

Berth allocation planning in Seville inland port by simulation and optimisation

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Abstract

We study the problems associated with allocating berths for containerships in the port of Seville. It is the only inland port in Spain and it is located on the Guadalquivir River. This paper addresses the berth allocation planning problems using simulation and optimisation with Arena software. We propose a mathematical model and develop a heuristic procedure based on genetic algorithm to solve non-linear problems. Allocation planning aims to minimise the total service time for each ship and considers a first-come-first-served allocation strategy. We conduct a large amount of computational experiments which show that the proposed model improves the current berth management strategy.

Keywords: Berth allocation, Genetic algorithms, Port operations, Container transportation.

1. Introduction

The Port of Seville is the only inland port in Spain. It is located on the Guadalquivir River, in the city of Seville and it can be accessed by rail, air, road and motorway. It has always been one of Spain's main ports due to the high frequency in small ship traffic, including RO-ROs, ferries, feeders, etc. between Seville and the Canary Islands, and other Spanish and European ports.

Seville's inland port currently has a bottleneck because there is only one lock which is for small ships. Therefore, a new lock is being built that will increase the amount of ships which can enter in the coming years. Fig. 1 shows the port's future appearance with the new lock. This lock is highly important for Seville inland port to continue being one of the main intermodal centres in the south of Spain. The new lock improves the port's performance and will double current freight traffic. However, other processes and resources must be managed to maintain its competitiveness.

The simulation presented in this paper considers the freight traffic data from the Port Authority of Seville (PAS). This data is mainly about cargo containers, because in the last 6 years this cargo type has increased greatly. It also represents additional ships arriving in the Seville port due to the new lock. We consider the freight traffic of ships carrying scraps, cement and cereal, and that new potential bottlenecks may occur in

the container terminals, because the PAS has only two quay cranes for containerships. We therefore simulated the handling operations as the ships arrived, passing through the lock, unloading/loading containers, and propose an optimisation model for berth management. We have developed a genetic algorithm (GA) to solve the berth allocation problem (BAP).



Fig 1. Future layout of the Seville port

As an outline for the rest of the paper, we will give the literature review in Section 2. Section 3 looks at the simulation scenario and in Section 4 we describe the freight traffic situation. Section 5 presents the mathematical formulation for the berth allocation problem and the simulation model constructed from such. Section 6 shows the genetic algorithm approach to solve the BAP, and the results appear in section 7. Lastly, main conclusions and future work are addressed.

2. Literature review

Several authors have approached the BAP concept. For example, Imai A. *et al* [1], and Nishimura E. *et al* [2] determine BAP as a dynamic berth allocation problem (DBAP) which is a generalisation of the static berth allocation problem (SBAP). They propose a genetic algorithm in public berth systems which can be adapted to real world application: Park and Kim [3], Liu *et al* [4] and Lim A. [5] consider BAP and quay crane scheduling problem (QCSP) as a single problem and that berth scheduling depends on the crane number that is assigned to the ship. Imai A. *et al* [6 and 7] approaches BAP in a multi-user container terminal (MUT). In the first work they use a continuous location space approach and in the second work they solved the BAP by GA at a port with indented berths, where mega-containerships and feeder ships are to be served for higher berth productivity. Imai A. *et al* [8] consider the relations between the ports and shipping lines, and when some vessel operators desire high priority services, the authors have indicated BAP as BAP with service priority.

There are few port simulation and optimisation studies found in literature. Cortés P. *et al* [9] simulated the freight transport process in Seville's inland port, considering all existing types of cargo and testing several scenarios. They analyse the performance

of the several Seville port terminals and processes. Demetro L. *et al* [10] and Legato P. *et al* [11] developed optimisation and simulation models by scheduling yard crane use in the Gioia Tauro port. This proposal has some similarities to our work, however, authors follow ranking and selection (R&S) techniques to approach the scheduling yard crane (Rubber tired gantry cranes - RTG) at difference form us.

3. Simulation scenario

PAS is a multi-purpose terminal: different types of cargo are moved through this port such as cereals, scrap metal and cement containers. The port has various specialised terminals for handling these types of cargo such as container terminals UTE Batan 1 and UTE Batan 2, TLP Esclusa cereals terminal, Holcim cement terminal, GPMA iron terminal, TLD Grupo Gallardo scrap and metal terminal and more. Our simulation scenario will only consider container terminals and the facilities needed for them to operate, the access channel and the lock. Fig 2 shows the simulation scenario model.

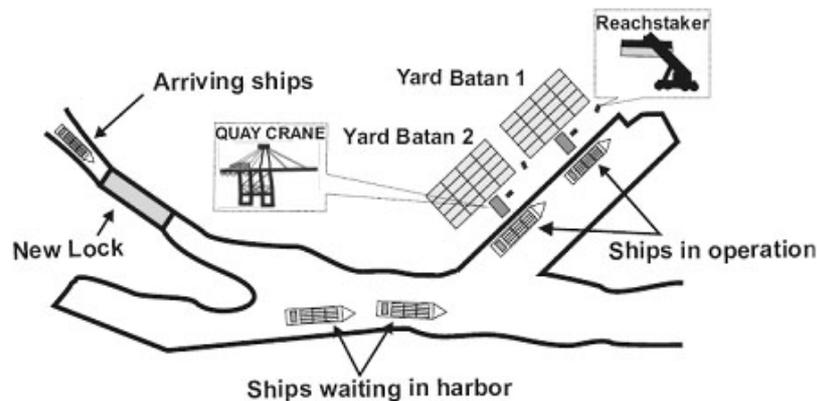


Fig 2. Simulation scenario

The container terminals Batan 1 and Batan 2 are for two different logistic operators although located in the same dock (*Centenario*). Therefore, all resources such as quay cranes, Reachsteakers and facilities are used in pairs for container handling. We therefore consider these two terminals to be one with two berths and two yards (yard Batan 1 and yard Batan 2). Table No. 1 shows the resources used in handling operations. All resources, such as the quay crane and reachsteaker must be scheduled by one terminal with two yards.

4. Freight traffic description

The model simulation considers information about the ship–containers' traffic through Seville port during February 2009. This simulation included data about arrival date, departure date; unload containers' number and the load containers' number by the study date.

Table 2 shows an abstract about this information, in which we can observe that 32 ships came to the port in February. The arrival frequency and the ship's country

destination can also be seen. The 32 containerships transport 9954 TEUS (Twenty-foot Equivalent Unit) of which 57% is loaded in the port. PAS has stated that containership traffic will double with the new lock therefore we have considered data about arrival dates, frequency, and departure dates for shipping lines that carry cement, grains and iron scrap to represent an increase in ship flow. Table 2 shows three shipping lines (24 ships in total) that have presented an increase.

The data used in the simulation is based on the daily reports from the Port of Seville's web during February 2009. The data shows that in an ordinary month 32 containerships arrived at the Port. The Seville port is a small port, because of this it moves small amounts of containers compared with hub ports.

Towboat	Reachstackers	Ro-Ro Ramp	Size Yard	Capacity yard/TEUS	Quay cranes
2	17	2	97,310m ²	150,000	2

Table 1 Containers terminal resource

Country	Frequency	Cargo type	Ships month	Containers		
				Minimum	Maximum	Average
Spain	2/Week	Containers	8	64	429	243
Morocco	1/Week	Containers	4	100	157	114
Spain	1/Week	Containers	4	54	86	75
Germany	1/Week	Containers	4	30	43	41
Spain	1/Week	Containers	4	64	86	75
UK	1/Week	Containers	4	43	430	214
Netherlands	1/Week	Containers	4	86	114	98
UK	3/Week	Scrap	12	75	75	75
Spain	2/Week	Cereals	8	75	75	75
Morocco	1/Week	Cement	4	75	75	75

Table 2 Ship lines

5. Simulation model and mathematical formulation

We proposed two simulation models: one by current berth allocation systems and another by BAP allocation systems using Arena software. We also propose an optimal mathematical model which is integrated with the simulation model.

5.1 Mathematical model

Lai and Shih [12] consider ship arrival times to be a key factor for allocation strategy. They propose a heuristic algorithm for BAP with first-come-first-served strategy (FCFS). The optimisation model proposal aims to minimise the total service time for each containership, respecting the order in which it arrived. Note that this objective does not guarantee the sum of total service time for all ships to be minimum. Also, we consider the discrete case of the BAP proposal by Jean F. *et al* [14] used for berths with a limited set of berthing points. In this case there are two berths. We make the following assumptions:

- Every containership must be serviced once and only once at any berth.
- Each ship's transport operation times depends on the berth which is servicing it, i.e. if all containerships are in Yard Batan 1, the transport time for each container is the same, but if some are in yard Batan 1 and others in yard Batan 2, each container's transport time depends on the distance between the yard and the berth.
- The logistic operation times on arriving and leaving the lock is not considered, but this is constant.

The BAP may be formulated as follows

Sets:

- N Numbers of ships where $i = 1, \dots, n \in N$.
- M Numbers of berths where $j = 1, \dots, m \in M$.
- w_i Logistic operations time of ship i
- h_{ij} Handling time of ship i at berth j
- a_i Arrival time of ship i

Decision variables:

s_i Start time of handling operations of ship i .

$$x_{ij} = \begin{cases} 1, & \text{if ship } i \text{ is serviced at berth } j \\ 0, & \text{Otherwise} \end{cases}$$

$$y_{ii'j} = \begin{cases} 1, & \text{if ship } i' \text{ arrived afther ship } i \text{ begins handling at berth } j \\ 0, & \text{Otherwise} \end{cases}$$

$$\text{Min} \sum_{i \in N} \sum_{j \in M} h_{ij} x_{ij} + \sum_{i \in N} s_i - a_i + w_i \quad (1)$$

Subject to

$$\sum_{j \in M} x_{ij} = 1, \quad \forall i = 1, \dots, N \quad i \in N \quad (2)$$

$$s_i - a_i \geq 0 \quad \forall i = 1, \dots, N \quad i \in N \quad (3)$$

$$\sum_{i \in N + \{i\}} \sum_{j \in M} y_{ii'j} (h_{ij} + w_i) \leq \sum_{k \in M} h_{ik} + s_i - a_i + w_i, \quad \forall i = 1, \dots, N \quad i \in N \quad (4)$$

$$\sum_{i \in N + \{i\}} \sum_{j \in M} y_{ii'j} \leq \sum_{k \in M} x_{ik}, \quad \forall i = 1, \dots, N \quad i \in N \quad (5)$$

$$x_{ij} \in \{0, 1\}, \quad \forall i = 1, \dots, N \quad i \in N \quad (6)$$

$$y_{ii'j} \in \{0, 1\}, \quad \forall i = 1, \dots, N \quad i \in N \quad (7)$$

$$s_i \geq 0, \quad \forall i = 1, \dots, N \quad i \in N \quad (8)$$

The objective function (1) minimises the sum for each ship's service time; these are the handling operations time, berth waiting time and logistic operations time. Constraint (2) ensures that each containership must be assigned only one berth. Constraint (3) guarantees that each ship must be serviced after arrival. Constraint (4) guarantees that if ship i' which arrived at port after ship i and is serviced first in berth j , This is because the service time of ship i in the berth j is greater than the service time in the berth k . This constraint is very important because ships that arrived after will be served first, only if the ships in port at this time do not increase its total service time. Constraint (5) completes the previous task and ensures that the ship which is still waiting has another berth assignment. Constraints (6), (7) and (8) are the constraints for decision variables.

5.2 Simulation model of the current system

Ports are very important to the transport logistic networks, therefore all of its operations must be optimised, according to Ambrosino *et al* [13]. Some of the main operations are: container pre-marshalling problem, landside transport, stowage planning problem, yard allocation problem, etc in Voß S and Stahlbock R (2004) [14] and Steenken D and, Voß S. (2008) [15] which has been one of the most complete reviews and one of the most important papers.

We are not optimising all operations in the Port of Seville, but the simulation model is formed by five module groups that represent some operations, such as 1.Truck arrivals. 2. Containership arrivals. 3. Berth assignment systems. 4. Towing vessels and 5. Berths.

5.2.1 Truck arrivals

We include these modules in the simulation model because truck handling operations use the reachstacker at the same time as ship handling operations. Fig 3 shows the truck modules. The truck handling operation time depends on where the containers are located in the yard: it is modelled with a module named *decide*.

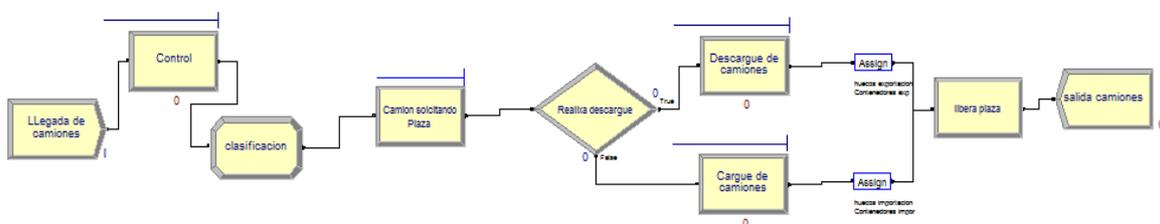


Fig 3 Truck arrival modules

5.2.2 Containership arrivals

More than 45% of the time intervals between the arrival of one containership and another are from 0 to 15 hours. The shipping lines used for obtaining that data are shown in Fig 4 and it is modelled by the module *create*. Fig 5 shows the containers arrival modules.

The ship characteristics are assigned by a module *assign* when it arrives at the port, such as unload containers, load containers, containers located to load, etc. The ships wait in the channel access (module *Queue*) while they are waiting to be assigned a dock.

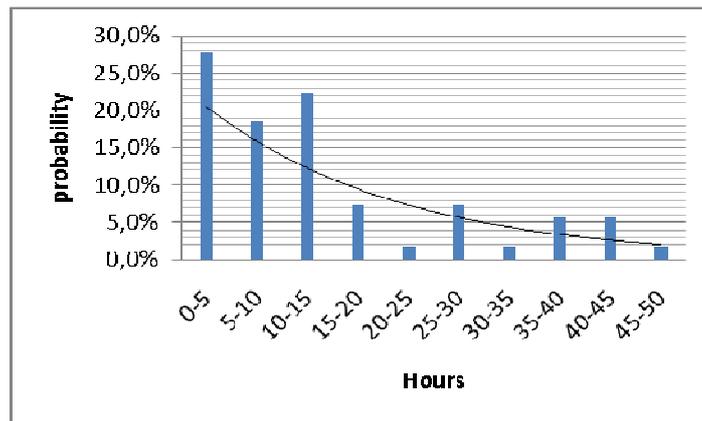


Fig 4 Intervals time between arrivals of ships

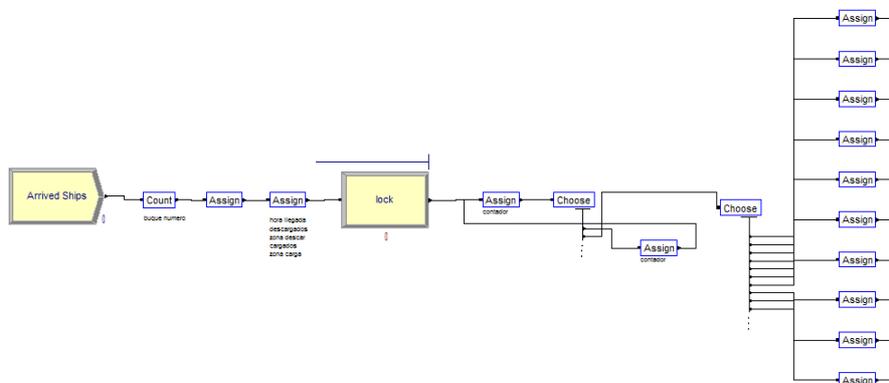


Fig 5. Containership arrival modules

5.2.3 Berth assignment systems

A module *seize* and three modules *choose* were used in the study to simulate the current berth management system used in the port of Seville (Fig 6). The system used the First-come-first-served allocation strategy (FCFS). Hence when a ship arrives at the port it has to wait in the queue until a berth becomes free. If there are not any other ships waiting to be serviced and the two berths are free then it is assigned the berth that is near to yard where less containers will be handled. Fig 6 shows the berths assignment modules.

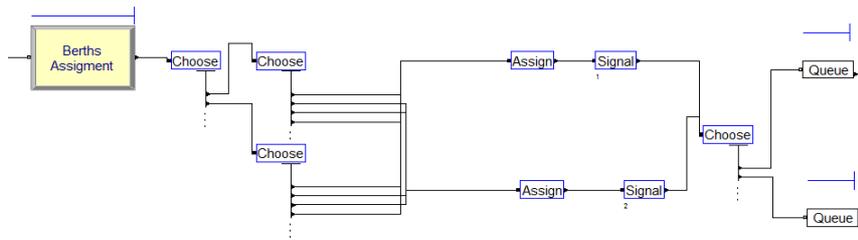


Fig 6 Berths assignment modules

5.2.4 Towing vessels

Two towboats are created when the simulation is started, one for each berth and it is sent to the first modules' group (Fig 8). In this group the tows are waiting for the ships, and then a signal is sent to the towboats for them to collect the ships that have been assigned to berth to pick them up and carry them to the berth. Fig 7 shows the creation the towboat.



Fig 7 Creating towboat

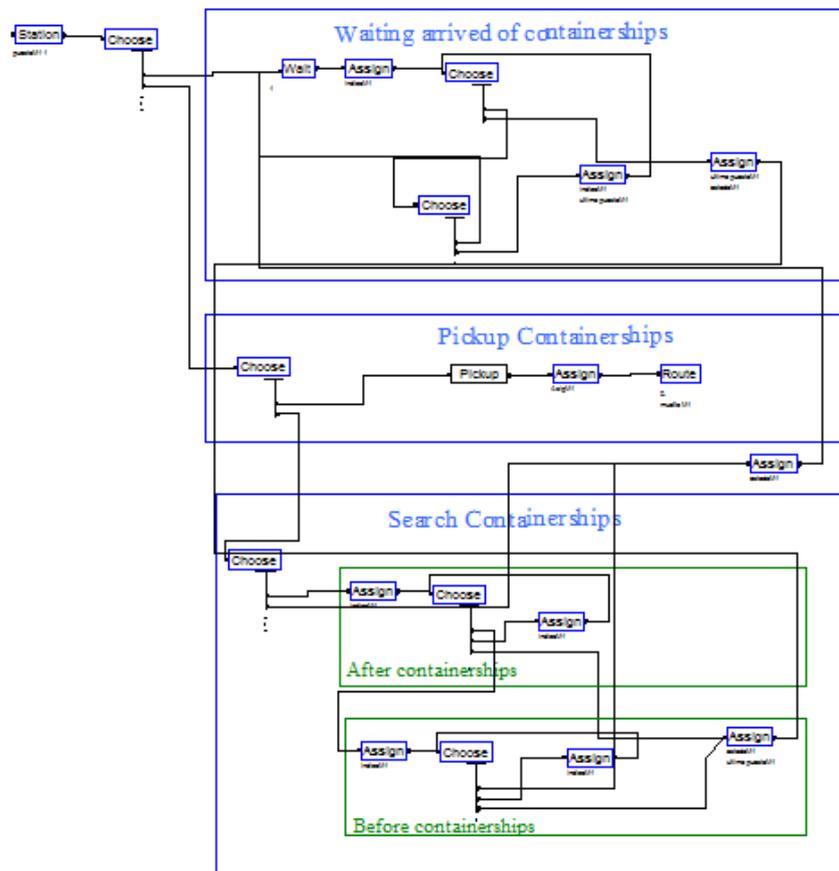


Fig 8. Tow vessels modules

5.2.5 Berths

The berth modules represent the handling operation time for each ship. This time depends on its characteristics and the amount of containers that need to undergo handling, containers' location and the resources available at that time. There are two berth modules which are exactly equal, one for each berth (Fig. 9). The container loading operations can only begin if the unloading operations have finished. The towboat is called again to carry the ships to the lock.

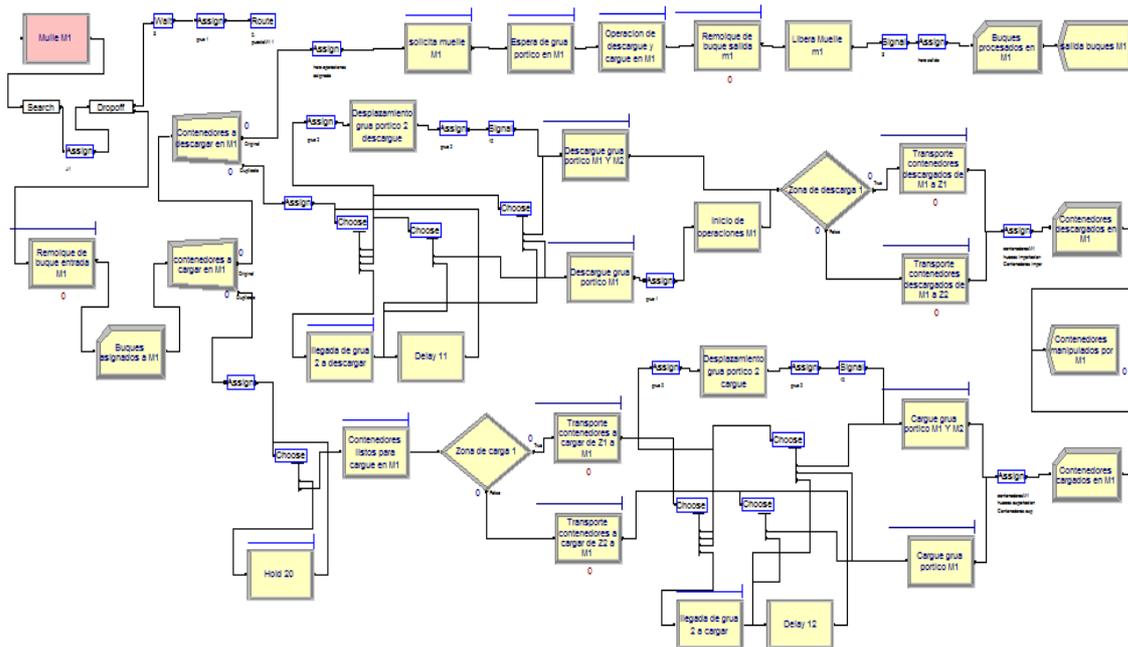


Fig 9. Berths modules

5.3 Berth assignment by optimisation model

The optimisation model used for berth assignment is the same as the previous simulation model but there is a difference in the modules used. We used a VBA module which allows heuristic procedures to be created and can be applied to the programming language visual basic. When a ship arrives at the port and goes by the VBA modules, the heuristic procedure based on the genetic algorithm (GA) solves the non-linear problem (it integrates the simulation model and the optimisation model) (Fig 10).

6. Solution procedure by Genetic algorithm

To completely solve the mixed model proposed, a heuristic model (used in section 5.1) based on genetic algorithms which are widely used to solve difficult problems and it has a practical short computational time. Every time that a containership enters onto the simulation model and passes the VBA module, it integrates the optimisation model and the simulation model to reallocate the berths to the containership on the system, including the most recent containership that has arrived; Fig 12 shows the heuristic structure used to solve the optimisation model.

The VBA module takes the information that is needed to solve the optimisation model just in time, therefore the model will be resolved as many times as ships arrive at the port. At the end of the model solution, the assignment obtained is sent to the simulation model through the VBA module. The solution is the one that has a better fitness value with the population, which will be composed of zeros (0) and ones (1) according to each berth's assignment. Fig 13 shows the individual structure which is one of the many possible solutions.

The chromosome used for the berth's programming is composed of 20 genes, which are grouped in pairs that represent the two berths in the port. Only one of each pair can take the value one (1) because only one ship can be assigned to each. The chromosome in Fig 13 frame shows the representation of a typical chromosome for reprogramming the two berths that assign ships 1, 3, 4 and 6 to the first berth which will be attended in the order of arrival and ships 2, 5, 7 and 8 in the second berth. Ships 9 and 10 have the genes with a zero (0) value that indicates that those ships are not at the port, hence the ships are at number 8.

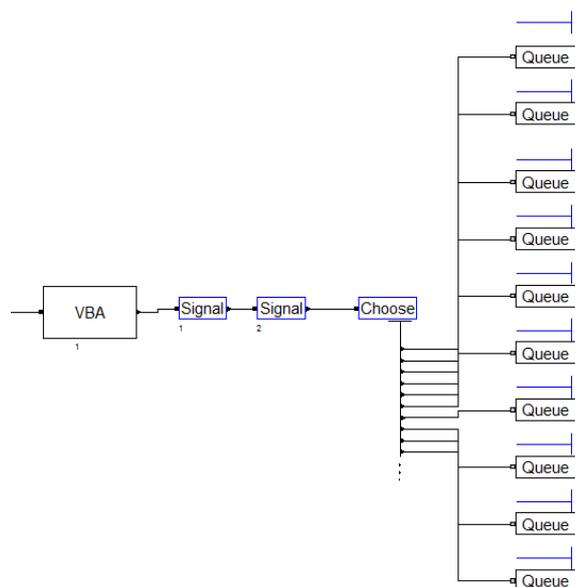


Fig 10 Berth assignment by optimisation model in Arena

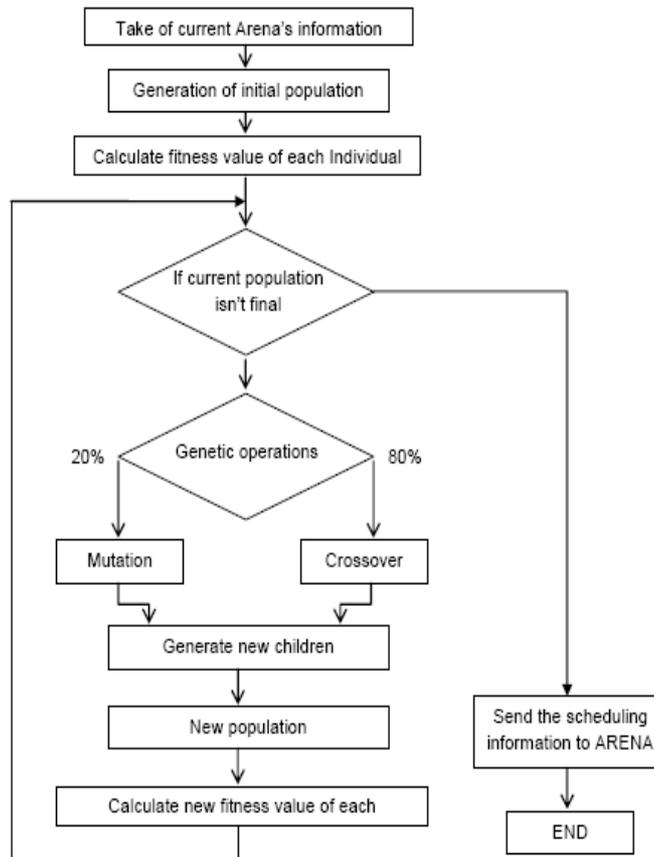


Fig 11. Heuristic structure using genetic algorithm

Containership	1	2	3	4	5	6	7	8	9	10								
Chromosome	1	0	0	1	1	0	1	0	0	1	1	0	0	1	0	0	0	0
Berth No.	1	2	1	1	2	1	2	2										

Fig 12. Chromosome representation

7. Simulation results

We have produced seven model replications presented across the previous sections, to verify and validate the optimisation model proposal. Table 3 summarises the freight traffic by replication. An average of 52 containerships arrived at the port in a month in both simulation models (current systems and BAP systems).

We can observe that the results that BAP systems obtained reduced the average handling times at berths by 14% and maximum handling times by 21%. The minimum handling time takes similar values in both systems because the probability that a ship has few containers to unload/load will arrive and that is located in the same yard is the same for both. So the system reduced the average waiting time by 66% and maximum waiting time by 52%. The main reasons for this is because of better assignment management, because the ships unload/load the containers in the berths closest to the yard where it is located

Figures 13 to 15 show the improvement that was obtained with the optimal model proposal, whose search reduced each ship's total service time. This time is the handling operation time at the berth and the waiting time at the access channel.

Model	Counters	Replications Number							Average	Standar deviation
		1st	2nd	3rd	4th	5th	6th	7th		
Current System	Ships arrived	47	48	53	54	54	59	54	52,71	4,07
	ships serve at Berh 1	27	22	26	25	23	28	27	25,43	2,23
	ships serve at Berh 2	20	26	27	29	31	31	27	27,29	3,77
	Containers unload	5640	4866	7156	5646	6486	7668	6801	6323,29	983,67
	Containers unload at Berh 1	3345	1722	2859	2133	2676	2673	3828	2748,00	704,37
	Containers unload at Berh 2	2295	3144	4297	3513	3810	4995	2973	3575,29	892,87
	containers load	6963	6735	8427	7126	8963	8380	6856	7635,71	919,54
	Containers load at Berh 1	4363	3275	4144	2725	4010	3570	2668	3536,43	678,07
	Containers load at Berh 2	2600	3460	4283	4401	4953	4810	4188	4099,29	818,85
BAP System	Ships arrived	57	52	53	44	42	55	60	51,86	6,62
	ships serve at Berh 1	28	25	31	32	25	19	31	27,29	4,64
	ships serve at Berh 2	29	27	22	12	17	36	29	24,57	8,14
	Containers unload	7098	6516	5505	5211	5514	7431	7668	6420,43	1013,93
	Containers unload at Berh 1	3471	2190	3426	3453	3117	2625	4155	3205,29	640,05
	Containers unload at Berh 2	3627	4326	2079	1758	2397	4806	3513	3215,14	1162,37
	containers load	7439	7405	7174	6203	6042	8922	8010	7313,57	997,15
	Containers load at Berh 1	3972	3345	4369	4893	3763	3097	4559	3999,71	651,60
	Containers load at Berh 2	3467	4060	2535	1310	2279	5825	3451	3275,29	1448,41

Table 3 Containership and container by simulation

Model	Replications Number	Handling time for each berths						Waiting time		
		handling time berth Batan 1			handling time berth Batan 2			Average	Minimum	Maximum
		Average	Minimum	Maximum	Average	Minimum	Maximum			
Current System	No. 1	8,31	3,28	20,67	8,24	2,14	22,44	1,28	0,00	12,06
	No. 2	6,53	3,26	14,63	7,13	3,34	23,42	0,38	0,00	5,60
	No. 3	9,20	3,30	22,24	9,88	3,31	22,38	1,99	0,00	27,36
	No. 4	6,76	3,30	21,93	8,10	3,31	22,37	3,74	0,00	24,62
	No. 5	8,72	3,25	22,95	7,86	2,63	20,10	0,33	0,00	5,69
	No. 6	8,76	2,14	30,06	9,67	3,33	22,47	1,24	0,00	17,60
	No. 7	9,74	2,67	24,20	10,86	3,25	33,11	1,89	0,00	20,39
	Average	8,29	3,03	22,38	8,82	3,04	23,75	1,55	0,00	16,19
BAP System	No. 1	7,83	3,26	20,16	7,23	3,29	20,75	0,46	0,00	7,29
	No. 2	6,37	2,19	14,63	8,19	3,29	19,52	0,56	0,00	7,43
	No. 3	7,37	2,11	17,95	6,80	3,27	20,06	0,34	0,00	5,40
	No. 4	6,55	2,15	20,84	7,06	2,09	18,55	1,16	0,00	16,49
	No. 5	6,86	4,03	14,84	7,52	3,32	16,44	0,40	0,00	5,30
	No. 6	7,57	2,14	16,34	8,32	3,33	20,17	0,37	0,00	5,60
	No. 7	7,01	2,67	15,16	8,17	4,34	18,70	0,35	0,00	6,89
	Average	7,08	2,65	17,13	7,61	3,28	19,17	0,52	0,00	7,77

Table 4 Handling Operations times

Table 5 shows which containerships were served before the other containerships. This possibility is very important for the BAP system because it allows the berths to be reallocated when a ship arrives.

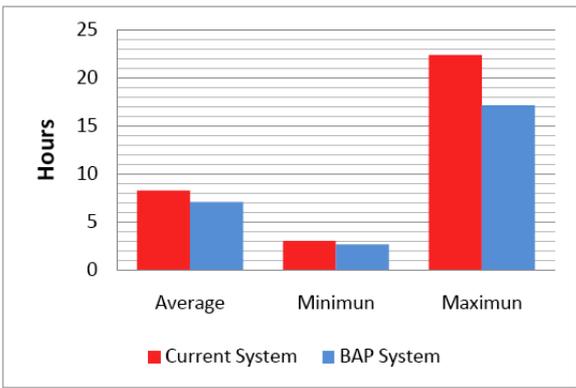


Fig 13 Handling operations Berths 1

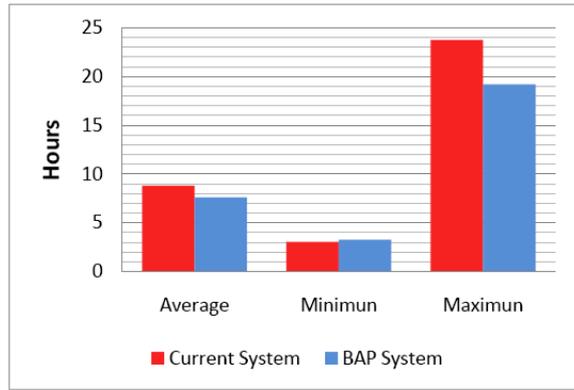


Fig 14 Handling operations Berths 2

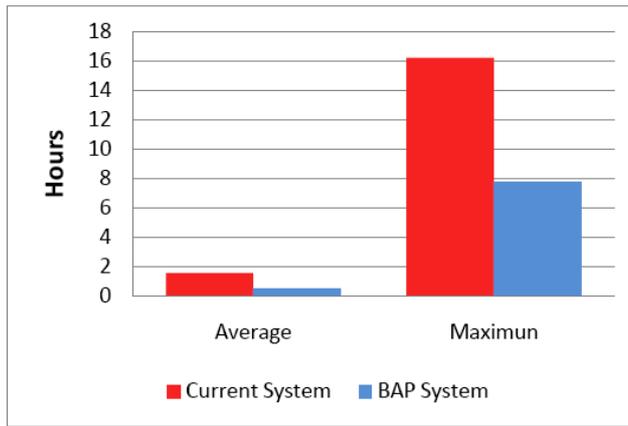


Fig 15 Waiting time

	Replications Number							Average	Standard deviation
	1st	2nd	3rd	4th	5th	6th	7th		
Ships that begin handling operations before others	2	3	4	1	2	5	3	2,86	1,35

Table 5 ships by replications

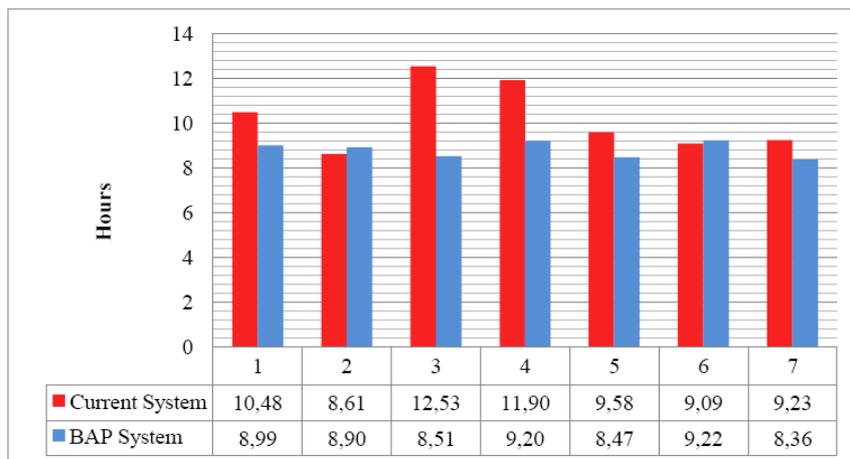


Fig 16 Comparison of service time by systems

Model	Replications Number	Service time in Hours									Average service time
		0-5	5-10	10-15	15-20	20-25	25-30	30-35	35-40	40-45	
Current System	No. 1	10,6%	57,4%	14,9%	2,1%	12,8%	2,1%				10,48
	No. 2	10,2%	69,4%	12,2%	4,1%	4,1%					8,61
	No. 3	11,3%	45,3%	13,2%	13,2%	7,5%	1,9%	1,9%	3,8%	1,9%	12,53
	No. 4	11,1%	46,3%	18,5%	5,6%	5,6%	9,3%	1,9%	1,9%		11,90
	No. 5	9,3%	55,6%	14,8%	16,7%	1,9%	1,9%				9,58
	No. 6	13,6%	57,6%	13,6%	11,9%	3,4%					9,09
	No. 7	11,1%	59,3%	14,8%	9,3%	5,6%					9,23
	Average	11,0%	55,8%	14,6%	9,0%	5,8%	3,8%	1,9%	2,8%	1,9%	10,20
Standar desviation	1,3%	8,2%	2,0%	5,3%	3,6%	3,7%	0,0%	1,4%	0,0%	1,50	
BAP System	No. 1	14,0%	61,4%	10,5%	8,8%	5,3%					8,99
	No. 2	23,1%	44,2%	19,2%	11,5%	1,9%					8,90
	No. 3	15,1%	56,6%	15,1%	11,3%	1,9%					8,51
	No. 4	15,9%	54,5%	11,4%	11,4%	6,8%					9,20
	No. 5	9,5%	64,3%	14,3%	11,9%						8,47
	No. 6	5,5%	50,9%	21,8%	10,9%	9,1%	1,8%				9,22
	No. 7	16,7%	56,7%	6,7%	10,0%	6,7%	3,3%				8,36
	Average	14,3%	55,5%	14,1%	10,8%	5,3%	2,6%				8,81
Standar desviation	5,6%	6,6%	5,2%	1,1%	2,9%	1,1%				0,36	

Table 6 Service time

The information in table 6 shows the service time in intervals of 5 hours. The optimisation model's aims to reduce this time by 13.62% and the maximum service time is between 20 and 25 hours.

8. Conclusions and future works

We have focused efficient planning and use of the berths to increase the port of Seville's competitiveness. By improving internal organisation and operations' management, an optimisation model by supporting berth allocation has been proposed and examined, integrating this to simulation techniques.

We can conclude that the port facilities are able to serve the new freight traffic but for this to be achieved current berths systems must be improved. We propose a BAP-FCFS system which would obtain a much better result.

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