1	TITLE PAGE

- 2 Title: Analysis of the main geometrical characteristics that affect the bonding of ribs in rebars thinly covered
- 3 to repair masonry structures
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- 16
- 17 Highlights
- 18 Bonding in 5-mm stainless-steel rebars embedded in a hydraulic medium is analysed
- 19 Microplane theory reproduces behaviour of a hydraulic medium in Finite Element Method
- 20 Bonding is evaluated by Finite Element Method defining a Cohesive Zone Model
- 21 Multi-linear regression enables results to be extended over a larger set of samples
- 22 The most influential geometric features of ribs in bonding behaviour are ascertained
- 23

25 Abstract

The use of stainless steel rebars in the repair of masonry structures is widespread and has traditionally produced excellent results. In these cases, rebars usually present diameters of below 8 mm covered with thin layers of mortar or grout. Research is necessary to characterise bonding under these particular conditions, hitherto unavailable.

30 In this research, the geometry of ribs is parameterised and later analysed through the Finite Element 31 Method. To this end, the Microplane model and Cohesive zone model are employed. Based on the 32 numerical results, the geometrical aspects of the ribs that mostly affect bonding are identified and discussed.

33

34 Graphical abstract



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36

37 Keywords: masonry repair; stainless-steel rebars; rib shape; bonding; geometrical parameterisation; FEM

analysis; microplane model; cohesive zone model; rib optimisation; multilinear regression analysis

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- 42 Abbreviations
- *Wc*: Central rib width
- *We*: Rib width at the extremities
- *hr*: Rib height
- *Bf*: Rib face angle
- *B*: Angle between rebar and rib axes
- *Vr*: Rib volume
- *Sr*: Rib external surface
- *Lr*: Rib axis length
- *R*: Force transmitted from the sample to the medium that surrounds it

52 1 Introduction

53 The repair of masonry has become a major concern in many countries, especially in those rich in vernacular 54 architecture. Masonry has traditionally been used in construction, in huge historic buildings and small 55 humble historical dwellings alike. Historical masonries usually present low resistance and are especially 56 sensitive to damp, mainly due to the high percentage of pores and voids that the system includes, caused 57 by porosity of the components, or by degradation suffered over time. In addition, historical buildings are 58 often affected by rising damp. Damp is one of the main agents of degradation of masonries and can lead 59 buildings to conditions close to collapse due to the reduction of mechanical properties that it causes [1]. In 60 short, it can be stated that masonry is prone to be weakened over time. For this reason, research into 61 reinforcement and repair techniques for masonry is important in areas where this method of construction is 62 abundant.

63 Several techniques are employed to repair masonry buildings [2–7], among which the transversal tying of 64 walls and bed-joint structural repointing constitute two of the most efficient techniques [8,9]. In both 65 traditional and new masonry structures, these techniques have been widely used and tested and quality 66 results have been attained. Furthermore, when dealing with historical masonry walls, which are usually 67 composed of three leaves infilled with rubble masonry, the effects of tying the external leaves are especially 68 favourable in terms of preventing the instability of layers [10]. Although steel rebars have customarily been 69 used to this end, polymeric fibres have been launched onto the market, and are replacing rebars in popularity 70 [4,11,12]. Fibres exhibit major advantages, principally in terms of the small sections that are commonly 71 required when employed in this context, and that make them the first choice when dealing with heritage. 72 On the other hand, steel rebars are a competitive choice since, depending on the market, are a cheaper 73 option even when stainless steel is chosen for repairs in which rising damp is present [13].

The use of steel rebars to repair masonry is usually carried out by means of introducing rebars between masonry pieces, which gives rise to the repair technique known as bed-joint repointing. This technique has several features: (i) only small diameters can be used; (ii) these rebars must be embedded in mortar or grout, never in concrete; and (iii) the thickness of rebar covering is usually less than 20 mm. These determining factors strongly influence major aspects, such as bonding [14]. Bonding in small diameters under these circumstances has been poorly documented.

80 Currently, most codes refer to bonding with a parameter called relative rib area (denoted R_r or f_R, depending
81 on the code) which can alternatively be defined as [15,16]:

82
$$\operatorname{Rr} = \frac{A_r}{d_r \cdot \pi \cdot s_r} \sim 0.8 \ to \ 0.9 \ \frac{h_r}{s_r}$$
 [Eq. 1]

83 where:

• A_r: Rib area projected on a plane normal to the rebar axis

- s_r: Spacing between ribs
- h_r: Height of ribs
- d_r: Nominal diameter

88 Being relative rib area recommended to be greater than 0.035 for diameters from 5 to 6 mm [16].

On the other hand, [17,18] deal with bonding through the geometry of rebars, whose main limitations involve: (i) spacing between consecutive ribs; (ii) angle between rib axis and rebar axis; (iii) rib height; (iv) rib face angle; and (v) the total sum of the gaps between ribs in a transversal section of the rebar. An independent range is set for all these values, with no relationships between them.

93 There is scarce documentation regarding research into rebars with small diameters. Most authors deal with 94 rebars whose diameters are greater than 8 mm, and especially above 12 mm, and always embedded in 95 concrete. These studies exhibit the importance of Rr as a factor to measure bonding [19–21]. In general, rib 96 height is concluded as the most influential geometrical factor [22,23], together with the resistance of the 97 medium that surrounds the rebar: higher resistances improve bonding stress [24,25]. Although spacing is a 98 geometrical characteristic of the rebar and not of the rib, it should be borne in mind that it stands among 99 the most influential parameters in bonding [22,26]. In contrast, the angle between the rib and the rebar axes 100 remains among the least influential parameters [27]. Finally, bonding stress is strongly influenced by the 101 diameter of the rebar [22], whereby a different behaviour for diameters lower than 8 mm is found by the 102 very few examples of documented research that deal with these values [28]. The extensive use of these 103 small-diameter rebars to reinforce masonries justifies the in-depth study of their particular bonding 104 behaviour.

105 To conclude this section, it can be stated that small-diameter rebars with thin coverings need special 106 characterisation. Currently, the geometry of rebars with diameters smaller than 8 mm is simply the scaled-107 down image of rebars with larger diameters, thereby disregarding any special analysis that may be required 108 due to their size. This paper is targeted to research the bonding behaviour of rebars of 5 mm diameter 109 embedded in prisms of dimensions 12x12x30 mm³ made of hydraulic material in which three of the 110 longitudinal prism faces are fixed. These boundary conditions are set in place in order to reproduce the 111 behaviour of rebars employed in repointing masonry joints, which are confined into a small space between 112 masonry pieces where the displacement of three of the four longitudinal faces of the prism are totally 113 blocked.

114 The principal objective of the analysis is to identify geometrical characteristics of the rib that most affects 115 the bonding when subject to these particular boundary conditions. Moreover, the possible combinations of 116 geometrical characteristics that could influence bonding have also been researched. Hence, spacing between 117 ribs has not been taken into account so that the effect of parameters external to exclusively rib geometry 118 can be prevented, especially since the influence of spacing is thoroughly recognised. To this end, rebars 119 with only one rib per rebar face, which henceforth will be called samples, are included in this research. The 120 analysis of the samples is carried out by means of Finite Element Analysis (Section 3) and regression 121 analysis (Section 4). Finally, a discussion (Section 5) is included and several conclusions in terms of rib 122 shape optimisation are outlined (Section 6).

123

124 2 Materials and methods

The shape of samples analysed in this research is based on the particular geometry of stainless steel rebars with 5 mm nominal diameter. Samples are embedded in the centre of prisms of a 12x12 mm cross-section that simulate the hydraulic medium surrounding the rebars. Both samples and prisms are 30 mm in length, although, to prevent distorting results in the extremities of the sample, only the central length of 26 mm has been considered in contact [15]. The bonding behaviour of the sample inside the rebar is measured in this research by means of the force transmitted from the samples to the prisms when they are longitudinally displaced a fixed quantity. The displacement is applied to one of the transversal faces of the sample.

The process of modelling samples is carried out by means of Rhino V.6 software. Rhino is a 3D modeller that includes Grasshopper among its tools. Grasshopper is a powerful parameterisation software that has been used in this work to generate all the models and subsequently obtain their geometric properties (rib volume, rib surface, rib length): this is tackled in Section 4. Additionally, the open-licenced plug-in known

- as Anemone, which enables loops to be created in Grasshopper, has facilitated access to the huge number
- 137 of samples that have been developed for this research (Fig. 1).



Fig. 1. One of the 67 samples studied in the research: isolated (left-hand side) and embedded in the
hydraulic medium (right-hand side).

Samples are composed of a central core where a rib is attached on each face, resulting in 3 ribs per sample in total. In order to minimize the influence that friction core-prism exerts in bonding, and since there is only a rib per core face, samples length is fixed in 30 mm [29,30]. In this way, the effect of different rib shapes in bonding will be more easily identified.

The core of the samples comprises three arcs with filleted corners. Rib designs respond to loft surfaces with trapezoidal transversal section. The parameters employed to control the rib shape include: Wc, rib central width, measured in the direction parallel to the rebar axis; We, rib width at the extremities, measured in the direction parallel to the rebar axis; B, angle between the rib and the rebar axes; Bf, rib face angle; and hr, height of the rib at its centre. All the ribs are attached to the core and occupy 90% of the length of each core face. The radius on the root (r) is established as 0.1 mm. Figure 2 depicts the parameterisation of the samples.





Fig. 2. Parameterisation of the samples in the research.

The values *B*, *Bf*, and *hr* have been set up within the ranges established by the codes [15,17,18]: *B*, from 35° to 75°; *Bf*, from 45° to 90°; *hr*, from 0.15 mm to 0.75 mm. The central width and those at the extremities are not covered by the codes, and therefore, in this research, values ranging from 1 to 4 mm are considered for *Wc* and *We*. The values of all five parameters are combined in two sets of models. In the first set, only one parameter ranges between the lower and upper values, while the other parameters are fixed at a medium value. In the second set, all values range simultaneously. In total, 67 models are analysed by FEM, with 40 models in the first set and 27 in the second. Combinations of values are discussed in Section 4 (Table 1).

161

162 3 Calculations

163 The solid models generated as specified in Section 2 are then imported in ANSYS R.19.3. This Finite 164 Element software is employed to simulate the slippage of the rebar inside the medium under an axial load. 165 Plastic calculations with a cohesive zone model are carried out in order to characterise the behaviour in 166 terms of the bonding of the 67 solid models. These models aim to reproduce the behaviour of the sample 167 embedded in a quasi-brittle medium, such as mortar or grout. Samples of the solid models are automatically 168 generated as explained in Section 2. Surrounding the samples, prismatic blocks of 12x12x30 mm³ are 169 disposed. In order to reproduce the behaviour of the rebar embedded in the hydraulic infilled between two 170 masonry units, only three of the four longitudinal faces are fixed.

171 However, the models include two different materials: steel for the samples, and hydraulic material for the 172 surrounding prism. To this end, 8-node Solid185 elements are utilised to model samples. Solid185 elements 173 have three degrees of freedom at each node. Steel has been defined as an isotropic elastic linear material 174 with E=210 GPa and Poisson modulus v=0.3. No multi-linear stress-strain curve is modelled herein since 175 steel would always operate below yield strength in this research [31,32].

In contrast, materials such as mortars and grouts, exhibit non-linear stress-strain relations with brittle cracking. Regarding tensile strength, sudden softening occurs accompanied by reduction in the stiffness, while in compressive strength, stress-strain behaviour firstly involves ductile hardening followed by softening and reduction in the stiffness. These materials are commonly referred to as quasi-brittle materials. The Microplane model is especially suitable for the characterisation of the behaviour of quasi-brittle materials [33–36]. Based on Mohr's theories regarding the characterisation of materials on various planes, the Microplane model was developed [37–41]. This enables the incorporation of the consideration of

183 continuous damage models on a specific number of planes, known as microplanes. This model was 184 subsequently generalised for both tensile and compressive damage [33,34]. The application of microplanes 185 to elasto-plastic models was later discussed in [42]. Finally, kinematic constraint was adopted since it 186 enables a more stable response during strain softening, preventing, this way, instability in post-peak post-187 softening damage. The hydraulic material has been thus modelled using 8-node Solid185 elements. 188 Solid185 elements present plasticity, stress stiffening, large deflection, and large strain capabilities, as well 189 as three degrees of freedom at each node, and supports the Elastic Microplane model with damage. This 190 model is suitable for predominantly static load applications, since they are capable of capturing the 191 behaviour of quasi-brittle materials accurately. Microplane model is defined in the software ANSYS though 192 6 constants, namely C constants. The Microplane constants C1, C2, and C3 depend on the compressive 193 strength (fc), tensile strength (ft), and Poisson's ratio (v) of the material, and are respectively consistent 194 with the values k_0 , k_1 , and k_2 in the Microplane theory:

195
$$k_0 = k_1 = \frac{k-1}{2k(1-2\nu)}$$
 [Eq. 2]

196
$$k_2 = \frac{3}{k(1+\nu)^2}$$
 [Eq. 3]

197 where:

198
$$k = \frac{fc}{ft} \qquad [Eq. 4]$$

199 On the other hand, Microplane constants *C4*, *C5*, and *C6* coincide with the values, γ_{σ}^{mic} (equivalent strain 200 energy when the damage to the material starts), α^{mic} (maximum degradation), and β^{mic} (damage evolution 201 rate) in the Microplane theory. The law of damage evolution d^{mic} , as well as the equivalent strain energy at 202 each point according to its macroscopic strain state η^{mic} link these parameters among them [43–46]:

203
$$d^{mic} = 1 - \frac{\gamma_0^{mic}}{\eta^{mic}} \Big[1 - \alpha^{mic} + \alpha^{mic} \cdot exp \left(\beta^{mic} (\gamma_0^{mic} - \eta^{mic}) \right) \Big] \quad [Eq. 5]$$

and are also related with [47]:

205
$$\eta^{mic} = k_0 \cdot I_1 + \sqrt{k_1^2 \cdot I_1^2 + k_2 \cdot J_2}$$
 [Eq. 6]

206 Where I_1 and J_2 are the first invariant of the strain tensor and the second invariant of the deviatoric part of 207 the strain tensor ε respectively:

208
$$I_1 = \varepsilon_1 + \varepsilon_2 + \varepsilon_3$$
 [Eq. 7]

209
$$J_2 = 1/6[(\varepsilon_1 + \varepsilon_2)^2 + (\varepsilon_2 + \varepsilon_3)^2 + (\varepsilon_3 + \varepsilon_1)^2]$$
 [Eq. 8]

Finally, the values of *C1*, *C2*, *C3*, *C4*, *C5*, and *C6* are set as 0.729, 0.729, 0.26, 6e-05, 0.75, and 100. In accordance with the mechanical properties of repairing mortars and previous experiences [2,14,47,48]. The coefficients *C1*, *C2* y *C3* respond to the rate $f_c/f_t = 8/1=8$. The reproduction of some laboratory tests by FE defining MPlane by the coefficients C1, C2, C3, C4, C5 and C6 and the comparison of the experimental and numerical stress-strain curves for them reflects that these coefficients produce acceptable results when modelling repairing mortars. This way, it can be concluded that the use of these coefficients in calculations guarantees the reliability of the obtained results [14,49].

The interface between rebars and grout is defined as a Cohesive Zone Model (CZM) with a mixed debonding interface mode [50,51]. The CZM is able to numerically reproduce the cohesive forces that appear when materials are separated that mainly arise from: (i) friction, due to the joint action of the dilation slip and the shear failure of the grout [52]; and (ii) mechanical interlock, depending on the rib shape and rib separation [26]. Chemical adhesion between mortars and rebars is usually disregarded due to its low influence in bonding [53]. The CZM is modelled through elements CONTA174 and TARGE170.

The CZM in the model is calibrated by means of 10 calculations, where the maximum normal tensile contact stress and maximum equivalent shear contact stress range from 0.1 to 50 MPa. On the contrary, normal compressive stress is not limited by CZM, but by the MPlane definition. The results are evaluated by means of *R*, where *R* is the force transmitted from a sample to the medium that surrounds it when $5 \cdot 10^{-5}$ mm of longitudinal displacement is imposed on one of the transversal faces of the sample.

228 The main conclusions obtained from this sensitivity analyses (Fig. 3) include: (i) when maximum stresses 229 are set below 0.1 MPa, then forces are poorly transmitted and no convergence is achieved; (ii) when 230 maximum stresses exceed 0.5 MPa, then bonding works perfectly and any failure is initiated by hydraulic 231 material; (iii) when maximum normal and shear stresses range from 0.1 to 0.5 MPa, then failure is defined 232 by bonding, thereby rendering the results highly dependent on changes in the maximum allowable stresses. 233 Stress analysis of rib surface in this last choice verifies that the failure of the contact is caused by shear 234 stresses. In order to enter further in depth in this aspect, maximum stresses for shear and normal tensile 235 contact stresses have been set at 0.10 MPa and 1 MPa, respectively, in the CZM. Reducing shear contact 236 stress as far as possible eases the process of identifying the influence of rib geometrical parameters in

bonding, since bonding behaviour is almost totally entrusted to the rib shape. All calculations in this
research have been carried out based on these values of maximum shear contact stress and normal tensile
contact stress.



Fig. 3. Results of *R* (force transmitted from sample to the prism) caused by up to $5 \cdot 10^{-5}$ mm of longitudinal displacement of one of the faces of the sample numbered 36 (*Wc*, 2.5 mm; *We*, 2.0 mm; *B*, 35⁰; *Bf*, 67.5⁰; *hr*, 0.45 mm) with maximum normal tensile and shear contact stresses ranging from 0.1 to 50 MPa (lefthand side) and from 0.1 to 1 MPa (right-hand side).

246 The sample number 4 (see Table 1 in Section 4), in which all geometrical parameters are fixed at medium 247 values (Wc, 2.5 mm; We, 2.5 mm; B, 35°; Bf, 67.7°; hr, 45 mm) has been analysed up to failure (Fig. 4). In 248 this analysis, displacement and force R transmitted to the medium have reached 1.65 \cdot 10^4 mm and 21.459 249 N respectively. Figure 4 depicts value of force R as a function of the displacement applied per load step. 250 This curve shows clearly the sliding failure once the force R decreases. Stresses have been analysed in 5 251 intermediate steps: (i) when R reaches 50% of its maximum value; (ii) when R reaches 75% of its maximum 252 value; (iii) when R reaches its maximum value; (iv) once sliding has occurs; and (v) when R reaches its 253 final value. These five intermediate steps are shown in Figure 4 as A, B, C, D and E respectively.



255 Fig. 4. Sample numbered 4: Wc, 2.5 mm; We, 2.5 mm; B, 35°; Bf, 67.5°; hr, 0.45 mm (left hand side) and

chart depicting the force R (N) transmitted to the hydraulic medium as a function of the longitudinal

257 displacement of the sample (right hand side): A, intermediate step in which R reaches 50% of its

258 maximum value; B, intermediate step in which R reaches 75% of its maximum value; C, intermediate

step in which R reaches its maximum value; D, intermediate step in which the sample is sliding; and E,

260 intermediate step in which R reaches its final value.

261

Figure 5 represents the evolution of shear contact stress in the CZM though the 5 intermediate load steps

263 named A to E. The maximum possible value of shear contact stress is 0.1 MPa as has been exposed

before. Initially (Figs. 5a and Fig 5b), maximum shear contact stresses are concentrated in the area close

to the application of the displacement. Once the maximum force R have been reached (Fig. 5c), a bigger

area of the sample is working at the maximum and sliding has occurred in some parts of the sample.

267 Later, damage progresses up to total failure (Figs. 5d and 5e). To enable the analysis in this research of a

268 broad spectrum of rib shapes, only displacements that assure convergence of all models have been

269 imposed to samples. The value of longitudinal displacements applied to all samples have been fixed in

 $1.1 \cdot 10^{-4}$ mm. This way, although not all samples have been carried up to failure, the situation is quite

271 close to failure. Results obtained for all samples are comparable among them since all have been obtained

by applying the same value of displacement.



274

Fig. 5. Shear contact stress in the sample 4 (Wc, 2.5 mm; We, 2.5 mm; B, 35°; Bf, 67.5°; hr, 0.45 mm)
when: (a) *R* reaches 50% of its maximum value; (b) *R* reaches 75% of its maximum value; (c) *R* reaches
its maximum value; (d) the sample is sliding; (e) *R* reaches its final value.

The set composed by the medium modelled by MPlane, the steel bar, and the contact between them, modelled by CZM were definitely validated altogether through the exactly reproduction of the pull-out tests of a low-diameter reinforcing bar [54]. The tested bar has 8 mm of diameter and is embedded in mortar subjected to 0,50 MPa as confinement pressure (Fig. 6). A displacement in the frontal face of the bar reproduces the force applied in the test. The coefficients describing MPlane were adapted to the materials used in these tests (*k*, 8.67; *k*₀, 0.737; *k*₁, 0.737 and *k*₂, 0.24), with basis on Equations 2, 3 and 4. The

285 maximum value achieved in the FEM simulation was 6252 N, that compared with the value of 6300 N





Fig. 6. Reproduction by FEM of a pull-out test: (a) Reinforcing bar with 8 mm diameter and 160 mm length;
(b) Mortar block which measurements are 200x160x90 mm; (c) Boundary conditions applied to FEM simulation of the pull-out test.

The shear contact stress distribution for different stages of the FEM simulation of the test are in Figure 7. The maximum values for shear contact stress are attained in areas close to frontal face to the end of the bar whenever the applied force R ranges from the beginning of the simulation to its final value (5%, 20%, 80% and 100% of Rmax, in Figure 7a, 7b, 7c and 7d respectively). In the final stage of the FEM simulation, slipping of the bar can be observed thought zero or almost zero values of shear contact stress, as has also been previously described in Figure 5. Once slippage has occurred, load transmission can only be possible though normal contact stresses developed in rib faces, what finally leads to failure (Fig. 8).



Fig. 7. Shear contact stress in the reinforcing bar when (a) R reaches 5% of its maximum value; (b) R
reaches 20% of its final value; (c) R reaches 80% of its final value and (d) R reaches its final value.



303 Fig. 8. Normal contact stress distribution when R reaches its final value.

304

305 4 Results

The results obtained in the first set of 40 simulations are presented in Table 1 below. The results are given in terms of force R (Table 1) as described in the previous section. The first set is composed of samples in which only one of the geometrical parameters, Wc, We, B, Bf or hr varies while the other parameters are set at a medium value. Three samples (samples number 12, 20 and 37) have been eliminated from the table for giving atypical results.

Sample number	Wc (mm)	We (mm)	B (⁰)	$Bf(^0)$	hr (mm)	<i>R</i> (N)	
1	1					20.309	
2	1.5					20.314	
3	2					20.347	
4	2.5	2.5	35	67.5	0.45	20.358	
5	3					20.385	
6	3.5					20.395	
7	4					20.413	
8			75			20.357	
9			70			20.364	
10			65	(7.5	0.45	20.361	
11	2.5	2.5	60			20.358	
13	2.5	2.5 50 07.5	07.5	0.43	20.362		
14				45			20.366
15				40			20.333
16			35			20.361	
17	-				0.15	20.357	
18					0.25 0.35	20.347	
19	2.5	25	25	67.5		20.354	
21	2.3	2.3	55	07.5	0.55	20.358	
22	1				0.65	20.355	
23				0.75	20.360		
24				45		20.393	
25	2.5	1			50		20.382
26		2.5	35	55	0.45	20.351	
27		2.3	2.3	35	60	0.45	20.352
28				65		20.353	
29				70	1	20.352	

30				75		20.355
31				80		20.356
32				85		20.357
33				90		20.364
34		1				20.363
35		1.5				20.360
36	2.5	2	25	67.5	0.45	20.317
38	2.3	3		07.5	0.43	20.294
39]	3.5				20.330
40		4				20.358

312 Table 1. Values of the parameters *Wc*, *We*, *B*, *Bf*, and *hr* for the samples analysed in the first set and the

313 corresponding force *R* obtained from calculations.

314

311

Based on the Pearson product-moment correlation matrix [55,56] where the contribution coefficient of each parameter in the value of *R* is identified (Table 2), it can be concluded that in the particular boundary conditions imposed on the samples in this research (prism with $12x12 \text{ mm}^2$ section and three longitudinal prism faces fixed), the most influential parameters in bonding are *hr*, *Wc*, and *B* in that order of importance.

	Wc	We	В	Bf	hr	R
Wc	1					
We	0	1				
В	0	0	1			
Bf	0	0	0	1		
Hr	0	0	0	0	1	
R	0.56	0.03	-0.11	0.07	0.74	1

319

Table 2. Pearson-Product product-moment correlation coefficient obtained for geometrical parameters and
 force R (N) for samples embedded in 12x12 mm² section prisms with 3 fixed longitudinal faces.

322

323 A multiple linear regression analysis of the results in Table 1 leads to the expression of *R* as follows:

324
$$R = 20.1389 + 0.0366 \cdot Wc + 0.0023 \cdot We + 0.0002 \cdot B + 0.0003 \cdot Bf + 0.2578 \cdot hr$$
 [Eq. 9]

- 326 This regression analysis exhibits 0.8721 a coefficient of R^2 determination, and a statistical P-value under
- 327 5%. It is therefore highly reliable. Apart from these data, the reliability of Equation 9 is ensured by means
- 328 of a second set of Finite Element analysis. In this second set, composed of another 27 simulations, all
- 329 values of geometrical parameters *Wc*, *We*, *B*, *Bf*, and *hr* range simultaneously. The geometrical

- 330 parameters, as well as the force R obtained for each sample are compared with the value of R as predicted
- by Equation 9. The comparison confirms the accuracy of the results obtained from Equation 9, thereby

Sample number	Wc (mm)	We (mm)	B (⁰)	Bf (⁰)	h (mm)	R (N)	R predicted (N)	$\frac{\underset{R_{predicted}}{\text{Ratio}}(\%)}{R}$
41	1	1	75	45	0.15	20.260	20.211	-2.40
42	1.5	1.5	68.3	52.5	0.25	20.240	20.260	1.00
43	2	2	61.7	60	0.35	20.307	20.309	0.10
44	2.5	2.5	55	67.5	0.45	20.360	20.358	-0.10
45	3	3	48.4	75	0.55	20.434	20.407	-1.33
46	3.5	3.5	41.7	82.5	0.65	20.532	20.456	-3.72
47	4	4	35	90	0.75	20.654	20.505	-7.23
48	4	1	35	45	0.75	20.511	20.485	-1.26
49	1	2.5	35	45	0.45	20.327	20.302	-1.25
50	2.5	4	75	67.5	0.75	20.445	20.434	-0.53
51	1	4	55	90	0.75	20.367	20.390	1.14
52	2.5	1	35	45	0.15	20.239	20.277	1.81
53	4	2.5	75	90	0.45	20.399	20.414	0.76
54	1	1.5	61.7	67.5	0.55	20.302	20.325	1.13
55	1.5	2	55	75	0.65	20.361	20.374	0.63
56	2	2.5	48.4	82.5	0.75	20.440	20.423	-1.04
57	2.5	3	41.7	90	0.15	20.489	20.291	-9.66
58	3	3.5	35	45	0.25	20.333	20.325	-0.37
59	3.5	4	75	52.5	0.35	20.352	20.363	0.56
60	4	1	68.3	60	0.45	20.356	20.404	2.37
61	4	3.5	48.4	67.5	0.35	20.410	20.391	-0.93
62	3.5	3	55	60	0.25	20.488	20.342	-7.12
63	3	2.5	61.7	52.5	0.15	20.298	20.293	-0.24
64	2.5	2	68.3	45	0.75	20.386	20.425	1.90
65	2	1.5	75	90	0.65	20.363	20.391	1.35
66	1.5	1	35	82.5	0.55	20.396	20.353	-2.13
67	1	4	41.7	75	0.45	20.292	20.312	0.98

allowing working with this equation (Table 3, Fig. 9).

Table 3. Values of the parameters Wc, We, B, Bf, and hr for the 27 samples analysed in the second set,

values for force *R* obtained from the calculations, values for force *R* predicted by Eq. 9, and ratio of the

two values of force *R* for each sample.



Fig. 9. Chart depicting the values of *R* (N) obtained in the second set of 27 simulations (in blue) and *R* (N) predicted by Equation 9 (in red) (left-hand side) and ratio $\frac{R_{predicted}-R}{R}$ (‰) (right-hand side).

340 To extend this research to a larger set of samples, the values of force R corresponding to a third set of 341 5,825 samples is obtained through Equation 9. The shape of these samples respond to the combination of 342 geometrical parameters by ranging their values in steps: Wc, from 1.0 mm to 4 mm in 6 steps; We, from 343 1.0 mm to 4 mm, in 6 steps; B, from 35° to 75° , in 4 steps; Bf, from 45° to 90° , in 5 steps; hr, from 0.15 344 mm to 0.75 mm, in 6 steps. Although 8,575 possible combinations of values are numerically possible, 345 only 5,825 of these combinations are geometrically possible. For all these samples, the parameterisation 346 carried out by Grasshopper and Anemone has enabled the values to be obtained of: Vr, rib volume; Sr, rib 347 external surface; and Lr, rib axis length. Among Vr, Sr and Lr, rib volume Vr has been adopted as the key 348 parameter for the rib shape optimisation. From an economical point of view, volume, and therefore 349 weight, is a decisive parameter when considering the financial feasibility of the rebars. Not only is rib 350 volume directly linked with rib weight, but it also presents clear correlation with the value of force R. 351 According to Pearson's correlation matrix [55,56], force *R* presents 96% correlation with rib volume, 352 83.0% with rib external surface, and only 23% with rib axis length. 353 The scatter graph of rib volume Vr and force R facilitates the identification of the samples that have 354 produced the best and worst results in this research over the set of 5825 samples (Fig. 10). The diagram 355 shows the values of R attained by ribs with a certain volume, and hence the lower band of the graph is 356 considered as the worst-result set of samples (red), whereas the best-result set of samples is selected from 357 among the points in the upper band (green). Both sets have been defined as bands with similar 358 thicknesses. Finally, 272 samples compose the best-result set of samples.



Fig. 10. Scatter plot representing force *R* in function of rib volume *Vr* for the 5,825 models analysed.
Samples in the set of worst results depicted in red. Samples in the set of best results depicted in green.

363 Even though samples representing the best-result set and the worst-result set have been chosen with basis 364 on a highly reliable equation (Eq. 9), a new verification has been carried out by means of a new set of 365 Finite Elements calculations. To this end, two samples in the best-result set (samples 15 and 5012), 366 representing ribs with low and high volume have been chosen. Two samples belonging to the worst-result 367 set (samples 1276 and 4799) equipped with ribs which volume is similar to those selected from the best-368 result set have been also introduced in this verification (Fig. 11). Thus, four samples: sample 15 (Wc, 1 369 mm; We, 1 mm; B, 35°; Bf, 63°; hr, 0.75 mm), sample 1276 (Wc, 2 mm; We, 3 mm; B, 75°; Bf, 45°; hr, 370 0.25 mm), sample 4799 (Wc, 3.5 mm; We, 4 mm; B, 75°; Bf, 90°; hr, 0.65 mm) and sample 5012 (Wc, 4 371 mm; We, 2.5 mm; B, 35°; Bf, 45°; hr, 0.75 mm) have been analyzed up to failure. Figure 12 represents the 372 value of the force R (N) transmitted to the hydraulic medium as a function of the longitudinal 373 displacement applied to the sample.





Fig. 11. Depiction of samples chosen to be analysed up to failure inside the scatter plot representing force

376 *R* in function of rib volume *Vr* for the 5,825 samples



Fig. 12. Chart depicting the values of the force R (N) transmitted to the hydraulic medium as a function of the longitudinal displacement applied to the samples 15, 1276, 4799 and 5012: complete curves (left hand side) and detail of the failure area (right hand side). Dashed lines representing samples in the best-result set, continuous lines representing samples in the worst-result set. Red lines representing samples which ribs have low volume. Blue lines representing samples which ribs have high volume.

As expected according to Figure 11, best result in terms of force *R* is this attained by sample 5012 (21.752
N). In comparison with the sample 4799 (21.695 N), both with the same rib volume, sample 5012 implies

387 2.6% of improvement with respect to 4799. Analogously, the percentage of improvement of sample 15

388 (21.495 N) with respect of sample 1276 (21.389 N) is 5%. As position of points in Figure 11 predicted,

389 sample 4799 (among the worst-result set) has attained a higher value of the force R than sample 15

390 (among the best-result set).

391 Contrarily, sample number 15 is more effective since its volume is sensibly lower, as Figure 13

demonstrates. Figure 13 that which represents the ratio *R/Vr* in function of the longitudinal displacement

applied to samples, depicts clearly how samples in the best-result set are more efficient than those in the

394 worst-result set, since are able to attain a higher value of force *R* with lower rib volume *Vr*.



395

Fig. 13. Chart depicting the values of the rate between the force *R* (N) and de rib volume *Vr* as a functionof the longitudinal displacement applied to the samples 15, 1276, 4799 and 5012. Dashed lines

398 representing samples in the best-result set, continuous lines representing samples in the worst-result set.

399 Blue lines representing samples which ribs have high volume. Red lines representing samples which ribs

400 have low volume

401

402 5 Discussion

403 This section includes the discussion regarding the values of geometrical parameters and the combination

404 of geometrical parameters defining ribs that positively influence the bonding behaviour of samples with

diameter of 5 mm and three ribs, embedded in prisms with a 12x12 mm cross-section with 3 fixed

406 longitudinal faces. To this end, the sets of the best and worst results of samples (Fig. 10) are analysed in

- 407 search of common characteristics that can be related to this feature. The percentage of samples where
- 408 certain values of the geometrical parameters Wc, We, B, Bf, and hr are present in the best and the worst
- 409 result sets are therefore calculated (Table 4).

Wc (mm)	1.0	1.5	2.0	2.5	3.0	3.5	4.0
Percentage of the geometrical parameter in the best-result set	14.34	11.76	19.12	12.13	9.19	8.82	24.64
Percentage of the geometrical parameter in the worst-result set (%)	6.62	7.20	28.73	25.04	17.50	10.31	4.60
We (mm)	1.0	1.5	2.0	2.5	3.0	3.5	4.0
Percentage of the geometrical parameter in the best-result set (%)	13.60	12.50	22.43	17.65	14.71	10.66	8.45
Percentage of the geometrical parameter in the worst-result set (%)	6.56	7.25	8.23	12.21	16.81	21.59	27.35
B (°)	35	45	55	65	75	-	-
Percentage of the geometrical parameter in the best-result set	32.72	26.10	18.75	13.24	9.19	-	-
Percentage of the geometrical parameter in the worst-result set (%)	9.15	13.64	19.00	25.79	32.42	-	-
Bf (°)	45	54	63	72	81	90	-
Percentage of the geometrical parameter in the best-result set	38.24	20.22	13.97	10.66	8.82	8.09	-
Percentage of the geometrical parameter in the worst-result set (%)	13.64	16.35	17.16	17.85	18.25	16.75	-
hr (mm)	0.15	0.25	0.35	0.45	0.55	0.65	0.75
Percentage of the geometrical parameter in the best-result set	0	0	0	0	0	0.37	99.63
Percentage of the geometrical parameter in the worst-result set (%)	15.89	24.01	23.32	20.55	11.51	3.86	0.86

⁴¹⁰

Table 4. Percentage of geometrical parameters in the best-result and worst-result sets of samples.

411

412 The most relevant parameter is undoubtedly the rib height *hr*: 100% of the ribs in the best-result set

413 present rib height over 0.65 mm, this is, the highest possible values. In contrast, the lowest possible

414 values of *hr* (under 0.35 mm) gather more than 60% of results. Although *hr* contributes positively

- 415 towards the improvement of the results, an increase in this parameter also increases rib volume, making
- the efficiency of the rib rapidly decrease. Therefore, results in the worst-result set are more disperse than

417 in the best-result set.

- 418 The angle *B* between the rib axis and that of the rebar is also a key parameter. Its importance is clear since
- 419 in 58.82% of ribs in the best-result set, *B* is under 45°. In contrast, 58.21% of the ribs in the worst-result
- 420 set present the highest values of this angle (over 65°). It is therefore easy to conclude that low values of
- 421 the angle *B* favour bonding in this type of rebars.
- 422 Rib face angle Bf also presents a tendency to be low and high in the best-result and worst-result sets,
- 423 respectively. In the best-result set, 58.46 % of the samples are obtained with 45° and 54° as the Bf angle,
- 424 while the results in the worst-result set are disperse. Although Bf hardly influence the value of force R
- 425 (Table 2), it is directly linked with the rib volume. As occurs with *hr*, an increase in this parameter leads
- 426 to bulk ribs in which their efficiency rapidly decreases.
- 427 The importance of rib width remains unclear. Despite the fact that it constitutes one of the parameters
- 428 with the most influence on R (Table 2), the percentages of results are scattered. In wide ribs, especially
- 429 when hr is high, rib volume increases quickly, which easily leads to a non-efficient rib shape. This points
- 430 towards the conclusion that increasing rib widths is of no interest. It is preferable to maintain these
- 431 parameters in mid-range, largely to prevent geometrical incompatibility problems with a Bf of 45°, whose
- 432 positive influence on the results has been demonstrated.
- 433 The relationship between certain parameters deserves special attention. From the rib plan, no clear
- 434 conclusions can be extracted regarding the ratio between central and extremity widths. Apparently, the
- 435 best results have been obtained in ribs wider in the centre than in the extremities, while the best results
- 436 have been obtained in ribs in which occur exactly the opposite. Despite this fact, the percentage are quite
- 437 scattered, what prevents any conclusion from being drawn (Table 5)

Relationship of the parameters Wc and We	Wc > We	Wc = We	Wc < We
Percentage of samples in the best-result set meeting the criteria (%)	43.75	27.57	28.68
Percentage of samples in the worst-result set meeting the criteria (%)	15.03	23.43	61.54

- 438
 Table 5. Percentage of samples in best-result and worst-result sets where a specific relationship between
- 439 *Wc* and *We* is present.
- 440
- 441 The relationships among parameters confirm the conclusions that have been already drawn. Among the 442 best possible combinations are low values of angle Bf with high values of hr (72.45%) and low values of

- 443 angle *B* with high values of *hr*. On the contrary, the worst combinations of parameters involve high values
- 444 of *B* and *Bf* and high values of *B* with low values of *hr* (Table 6).
- 445

Range of the parameters Bf and hr	$Bf > 67.5^{\circ}$	$Bf > 67.5^{\circ}$	$Bf < 67.5^{\circ}$	$Bf < 67.5^{\circ}$
Range of the parameters by and hi	hr > 0.4 mm	hr < 0.4 mm	hr > 0.4 mm	hr < 0.4 mm
Percentage of samples in the best-result set meeting the criteria (%)	27.25	0	72.45	0
Percentage of samples in the worst-result set meeting the criteria (%)	25.45	27.40	11.34	35.81
Range of the parameters <i>B</i> and <i>hr</i>	$B > 50^{\circ}$ hr > 0.4 mm	<i>B</i> >50° <i>hr</i> < 0.4 mm	$B < 50^{\circ}$ hr > 0.4 mm	$B < 50^{\circ}$ hr < 0.4 mm
Percentage of samples in the best-result set meeting the criteria (%)	41.18	0	58.82	0
Percentage of samples in the worst-result set meeting the criteria (%)	32.01	45.19	4.78	18.02
Range of the parameters Bf and B	$Bf > 67.5^{\circ}$ $B > 50^{\circ}$	$Bf > 67.5^{\circ}$ $B < 50^{\circ}$	$Bf < 67.5^{\circ}$ $B > 50^{\circ}$	$Bf < 67.5^{\circ}$ $B < 50^{\circ}$
Percentage of samples in the best-result set meeting the criteria (%)	9.93	17.65	31.25	41.18
Percentage of samples in the worst-result set meeting the criteria (%)	41.67	11.28	35.64	11.51
Range of the parameters <i>Wc</i> and <i>hr</i>	Wc > 2.25 mm hr > 0.4 mm	Wc > 2.25 mm hr < 0.4	Wc < 2.25 mm hr > 0.4	<i>Wc</i> < 2.25 mm <i>hr</i> < 0.4 mm
Percentage of samples in the best-result set meeting the criteria (%)	54.78	0	45.22	0
Percentage of samples in the worst-result set meeting the criteria (%)	30.97	26.48	5.82	36.73

Table 6. Percentage of geometrical parameters in the best-result and worst-result sets of samples.

447

448 Finally, among the samples in the best-result set (Fig. 10), the relationship between reaction force *R* and

rib volume Vr is established thought the tendency curve, presenting with R^2 fitting coefficient of 0.991

450 (Fig. 14):

451 $R = 20.3502 + 0.00489 \cdot Vr + 0.00029 \cdot Vr^2 - 0.00001 \cdot Vr^3$ [Eq. 10]



453 Fig. 14. Scatter graph representing values of force *R* (N) in function of rib volume *Vr* for the samples in the454 best-result set and tendency curve fitting this data.

455 This expression, which supposes the optimum relationship between rib volume Vr and reaction force R,

456 together with Equation 9, which relates geometrical parameters Wc, We, B, Bf, and hr with the value of

457 reaction force *R*, enable the establishment of the optimum relationship between rib *Vr* volume and the

458 geometrical parameters used in this research:

459 $0.0366 \cdot Wc + 0.0023 \cdot We + 0.0002 \cdot B + 0.0003 \cdot Bf + 0.2578 \cdot hr = 0.2113 + 0.00489 \cdot Vr + 0.00029 \cdot Vr^2 - 0.0023 \cdot We + 0.00029 \cdot Vr^2 - 0.0003 \cdot We + 0.00029 \cdot Vr^2 - 0.00029 \cdot Vr^2 -$

 $460 \qquad 0.00001 \cdot Vr^3$

461 As a result of this research, Equation 11 establishes the relationship between the geometrical parameters

[Eq. 11]

462 of the rib and rib volume in order to attain an efficient rib under the particular conditions of this research.

463

464 6 Conclusions

465 This paper studies the most influential geometrical parameters of rib shape regarding bonding behaviour in 466 small-diameter rebars employed in the reinforcement of masonry bed joints. To this end, 67 steel samples 467 embedded in prisms of hydraulic material with a 12 mm x 12 mm cross-section are analysed using FEM. 468 Samples consist of a central core in which a single row of ribs is attached, thereby preventing any influence 469 of spacing between the ribs. The results are analysed in terms of the amount of force *R* required to displace

470 the sample a certain distance from their initial position inside the prisms.

471 The Microplane model is employed to simulate the behaviour of a hydraulic medium. This model is 472 especially suitable for the characterisation of the behaviour of quasi-brittle materials where non-linear 473 stress-strain relations with brittle cracking are always present. A cohesive zone model is utilised to simulate 474 the contact interface between the prisms and the samples. The results of the calculations are evaluated based 475 on the force transmitted from the rebar to the grout.

The numerical quality correlation obtained between the geometrical parameters and the results of the calculations has enabled the results to be extrapolated to several iterations. Altogether, 5,825 samples are analysed by using the data obtained via the mathematical relationships established by means of regression analysis. The correlation matrix of the results in terms of R alongside the properties of rib surface, rib axis length, and rib volume shows that rib volume is the geometrical property that best matches the behaviour of the rib in the context of bonding. This fact diverges from the criteria of certain codes, in which bonding is evaluated though the transverse projection of the longitudinal section of the rib.

483 Regarding rib shape and according to the results obtained from the set of 5,825 samples, it can be stated 484 that the most efficient ribs are those that present high rib height, low rib face angle and low angle between 485 the rib and rebar axes. Concerning widths, narrow ribs are preferable despite the fact that the central width 486 presents a significant relationship with the resulting force R when the rebar is subjected to the particular 487 boundary conditions imposed in this research. The reason can be found in the link between the rib width 488 and rib volume, which can lead to the attainment of a non-financially feasible rib. Finally, a mathematical 489 relationship between geometrical parameters and rib volume is established in order to attain an efficient 490 rib.

491 As further development of this research, suitable spacing between ribs must be introduced into the method492 for the rebar geometry to be fully optimised.

493

494 7 Funding

- 495 This research has been carried out under the project PGC2018-098185-A-I100, funded by:
 496 FEDER/Ministerio de Ciencia e Innovación-Agencia Estatal de Investigación of Spain.
- 497

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