=3,\dots,N} = h$ .

As some consequences of these results:

- There exists complete full non-decomposable minimal surfaces with arbitrary conformal structure and whose generalized Gauss map is non-degenerate and fails to intersect  $N$  hyperplanes of  $\mathbb{C}\mathbb{P}^{N-1}$  in general position.
- There exists complete non-proper embedded minimal surfaces in  $\mathbb{R}^N$ ,  $\forall N > 3$ .

## 1. INTRODUCTION

In this paper we use methods coming from the study of minimal surfaces to construct harmonic mappings of Riemann surfaces into  $\mathbb{R}^N$  with prescribed geometry. A basic reference for this topic is, for instance, Klotz's work [K].

Our main result states (see Corollary 4.5):

**Theorem A.** *For any open Riemann surface  $\mathcal{N}$ , natural number  $N \geq 3$ , non-constant harmonic map  $h : \mathcal{N} \rightarrow \mathbb{R}^{N-2}$  and holomorphic 2-form  $\mathfrak{H}$  on  $\mathcal{N}$ , there exists a weakly complete harmonic map  $X = (X_j)_{j=1,\dots,N} : \mathcal{N} \rightarrow \mathbb{R}^N$  with Hopf differential  $\mathfrak{H}$  and  $(X_j)_{j=3,\dots,N} = h$ .*

Recall that the Hopf differential  $Q_X$  of a harmonic map  $X : \mathcal{N} \rightarrow \mathbb{R}^N$  is the holomorphic 2-form given by  $Q_X := \langle \partial_z X, \partial_z X \rangle$ , where  $\partial_z$  means complex differential. By definition,  $X$  is said to be weakly complete if  $\Gamma_X := |\partial_z X|^2$  is a complete conformal Riemannian metric in  $\mathcal{N}$  (see [K]).

The fact that conformal minimal immersions are harmonic maps strongly influences the global theory of this kind of surfaces. It is well known that a harmonic immersion  $X : \mathcal{N} \rightarrow \mathbb{R}^N$  is minimal if and only if it is conformal, or equivalently,  $Q_X = 0$ . Weakly completeness is equivalent to Riemannian completeness under minimality assumptions. The geometry of complete minimal surfaces in  $\mathbb{R}^N$ , specially those properties regarding the Gauss map, has been the object of extensive study over the last past decades (see for instance [O1, CO, C, F3, R]).

In the recent paper [AFL], the authors constructed complete minimal surfaces in  $\mathbb{R}^3$  with arbitrarily prescribed conformal structure and non-constant third coordinate function (see also [AF]). As a consequence, any open Riemann surface admits a complete conformal minimal immersion in

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$\mathbb{R}^3$  whose Gauss map omits two antipodal points of the unit sphere. Theorem A let us generalize these results to arbitrary higher dimensions (see Corollary 4.6):

**Theorem B.** *For any open Riemann surface  $\mathcal{N}$ , natural number  $N \geq 3$  and non-constant harmonic map  $h : \mathcal{N} \rightarrow \mathbb{R}^{N-2}$ , there exists a complete conformal minimal immersion  $X = (X_j)_{j=1,\dots,N} : \mathcal{N} \rightarrow \mathbb{R}^N$  with  $(X_j)_{j=3,\dots,N} = h$ .*

Under some compatibility conditions depending on the map  $h$ , the flux map of the immersion  $X$  can be also prescribed. Recall that the flux map of a conformal minimal immersion  $X : \mathcal{N} \rightarrow \mathbb{R}^N$  is given by  $p_X(\gamma) = \text{Im} \int_\gamma \partial_z X$  for all  $\gamma \in \mathcal{H}_1(\mathcal{N}, \mathbb{Z})$ . In particular, if  $h$  is the real part of a holomorphic map  $H : \mathcal{N} \rightarrow \mathbb{C}^{N-2}$ , Theorem B provides a complete null holomorphic curve  $F = (F_j)_{j=1,\dots,N} : \mathcal{N} \rightarrow \mathbb{C}^N$  such that  $(F_j)_{j=3,\dots,N} = H$ . Likewise,  $Y = (Y_j)_{j=2,\dots,N} : \mathcal{N} \rightarrow \mathbb{C}^{N-1}$  is a complete holomorphic immersion whose last  $N - 2$  coordinates coincide with  $H$ .

Theorem B also includes some information about the Gauss map of minimal surfaces in  $\mathbb{R}^N$ . Given a conformal minimal immersion  $X : \mathcal{N} \rightarrow \mathbb{R}^N$ , its generalized Gauss map  $G_X : \mathcal{N} \rightarrow \mathbb{C}\mathbb{P}^{N-1}$ ,  $G_X(P) = \partial_z X(P)$ , is holomorphic and takes values on the complex hyperquadric  $\{\sum_{j=1}^N w_j^2 = 0\}$ . Chern and Osserman [C, CO] showed that if  $X$  is complete then either  $X(\mathcal{N})$  is a plane or  $G_X(\mathcal{N})$  intersects a dense set of complex hyperplanes. Even more, Ru [R] proved that if  $X$  is complete and non-flat then  $G_X$  cannot omit more than  $N(N+1)/2$  hyperplanes in  $\mathbb{C}\mathbb{P}^{N-1}$  located in general position (see also the works of Fujimoto [F2, F3] for a good setting). Under the non-degeneracy assumption on  $G_X$ , this upper bound is sharp for some values of  $N$ , see [F4]. However, the number of exceptional hyperplanes strongly depends on the underlying conformal structure of the surface. Indeed, Ahlfors [A] proved that any holomorphic map  $G : \mathbb{C} \rightarrow \mathbb{C}\mathbb{P}^{N-1}$ ,  $N \geq 3$ , avoiding  $N + 1$  hyperplanes of  $\mathbb{C}\mathbb{P}^{N-1}$  in general position is degenerate, that is to say,  $G(\mathbb{C})$  lies in a proper projective subspace of  $\mathbb{C}\mathbb{P}^{N-1}$  (see [W, Chapter 5, §5] and [F1] for further generalizations). So, it is natural to wonder whether any open Riemann surface admits a complete conformal minimal immersion in  $\mathbb{R}^N$  whose generalized Gauss map is non-degenerate and omits  $N$  hyperplanes in general position. An affirmative answer to this question can be found in the following (see Corollary 4.8)

**Theorem C.** *Let  $\mathcal{N}$  be an open Riemann surface, and let  $p : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{R}^N$  be a group morphism,  $N \geq 3$ .*

*Then there exists a complete conformal full non-decomposable minimal immersion  $X : \mathcal{N} \rightarrow \mathbb{R}^N$  with  $p_X = p$  and whose generalized Gauss map is non-degenerate and omits  $N$  hyperplanes in general position.*

On the other hand, Theorem B leads to some interesting consequences regarding Calabi-Yau conjectures. The embedded Calabi-Yau problem for minimal surfaces asks for the existence of complete bounded embedded minimal surfaces in  $\mathbb{R}^3$ . Complete embedded minimal surfaces in  $\mathbb{R}^3$  with finite genus and countably many ends are proper in space [MPR, CM]. However, this result fails to be true for arbitrary higher dimensions. For instance, taking  $\mathcal{N}$  the unit disc  $\mathbb{D}$  in  $\mathbb{C}$  and  $h : \mathbb{D} \rightarrow \mathbb{R}^2$  the map  $h(z) = (\text{Re}(z), \text{Im}(z))$ , Theorem B generates complete non-proper embedded minimal discs in  $\mathbb{R}^4$  (so in  $\mathbb{R}^N$  for all  $N \geq 4$ ), see Corollary 4.7 for more details.

The paper is laid out as follows. In Section 2 we introduce the necessary background and notations. In Section 3 we prove a basic approximation result by holomorphic 1-forms in open Riemann surfaces (Lemma 3.3), which is the key tool for proving our main results. Finally, in Section 4 we state and prove Theorems A, B and C. It is worth mentioning that all these theorems actually follows from the more general result Theorem 4.4 in Section 4.

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## 2. PRELIMINARIES

Given a topological surface  $M$ ,  $\partial M$  will denote the one dimensional topological manifold determined by the boundary points of  $M$ . Given  $S \subset M$ , call by  $S^\circ$  and  $\bar{S}$  the interior and the closure of  $S$  in  $M$ , respectively. Open connected subsets of  $M - \partial M$  will be called *domains*, and those proper topological subspaces of  $M$  being surfaces with boundary are said to be *regions*. The surface  $M$  is said to be *open* if it is non-compact and  $\partial M = \emptyset$ .

If  $M$  is a Riemann surface,  $\partial_z$  will denote the global complex operator given by  $\partial_z|_U = \frac{\partial}{\partial w} dw$  for any conformal chart  $(U, w)$  on  $M$ .

**Remark 2.1.** Throughout this paper  $\mathcal{N}$  and  $\mathbb{N}$  will denote a fixed but arbitrary open Riemann surface and natural number greater than or equal to three, respectively.

Let  $S$  denote a subset of  $\mathcal{N}$ ,  $S \neq \mathcal{N}$ . We denote by  $\mathcal{F}_0(S)$  as the space of continuous functions  $f : S \rightarrow \mathbb{C}$  which are holomorphic on an open neighborhood of  $S$  in  $\mathcal{N}$ . Likewise,  $\mathcal{F}_0^*(S)$  will denote the space of continuous functions  $f : S \rightarrow \mathbb{C}$  being holomorphic on  $S^\circ$ .

As usual, a 1-form  $\theta$  on  $S$  is said to be of type  $(1, 0)$  if for any conformal chart  $(U, z)$  in  $\mathcal{N}$ ,  $\theta|_{U \cap S} = h(z)dz$  for some function  $h : U \cap S \rightarrow \mathbb{C}$ . We denote by  $\Omega_0(S)$  as the space of holomorphic 1-forms on an open neighborhood of  $S$  in  $\mathcal{N}$ . We call  $\Omega_0^*(S)$  as the space of 1-forms  $\theta$  of type  $(1, 0)$  on  $S$  such that  $(\theta|_U)/dz \in \mathcal{F}_0^*(S \cap U)$  for any conformal chart  $(U, z)$  on  $\mathcal{N}$ .

We denote by  $\mathcal{U}_0(S)$  as the space of holomorphic 2-forms on an open neighborhood of  $S$  in  $\mathcal{N}$ .

Let  $\mathfrak{Div}(S)$  denote the free commutative group of divisors of  $S$  with multiplicative notation. A divisor  $D \in \mathfrak{Div}(S)$  is said to be *integral* if  $D = \prod_{i=1}^n Q_i^{n_i}$  and  $n_i \geq 0$  for all  $i$ . Given  $D_1, D_2 \in \mathfrak{Div}(S)$ , we write  $D_1 \geq D_2$  if and only if  $D_1 D_2^{-1}$  is integral. For any  $f \in \mathcal{F}_0(S)$  we denote by  $(f)$  its associated integral divisor of zeros in  $S$ . Likewise we define  $(\theta)$  for any  $\theta \in \Omega_0(S)$ .

In the sequel we will assume that  $S$  is a *compact subset* of  $\mathcal{N}$ .

A compact Jordan arc in  $\mathcal{N}$  is said to be *analytical* if it is contained in an open analytical Jordan arc in  $\mathcal{N}$ . By definition, a connected component  $V$  of  $\mathcal{N} - S$  is said to be *bounded* if  $\bar{V}$  is compact, where  $\bar{V}$  is the closure of  $V$  in  $\mathcal{N}$ . Moreover, a subset  $K \subset \mathcal{N}$  is said to be *Runge* (in  $\mathcal{N}$ ) if  $\mathcal{N} - K$  has no bounded components.

**Definition 2.2.** A compact subset  $S \subset \mathcal{N}$  is said to be *admissible* if and only if (see Figure 2.1):

- $S$  is Runge,
- $M_S := \bar{S}^\circ$  consists of a finite collection of pairwise disjoint compact regions in  $\mathcal{N}$  with  $C^0$  boundary,
- $C_S := \bar{S} - M_S$  consists of a finite collection of pairwise disjoint analytical Jordan arcs, and
- any component  $\alpha$  of  $C_S$  with an endpoint  $P \in M_S$  admits an analytical extension  $\beta$  in  $\mathcal{N}$  such that the unique component of  $\beta - \alpha$  with endpoint  $P$  lies in  $M_S$ .

Let  $W$  be a domain in  $\mathcal{N}$ , and let  $S$  be either a compact region or an admissible subset in  $\mathcal{N}$ .  $W$  is said to be a *tubular neighborhood* of  $S$  if  $S \subset W$  and  $W - S$  consists of a finite collection of pairwise disjoint open annuli. In addition, if  $\bar{W}$  is a compact region isotopic to  $W$  then  $\bar{W}$  is said to be a *compact tubular neighborhood* of  $S$ . Here *isotopic* means that  $j_* : \mathcal{H}_1(W, \mathbb{Z}) \rightarrow \mathcal{H}_1(\bar{W}, \mathbb{Z})$  is an isomorphism, where  $j : W \rightarrow \bar{W}$  is the inclusion map.

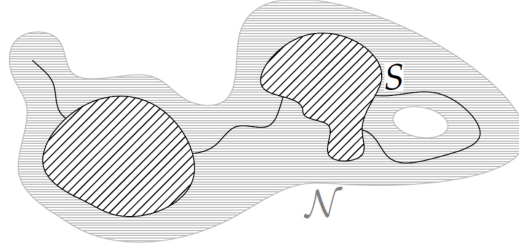


FIGURE 2.1. An admissible subset.

Let  $W \subset \mathcal{N}$  be a domain with  $S \subset W$ . We shall say that a function  $f \in \mathcal{F}_0^*(S)$  can be *uniformly approximated* on  $S$  by functions in  $\mathcal{F}_0(W)$  if there exists  $\{f_n\}_{n \in \mathbb{N}} \subset \mathcal{F}_0(W)$  such that  $\{|f_n - f|\}_{n \in \mathbb{N}} \rightarrow 0$  uniformly on  $S$ . A 1-form  $\theta \in \Omega_0^*(S)$  can be uniformly approximated on  $S$  by 1-forms in  $\Omega_0(W)$  if there exists  $\{\theta_n\}_{n \in \mathbb{N}} \subset \Omega_0(W)$  such that  $\{\frac{\theta_n - \theta}{dz}\}_{n \in \mathbb{N}} \rightarrow 0$  uniformly on  $S \cap U$ , for any conformal closed disc  $(U, dz)$  on  $W$ .

Given an admissible compact set  $S \subset W$ , a function  $f : S \rightarrow \mathbb{C}^n$ ,  $n \in \mathbb{N}$ , is said to be smooth if  $f|_{M_S}$  admits a smooth extension  $f_0$  to an open domain  $V$  in  $W$  containing  $M_S$ , and for any component  $\alpha$  of  $C_S$  and any open analytical Jordan arc  $\beta$  in  $W$  containing  $\alpha$ ,  $f$  admits a smooth extension  $f_\beta$  to  $\beta$  satisfying that  $f_\beta|_{V \cap \beta} = f_0|_{V \cap \beta}$ . Likewise, an 1-form  $\theta$  of type  $(1, 0)$  on  $S$  is said to be smooth if for any closed conformal disc  $(U, z)$  on  $\mathcal{N}$  such that  $S \cap U$  is admissible, the function  $\frac{\theta}{dz}$  is smooth on  $S \cap U$ . Given a smooth  $f \in \mathcal{F}_0^*(S)$ , we set  $df \in \Omega_0^*(S)$  as the smooth 1-form given by  $df|_{M_S} = d(f|_{M_S})$  and  $df|_{\alpha \cap U} = (f \circ \alpha)'(x)dz|_{\alpha \cap U}$ , where  $(U, z = x + iy)$  is a conformal chart on  $W$  such that  $\alpha \cap U = z^{-1}(\mathbb{R} \cap z(U))$ . Obviously,  $df|_\alpha(t) = (f \circ \alpha)'(t)dt$  for any component  $\alpha$  of  $C_S$ , where  $t$  is any smooth parameter along  $\alpha$ . This definition makes sense also for smooth functions with poles in  $S^\circ$ .

A smooth 1-form  $\theta \in \Omega_0^*(S)$  is said to be *exact* if  $\theta = df$  for some smooth  $f \in \mathcal{F}_0^*(S)$ , or equivalently if  $\int_\gamma \theta = 0$  for all  $\gamma \in \mathcal{H}_1(S, \mathbb{Z})$ .

**2.1. Harmonic maps and minimal surfaces in  $\mathbb{R}^N$ .** Given a non-constant harmonic map  $X = (X_j)_{j=1, \dots, N} : \mathcal{N} \rightarrow \mathbb{R}^N$ , the holomorphic quadratic differential

$$Q_X := \langle \partial_z X, \partial_z X \rangle = \sum_{j=1}^N (\partial_z X_j)^2$$

is said to be the Hopf differential of  $X$ . We also consider the conformal metric, possibly with isolated singularities,

$$\Gamma_X := \frac{1}{2} \sum_{j=1}^N |\partial_z X_j|^2.$$

It is clear that  $2\Gamma_X$  is greater than or equal to the Riemannian metric on  $\mathcal{N}$  (possibly with singularities) induced by  $X$ . When  $X$  is an immersion then  $\Gamma_X$  is a Riemannian metric, and if in addition  $X$  is complete then  $\Gamma_X$  is complete as well [K]. However, the reciprocal does not hold in general.

**Definition 2.3.** We say that a harmonic map  $X : \mathcal{N} \rightarrow \mathbb{R}^N$  is *weakly complete* (or complete in the sense of [K]) if  $\Gamma_X$  is a complete metric in  $\mathcal{N}$ .

We also associate to  $X$  the group morphism

$$p_X : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{R}^N, \quad p_X(\gamma) = \text{Im} \int_\gamma \partial_z X.$$

**Remark 2.4.** If  $Q_X = 0$  and  $\Gamma_X$  never vanishes, then  $X$  is a conformal minimal immersion,  $\Gamma_X$  is the metric induced on  $\mathcal{N}$  by  $X$ , and  $p_X$  is the flux map of  $X$ .

If in addition  $X$  is a conformal minimal immersion and we write  $\partial_z X_j = f_j d\zeta$  in terms of a local parameter  $\zeta$  on  $\mathcal{N}$ ,  $j = 1, \dots, N$ , then the (generalized) Gauss map of  $X$  is given by

$$G_X : \mathcal{N} \rightarrow \mathbb{C}\mathbb{P}^{N-1}, \quad G_X(\zeta) = [(f_j(\zeta))_{j=1, \dots, N}],$$

where  $[w]$  is the class of  $w$  in  $\mathbb{C}\mathbb{P}^{N-1}$ ,  $\forall w \in \mathbb{C}^N$ . It is well known that  $G_X$  is a holomorphic map taking values in the complex quadric  $\{[(w_j)_{j=1, \dots, N}] \in \mathbb{C}\mathbb{P}^{N-1} \mid \sum_{j=1}^N w_j^2 = 0\}$ .

A set of hyperplanes in  $\mathbb{C}\mathbb{P}^{N-1}$  is said to be in general position if each subset of  $k$  hyperplanes, with  $k \leq N-1$ , has an  $(N-1-k)$ -dimensional intersection.

**Definition 2.5 ([O2]).** Let  $X : \mathcal{N} \rightarrow \mathbb{R}^N$  be a conformal minimal immersion.

- $X$  is said to be *decomposable* if, with respect to suitable rectangular coordinates in  $\mathbb{R}^N$ , one has  $\sum_{k=1}^m (\partial_z X_k)^2 = 0$  for some  $m < N$ .
- $X$  is said to be *full* if  $X(\mathcal{N})$  is contained in no hyperplane of  $\mathbb{R}^N$ .
- The Gauss map  $G_X$  is said to be *degenerate* if  $G_X(\mathcal{N})$  lies in a hyperplane of  $\mathbb{C}\mathbb{P}^{N-1}$ .

When  $N = 3$ , decomposable, non-full and degenerate are equivalent. However, if one passes to higher dimensions then no two of these conditions are equivalent (see [O2]).

### 3. THE APPROXIMATION LEMMA

The next two lemmas are the key tools in the proof of the main result of this section (Lemma 3.3). They represent a slight generalization of Lemmas 2.4 and 2.5 in [AL].

From now on,  $\iota$  denotes the imaginary unit and the symbol  $\neq 0$  means *non-identically zero*.

**Lemma 3.1.** Let  $W \subset \mathcal{N}$  be a domain with finite topology and  $S \subset \mathcal{N}$  an admissible subset with  $S \subset W$ . Consider  $f \in \mathcal{F}_0^*(S) \cap \mathcal{F}_0(M_S)$  with  $f|_{M_S} \neq 0$ .

Then  $f$  can be uniformly approximated on  $S$  by functions  $\{f_n\}_{n \in \mathbb{N}}$  in  $\mathcal{F}_0(W)$  satisfying that  $(f_n) = (f|_{M_S})$  on  $W$ . In particular,  $f_n$  never vanishes on  $W - M_S$  for all  $n$ .

*Proof.* Let  $\{M_k\}_{k \in \mathbb{N}}$  be a sequence of compact tubular neighborhoods of  $M_S$  in  $W$  such that  $M_k \subset M_{k-1}^\circ$  for any  $k$ ,  $\bigcap_{k \in \mathbb{N}} M_k = M_S$  and  $f$  holomorphically extends (with the same name) to  $M_1$  and has no zeros on  $M_1 - M_S$  (take into account that  $f|_{M_S} \neq 0$ ). Choose  $M_k$  so that, in addition, the compact set  $S_k := M_k \cup C_S \subset W$  is admissible and  $\alpha - M_k^\circ$  is a (non-empty) Jordan arc for any component  $\alpha$  of  $C_S$ . In particular,  $M_{S_k} = M_k$  and  $C_{S_k} = C_S - M_k^\circ$ ,  $k \in \mathbb{N}$ .

For any  $k \in \mathbb{N}$  take  $g_k \in \mathcal{F}_0^*(S_k) \cap \mathcal{F}_0(M_{S_k})$  satisfying

- $g_k|_{M_{S_k}} = f|_{M_{S_k}}$ ,
- $g_k$  never vanishes on  $S_k - S_k^\circ$  (recall that  $f$  has no zeros on  $M_1 - M_S$ ), and
- the sequence  $\{g_k|_S\}_{k \in \mathbb{N}}$  uniformly converges to  $f$  on  $S$ .

The construction of such functions is standard, we omit the details. Since  $g_k$  satisfies the hypotheses of Lemma 2.4 in [AL], it can be uniformly approximated on  $S_k$  by a sequence  $\{g_{k,n}\}_{n \in \mathbb{N}} \subset \mathcal{F}_0(W)$  with  $(g_{k,n}) = (g_k|_{M_{S_k}}) = (f|_{M_S})$  on  $W$ , for any  $k$ . A standard diagonal argument concludes the proof.  $\square$

**Lemma 3.2.** Let  $W \subset \mathcal{N}$  be a domain with finite topology and  $S \subset \mathcal{N}$  an admissible subset with  $S \subset W$ . Consider  $\theta \in \Omega_0^*(S) \cap \Omega_0(M_S)$  with  $\theta|_{M_S} \neq 0$ .

Then  $\theta$  can be uniformly approximated on  $S$  by 1-forms  $\{\theta_n\}_{n \in \mathbb{N}}$  in  $\Omega_0(W)$  satisfying that  $(\theta_n) = (\theta|_{M_S})$  on  $W$ . In particular,  $\theta_n$  never vanishes on  $W - M_S$  for all  $n$ .

*Proof.* Let  $\vartheta$  be a never vanishing 1-form in  $\Omega_0(W)$ . Define  $f := \theta/\vartheta \in \mathcal{F}_0^*(S) \cap \mathcal{F}_0(M_S)$ . By Lemma 3.1,  $f$  can be uniformly approximated on  $S$  by a sequence  $\{f_n\}_{n \in \mathbb{N}}$  in  $\mathcal{F}_0(W)$  satisfying that  $(f_n) = (f|_{M_S})$  on  $W$  for all  $n$ . It suffices to set  $\theta_n := f_n \vartheta$ ,  $n \in \mathbb{N}$ .  $\square$

**Lemma 3.3.** *Let  $W \subset \mathcal{N}$  be a domain with finite topology and  $S \subset \mathcal{N}$  an admissible subset with  $S \subset W$ . Let  $\Theta \in \mathcal{U}_0(W)$  and  $\Phi = (\phi_1, \phi_2)$  be a smooth pair in  $\Omega_0^*(S)^2 \cap \Omega_0(M_S)^2$  satisfying  $\phi_1^2 + \phi_2^2 = \Theta|_S$  and either of the following conditions:*

- (A)  $\phi_1|_{M_S}$  and  $\phi_2|_{M_S}$  are linearly independent in  $\Omega_0(M_S)$  and  $\Theta$  has no zeros on  $C_S$ .
- (B)  $\Theta = 0$  and  $\phi_1|_{M_S} \neq 0$ .

Then  $\Phi$  can be uniformly approximated on  $S$  by a sequence  $\{\Phi_n = (\phi_{1,n}, \phi_{2,n})\}_{n \in \mathbb{N}} \subset \Omega_0(W)^2$  satisfying

- (a)  $\phi_{1,n}^2 + \phi_{2,n}^2 = \Theta$ ,
- (b)  $\Phi_n - \Phi$  is exact on  $S$ , and
- (c) the zeros of  $\Phi_n$  on  $W$  are those of  $\Phi$  on  $M_S$  (in particular,  $\Phi_n$  never vanishes on  $W - M_S$ ).

*Proof.* Assume (A) holds.

**Claim 3.4.** *Without loss of generality it can be assumed that  $\phi_1$ ,  $\phi_2$  and  $d\zeta$  never vanish on  $C_S$ , where  $\zeta := \phi_1/\phi_2$ .*

*Proof.* Assume for a moment that the conclusion of the lemma holds when  $\phi_1$ ,  $\phi_2$  and  $d\zeta$  never vanish on  $C_S$ .

Take a sequence  $\{M_k\}_{k \in \mathbb{N}}$  as in the proof of Lemma 3.1 such that  $\Phi$  holomorphically extends (with the same name) to  $M_1$ , and  $\phi_1$ ,  $\phi_2$  and  $d\zeta$  never vanish on  $M_1 - M_S$ , for all  $n$  (take into account (A)). Recall that  $S_k := M_k \cup C_S \subset W^\circ$  is an admissible set and  $C_{S_k} = C_S - M_k^\circ$ ,  $k \in \mathbb{N}$ .

Since  $\Theta$  never vanishes on  $C_S$ , which consists of a finite collection of pairwise disjoint analytical Jordan arcs, then we can find  $\theta \in \Omega_0(C_S)$  with  $\theta^2 = \Theta|_{C_S}$ . Consider  $f_j : C_S \rightarrow \mathbb{C}$ ,  $f_j = \phi_j/\theta$ ,  $j = 1, 2$ , and notice that  $f_1^2 + f_2^2 = 1$  and  $\zeta|_{C_S} = f_1/f_2$ . Consider a sequence  $\{(f_{1,k}, f_{2,k})\}_{k \in \mathbb{N}}$  of pairs of smooth functions on  $C_{S_k}$  satisfying:

- i)  $f_{1,k}, f_{2,k}$  and  $df_{1,k}$  never vanish on  $C_{S_k}$ ,
- ii)  $f_{1,k}^2 + f_{2,k}^2 = 1$ ,
- iii) the function  $g_{j,k}$  given by  $g_{j,k}|_{M_{S_k}} = f_j$ ,  $g_{j,k}|_{C_{S_k}} = f_{j,k}$ , lies in  $\mathcal{F}_0^*(S_k)$  and is smooth,  $j = 1, 2$ ,
- iv)  $\{f_{j,k}\}_{k \in \mathbb{N}}$  uniformly converges to  $f_j$  on  $C_S$ ,  $j = 1, 2$ , and
- v)  $\Psi_k|_S - \Phi$  is exact on  $S$ , where  $\Psi_k := (g_{j,k}\theta)_{j=1,2} \in \Omega_0^*(S_k)^2 \cap \Omega_0(M_{S_k})^2$ .

The construction of this data is standard, we omit the details. Write  $\Psi_k = (\psi_{j,k})_{j=1,2}$  and  $\zeta_k = \psi_{1,k}/\psi_{2,k}$ . From i), ii) and the definition of  $\theta$  follow that  $\psi_{1,k}^2 + \psi_{2,k}^2 = \Theta$  and  $d\zeta_k$  never vanishes on  $C_{S_k}$ . Moreover, iv) gives that  $\{\Psi_k|_S\}_{k \in \mathbb{N}}$  uniformly converges to  $\Phi$  on  $S$ .

By hypothesis, Lemma 3.3 holds for any  $\Psi_k$ , then there exists a sequence  $\{\Psi_{k,n}\}_{n \in \mathbb{N}}$  uniformly converging to  $\Psi_k$  on  $S_k$  and satisfying (a), (b) and (c) of Lemma 3.3 for  $\Phi = \Psi_k$  and  $S = S_k$ . Using that  $\{\Psi_k|_S\}_{k \in \mathbb{N}}$  converges to  $\Phi$ , the zeros of  $\Psi_k$  in  $M_{S_k}$  are those of  $\Phi$  in  $M_S$ , v), and a standard diagonal argument, we can obtain a sequence satisfying the conclusion of the lemma, proving the claim.  $\square$

In the sequel we will assume that  $\phi_1$ ,  $\phi_2$  and  $d\zeta$  never vanish on  $C_S$ .

Label  $\eta = \phi_1 - i\phi_2 \in \Omega_0^*(S) \cap \Omega_0(M_S)$  and observe that  $\Theta/\eta = \phi_1 + i\phi_2 \in \Omega_0^*(S) \cap \Omega_0(M_S)$ . Notice that  $(\Theta/\eta)|_{M_S}, \eta|_{M_S} \neq 0$ ,

$$\phi_1 = \frac{1}{2} \left( \eta + \frac{\Theta}{\eta} \right) \quad \text{and} \quad \phi_2 = \frac{i}{2} \left( \eta - \frac{\Theta}{\eta} \right).$$

Let  $\mathcal{B}_S$  be a homology basis of  $\mathcal{H}_1(S, \mathbb{Z})$  and label  $\nu$  as its cardinal number. Consider in  $\mathcal{F}_0^*(S)$  the maximum norm and the Fréchet differentiable map

$$\mathcal{P} : \mathcal{F}_0^*(S) \rightarrow \mathbb{C}^{2\nu}, \quad \mathcal{P}(f) = \left( \int_c \left( e^f \eta + e^{-f} \frac{\Theta}{\eta} - 2\phi_1, e^f \eta - e^{-f} \frac{\Theta}{\eta} + 2i\phi_2 \right) \right)_{c \in \mathcal{B}_S}.$$

Label  $\mathcal{A} : \mathcal{F}_0^*(S) \rightarrow \mathbb{C}^{2\nu}$  as the Fréchet derivative of  $\mathcal{P}$  at 0.

**Claim 3.5.**  $\mathcal{A}|_{\mathcal{F}_0(W)}$  is surjective.

*Proof.* Reason by contradiction and assume that  $\mathcal{A}(\mathcal{F}_0(W))$  lies in a complex subspace  $\mathcal{U} = \{((x_c, y_c))_{c \in \mathcal{B}_S} \in \mathbb{C}^{2\nu} \mid \sum_{c \in \mathcal{B}_S} (A_c x_c + B_c y_c) = 0\}$ , where  $A_c, B_c \in \mathbb{C}, \forall c \in \mathcal{B}_S$ , and

$$(3.1) \quad \sum_{c \in \mathcal{B}_S} (|A_c| + |B_c|) \neq 0.$$

Then, writing  $\Gamma_1 = \sum_{c \in \mathcal{B}_S} A_c c$  and  $\Gamma_2 = \sum_{c \in \mathcal{B}_S} B_c c$ , we have

$$(3.2) \quad \int_{\Gamma_1} f \phi_2 + i \int_{\Gamma_2} f \phi_1 = 0, \quad \forall f \in \mathcal{F}_0(W).$$

Denote by  $\Sigma = \{f \in \mathcal{F}_0(W) \mid (f) \geq (\phi_2|_{M_S})^2\}$  (recall that  $\phi_2$  never vanishes on  $C_S$ ). Then for any  $f \in \Sigma$  the function  $df/\phi_2 \in \mathcal{F}_0^*(S) \cap \mathcal{F}_0(M_S)$ , so it can be uniformly approximated on  $S$  by functions in  $\mathcal{F}_0(W)$ . This fact is trivial when  $f$  is constant, otherwise use Lemma 3.1. Hence equation (3.2) applies and gives

$$(3.3) \quad 0 = \int_{\Gamma_2} \zeta df = \int_{\Gamma_2} f d\zeta, \quad \forall f \in \Sigma,$$

where we have used integration by parts (notice that  $f\zeta, \zeta df$  and  $f d\zeta$  are smooth).

Suppose  $\Gamma_2 \neq 0$  and take  $[\tau] \in \mathcal{H}_{\text{hol}}^1(W)$  (the first holomorphic De Rham cohomology group of  $W$ ) and  $g \in \mathcal{F}_0(W)$  so that  $\int_{\Gamma_2} \tau \neq 0$ , the function  $f := (\tau + dg)/d\zeta$  lies in  $\mathcal{F}_0^*(S) \cap \mathcal{F}_0(M_S)$  and  $(f|_{M_S}) \geq (\phi_2|_{M_S})^2$ . The existence of such 1-form and function follows from well known arguments on Riemann surfaces theory (take into account that (A) implies  $d\zeta|_{M_S} \neq 0$ ). By Lemma 3.1,  $f$  can be uniformly approximated on  $S$  by functions in  $\Sigma$ , so equation (3.3) applies and shows that  $0 = \int_{\Gamma_2} f d\zeta = \int_{\Gamma_2} (\tau + dg) = \int_{\Gamma_2} \tau \neq 0$ , a contradiction. Therefore  $\Gamma_2 = 0$ .

Replacing  $(\zeta, \phi_1, \phi_2, \Gamma_1, \Gamma_2)$  by  $(1/\zeta, \phi_2, \phi_1, \Gamma_2, \Gamma_1)$  and using a symmetric argument, we can prove that  $\Gamma_1 = 0$ . This contradicts (3.1) and concludes the proof.  $\square$

Let  $\{e_1, \dots, e_{2\nu}\}$  be a basis of  $\mathbb{C}^{2\nu}$ , fix  $f_i \in \mathcal{A}^{-1}(e_i) \cap \mathcal{F}_0(W)$  for all  $i$ , and set  $\mathcal{Q} : \mathbb{C}^{2\nu} \rightarrow \mathbb{C}^{2\nu}$  as the analytical map given by

$$\mathcal{Q}((z_i)_{i=1, \dots, 2\nu}) = \mathcal{P} \left( \sum_{i=1, \dots, 2\nu} z_i f_i \right).$$

By Claim 3.5 the differential  $d\mathcal{Q}_0$  of  $\mathcal{Q}$  at 0 is an isomorphism, then there exists a closed Euclidean ball  $U \subset \mathbb{C}^{2\nu}$  centered at the origin such that  $\mathcal{Q} : U \rightarrow \mathcal{Q}(U)$  is an analytical diffeomorphism. Furthermore, notice that  $0 = \mathcal{Q}(0) \in \mathcal{Q}(U)$  is an interior point of  $\mathcal{Q}(U)$ .

Consider a sequence  $\{\theta_n\}_{n \in \mathbb{N}} \subset \Omega_0(W)$  uniformly approximating  $\eta$  on  $S$  and with  $(\theta_n) = (\eta|_{M_S})$  for all  $n$  (recall that  $\eta|_{M_S} \neq 0$  and see Lemma 3.2).

Label  $\mathcal{P}_n : \mathcal{F}_0^*(S) \rightarrow \mathbb{C}^{2\nu}$  as the Fréchet differentiable map given by

$$\mathcal{P}_n(f) = \left( \int_c \left( e^f \theta_n + e^{-f} \frac{\Theta}{\theta_n} - 2\phi_1, e^f \theta_n - e^{-f} \frac{\Theta}{\theta_n} + 2i\phi_2 \right) \right)_{c \in \mathcal{B}_S}, \quad \forall n \in \mathbb{N}.$$

Call  $\mathcal{Q}_n : \mathbb{C}^{2\nu} \rightarrow \mathbb{C}^{2\nu}$  as the analytical map  $\mathcal{Q}_n((z_i)_{i=1, \dots, 2\nu}) = \mathcal{P}_n(\sum_{i=1, \dots, 2\nu} z_i f_i)$  for all  $n \in \mathbb{N}$ . Since  $\{\mathcal{Q}_n\}_{n \in \mathbb{N}} \rightarrow \mathcal{Q}$  uniformly on compact subsets of  $\mathbb{C}^{2\nu}$ , without loss of generality we can suppose that  $\mathcal{Q}_n : U \rightarrow \mathcal{Q}_n(U)$  is an analytical diffeomorphism and  $0 \in \mathcal{Q}_n(U)$  for all  $n$ . Label  $\alpha_n = (\alpha_{1,n}, \dots, \alpha_{2\nu,n})$  as the unique point in  $U$  such that  $\mathcal{Q}_n(\alpha_n) = 0$  and note that  $\{\alpha_n\}_{n \in \mathbb{N}} \rightarrow 0$ . Set

$$\eta_n := e^{\sum_{i=1}^{2\nu} \alpha_{i,n} f_i} \theta_n, \quad \phi_{1,n} := \frac{1}{2} \left( \eta_n + \frac{\Theta}{\eta_n} \right) \quad \text{and} \quad \phi_{2,n} := \frac{i}{2} \left( \eta_n - \frac{\Theta}{\eta_n} \right), \quad \forall n \in \mathbb{N}$$

and let us check that the sequence  $\{\Phi_n = (\phi_{1,n}, \phi_{2,n})\}_{n \in \mathbb{N}}$  satisfies the conclusion of the lemma. Indeed, since  $(\eta_n) = (\theta_n) = (\eta|_{M_S})$  one has  $\Theta/\eta_n \in \Omega_0(W)$  and so  $\Phi_n \in \Omega_0(W)^2$ . The convergence of  $\{\Phi_n\}_{n \in \mathbb{N}}$  to  $\Phi$  on  $S$  follows from the ones of  $\{\theta_n\}_{n \in \mathbb{N}}$  to  $\eta$  and of  $\{\alpha_n\}_{n \in \mathbb{N}}$  to 0. A straightforward computation gives (a). The fact that  $\mathcal{Q}_n(\alpha_n) = 0$ ,  $n \in \mathbb{N}$ , implies (b). Finally,  $(\eta_n) = (\eta|_{M_S})$  for all  $n$  implies (c).

The proof of the lemma in case (B) goes as follows.

Notice that  $\Theta = 0$  is nothing but  $\phi_2 = \beta\phi_1$ , where  $\beta \in \{i, -i\}$ .

As above, we can assume without loss of generality that  $\phi_1$  never vanishes on  $C_S$  (we omit the details). Reasoning as in case (A), we can prove that  $\hat{\mathcal{A}}|_{\mathcal{F}_0(W)} : \mathcal{F}_0(W) \rightarrow \mathbb{C}^\nu$  is surjective, where  $\hat{\mathcal{A}}$  is the Fréchet derivative of  $\hat{\mathcal{P}} : \mathcal{F}_0^*(S) \rightarrow \mathbb{C}^\nu$ ,  $\hat{\mathcal{P}}(f) = \left( \int_c (e^f - 1)\phi_1 \right)_{c \in \hat{\mathcal{B}}_S}$ , at 0. Take  $\hat{f}_i \in \hat{\mathcal{A}}^{-1}(\hat{e}_i) \cap \mathcal{F}_0(W)$  for all  $i$ , where  $\hat{\mathcal{B}}_S = \{\hat{e}_1, \dots, \hat{e}_\nu\}$  is a basis of  $\mathbb{C}^\nu$ , and define  $\hat{\mathcal{Q}} : \mathbb{C}^\nu \rightarrow \mathbb{C}^\nu$  by  $\hat{\mathcal{Q}}((z_i)_{i=1, \dots, \nu}) = \hat{\mathcal{P}}(\sum_{i=1, \dots, \nu} z_i \hat{f}_i)$ . Now, consider a sequence  $\{\hat{\theta}_n\}_{n \in \mathbb{N}} \subset \Omega_0(W)$  that uniformly approximates  $\phi_1$  on  $S$  and  $(\hat{\theta}_n) = (\phi_1|_{M_S})$  for all  $n$  (as above, recall that  $\phi_1|_{M_S} \neq 0$  and see Lemma 3.2). Set  $\hat{\mathcal{P}}_n : \mathcal{F}_0^*(S) \rightarrow \mathbb{C}^\nu$  by  $\hat{\mathcal{P}}_n(f) = \left( \int_c (e^f \hat{\theta}_n - \phi_1) \right)_{c \in \hat{\mathcal{B}}_S}$ , and call  $\hat{\mathcal{Q}}_n : \mathbb{C}^\nu \rightarrow \mathbb{C}^\nu$  as the analytical map  $\hat{\mathcal{Q}}_n((z_i)_{i=1, \dots, \nu}) = \hat{\mathcal{P}}_n(\sum_{i=1, \dots, \nu} z_i \hat{f}_i)$  for all  $n \in \mathbb{N}$ . To finish, reason as in case (A) but setting  $\phi_{1,n} := e^{\sum_{i=1}^{\nu} \hat{\alpha}_{i,n} \hat{f}_i} \hat{\theta}_n$  and  $\phi_{2,n} := \beta\phi_{1,n}$ , where  $\hat{\alpha}_n = (\hat{\alpha}_{1,n}, \dots, \hat{\alpha}_{\nu,n})$  is chosen so that  $\hat{\mathcal{Q}}_n(\hat{\alpha}_n) = 0$  and  $\{\hat{\alpha}_n\}_{n \in \mathbb{N}} \rightarrow 0$ .  $\square$

#### 4. MAIN RESULTS

The main results of this paper follow as consequence of Lemma 4.1 below. Although the proof of this lemma is inspired by the technique developed in [AFL, Lemma 3.1], it represents a wide generalization of that result.

We need the following notations and definitions.

Fix a nowhere zero  $\tau_0 \in \Omega_0(\mathcal{N})$  (the existence of such a  $\tau_0$  is well known, anyway see [AFL] for a proof). Then for any compact subset  $K \subset \mathcal{N}$  and any  $\theta \in \Omega_0^*(K)$  we set  $\|\theta\| := \max_K \{|\theta/\tau_0|\}$ . This norm induces the topology of the uniform convergence on  $\Omega_0^*(K)$ .

Let  $K \subset \mathcal{N}$  be a connected compact region and  $\sigma^2$  a Riemannian metric on  $K$  possibly with singularities. Given  $P, Q \in K$  we denote by  $\text{dist}_{(K, \sigma)}(P, Q) = \min\{\text{length}_\sigma(\alpha) \mid \alpha \text{ curve in } K \text{ joining } P \text{ and } Q\}$ . If  $K_1$  and  $K_2$  are two compact sets in  $K$  we set  $\text{dist}_{(K, \sigma)}(K_1, K_2) = \min\{\text{dist}_{(K, \sigma)}(P, Q) \mid P \in K_1, Q \in K_2\}$ .

**Lemma 4.1.** *Let  $M, K$  be two compact regions in  $\mathcal{N}$  with  $M \subset K^\circ$ . Assume that  $M$  is Runge,  $K$  is connected and consider  $P_0 \in M^\circ$ . Let  $\mathcal{I}$  be a conformal Riemannian metric on  $K$  possibly with isolated singularities. Let  $\mathbf{f} = (\mathbf{f}_1, \mathbf{f}_2) : \mathcal{H}_1(K, \mathbb{Z}) \rightarrow \mathbb{C}^2$  be a group homomorphism,  $\Theta \in \mathcal{U}_0(K)$  and*



$\Phi = (\phi_1, \phi_2) \in \Omega_0(M)^2$  satisfying

$$\phi_1^2 + \phi_2^2 = \Theta|_M, \quad \mathbf{f}(\gamma) = \int_\gamma \Phi, \quad \forall \gamma \in \mathcal{H}_1(M, \mathbb{Z}),$$

and either of the following conditions:

- (A)  $\phi_1$  and  $\phi_2$  are linearly independent in  $\Omega_0(M)$ .  
 (B)  $\Theta = 0$ ,  $\phi_1 \neq 0$  and there is  $\beta \in \{1, -1\}$  such that  $\mathbf{f}_2 = \beta \mathbf{f}_1$  and  $\phi_2 = \beta \phi_1$ .

Then, for any  $\epsilon > 0$  there exists  $\Psi = (\psi_1, \psi_2) \in \Omega_0(K)^2$  so that

- (L1)  $\|\Psi - \Phi\| < \epsilon$  on  $M$ ,  
 (L2)  $\psi_1^2 + \psi_2^2 = \Theta$ ,  
 (L3)  $\mathbf{f}(\gamma) = \int_\gamma \Psi, \quad \forall \gamma \in \mathcal{H}_1(K, \mathbb{Z})$ ,  
 (L4)  $\text{dist}_{(K, \sigma_{(\Psi, \mathcal{I})})}(P_0, \partial K) > 1/\epsilon$ , where  $\sigma_{(\Psi, \mathcal{I})}^2 := |\psi_1|^2 + |\psi_2|^2 + \mathcal{I}$ , and  
 (L5) the zeros of  $\Psi$  on  $K$  are those of  $\Phi$  on  $M$  (in particular,  $\Psi$  never vanishes on  $K - M$ ).

*Proof.* The proof goes by induction on minus the Euler characteristic of  $W - M^\circ$ . Since  $M$  is Runge then no component of  $K - M^\circ$  is a closed disc, and so  $-\chi(K - M^\circ) \geq 0$ . The basis of the induction is proved in the following

**Claim 4.2.** Lemma 4.1 holds if  $\chi(K - M^\circ) = 0$ .

*Proof.* In this case  $K^\circ - M = \cup_{j=1}^k A_j$ , where  $A_j$  are pairwise disjoint open annuli,  $k \in \mathbb{N}$ . On each  $A_j$  we construct a Jorge-Xavier's type labyrinth of compact sets as follows (see [JX]). Let  $z_j : A_j \rightarrow \mathbb{C}$  be a conformal parametrization, and let  $C_j \subset A_j$  be a compact region such that  $C_j$  contains no singularities of  $\mathcal{I}$ ,  $z_j(C_j)$  is a compact annulus of radii  $r_j$  and  $R_j$ , where  $r_j < R_j$ , and  $z_j(C_j)$  contains the homology of  $z_j(A_j)$ . This choice is possible since the singularities of  $\mathcal{I}$  are isolated. Since  $\mathcal{I}|_{C_j}$  has no singularities, we can find a positive constant  $\mu$  with

$$(4.1) \quad \mathcal{I} > \mu^2 |dz_j|^2 \quad \text{on } C_j, \quad j = 1, \dots, k.$$

Consider a large  $m \in \mathbb{N}$  (to be specified later) such that  $2/m < \min\{R_j - r_j \mid j = 1, \dots, k\}$ . For any  $j \in \{1, \dots, k\}$  label  $s_{j,0} := R_j$  and for any  $n \in \{1, \dots, 2m^2\}$  set  $s_{j,n} := R_j - n/m^3$  and consider the compact set in  $C_j$  (see Figure 4.1):

$$\mathcal{K}_{j,n} = \left\{ P \in A_j \mid s_{j,n} + \frac{1}{4m^3} \leq |z_j(P)| \leq s_{j,n-1} - \frac{1}{4m^3}, \quad \frac{1}{m^2} \leq \arg((-1)^n z_j(P)) \leq 2\pi - \frac{1}{m^2} \right\}.$$

Then, define

$$\mathcal{K}_j = \bigcup_{n=1}^{2m^2} \mathcal{K}_{j,n} \quad \text{and} \quad \mathcal{K} = \bigcup_{j=1}^k \mathcal{K}_j.$$

Consider the pair  $\Xi = (\varphi_1, \varphi_2) \in \Omega_0(M \cup \mathcal{K})^2$  given by

$$\Xi|_M = \Phi, \quad \Xi|_{\mathcal{K}_j} = \begin{cases} \left( \frac{1}{2}(\lambda dz_j + \frac{\Theta}{\lambda dz_j}), \frac{1}{2}(\lambda dz_j - \frac{\Theta}{\lambda dz_j}) \right) & \text{if (A) holds} \\ (\lambda dz_j, \beta \lambda dz_j) & \text{if (B) holds,} \end{cases} \quad j = 1, \dots, k,$$

where  $\lambda > \sqrt{2} \mu m^4$  is a constant. Notice that  $\varphi_1^2 + \varphi_2^2 = \Theta|_{M \cup \mathcal{K}}$ .

Let  $W \subset \mathcal{N}$  be a domain with finite topology containing  $K$ . Applying Lemma 3.3 to the data

$$\hat{W} = W, \quad \hat{S} = M \cup \mathcal{K}, \quad \hat{\Theta} = \Theta, \quad \text{and} \quad \hat{\Phi} = \Xi,$$

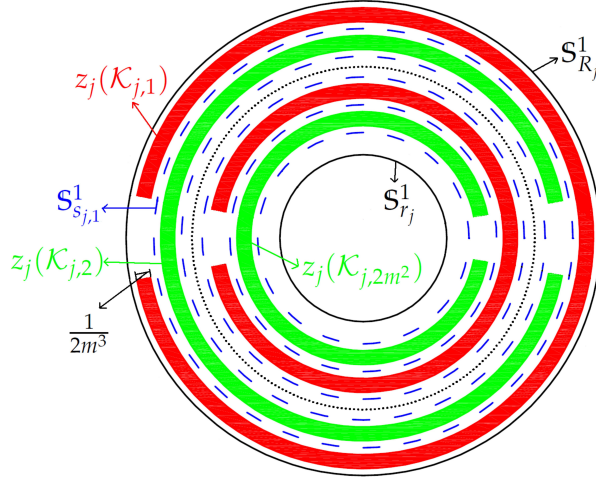


FIGURE 4.1. The labyrinth of compact sets on the annulus  $z_j(C_j)$ .

we obtain a pair  $\Psi = (\psi_1, \psi_2) \in \Omega_0(K)^2$  satisfying (L1), (L2), (L3), (L5) and

$$(4.2) \quad |\psi_1|^2 + |\psi_2|^2 > \mu^2 m^8 |dz_j|^2 \quad \text{on } \mathcal{K}_j, j = 1, \dots, k.$$

Then, taking into account (4.1), (4.2) and the definition of  $\mathcal{K}_j$ , it is straightforward to check the existence of a positive constant  $\rho_j$  depending neither on  $\mu$  nor  $m$  such that

$$\text{length}_{\sigma(\Psi, X)}(\alpha) > \rho_j \cdot \mu \cdot m$$

for any  $\alpha$  curve in  $C_j$  joining the two components of  $\partial C_j$ . Thus, we can choose  $m$  large enough so that  $\rho_j \cdot \mu \cdot m > 1/\epsilon$  for any  $j = 1, \dots, k$ . This choice gives (L4) and we are done.  $\square$

The inductive step and so Lemma 4.1 are proved in the following

**Claim 4.3.** *Consider  $n > 0$  and assume that Lemma 4.1 holds if  $-\chi(K - M^\circ) < n$ . Then it also holds if  $-\chi(K - M^\circ) = n$ .*

*Proof.* Since  $M$  is Runge,  $j_* : \mathcal{H}_1(M, \mathbb{Z}) \rightarrow \mathcal{H}_1(K, \mathbb{Z})$  is a monomorphism, where  $j : M \rightarrow K$  is the inclusion map. Up to this natural identification we will consider  $\mathcal{H}_1(M, \mathbb{Z}) \subset \mathcal{H}_1(K, \mathbb{Z})$ . Since  $-\chi(K - M^\circ) = n > 0$ , there exists  $\hat{\gamma} \in \mathcal{H}_1(K, \mathbb{Z}) - \mathcal{H}_1(M, \mathbb{Z})$  intersecting  $K - M^\circ$  in a compact Jordan arc  $\gamma$  with endpoints  $P_1, P_2 \in \partial M$  and otherwise disjoint from  $\partial M \cup \partial K$ , and such that  $S := M \cup \gamma$  is admissible. Notice that in this case  $\gamma = C_S$  and  $M = M_S$ .

Assume (A) holds, and in addition choose  $\hat{\gamma}$  so that  $\Theta$  never vanishes on  $\gamma$ . Consider a pair  $\hat{\Phi} = (\hat{\phi}_1, \hat{\phi}_2) \in \Omega_0^*(S)^2 \cap \Omega_0(M_S)^2$  satisfying  $\hat{\Phi}|_M = \Phi$ ,  $\hat{\phi}_1^2 + \hat{\phi}_2^2 = \Theta|_S$  and  $\int_{\hat{\gamma}} \hat{\Phi} = \mathbf{f}(\hat{\gamma})$  (we leave the details to the reader). By Lemma 3.3, case (A), applied to  $\hat{\Phi}$ ,  $S$ ,  $\Theta$  and  $K^\circ$ , we can find a compact tubular neighborhood  $U$  of  $S$  in  $K^\circ$  and  $\Xi = (\varphi_1, \varphi_2) \in \Omega_0(U)^2$  such that  $\varphi_1$  and  $\varphi_2$  are linearly independent in  $\Omega_0(U)^2$ ,  $\|\Xi - \Phi\| < \epsilon/2$  on  $M$ ,  $\varphi_1^2 + \varphi_2^2 = \Theta|_U$ , the zeros of  $\Xi$  on  $U$  are those of  $\Phi$  on  $M$ , and  $\Xi - \hat{\Phi}$  is exact on  $S$ . Since  $-\chi(K - U^\circ) < n$ , the induction hypothesis applied to  $\Xi$  and  $\epsilon/2$  gives the existence of a pair  $\Psi \in \Omega_0(K)^2$  satisfying the conclusion of the lemma.

Assume now that (B) holds, and take a function  $\hat{\phi}_1 \in \Omega_0^*(S) \cap \Omega_0(M_S)$  such that  $\hat{\phi}_1|_M = \phi_1$  and  $\int_{\hat{\gamma}} \hat{\phi}_1 = \mathbf{f}_1(\hat{\gamma})$ . Apply Lemma 3.3, case (B), to the data  $K^\circ$ ,  $S$  and  $(\hat{\phi}_1, \beta\hat{\phi}_1)$ , and obtain a compact tubular neighborhood  $U$  of  $S$  in  $K^\circ$  and a 1-form  $\varphi_1 \in \Omega_0(U)$  such that  $\|\varphi_1 - \phi_1\| < \epsilon/4$  on

$M$ , the zeros of  $\varphi_1$  on  $U$  are those of  $\phi_1$  on  $M$ , and  $\varphi_1 - \hat{\phi}_1$  is exact on  $S$ . As above, the induction hypothesis applied to  $(\varphi_1, \beta\varphi_1)$  and  $\epsilon/2$  gives a pair  $\Psi \in \Omega_0(K)^2$  proving the claim.  $\square$

This finishes the proof of the lemma.  $\square$

Now we can state and prove the main theorem of this paper.

**Theorem 4.4.** *Let  $M \subset \mathcal{N}$  be a Runge compact region. Let  $\mathcal{I}$  be a conformal Riemannian metric on  $\mathcal{N}$  possibly with isolated singularities. Consider  $\mathfrak{f} = (\mathfrak{f}_1, \mathfrak{f}_2) : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{C}^2$  be a group homomorphism,  $\Theta \in \mathcal{U}_0(\mathcal{N})$  and  $\Phi = (\phi_1, \phi_2) \in \Omega_0(M)^2$  satisfying*

$$\phi_1^2 + \phi_2^2 = \Theta|_M, \quad \mathfrak{f}(\gamma) = \int_{\gamma} \Phi, \quad \forall \gamma \in \mathcal{H}_1(M, \mathbb{Z}),$$

and either of the following conditions:

- (A)  $\phi_1$  and  $\phi_2$  are linearly independent in  $\Omega_0(M)$ .
- (B)  $\Theta = 0$ ,  $\phi_1 \not\equiv 0$  and there is  $\beta \in \{i, -i\}$  such that  $\mathfrak{f}_2 = \beta\mathfrak{f}_1$  and  $\phi_2 = \beta\phi_1$ .

Then, for any  $\epsilon > 0$  there exists  $\Psi = (\psi_1, \psi_2) \in \Omega_0(\mathcal{N})^2$  so that

- (T1)  $\|\Psi - \Phi\| < \epsilon$  on  $M$ ,
- (T2)  $\psi_1^2 + \psi_2^2 = \Theta$ ,
- (T3)  $\mathfrak{f}(\gamma) = \int_{\gamma} \Psi, \quad \forall \gamma \in \mathcal{H}_1(\mathcal{N}, \mathbb{Z})$ ,
- (T4)  $|\psi_1|^2 + |\psi_2|^2 + \mathcal{I}$  is a complete conformal Riemannian metric on  $\mathcal{N}$  with singularities at the zeros of  $|\phi_1|^2 + |\phi_2|^2 + \mathcal{I}$  on  $M$ , and
- (T5) the zeros of  $\Psi$  on  $\mathcal{N}$  are those of  $\Phi$  on  $M$  (in particular,  $\Psi$  never vanishes on  $\mathcal{N} - M$ ).

*Proof.* Label  $M_1 = M$  and let  $\{M_n \mid n \geq 2\}$  be an exhaustion of  $\mathcal{N}$  by Runge connected compact regions with  $M_n \subset M_{n+1}^\circ$  for all  $n \in \mathbb{N}$ . Fix a base point  $P_0 \in M^\circ$  and a positive  $\epsilon < \min\{\epsilon, 1\}$  which will be specified later.

Label  $\Phi_1 = \Phi$ , and by Lemma 4.1 and an inductive process, construct a sequence of pairs  $\{\Phi_n = (\phi_{j,n})_{j=1,2}\}_{n \in \mathbb{N}}$  satisfying that

- (a)  $\Phi_n \in \Omega_0(M_n)^2, \quad \forall n \in \mathbb{N}$ ,
- (b)  $\|\Phi_n - \Phi_{n-1}\| < \epsilon/2^n$  on  $M_{n-1}, \quad \forall n \geq 2$ ,
- (c)  $\phi_{1,n}^2 + \phi_{2,n}^2 = \Theta|_{M_n}, \quad \forall n \in \mathbb{N}$ ,
- (d)  $\mathfrak{f}(\gamma) = \int_{\gamma} \Phi_n, \quad \forall \gamma \in \mathcal{H}_1(M_n, \mathbb{Z}), \quad \forall n \in \mathbb{N}$ ,
- (e)  $\text{dist}_{(M_n, \sigma_{(\Phi_n, \mathcal{I})})}(P_0, \partial M_n) > 2^n$ , where  $\sigma_{(\Phi_n, \mathcal{I})}^2 = |\phi_{1,n}|^2 + |\phi_{2,n}|^2 + \mathcal{I}, \quad \forall n \geq 2$ , and
- (f) the zeros of  $\Phi_n$  on  $M_n$  are those of  $\Phi$  on  $M, \quad \forall n \in \mathbb{N}$ .

Since  $\cup_{n \in \mathbb{N}} M_n = \mathcal{N}$ , items (a) and (b) and Harnack's theorem, then the sequence  $\{\Phi_n\}_{n \in \mathbb{N}}$  uniformly converges on compact subsets of  $\mathcal{N}$  to a pair  $\Psi = (\psi_j)_{j=1,2} \in \Omega_0(\mathcal{N})$  satisfying (T1). Items (c) and (d) directly give (T2) and (T3), respectively. Since  $\{\Phi_n\}_{n \in \mathbb{N}}$  uniformly converges to  $\Psi$  and (f), Hurwitz's theorem gives that either the zeros of  $\Psi$  on  $\mathcal{N}$  are those of  $\Phi$  on  $M$  or  $\psi_1 = 0$  or  $\psi_2 = 0$ . However, (b) gives  $\|\Psi - \Phi\| \leq \epsilon$  on  $M$  and so  $\psi_j|_M \not\equiv 0, j = 1, 2$ , provided that  $\epsilon$  is small enough. This proves (T5). Finally (T5) and (e) imply (T4) and we are done.  $\square$

**Corollary 4.5.** *Let  $\mathfrak{h}, X = (X_i)_{i=3, \dots, N} : \mathcal{N} \rightarrow \mathbb{R}^{N-2}$  and  $\mathfrak{p} = (\mathfrak{p}_j)_{j=1, \dots, N} : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{R}^N$  be a 2-form in  $\mathcal{U}_0(\mathcal{N})$ , a non-constant harmonic map and a group homomorphism, respectively, satisfying that*

- $\mathfrak{p}_i(\gamma) = \text{Im} \int_{\gamma} \partial_z X_i, \quad \forall \gamma \in \mathcal{H}_1(\mathcal{N}, \mathbb{Z}), \quad \forall i = 3, \dots, N$ , and
- $\mathfrak{p}_1 = \mathfrak{p}_2 = 0$  when  $\mathfrak{h} = \sum_{i=3}^N (\partial_z X_i)^2$ .

Then there exists a weakly complete harmonic map  $Y = (Y_j)_{j=1, \dots, N} : \mathcal{N} \rightarrow \mathbb{R}^N$  with

- (I)  $(Y_i)_{i=3,\dots,N} = X$ ,
- (II)  $\mathfrak{p}_Y = \mathfrak{p}$ , and
- (III)  $Q_Y = \mathfrak{H}$ .

Furthermore, if  $X$  is full then  $Y$  can be chosen to be full, and if  $X$  is an immersion then  $Y$  is.

*Proof.* Label  $\Theta := \mathfrak{H} - \sum_{i=3}^N (\partial_z X_i)^2$ , and assume for a moment that  $\Theta \not\equiv 0$ . Consider a compact disc  $K \subset \mathcal{N}$  and  $\eta \in \Omega_0(K)$  such that both  $\eta$  and  $\phi_1$  never vanish on  $K$ , and  $\phi_1$  and  $\phi_2$  are linearly independent in  $\Omega_0(K)$ , where  $\phi_1 := \frac{1}{2}(\eta + \Theta/\eta)$  and  $\phi_2 := \frac{1}{2}(\eta - \Theta/\eta)$ . Consider a pair  $\Psi = (\psi_1, \psi_2)$  obtained from Theorem 4.4, case (A), applied to the data

$$\mathcal{N}, \quad M = K, \quad \mathcal{I} = \sum_{i=3}^N |\partial_z X_i|^2, \quad \Theta, \quad \Phi = (\phi_1, \phi_2), \quad \mathfrak{f} = \iota(\mathfrak{p}_1, \mathfrak{p}_2)$$

and  $\epsilon > 0$  to be specified later. Fix a point  $P_0 \in \mathcal{N}$  and define  $Y_k(P) = \operatorname{Re} \int_{P_0}^P \psi_k, \forall P \in \mathcal{N}, k = 1, 2$ , and  $Y_k = X_k, \forall k = 3, \dots, N$ .

Statements (I), (II) and (III) trivially follow from the definition of  $\Theta$  and  $\mathfrak{f}$ , and properties (T2) and (T3). Moreover, (T4) and the fact that  $\phi_1$  never vanishes on  $K$  give that  $\sum_{j=1}^2 |\partial_z Y_j|^2$  is a complete conformal metric on  $\mathcal{N}$ , and so  $Y$  is weakly complete. Finally, if  $X$  is full then we can choose  $\eta$  so that the map

$$K \rightarrow \mathbb{R}^N, \quad P \mapsto \left( \int_{P_0}^P \phi_1, \int_{P_0}^P \phi_2, X(P) \right)$$

is full as well. Then (T1) gives the fullness of  $Y$  provided that  $\epsilon$  is chosen small enough.

Assume now that  $\Theta = 0$ . Take an exact  $\phi_1 \in \Omega_0(M)$ ,  $\phi_1 \not\equiv 0$ , and consider a pair  $\Psi$  obtained by applying Theorem 4.4, case (B), to the data

$$\mathcal{N}, \quad M = K, \quad \mathcal{I} = \sum_{i=3}^N |\partial_z X_i|^2, \quad \Theta = 0, \quad \Phi = (\phi_1, \iota\phi_1), \quad \mathfrak{f} = 0$$

and  $\epsilon > 0$ . To finish argue as above. □

**Corollary 4.6.** *Let  $X = (X_i)_{i=3,\dots,n} : \mathcal{N} \rightarrow \mathbb{R}^{N-2}$  and  $\mathfrak{p} = (\mathfrak{p}_j)_{j=1,\dots,N} : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{R}^N$  be a non-constant harmonic map and a group homomorphism, respectively, satisfying that*

- $\mathfrak{p}_i(\gamma) = \operatorname{Im} \int_\gamma \partial_z X_i, \forall \gamma \in \mathcal{H}_1(\mathcal{N}, \mathbb{Z}), \forall i = 3, \dots, N$ , and
- $\mathfrak{p}_1 = \mathfrak{p}_2 = 0$  when  $\sum_{i=3}^N (\partial_z X_i)^2 = 0$ .

*Then there exists a complete conformal minimal immersion  $Y = (Y_j)_{j=1,\dots,N} : \mathcal{N} \rightarrow \mathbb{R}^N$  with  $(Y_i)_{i=3,\dots,N} = X$  and  $\mathfrak{p}_Y = \mathfrak{p}$ . Furthermore,  $Y$  can be chosen full provided that  $X$  is.*

*Proof.* Apply Corollary 4.5 for  $\mathfrak{H} = 0$  and see Remark 2.4. □

**Corollary 4.7.** *Let  $\mathcal{N}$  be a bounded planar domain. Then there exists a complete non-proper holomorphic embedding of  $\mathcal{N}$  in  $\mathbb{C}^2$ .*

*Proof.* Consider  $X = (X_3, X_4) : \mathcal{N} \rightarrow \mathbb{R}^2 \equiv \mathbb{C}$  given by  $X(z) = z$ . Let  $Y = (Y_j)_{j=1,\dots,4} : \mathcal{N} \rightarrow \mathbb{R}^4$  be an immersion obtained from Corollary 4.6 applied to the data  $\mathcal{N}, X$  and  $\mathfrak{p} = 0$ . Since  $X$  is injective,  $Y$  is an embedding. Finally, observe that  $Y$  is non-proper. Indeed, otherwise the holomorphic function  $Y_1 + \iota Y_2$  would be proper on  $\mathcal{N}$ , contradicting that  $\mathcal{N}$  is hyperbolic. □

**Corollary 4.8.** *Let  $\mathfrak{p} : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{R}^N$  be a group homomorphism.*

*Then there exists a conformal complete minimal immersion  $Y : \mathcal{N} \rightarrow \mathbb{R}^N$  satisfying*

- $\mathfrak{p}_Y = \mathfrak{p}$ ,

- $Y$  is non-decomposable and full,
- $G_Y$  is non-degenerate, and
- $G_Y$  fails to intersect  $N$  hyperplanes of  $\mathbb{C}\mathbb{P}^{N-1}$  in general position.

*Proof.* We need the following

**Claim 4.9** ([AFL, Theorem 4.2]). *For any group homomorphism  $\hat{p} : \mathcal{H}_1(\mathcal{N}, \mathbb{Z}) \rightarrow \mathbb{R}$  there exists a never vanishing  $\phi \in \Omega_0(\mathcal{N})$  with  $\int_\gamma \phi = i\hat{p}(\gamma), \forall \gamma \in \mathcal{H}_1(\mathcal{N}, \mathbb{Z})$ .*

Assume first that  $N$  is even.

Consider a nowhere zero  $\phi \in \Omega_0(\mathcal{N})$  (see Claim 4.9) and a compact disc  $M \subset \mathcal{N}$ . Fix  $P_0 \in M^\circ$  and take  $\lambda_j \in \mathbb{C} - \{0\}$  and  $\Phi_j = (\phi_{j,1}, \phi_{j,2}) \in \Omega_0(M)^2, j = 1, \dots, N/2$ , so that

- $\sum_{j=1}^{N/2} \lambda_j^2 = 0$ ,
- $\phi_{j,1}$  and  $\phi_{j,2}$  are linearly independent in  $\Omega_0(M)$  and  $\phi_{j,1}^2 + \phi_{j,2}^2 = \lambda_j^2 \phi^2|_M, \forall j = 1, \dots, N/2$ ,
- the minimal immersion  $X : M \rightarrow \mathbb{R}^N, X(P) = \text{Re}(\int_{P_0}^P (\Phi_j)_{j=1, \dots, N/2})$  is non-decomposable and full, and
- $G_X$  is non-degenerate.

Write  $\mathbf{p} = (p_k)_{k=1, \dots, N}$ , and for any  $j = 1, \dots, N/2$  consider  $\Psi_j = (\psi_{j,1}, \psi_{j,2}) \in \Omega_0(\mathcal{N})^2$  given by Theorem 4.4, case (A), applied to the data

$$\mathcal{N}, \quad M, \quad \mathcal{I} = |\phi|^2, \quad \mathbf{f} = i(p_{2j-1}, p_{2j}), \quad \Theta = \lambda_j^2 \phi^2, \quad \Phi = \Phi_j,$$

and  $\epsilon > 0$  which will be specified later. Define

$$Y : \mathcal{N} \rightarrow \mathbb{R}^N, \quad Y(P) = \text{Re} \left( \int_{P_0}^P (\Psi_j)_{j=1, \dots, N/2} \right).$$

Statement (T3) in Theorem 4.4 gives that  $Y$  is well defined. From (T2) follows that  $\sum_{j=1}^{N/2} (\psi_{j,1}^2 + \psi_{j,2}^2) = 0$ , and so  $Y$  is conformal. Moreover,  $\sum_{j=1}^{N/2} (|\psi_{j,1}|^2 + |\psi_{j,2}|^2) \geq |\psi_{1,1}|^2 + |\psi_{1,2}|^2 \geq \frac{1}{|\lambda_1|^2 + 1} (|\psi_{1,1}|^2 + |\psi_{1,2}|^2 + |\phi|^2)$  that is a complete Riemannian metric on  $\mathcal{N}$  (take into account (T4)). Therefore,  $Y$  is a complete conformal minimal immersion. Item (T3) implies that  $\mathbf{p}_Y = \mathbf{p}$ . Since  $X$  is non-decomposable and full and  $G_X$  is non-degenerate, then  $Y$  and  $G_Y$  are, provided that  $\epsilon$  is chosen small enough (see (T1)). Finally, observe that  $\psi_{j,1}^2 + \psi_{j,2}^2$  never vanishes on  $\mathcal{N}$  for all  $j = 1, \dots, N/2$ , hence  $G_Y$  fails to intersect the hyperplanes

$$\Pi_{j,\delta} := \left\{ [(w_k)_{k=1, \dots, N}] \in \mathbb{C}\mathbb{P}^{N-1} \mid w_{2j-1} + (-1)^\delta i w_{2j} = 0 \right\}, \quad \forall (j, \delta) \in \{1, \dots, N/2\} \times \{0, 1\},$$

which are located in general position.

Assume now that  $N$  is odd.

Write  $\mathbf{p} = (p_k)_{k=1, \dots, N}$  and consider a nowhere zero  $\phi \in \Omega_0(\mathcal{N})$  with  $\int_\gamma \phi = i p_N(\gamma), \forall \gamma \in \mathcal{H}_1(\mathcal{N}, \mathbb{Z})$  (see Claim 4.9). Fix a compact disc  $M \subset \mathcal{N}$  and a point  $P_0 \in M^\circ$ . Take  $\lambda_j \in \mathbb{C} - \{0\}$  and  $\Phi_j = (\phi_{j,1}, \phi_{j,2}) \in \Omega_0(M)^2, j = 1, \dots, (N-1)/2$  so that:

- $\sum_{j=1}^{(N-1)/2} \lambda_j^2 = -1$ ,
- $\phi_{j,1}$  and  $\phi_{j,2}$  are linearly independent in  $\Omega_0(M)$  and  $\phi_{j,1}^2 + \phi_{j,2}^2 = \lambda_j^2 \phi^2|_M, \forall j = 1, \dots, (N-1)/2$ ,
- the minimal immersion  $X : M \rightarrow \mathbb{R}^N, X(P) = \text{Re}(\int_{P_0}^P ((\Phi_j)_{j=1, \dots, (N-1)/2}, \phi))$  is non-decomposable and full, and
- $G_X$  is non-degenerate.

For any  $j = 1, \dots, (N-1)/2$  consider  $\Psi_j = (\psi_{j,1}, \psi_{j,2}) \in \Omega_0(\mathcal{N})^2$  given by Theorem 4.4, case (A), applied to the data

$$\mathcal{N}, \quad M, \quad \mathcal{I} = |\phi|^2, \quad \mathfrak{f} = \iota(\mathfrak{p}_{2j-1}, \mathfrak{p}_{2j}), \quad \Theta = \lambda_j^2 \phi^2, \quad \Phi = \Phi_j,$$

and  $\epsilon > 0$  which will be specified later.

As above

$$Y : \mathcal{N} \rightarrow \mathbb{R}^N, \quad Y(P) = \operatorname{Re} \left( \int_{P_0}^P ((\Psi_j)_{j=1, \dots, (N-1)/2}, \phi) \right)$$

is the immersion we are looking for, provided that  $\epsilon$  is small enough. In this case  $G_Y$  fails to intersect the following hyperplanes of  $\mathbb{C}\mathbb{P}^{N-1}$  located in general position:

$$\Pi_{j,\delta} := \left\{ [(w_k)_{k=1, \dots, N}] \in \mathbb{C}\mathbb{P}^{N-1} \mid w_{2j-1} + (-1)^\delta \iota w_{2j} = 0 \right\},$$

$\forall (j, \delta) \in \{1, \dots, (N-1)/2\} \times \{0, 1\}$ , and

$$\Pi := \left\{ [(w_k)_{k=1, \dots, N}] \in \mathbb{C}\mathbb{P}^{N-1} \mid w_N = 0 \right\}.$$

The proof is done. □

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