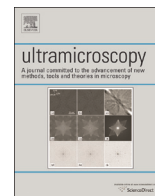




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Removing the effects of the “dark matter” in tomography

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ABSTRACT

Electron tomography (ET) using different imaging modes has been progressively consolidating its position as a key tool in materials science. The fidelity of a tomographic reconstruction, or tomogram, is affected by several experimental factors. Most often, an unrealistic cloud of intensity that does not correspond to a real material phase of the specimen (“dark matter”) blurs the tomograms and enhances artefacts arising from the missing wedge (MW). Here we show that by simple preprocessing of the background level of any tomographic tilt series, it is possible to minimise the negative effects of that “dark matter”. Iterative reconstruction algorithms converge better, leading to tomograms with fewer streaking artefacts from the MW, more contrast, and increased accuracy. The conclusions are valid irrespective of the imaging mode used, and the methodology improves the segmentation and visualisation of tomograms of both crystalline and amorphous materials. We show examples of HAADF STEM and BF TEM tomography.

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1. Introduction

Electron tomography (ET) in materials science is a technique based on the acquisition of a series of projected images from a sample by tilting the specimen using a microscope goniometer and can be combined with spectroscopic data [1–4]. It provides important 3D structural and chemical information that makes it possible to correlate the synthesis, structure, and functionality of a diversity of nanostructures even at atomic resolution [5–16]. ET application follows a series of steps (Fig. 1a). In transmission electron microscopy (TEM), usually ‘single-axis tilting’ is used, in which the specimen is tilted about the eucentric axis of the specimen holder rod. After accurate alignment of the tomographic tilt series, reconstruction, segmentation, and visualisation of the 3D volume or tomogram are the steps necessary to extract quantitative morphological information from the 3D tomograms [17–20].

For optimal results, the specimen must be tilted over a tilt that is as close to $\pm 90^\circ$ as possible, but in practise the maximum tilting range rarely exceeds $\pm 75^\circ$. This is the well-known problem of the missing wedge (MW) of information that results in a decrease of the spatial resolution and the presence of artefacts in the tomogram [1–4]. For certain types of sample geometries, the use of double-tilting, full-rotation holders and adapted preparation methods can be used for decreasing the MW [21,22]. Important progress in ET has resulted from digital processing of tomographic tilt series before 3D reconstruction by accurate interpolation of

sinograms, edge detection, contrast enhancement, and accurate alignment of tilt series [23–26]. The next step is the reconstruction of the tomogram, typically using weighted back-projection (WP) or the simultaneous iterative reconstruction technique (SIRT) [3,24]. Nonetheless, much effort is being put into novel reconstruction algorithms which can notably improve the quality of tomograms, even with low sampling and limited angular range, using either the discretisation of intensities, the calculation of sinusoidal trajectories in sinograms, the incorporation of geometric prior knowledge, or compressive sensing [27–31]. Tomograms obtained after the reconstruction are 3D datasets containing a continuous range of intensity levels, and therefore in order to extract useful information, visualisation and segmentation are required in combination with the application of sophisticated algorithms, such as anisotropic non-linear diffusion, to reduce noise and enhance local structure without worsening the resolution or structural information, adaptive thresholding, or equalisation in real space [32–35]. In the present work, we focus on the effects of an experimental parameter: the minimum value of the intensity used to acquire a tomographic tilt series. Our results show that by optimisation of the background level of a tomographic tilt series it is possible to dramatically improve the quality of the tomogram.

2. Methods

In our experiments we use a commercial software package for acquisition and reconstruction of tomographic tilt series called *Explore3D*, which is the standard for TEMs manufactured by FEI.

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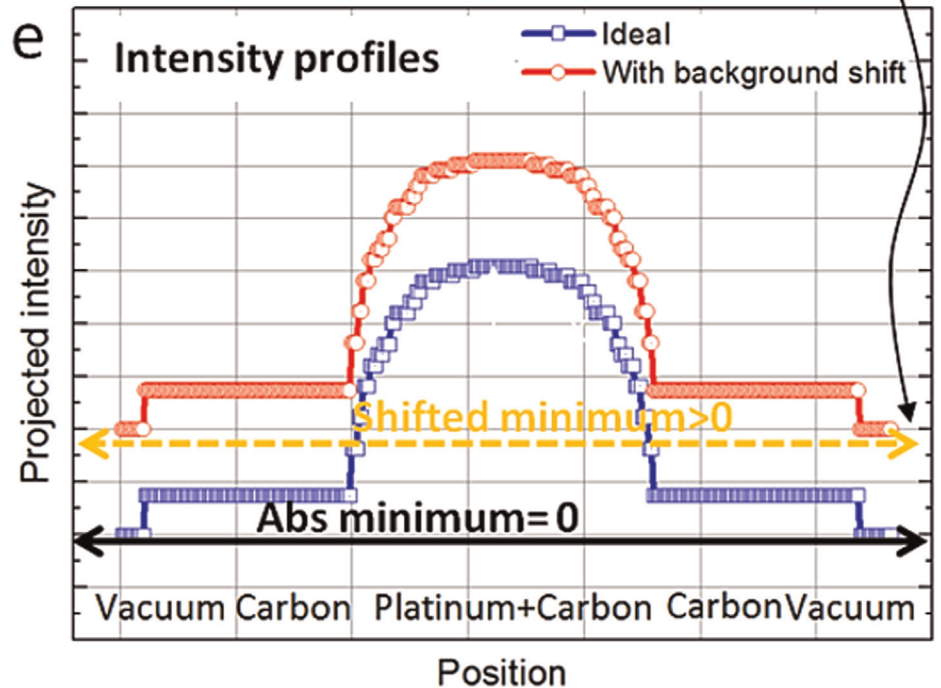
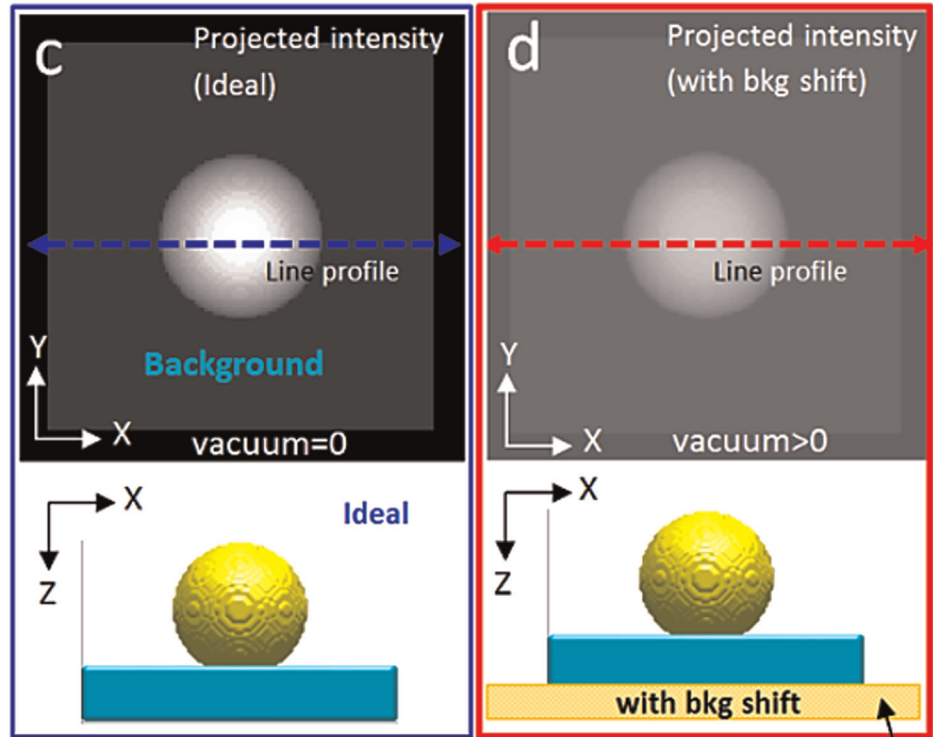
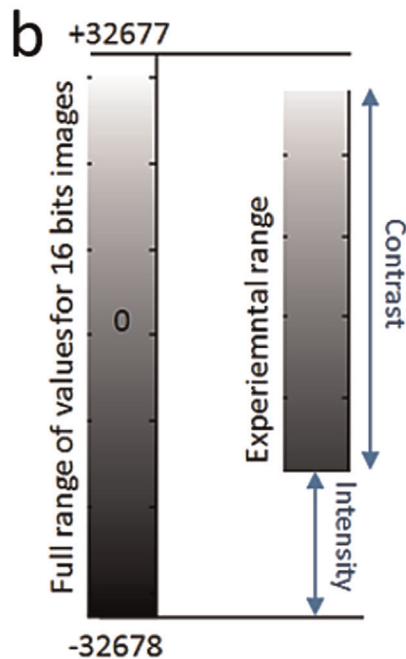
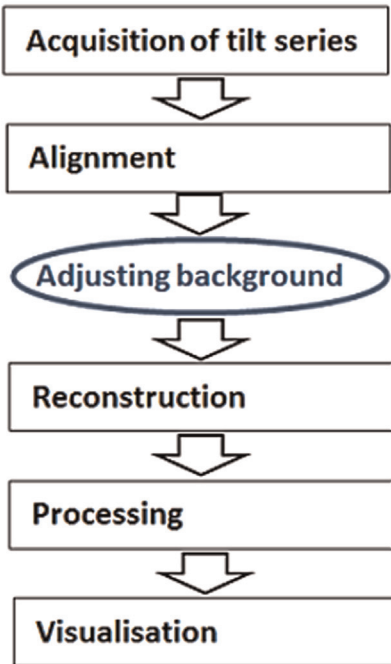


Fig. 1. Tomographic tilt series and minimum intensity. (a) Typical steps performed in electron tomography. A step consisting in the optimisation of the background level of a tilt series is required before reconstruction. (b) Difference between the bit resolution of the detector and the range of intensities used while adjusting I/C. (c and d) Model of one spherical Pt particle surrounded by vacuum and the corresponding projected intensity images with and without background shift. (e) Intensity profiles measured along the arrowed lines shown in (c) and (d). In the ideal situation, the vacuum level coincides with the absolute minimum value of the recording system (blue curve with squares). In practise the images of a tilt series are often recorded with a different minimum (red curve with circles). The shift is equivalent to the presence of a layer of material that does not exist. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

The file format is a version of the original one.mrc created by the Medical Research Council. With this software, the pixel intensities of the tilt series of images are recorded as numbers with the format of *integer signed* and 16 bits, meaning that pixels can take discrete values in the range between $-32,678$ and $+32,677$. In order to optimise the background of the tilt series, the minimum value of the matrix I_{\min} is found and the values of the matrix are downshifted by an amount given by the difference between

$-32,678$ and I_{\min} . The resulting matrix is saved back into the FEI format for subsequent tomographic reconstruction.

It is worth mentioning here that the basis of tomography, the Radon transform defines a projection function (an image in TEM) as an integral from zero. Similarly, in order to converge to a correct solution iterative reconstruction methods require the values of intensities to be positive, i.e., that the intensities must be equal or above zero [36]. Because the software of FEI reconstructs

tomographic series correctly we assume here that the range of signed values used in the FEI software is only for storage and visualisation purposes, and that the reconstruction algorithms must rescale internally the intensities so that they are unsigned for reconstruction purposes.

The tomographic HAADF STEM series shown in Fig. 3 was obtained using a Tecnai F30 with a field-emission gun (FEGTEM) operated at 200 kV. Images in Fig. 4 were acquired using an aberration-corrected Titan TEM (FEGTEM) operated at 300 kV and a camera length corresponding to inner detector semi-angles of ≈ 90 mrad to enhance the contrast between the cores and the shells of the particles while minimising diffraction. Images were acquired using a single-tilt tomography holder model 2020 (from Fischione Instruments). Alignment and tomographic reconstruction of the tilt series were performed using the SIRT algorithm with 15 iterations using Inspect3D software from FEI. The same software was used to invert the contrast of the BF TEM series of Fig. 5. Visualisation using voltex and orthoslices, segmentation, isosurface rendering, and Sobel filtering of the 3D datasets was performed using Avizo software. The tomographic BF TEM series shown in Figs. 5 and 6 was acquired using the same Titan microscope and a CCD camera. Image contrast is defined as

$$C = I_{\max} - I_{\min} / I_{\max} + I_{\min}$$

For the optimisation of the background of the tilt series and algorithm was implemented using Matlab. An aligned tilt series of images is loaded into a matrix. The matrix contains the intensities of every pixel of the tomographic series. The intensities that form an image as measured by a detector system must be transformed into the digital domain by analogue-to-digital conversion so that pixel intensities that are recorded in an image take discrete values within a range that depends on the bit resolution of the detector system.

3. Intensity of a tilt series and background optimisation

When an experimental tomographic tilt series of images is acquired during an experiment, it is necessary to first adjust the minimum value and the range of values of pixel intensities acquired by a detector by varying the Offset and Gain (O/G) parameters (which correspond with the imaging parameters intensity and contrast). Normally, the adjustment of O/G is done by checking that the image is correctly recorded at a finite number of tilts (e.g. 0° , $\pm 30^\circ$, $\pm 70^\circ$). Nevertheless, because an useful tilt series requires the acquisition of many more images (typically with a tilt step of 2°), the O/G adjustment made for some images may not be valid for the whole series of images because there will be thickness changes and variations in diffraction contrast that cannot be controlled a priori. If the experiment is performed such that the intensity level is too low or if the contrast range is too wide, the pixel intensities of some images can fall outside the dynamic range of the detector, and parts of the images will become oversaturated or will be undersaturated at several tilts.

Therefore it is a common practise to adjust the O/G level within a range of values that is narrower than the full available bit resolution of the detector (or of the image format used for storing the images) (see Fig. 1b). The drawback of this strategy is that the background level of every image of the experimental tilt series will be shifted by an indefinite constant towards higher values of intensity. This is certainly the case for most specimens when there is not available a vacuum region to define a zero reference level of intensity. On the other hand, most often when performing ET of a nanostructure, we are not interested in its surrounding (the supporting film or a containing matrix). Hence, we propose here to minimise the

background level of intensity of the images of a tilt series at the expense of *not* reconstructing the space surrounding de nanostructure. Such step eliminates the uncertainty of lacking for a reference level of intensity while it improves the fidelity of the reconstruction of the nanostructure of interest.

Fig. 1c and d shows a simulated HAADF STEM image (representative of one image of a tomographic tilt series) of a model of a high-density spherical particle suspended on a lower density film and surrounded by vacuum. Intensities can take values between 0 (black) and 1 (white). In Fig. 1c the minimum intensity (that of vacuum) coincides with 0, the minimum value available with a hypothetical detector system. In Fig. 1d the minimum intensity (that of vacuum) is shifted to a value > 0 . Fig. 1e shows the intensity profiles along the centre of the images in Fig. 1c and d. The background shift (see dashed orange line) is equivalent to adding new material that is not real (orange colour in Fig. 1d) to the model of the specimen. But if a tilt series of images such the one in Fig. 1d is used for tomographic reconstruction, the orange layer will show up in the tomogram as a real material that will introduce artefacts. It is known that if a carbon film used as support for tomography is thick, then it can introduce artefacts in a reconstruction such as the top-bottom effect [37].

However, the problem described in this paper is a worse situation because the orange layer in Fig. 1d is present in every image of the tilt series, as a thick layer that is not limited in space and it will show up in every voxel (3D pixel) of the tomogram. Fig. 2a-c compares the tomographic reconstruction of an object model (a "phantom") shown in Fig. 2a with a more realistic reconstruction with a MW of 40° and using projections of the "phantom" with and without background shift (Fig. 2b and c respectively). Fig. 2d shows three intensity profiles along the central lines of the "phantoms". It is clear that in the case of using a tilt series without a shift of the background level (blue line, $I_{\min} \approx 0$) the contrast of the reconstruction increases, the intensities quantitatively match the intensity range of the original "phantom" more accurately, and there are fewer artefacts outside the phantom. In summary, if an inappropriate background level above zero is chosen an artificial extra thickness is added to the support of the sample in the experimental tomographic tilt series.

4. Experimental results

4.1. HAADF STEM tomography: Improving contrast and reducing artefacts

Fig. 3a is an experimental image acquired at 0° representative of a HAADF STEM tilt series of a sample of BiPO₄ star-like particles [38]. The tilt series was acquired with a minimum intensity value of -619 . This value is shifted by a large amount with respect to the absolute minimum value allowed by the detector system, that is, $-32,768$ for 16-bit resolution. The same tilt series was processed offline and its background level was downshifted by subtracting a constant value of $+32,016$ from every image (the difference between $-32,678$ and -619). Fig. 3b shows the central line profiles of intensities measured on the images at 0° tilt of the two tilt series with and without background optimisation. Tomograms were reconstructed from both tilt series and their histograms calculated (Fig. 3c). Both histograms display a maximum peak of counts at low intensities. These peaks correspond with voxels (3D pixels) that surround the particle. The histogram of the original tilt series (with an upwards background shift at -619) contains counts at intensities to the left of the maximum peak. So voxels of vacuum around the particle in are non-zero in the tomogram and blur the particle in Fig. 3d. In contrast, in Fig. 3e the contrast of the tomogram is much higher, and blurring around the particle

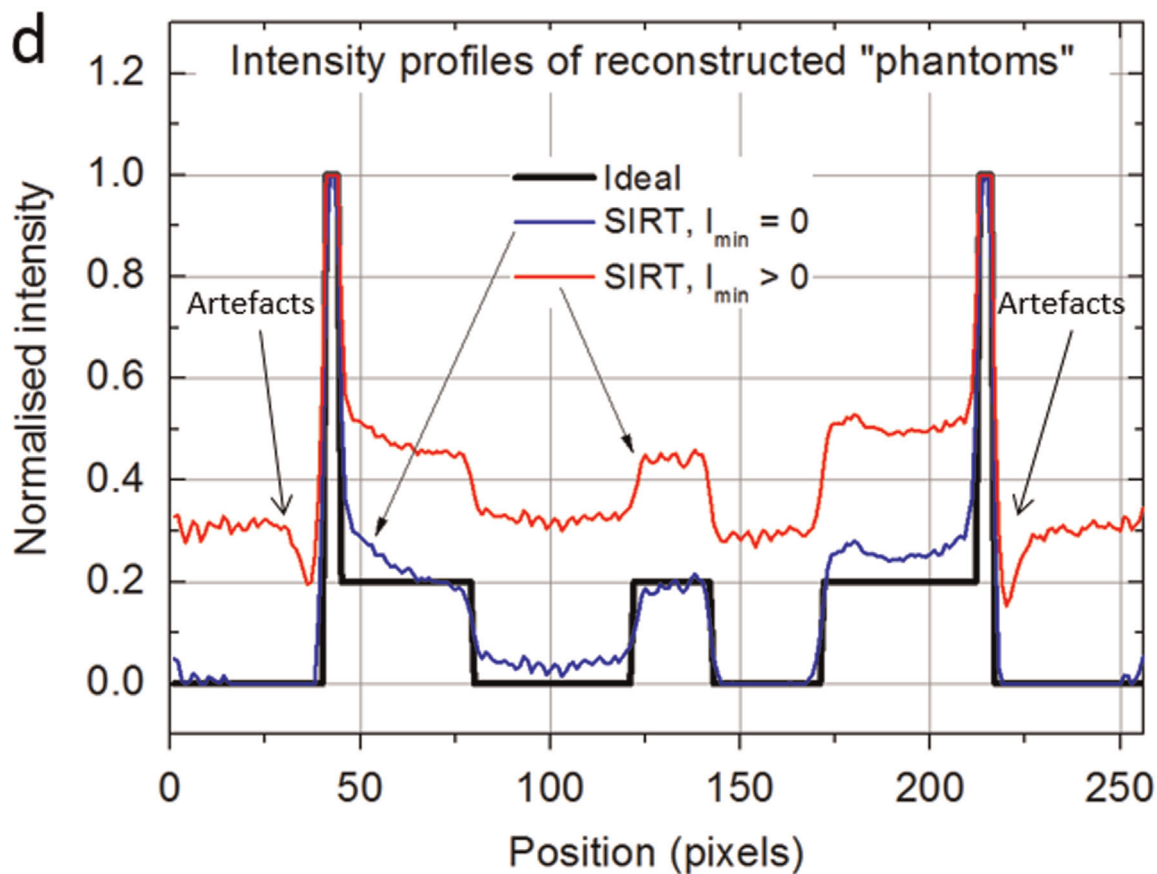
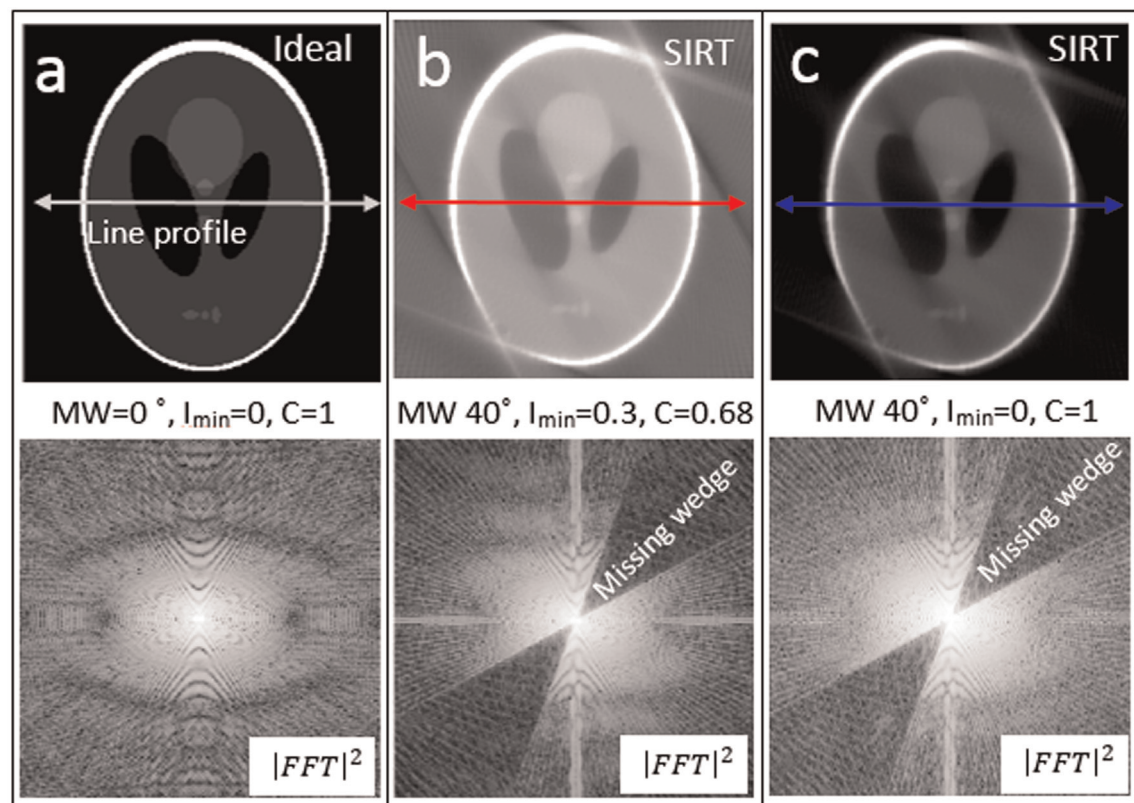


Fig. 2. SIRT reconstruction and background intensity. (a) Model of "phantom" that would be computed in an ideal tomographic experiment without MW. The full intensity range is [0, 1]. The background level is zero ($I_{\min}=0$), and the contrast of the tomogram is $C=1$. (b) Simulation of a reconstructed "phantom" with a MW of 40° and tilt step of 2° using SIRT with 50 iterations. I_{\min} of the tilt series shifted to 0.3. The contrast of the tomogram is now $C=0.63$. (c) Reconstructed "phantom" under the same conditions whereby (c) and the I_{\min} of the tilt series are set exactly to zero. The contrast of the tomogram is 1 and artefacts outside the phantom are minimised. Below the tomograms, the corresponding diffractograms are shown. (d) Line profiles of the intensity of the tomograms (b) and (c) compared to the ideal case (a).

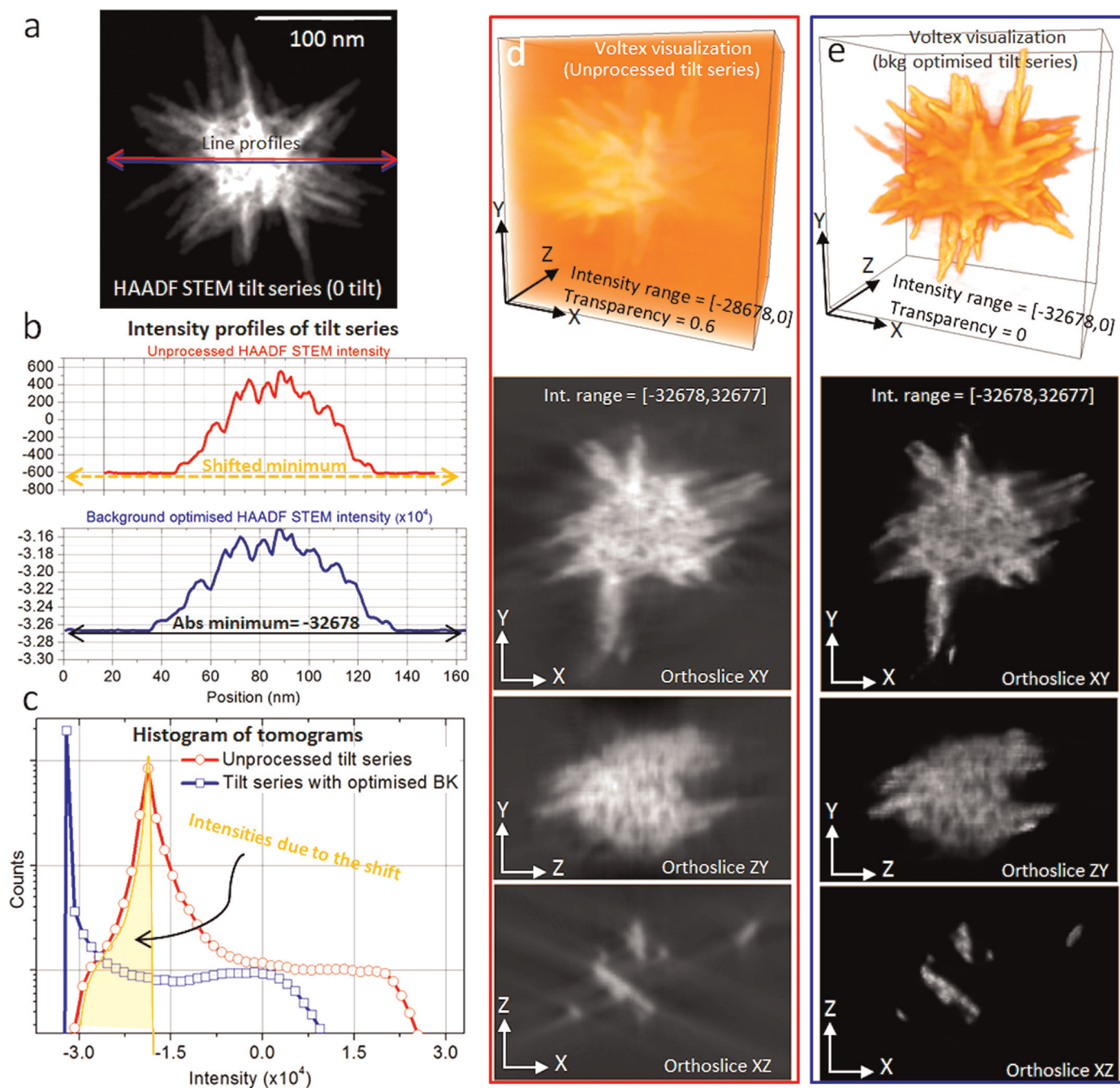


Fig. 3. Improving contrast and reduction of artefacts. a HAADF STEM image at 0° tilt representative of a tilt series of images of a BiPO₄ star-like particle acquired at 300 keV with a range of tilts between -70° and +70° (high tilt step of 1° above 60° and 2° tilt step below 60°). (b) The minimum intensity level recorded was at -619. After optimising the intensities, it was set to -32,635. (c) Histograms of the reconstructed tomograms before (in red and circles) and after background optimisation (in blue and squares). The histogram of the unprocessed series has intensity values to the left of the maximum peak (area in orange under the red curve) that blur the tomogram. (d) and (e) show the voltex visualisation and three orthogonal central sections of the tomograms. If the background of the tilt series is shifted, the tomogram will be surrounded by a cloud of intensity that does not correspond to real material ("dark matter") (Video S3 supporting info). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

disappears. Moreover, streaking artefacts characteristic of the MW have almost disappeared, as shown in the orthoslices in Fig. 3d and e. Note that the orthoslices were not manipulated and they are displayed with the full dynamic range [-32,678, 32,677].

4.2. HAADF STEM tomography: improvement of segmentation

Blurring and artefacts in tomograms make their processing for visualisation and accurate segmentation difficult, leading to a requirement for dedicated human intervention that is subjective and

time-consuming [17,19,25,35,39]. Fig. 4 shows an example of the improvement that can be obtained in the process of segmenting a tomogram when the background of the tilt series is optimised. The sample consist of bimetallic Au@Ag core-shell nanoparticles, used as tags in biorecognition, that were deposited on a thin carbon support for their investigation using HAADF STEM [40]. When the background is optimised (with a value close to -32,678), the edges and surfaces of the particles are much better defined (see orthoslices in Fig. 4c). Fig. 4d shows the same orthoslices after application of Sobel filtering, which is a common image-

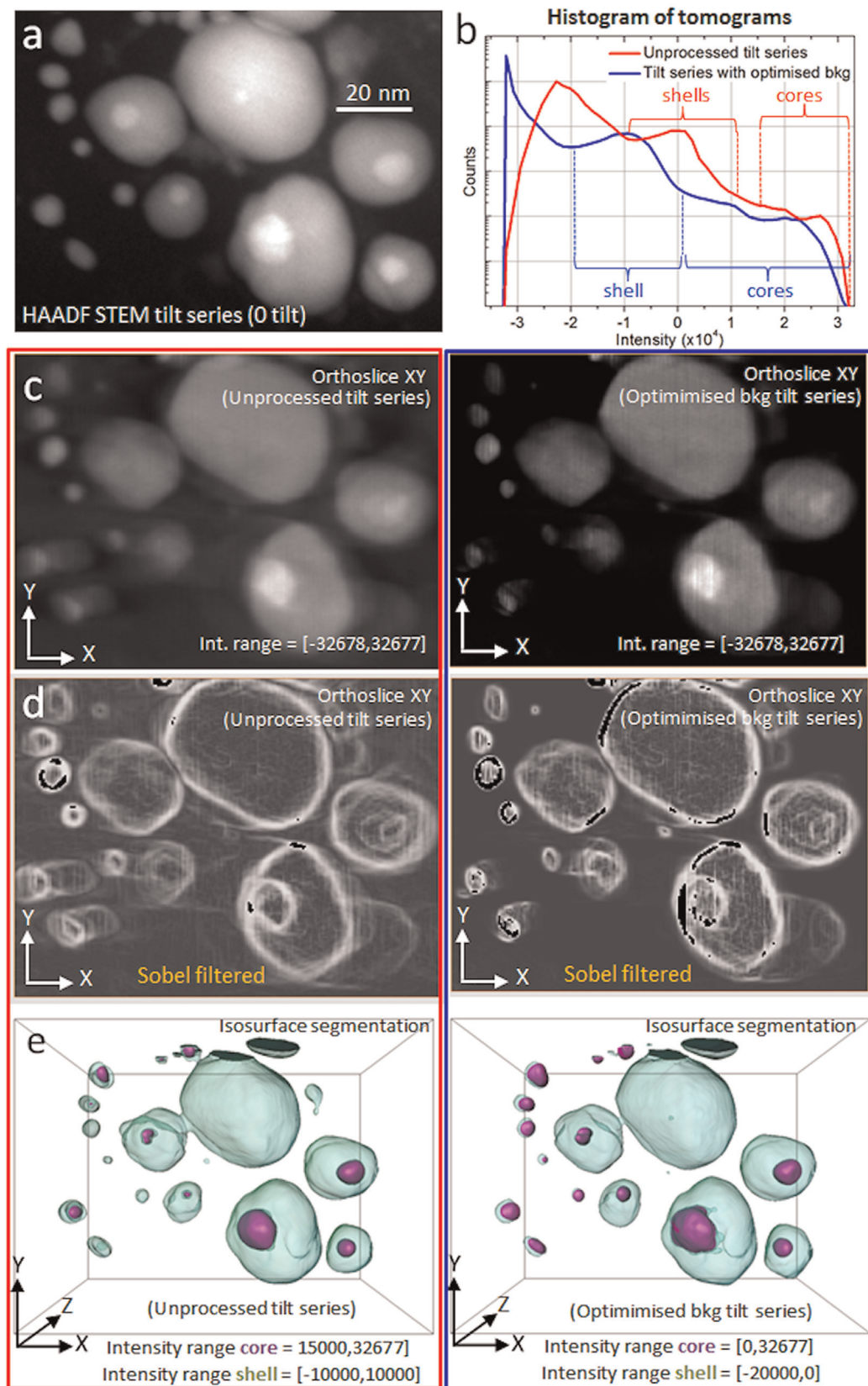


Fig. 4. Improvement of segmentation of tomograms. (a) HAADF STEM image at 0° tilt representative of a tilt series of 39 images of bimetallic Au/Ag core-shell nanoparticles with a tilt range from -60° to +70°, a high tilt step of 2°, and a low tilt step of 4° in steps with a tilt switch at 50°. (b) Histograms of the tomograms using the original tilt series (red) and the one with background optimisation (blue). (c) XY orthoslice of the tomogram obtained using the unprocessed tilt series (left) or the one with background optimisation (right). The contrast is enhanced and blurring disappears. (d) The same as in (c) after application of a Sobel filter. (e) Segmentation of the tomograms using the ranges of intensities in brackets shown in (a). (Video S2 supporting info). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

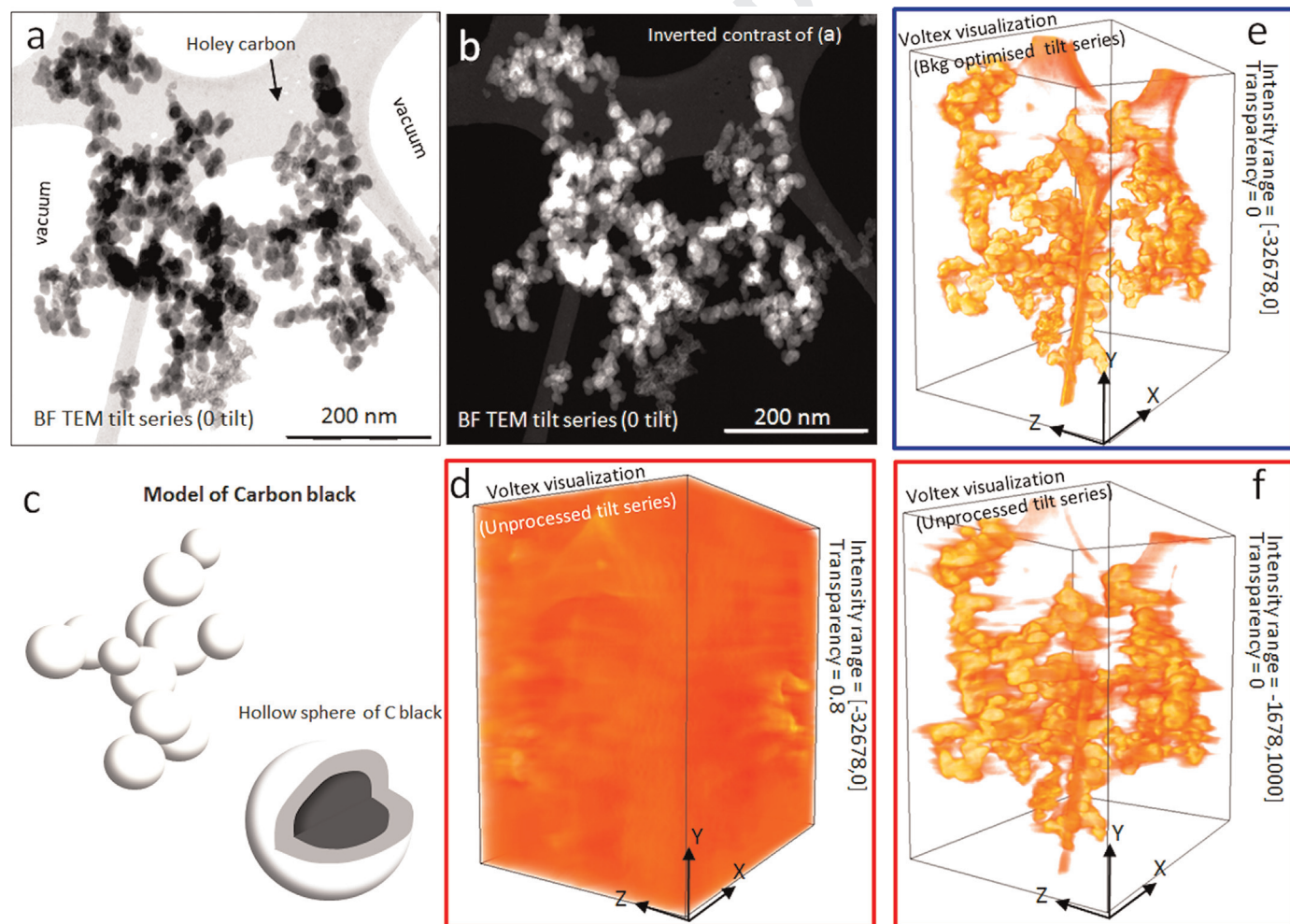
1 processing operator used in edge detection algorithms that em- 67
 2 phasises transitions of intensity maps by calculating local gra- 68
 3 dients. It is evident that there is an improvement in the quality of 69
 4 the tomogram when the background of the tilt series is optimised 70
 5 (Fig. 4d, right). Using surface rendering, Fig. 4g and h compares the 71
 6 differences in quality of the segmentation of the tomograms for 72
 7 separating the Au cores from the Ag shells using the intensity 73
 8 ranges shown in brackets in Fig. 4b. In the case of the tomogram 74
 9 using a tilt series with the optimised background, there is no gap 75
 10 between the two ranges of intensities used for the segmentation. 76
 11 Comparing Fig. 4e with the image at 0° tilt in Fig. 4a, background 77
 12 optimisation permits a more faithful segmentation/visualisation in 78
 13 terms of the sizes and shapes of the Ag particles and the Au cores. 79
 14 This is a clear indication that the reconstruction improves, because 80
 15 less blurring also implies a better separation of intensity ranges 81
 16 corresponding to distinct materials or phases present in the 82
 17 specimen. 83

18 Supplementary material related to this article can be found 84
 19 online at doi:10.1016/j.ultramic.2015.03.017. 85

20 4.3. BF TEM tomography: improvement of resolution. 21

22 BF TEM is normally used for ET of amorphous and soft 23

24 materials, which is typically the case of biological samples [21,41]. 25
 26 Fig. 5a shows a BF TEM image acquired at 0° tilt of a powder of 26
 27 Vulcan XC-72R, a commercial carbon black that find applications 27
 28 in heterogeneous catalysis and that lacks long-range order 28
 29 [19,20,42]. For tomographic reconstruction, the intensities of the 29
 30 tilt series were inverted ($I_{x,y} \rightarrow -I_{x,y}$), as shown in Fig. 5b, because 30
 31 it facilitates further segmentation of the tomogram although this 31
 32 step is not necessary in general. The minimum intensity value of 32
 33 the inverted series is found to be the value -5060 , which is 33
 34 greater than the absolute minimum of $-32,678$ for the image 34
 35 format used in our experiments. Hence, background optimisation 35
 36 of the BF TEM tilt series is a critical step in order to reconstruct 36
 37 better tomograms to compensate for the resulting offset of the 37
 38 vacuum level. The correction is applied by downshifting the in- 38
 39 tensities by an amount of $27,618$ that is the difference between 39
 40 $-32,678$ and -5060 . Fig. 5c shows a model of carbon black made 40
 41 of a tortuous 3D aggregation of spherical nanocrystallites. Fig. 5d 41
 42 and e are the voltex visualisation of the tomograms with and 42
 43 without background optimisation of the tilt series. As in the case 43
 44 of HAADF STEM tomography, Fig. 5f is the same as Fig. 5d but with 44
 45 the visualisation optimised in the range $[-1678, 1000]$ to remove 45
 46 the effect of the blurring. In the case of the voltex visualisation of 46
 47 the tomogram obtained using the optimised tilt series, only was 47



62 **Fig. 5.** Background optimisation in BF TEM tomography. (a) Representative image from a tomographic tilt series of 71 images of a powder of carbon black deposited on an 128
 63 amorphous holey carbon film and acquired in the angular range between -70° and $+70^\circ$ with a step of 2° . The intensity of the vacuum in (a) is not saturated ($I_{\text{vacuum}} =$ 129
 64 $5060 < \text{saturation value} = +32,677$). (b) Inverted version of (a) for tomographic reconstruction. (c) Model of carbon black as a 3D aggregation of hollow spheres with 130
 65 concentric layers of carbon. (d) Three-dimensional visualisation of the tomogram of the sample. (f) In order to remove the cloud of intensity in the vacuum that obscures the 131
 66 sample, it was necessary to adjust the intensity range to $[-1678, 1000]$. (e) Three-dimensional visualisation of the tomogram of the sample obtained using the tilt series with 132
 the background optimised. (Video S3 supporting info).

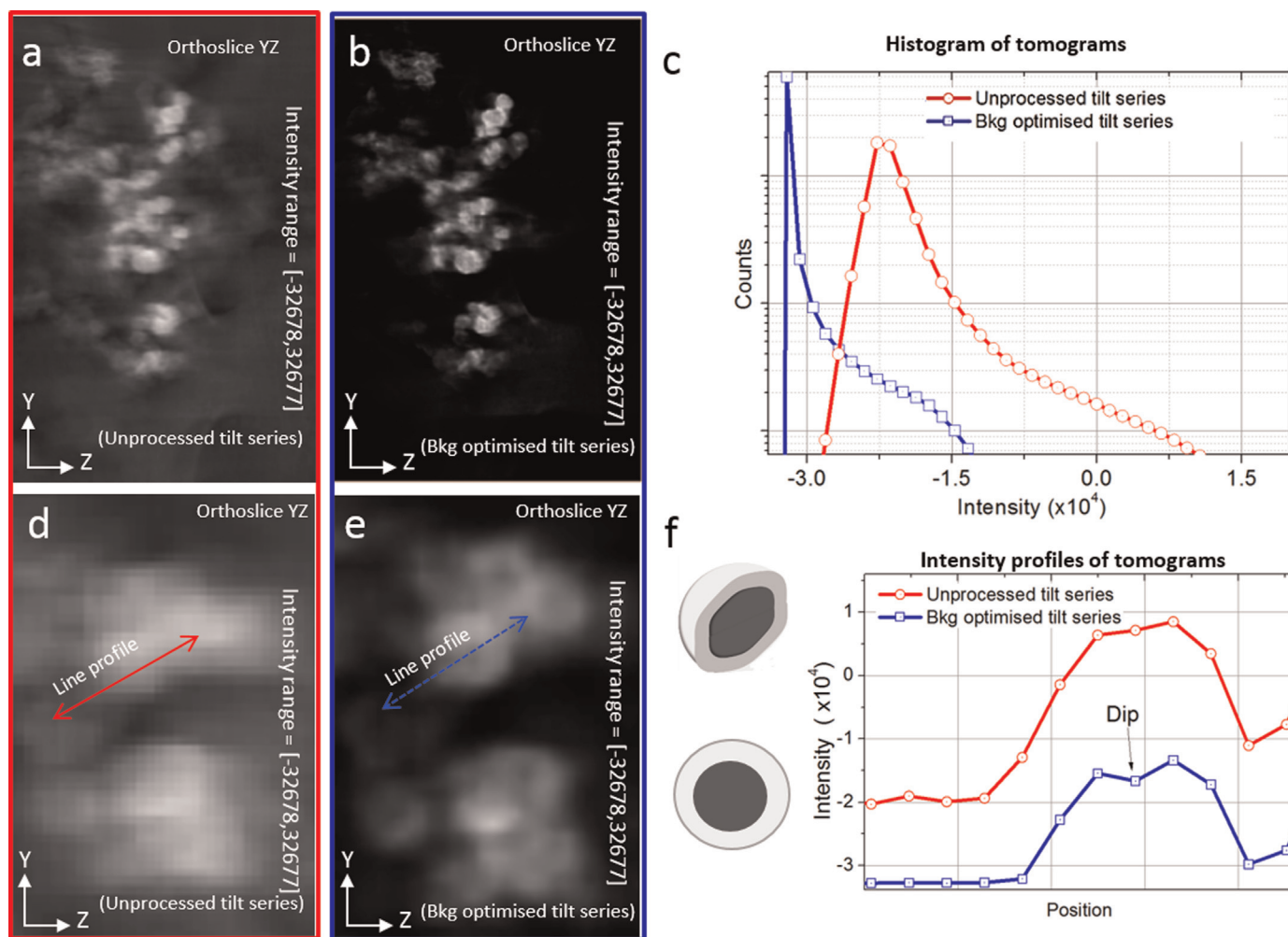


Fig. 6. Improvement of resolution in background-optimised BF-TEM tomography. (a) ZY orthoslice of the tomogram obtained using the unprocessed tilt series shown in Fig. 4. (b) The same orthoslice from the tomogram calculated from the background-optimised tilt series. (c) Histograms of the two tomograms. (d) and (e) are details of the fidelity of the reconstructed nanocrystallites. When the background is optimised, blurring largely disappears in ZY orthoslices and the hollow centres of the carbon spheres are clearly recognised. (f) Intensity profiles measured along the line profiles indicated in (d) and (e) with arrowed lines. When the background is optimised, the orthoslices of the tomogram correctly show the bright ring structure expected from a hollow sphere.

necessary to set the upper range to 0 for display purposes. Comparing Fig. 5e and f it is clear that when the tilt series is optimised the carbon film and the carbon black are better separated and there is less blurring in the z-direction.

The spherical crystallites in most commercial carbon blacks have an average of approximately four graphite concentric layers with a hollow centre [42]. Fig. 6a and b compares YZ orthoslices of the tomograms of the carbon black specimen using the unprocessed tilt series with the background optimised. In this case, artefacts are absent to a great extent, and details are clearly enhanced (spatial resolution improves). This is most evident in Fig. 6e, in which the crystallites show a bright rim with a dark centre, as one would expect for the hollow sphere crystallites of this carbon black.

5. Conclusion

In general, an absolute reference level of intensities is not available in ET unless an area of the sample in vacuum is exposed. If the minimum level of intensity of a tilt series that correspond with a real phase of the material is not adjusted so that it coincides with the absolute minimum value of the dynamic range of the electron detector system (or of the image format used), the

tomogram will display typically intensities in places which should correspond to vacuum. These intensities will blur the full volume and will enhance the artefacts introduced by the MW. In such cases, the fidelity of a tomogram obtained using iterative reconstruction methods can be greatly improved (with more contrast, fewer artefacts, and better accuracy) easily if the background level of the tilt series is downshifted before its reconstruction. Moreover, this step facilitates further segmentation and visualisation of the three-dimensional tomogram. We believe that this type of preprocessing should always be performed in order to obtain optimal results irrespective of the imaging technique used. It is also complementary with the use of other methodologies for minimising the MW effects. And we envisage that this simple methodology can be also an effective way of thresholding an embedding material or a low-density supporting thin film that are not part of the sample under examination but that are typically used for sample preparation of biological samples or as supports of nanostructures respectively.

Supporting information

- Three videos S1–S3 showing the visualisation of the tomograms before and after background processing.

- A programme of software written in Matlab for performing background optimisation of mrc files for tomographic reconstruction using FEI software is available at www.lcgontard.es. The file called *BKG_Optimisation_Tomography_LCG_2015.exe* is an executable and therefore it requires the preinstallation of Matlab MCR above version 2012b.

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