Strong quantum confinement effects in SnS nanocrystals produced by

ultrasound-assisted method

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Abstract

Nanocrystalline SnS powder has been prepared using tin chloride (SnCl₂) as a tin ion source and

sodium sulfide (Na₂S) as a sulfur ion source with the help of ultrasound irradiation at room

temperature. The as-synthesized SnS nanoparticles were quantitatively analyzed and

characterized in terms of their morphological, structural and optical properties. The detailed

structural and optical properties confirmed the orthorhombic SnS structure and a strongly blue

shifted direct band gap (1.74 eV), for synthesized nanoparticles. The measured band gap energy

of SnS nanoparticles is in a fairly good agreement with the results of theoretical calculations of

exciton energy based on the potential morphing method (PMM) in the Hartree Fock

approximation.

Keywords: Quantum Confinement effect; SnS; Semiconductor nanoparticles; X-Ray Diffraction;

Potential Morphing Method; Ultrasound irradiation.

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1. Introduction

Quantum confinement effect in semiconductor nanomaterials has been of special interest during the last decades. Quantum confined semiconductor nanocrystals, which exhibit properties different from bulk materials, are a new class of materials that hold considerable attention for numerous applications in the field of optoelectronics. Modification of molecular design and morphology of such nanostructures provides a powerful approach to control their electronic and optical properties. Reduction in the size of particles to nanometer ranges, changes the degree of confinement of charge carriers, which affect the electronic and optical properties of semiconductor materials (Alivisatos, 1996; Henglein, 1989; Liu et al., 2006; Ögüt et al., 1997; Rama Krishna & Friesner, 1991; Trindade et al., 2001). These unique characteristics of semiconductor nanostructured materials originate from the quantum confinement effects. From theoretical point of view, as the radius of particle approaches the exciton Bohr radius of a given material, quantization of the energy bands become apparent and a blue shift in the exciton transition energy can be observed (Baskoutas & Terzis, 2006; Wang & Herron, 1990). Among the extensively studied IV-VI semiconductor materials, tin sulfide is very important narrow gap material because of its low toxicity and wide applications as an absorber layer in solar cells, near infrared materials, holographic recording media and solar control devices (Liu et al., 2010; Rudel, 2003; Winship, 1998). It is important and necessary to study the band gap changes in semiconductor nanostructures in order to gain a better understanding for their relevant properties. Also band gap engineering of the semiconductor nanostructures by the control of nanostructure sizes is important. Experimental studies showed that semiconductor SnS exhibit p and n type conduction and has both a direct optical gap located at 1.3 eV and indirect optical band gap located at 1.1 eV (Bashkirov et al., 2011; Ning et al., 2010; Yue et al., 2009). In order to obtain nanostructured SnS, the following methods are used: spray pyrolysis of the water solution (Reddy et al., 1999; Thangaraju & Kaliannan, 2000), vacuum evaporation (Johson et al., 1999), chemical vapor deposition (Ortiz et al., 1996; Price et al., 2000), chemical bath deposition (Engelken et al., 1987; Tanusevski, 2003), electro deposition and electrochemical deposition (Chazali et al., 1998; Takeuchi et al., 2003), chemical synthesis (Gou et al., 2005), microwave assisted synthesis (Chen et al., 2004), mild solution route (Li et al., 2002), modified solution dispersion method (Zhao et al., 2004), two gas process (Reddy & Reddy, 2002), solvothermal process (Panda et al., 2006; Paul & Agarwal, 2007; Paul et al., 2008; Qian et al., 1999),

successive ionic layer adsorption and reaction (SILAR) method (Ghosh et al., 2008), hydrothermal synthesis (Biswas et al., 2007), and molecular beam epitaxy (Nozaki et al., 2005).

Generally, most of the above mentioned methods require high temperature as well as the use of highly sensitive toxic solvents. Our attempt is to obtain high quality materials under normal laboratory conditions, using safer precursors by applying ultrasonic waves. Previously ultrasonic waves have been used for the preparation of nanomaterials (Azizian-Kalandaragh et al., 2009; Azizian-Kalandaragh & Khodayari, 2010a,b; Bhattacharyya & Gedanken, 2008; Goharshadi et al., 2009; Suslick, 1990; Suslick et al., 1990; Wang et al., 2002; Zhu et al., 2006).

Ultrasonic waves have been shown to cause physical and chemical effects such as fragmentation to small particles and acceleration of reactions, which may be used for the preparation of new materials with desirable properties.

During sonication, ultrasonic longitudinal waves are radiated through the solution causing alternating high and low pressure regions in the liquid medium. Millions of microscopic bubbles form and grow in the low-pressure stage, and subsequently collapse in the high-pressure stage.

Hot spots that are localized regions of extremely high temperatures as high as 5000 K, and pressures of up to ~ 1800 atm can occur from the collapsing bubbles, and cooling rates can often exceed $\sim 10^{10}$ K s⁻¹. The energy released from this process, known as cavitation, would lead to enhanced chemical reactivity and accelerated reaction rates (Suslick, 1998).

In this paper we report the preparation of SnS nanocrystals with the help of ultrasonic irradiation. We have chosen this method because of its many advantages, such as easier composition control, low toxicity, better homogeneity, low processing temperature, easier fabrication of large numbers of nanoparticles, lower cost, and possibility of using high purity starting materials. In this paper we also report the morphological, optical and structural properties of SnS nanocrystals. As our results indicate, the absorption edge is shifted towards the lower wavelength side (i.e. blue shift) and direct energy gap of SnS nanocrystals is estimated to 1.74eV.The results are compared to theoretical calculations based on the potential morphing method (Rieth et al., 2002) (PMM) in the Hartree Fock approximation (Baskoutas, 2005a,b; Baskoutas et al., 2006a,b; Baskoutas & Terzis, 2006; Poulopoulos et al., 2011). This method, based on the adiabatic theorem of quantum mechanics which states that if the Hamiltonian of the system varies slowly with time then the nth eigenstate of the initial Hamiltonian will be carried into the

nth eigenstate of the final Hamiltonian, solves the Schrödinger equation for any arbitrary interaction potential. In the present case, the PMM based results exhibit a fairly good agreement with the experimental data. This combined experimental and theoretical work provides a better insight on the quantum confinement effects in SnS nanoscaled systems.

2. Experimental details

2.1 Materials and Instruments

Sodium sulfide hydrate was obtained from Sigma-Aldrich, Triethanolamine (TEA) was obtained from Rankem ,Tin (II) chloride dihydrate, polyvinyl alcohol (PVA) and absolute ethanol were obtained from Merck. All the reagents used as-received without purification.

X-ray diffraction (XRD) analysis of drop-coated films on an ordinary glass substrate from the SnS nanocrystals was carried out on a Philips X' Pert Pro with CuKα radiation. The optical properties of sample were monitored on a Carry 5 UV-Visible spectrophotometer (model Varian). Scanning electron microscopy (SEM) measurements were performed on a LEO 1430VP instrument operated at an accelerating voltage of 15 kV. The elemental analyses of the products were obtained by energy disperse X-ray analysis (EDAX) on the same LEO 1430VP instrument with accelerating voltage of 15 kV. Samples for SEM and EDAX studies were prepared by placing drops of the SnS nanostructured solutions on gold and palladium-coated SEM stage. Transmission electron microscopy (TEM) images of the sample were taken on a Philips CN10, TEM performing at an accelerating voltage of 100 kV. Samples for the electron microscope and EDAX analyses were prepared by ultrasonically dispersing the prepared SnS nanoparticles into absolute ethanol and distilled water, placing a drop of this suspension onto a special stage coated with gold and palladium alloys.

2.2 Preparation of SnS nanocrystals

In a typical procedure, for preparation of 0.2M solution of tin chloride, 0.90g of tin chloride powder is dissolved in 20 ml TEA, then 0.31g of sodium sulfide was dissolved in 20ml distilled water (0.2M). These two solutions were mixed and were put in a 100 ml round bottom flask. The

pH value of the mixture was 12. The mixture solutions were kept under high intensity ultrasonic transductor at room temperature for 2 hours. During irradiation 5ml of aqueous solutions of PVA (1%) were added to the mixture. At the end of the reaction, a great amount of black precipitates were obtained. After cooled to room temperature, the precipitates were centrifuged, washed by distilled water and absolute ethanol in sequence and dried in vacuum. Plenty of SnS nanoparticles have been prepared using this method and the yield of this preparation is high in comparison with most of chemical preparation methods. The final products were collected for characterizations. The products were characterized by XRD, SEM, TEM, EDAX and UV-Visible spectroscopy.

The formation mechanism of SnS nanocrystals with the reaction equation can be expressed as follows:

$$SnCl_2.H_2O+Na_2S. xH_2O \longrightarrow SnS + 2NaCl + xH_2O$$

The role of PVA is to stabilize the nanostructures preventing them from coagulation.

3. Theory

In the effective mass approximation the Hamiltonian for the electron hole system can be written as (Baskoutas, 2005a,b; Baskoutas et al., 2006a,b; Baskoutas & Terzis, 2006; Poulopoulos et al., 2011)

$$H = -\frac{\hbar^2}{2m_e^*} \nabla_e^2 - \frac{\hbar^2}{2m_h^*} \nabla_h^2 + V_0^e (r_e) + V_0^h (r_h) - \frac{e^2}{\varepsilon} \frac{1}{r_{eh}}$$
 (1)

where m_e^* (m_h^*) is the effective electron (hole) band mass, ε is the effective dielectric constant, $r_{\rm eh}$ is the electron – hole distance in three dimensions and $V_0^{e(h)}$ is the finite depth well confinement potential of electron (hole). As in our previous work (Poulopoulos et al., 2011; Baskoutas et al., 2006) we will also use here a reliable expression for the dielectric constant ε developed by Hanken (1956) and used by several authors for example Nanda et al., (2004); Pellegrini et al., (2005) and which has the following form

$$\frac{1}{\varepsilon(R_0)} = \frac{1}{\varepsilon_{\infty}} - \left[\frac{1}{\varepsilon_{\infty}} - \frac{1}{\varepsilon_0} \right] \left[1 - \frac{\exp\left(-\frac{R_0}{\rho_e}\right) + \exp\left(-\frac{R_0}{\rho_h}\right)}{2} \right]$$
(2)

where R_0 is the mean distance between the electron and hole (Nanda et al., 2004; Pellegrini et al., 2005) and approximately takes the values (Nanda et al., 2004) 0.69932R or R (Baskoutas et al., 2006), where R is the radius of the cluster and represents the half of the confining parameter which is the diameter of the nanocrystal. ε_0 and ε_∞ are the static and optical dielectric constants respectively, and $\rho_{e,h}$ are given as follows

$$\rho_{e,h} = \left(\frac{\hbar}{2m_{e,h}^* \omega_{LO}}\right)^{1/2} \tag{3}$$

where ω_{LO} is the frequency of LO phonons.

As regards the height of the finite depth well confining potentials $V_0^{e(h)}$ for electrons and holes, we have shown in our previous study (Baskoutas & Terzis, 2006) that is independent of the nanostructured semiconductor material and depends exclusively on the matrix energy band gap $E_g(M)$ by a simple linear relation of the form $V_0 = 0.08 \cdot E_g(M)$. Assuming also that the confining potential has the same value for both electron and hole, we set for our thin film system

$$V_0^e(r_e) = V_0^h(r_h) = \begin{cases} 0 & r < R \\ 0.08 \cdot E_g(M) & r \ge R \end{cases}$$
 (4)

where *R* is the radius of the nanocrystal.

The Hartre-Fock equations are solved in an iterative manner until self consistency is achieved. In each iteration the PMM (Baskoutas, 2005a,b; Baskoutas et al., 2006a,b; Baskoutas & Terzis, 2006; Poulopoulos et al., 2011) is employed as a subroutine for the calculation of the

corresponding energies and wavefunctions and thus the Hartree Fock potential for the next iteration. Actually, PMM solves the time-independent Schrödinger equation for an arbitrary interaction potential $v_{\rm S}(\vec{r})$ starting from a potential $v_{\rm R}(\vec{r})$ with well-known eigenvalues and eigenfunctions. The essential point is that the transition from potential $v_{\rm R}(\vec{r})$ to the potential $v_{\rm S}(\vec{r})$ by means of the time-dependent Schrödinger equations as follows: using the potential $v_{\rm R}(\vec{r})$ and $v_{\rm S}(\vec{r})$, we formulate a time-dependent Schrödinger equation (Rieth et al., 2002)

$$i\hbar \frac{\partial \Phi(\vec{r},t)}{\partial t} = \left\{ -\frac{\hbar^2}{2m} \nabla^2 + (1 - \sigma(t)) v_R(\vec{r}) + \sigma(t) v_s(\vec{r}) \right\} \Phi(\vec{r},t), \qquad (5)$$

where $\sigma(t)$ has the following property:

$$\sigma(t) = \begin{cases} 0, & t \le t_a \\ 1, & t \ge t_b \end{cases}$$
 (6)

For $t_a \le t \le t_b$ (t_a is the morphing starting moment, t_b is the morphing ending moment). The function $\sigma(t)$ should increase monotonically. Moreover, we solve equation (5) numerically. After a large number of time steps (so that $t > t_b$), the energy eigenvalue E_s for the potential $v_s(\vec{r})$ is given by

$$E_{S} = \int d^{3}r \Phi_{S}^{*}(\vec{r}) \left\{ -\frac{\hbar^{2}}{2m} \nabla^{2} + \nu_{S}(\vec{r}) \right\} \Phi_{S}(\vec{r}), \qquad (7)$$

where Φ_s is the wave function of the system under consideration. In the present calculations, the reference system for PMM is set to be the three-dimensional harmonic oscillator with the well known eigenfunctions (Greiner, 1989)

$$\Phi_{nlm}(r,\theta,\phi) = r^l e^{-\frac{m\omega}{2\hbar}r^2} {}_1F_1(-n,l+3/2,\lambda r^2) Y_{lm}(\theta,\phi)$$
(8)

where $_1F_1(-n,l+3/2,\lambda r^2)$ is the hypergeometric function (Greiner, 1989). The interaction potential is

$$v_S(\vec{r}) = v_{HF}(\vec{r}) + v_C(\vec{r}),$$
 (9)

where $v_{HF}(\vec{r})$ is the Hartree Fock potential for the electron (or hole), while $v_C(\vec{r})$ is the electron (or hole) confinement potential which is given explicitly in equation (4). It should be noted here that adopting the harmonic oscillator as a reference system does not affect our results because the PMM needs only a known reference system to start the morphing process and finally to give the eigenfunctions and eigenvalues for the unknown system, independently from the choice of the initial reference system (Rieth et al., 2002).

When the procedure reaches a self consistent solution, then the exciton energy is calculated by the sum of the corresponding electron and hole energies

$$E(X) = \tilde{E}_a + \tilde{E}_b \tag{10}$$

and the effective band gap is given by (Baskoutas, 2005a,b; Baskoutas et al., 2006a,b; Baskoutas & Terzis, 2006; Poulopoulos et al., 2011)

$$E_{\scriptscriptstyle R} = E_{\scriptscriptstyle o} + E(X) \tag{11}$$

where E_g is the bulk band gap energy.

4. Results and discussion

The quantitative analysis of the as prepared product was carried out using the EDAX technique. Figure 1 shows typical EDAX spectrum and details of relative analysis for SnS nanocrystals. The spectrum illustrates the actual distribution of Sn and S of the prepared sample separately. It is evident from the analysis that the product contains Sn and S materials with a same average atomic percentage ratio (1:1). Note that non-labeled peaks in EDAX spectrum comes from the Au-Pd sputter coating and glass stage.

The morphology of the as-prepared products was studied by SEM. The SEM image (Figure 2) show that the product is consisting of very small spherical SnS nanocrystallites aggregated in the form of polydispersive nanoclusters with sizes smaller than 100 nm. It is very hard to discuss about nanoparticles size using SEM images, but from images it is clear that the sizes are in the order of very small nanoparticles.

In order to further elucidate the morphology and the size of nanoparticles, Transmission Electron Microscopy (TEM) image was taken and is shown in Figure 3. Comparison of TEM and SEM images confirms the formation of very small spherical SnS nanoparticles which most of them aggregated together in the form of polydispersive nanoclusters.

The particle size distribution was also measured from the bright-field TEM image shown in Figure 4. The detection and measurement of the nanoparticles (segmentation) on this type of samples is difficult because thickness changes locally, and diffraction from different crystal orientations introduce large contrast variations. First, the image was preprocessed by adjusting the contrast and brightness to minimise the speckle contrast of the background due to the carbon film used to support the sample. Secondly, the SnS nanoparticles were segmented using a semiautomatic procedure which combines interactive segmentation with adaptative thresholding, obtaining an mean particle diameter of 3.2 nm with a standard deviation of 1.9 nm (Gontard et al., 2011).

Figure 5 show the XRD pattern of as-prepared SnS nanocrystals. Several peaks corresponding to diffraction of orthorhombic SnS appear clearly in the figure. This clearly proves polycrystalline nature of the as-prepared product which the appeared peaks are very consistent with the values in the standard card of SnS phase (JCPDS No. 39-0354). The broadness of the peaks indicates that the size of structure is reasonably nanocrystalline in nature. The crystallite size of SnS nanoparticles was calculated using Debye-Scherrer formula (Guinier, 1963) $D = \frac{0.9\lambda}{\beta\cos(\theta)}$.

Here, D is the coherent length, λ the wave length of X-ray radiation, β the full-width at half-maxima (FWHM) of the prominent peak and θ is the angle of diffraction. So the corresponding crystallite size of nanoparticles obtained smaller than 4nm in the case of broadened peaks.

Figure 6 shows the plot of $(\alpha h \nu)^2$ versus photon energy $(h \nu)$ of the SnS nanocrystals and also absorption spectrum of the as-prepared SnS nanocrystals. Investigations prove that the

absorption reduces rapidly with the increase of wavelength. These values were used to determine absorption coefficient α . The absorption coefficient α of SnS nanocrystals, was calculated from the average absorption index A as $\alpha = \frac{4\pi A}{\lambda}$ (Suslick, 1988). The optical energy gap of the SnS nanocrystal was evaluated by using the relation $a = \frac{A(h\nu - E_g)^n}{h\nu}$ where A is an energy

The curve has a good straight line fit with higher energy range above the absorption edge, indicating a direct optical transition edge. Based on Figure 6, the direct energy gap of the sample has been calculated as 1.74 eV which is blue shifted in comparison the bulk band gap.

independent constant and n characterizes the transition process (El-Nahass et al., 2002).

Now in order to investigate the above system theoretically with PMM we assume that the matrix is PVA with $E_g(M) = 4.98$ eV (Mahendia et al., 2011) and we use the following material parameters for SnS: $m_e^* = 0.5$ m_0 (Vidal et al., 2012), $m_h^* = 0.109$ m_0 (Reddy & Reddy, 2006), where m_0 is the electron mass, $\varepsilon_0 = 32$ (Chandrasekhar et al., 1977) and $\varepsilon_\infty = 16$ (Chandrasekhar et al., 1977) and $\hbar \omega_{L0} = 71$ meV (Chandrasekhar et al., 1977) and $E_g(bulk) = 1.296$ eV (Parenteau & Carlone, 1990). The effective band gap is calculated according to the relation (11) and the theoretical results are depicted in Figure 7. The size of the nanoparticles which can be estimated from the curve of Figure 7 is 4 nm (corresponding to the energy value 1.74 eV) and is in a fairly good agreement with the size which is obtained from the Debye-Scherrer formula and TEM image analysis.

4. Conclusion

In conclusion, for the first time, using a novel, very simple and not expensive procedure, SnS nanocrystals have been synthesized via ultrasonic waves at normal laboratory conditions. The assynthesized SnS nanoparticles were quantitatively analyzed and characterized in terms of their morphological, structural and optical properties. The SnS nanocrystals appear strongly blue shifted direct band gap energy with a value 1.74 eV. Comparison with the theoretical curve of the exciton energy versus the particle size, which is obtained with the PMM method in the

Hartree Fock approximation, shows a fairly good agreement indicating that the observed blue shift is attributed clearly to the effect of the quantum confinement.

Acknowledgements

The support by the University of Mohaghegh Ardabili, Ardabil, Iran, to carry out this work is gratefully acknowledged. This research has been also co-financed by the European Union (European Regional Development Fund-ERDF) and Greek national funds through the Operational Program "Regional Operational Programme" of the National Strategic Reference Framework (NSRF)-Research Funding Program: Support for research, technology and innovation actions in Region of Western Greece (MIS: 312123, D.237.002).

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Figure Captions:

- Figure 1. EDAX spectrum of the as-prepared SnS nanocrystals.
- Figure 2.. SEM image of the as-prepared SnS nanocrystals
- Figure 3. Typical TEM image of SnS nanocrystals
- Figure 4. (Left) Image on which an over layer of the boundaries and center of masses of the segmented particles has been added to the original bright-field TEM image shown in Figure 3. Adaptative thresholding with 80 x 80 divisions and a kernel size of 5 pixels was used. (Right) Histogram of the size distribution of the nanoparticles and several statistical parameters.
- Figure 5. XRD pattern of the as-prepared SnS nanocrystals

Figure 6. Plot of $(\alpha h v)^2$ versus (hv) and UV-visible absorption spectrum of the as-prepared SnS nanocrystals.

Figure 7. Theoretical curve of the optical band gap as a function of the nanocrystal radius (with PMM in the Hartree Fock approximation)