

1 **Characterization of ESBL-producing *Shigella sonnei* in Spain: expanding the**
2 **geographic distribution of clone ST152/CTX-M-27**

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12

13 **Abstract**

14 We describe the first occurrence in Spain of community cases of CTX-M-27-
15 producing *Shigella sonnei* ST152 resistant to quinolones and azithromycin.
16 Cases included adult males, but also one paediatric case. The isolates were
17 clustered together with an Australian isolate and differed from other outbreak-
18 causing strains in England by more than 50 alleles. They carried the *bla*_{CTX-M-27}
19 gene on an 83 Kb F2:A-B plasmid, similar to that found in a British isolate.

20 *Shigella sonnei* is the leading species of shigellosis in high-income countries
21 (1). The first case of ESBL-producing *Shigella* was documented in 2004 and the
22 recent emergence of ESBL-producing isolates has also been reported (1).
23 Tracing the dissemination of these isolates is important because therapeutic
24 options are limited, since they are resistant to first-line (ciprofloxacin and
25 azithromycin) and second-line options (third-generation cephalosporins).
26 Increased use of whole-genome sequencing characterization of multidrug-
27 resistant isolates, together with the availability of public genome repositories
28 means that it is possible to establish links that would otherwise go unnoticed.

29 Although there are no studies available on the prevalence of ESBL in *Shigella*
30 *sonnei*, ST152 has been widely reported among ESBL-producing isolates,
31 mainly CTX-M-3- and CTX-M-15-producing (2). More recently, CTX-M-27-
32 producing *S. sonnei* isolates belonging to ST152 have been reported in 4 cases
33 in the United Kingdom, in 2015 (3), in 20 cases in Australia between 2019 and
34 2020 (4), and in 1 case in Switzerland in 2019 (2), with men who have sex with
35 men (MSM) being identified as the primary risk factor in most cases.

36 In August 2020, a 22-year-old man with a medical history of unprotected MSM
37 relationships was admitted to hospital with infectious colitis, and faeces culture
38 yielded *S. sonnei*. Five weeks later, a 37-year-old HIV-positive man was
39 admitted with dysentery symptoms and a faeces sample tested positive for *S.*
40 *sonnei*. One year later, four more cases were diagnosed in our area, of which
41 two were adult males, one case was a 5-year-old child, and the fourth was
42 female. Of these 2021 cases, only one required hospital admission.

43 Initial identification of bacterial isolates was by MALDI-TOF and MICs were
44 obtained with Microscan MDMR1 panels. MICs for azithromycin and

45 ciprofloxacin were obtained using gradient strips. All isolates were resistant to
46 third-generation cephalosporins, co-trimoxazole, azithromycin (≥ 256 mg/l) and
47 ciprofloxacin (8 mg/l) and a positive ESBL phenotype was detected by the
48 double-disc method (cephalosporin with and without clavulanic acid). No
49 epidemiological link or recent history of travel abroad could be established, and,
50 except for the first case, the others had no history of MSM.

51 All isolates were sequenced on an Illumina platform (MiSeq), submitted to NCBI
52 (BioProject ID: PRJNA691710), followed by assembly with CLC Genomics
53 software (Accession No. PRJNA691710). The assemblies were analysed using
54 the tools of the Center for Genomic Epidemiology (CGE;
55 <https://cge.cbs.dtu.dk/services/>)(5). All isolates were assigned to the ST152
56 clone. Our strains harboured IncFII (F2:A-B-), IncB/O/K/Z, Col(MD18),
57 Col(pHAD28) and Col(BS5R) plasmids, and carried *bla*_{CTX-M-27}, *mph(A)*, *ermB*,
58 *dfrA17*, *sul1*, *sul2*, *qnrB19*, *bla*_{TEM-1} and *aph(6)-Id* resistance determinants, and
59 three mutations in the quinolone resistance-determining region (QRDR) (in *gyrA*
60 S83L and D87G and *parC* S80I). All resistance determinants were shared by
61 most of the previously reported CTX-M-27-producing *S. sonnei* (2–4) except for
62 *qnrB19*, *sul1* and *dfrA17*, which were found only in some isolates.

63 The first isolate (20200414) selected was sequenced with MinION technology
64 (Oxford Nanopore Technologies Inc., Oxford, UK). Library preparation was
65 performed with the Rapid Barcoding Kit (SQK-RBK004) and raw signals stored
66 in Fast5 file format were basecalled using MinKNOW ONT software (v1.4.2)
67 (filtering criteria: length >1000, quality >7). Canu v.1.8 was used for assembly
68 and Unicycler v.4.8 for hybrid genome assemblies generated from MiSeq and
69 MinION data.(6) Plasmid replicons were then analysed with the PlasmidFinder

70 tool (<https://cge.cbs.dtu.dk/services/PlasmidFinder/>), which confirmed that the
71 *bla*_{CTX-M-27} gene was carried on an 83 kb plasmid with the formula F2:A-B-,
72 which matched that found in the Swiss (Accession No. CP049168) (2) and
73 English (Accession No. KX008967) (3) isolates, which were the only ones found
74 to be similar after a Basic Local Alignment Search Tool (BLAST) search. The
75 BLAST Ring Image Generator (BRIG) (7) was used to compare the three
76 plasmids (Figure 2). Our plasmid had 92% coverage, mean identity of >99%,
77 and lacked the *bla*_{TEM-1} region when compared with the 88 kb English plasmid,
78 and 79% coverage and mean identity of 99.9% with the 69 kb Swiss plasmid.

79 The close genetic environment of *bla*_{CTX-M-27} in our strains, annotated with the
80 Rapid Annotations using Subsystems Technology (RAST) server
81 (<http://rast.nmpdr.org/>) and ISFinder (<https://www-is.biotoul.fr>), were compared
82 with those of previously reported isolates (2–4) and one that was found by
83 searching Enterobase (one isolate from the USA, Accession No. SRS2523050).
84 Two genetic structures have been detected in all previously reported CTX-M-
85 27-producing *S. sonnei* (2–4): an IS903B-*bla*_{CTX-M-27}-ISEcp1 unit and an IS903-
86 *bla*_{CTX-M-27}-IS15DIV unit (Figure 1), which suggests that the acquisition of the
87 *bla*_{CTX-M-27} genes occurred in at least two separate events. Our strains lacked
88 ISEcp1, and IS26 was found upstream of the *bla*_{CTX-M-27} unit. This structure had
89 identical surroundings to those found in all the English isolates (3), except that
90 our isolates were highly resistant to quinolones.

91 A core genome comparison was performed using Enterobase's *Escherichia*
92 *coli/Shigella* database (<http://enterobase.warwick.ac.uk/species/ecoli>), based on
93 allelic differences found with the loci core genome scheme (<5 alleles of
94 difference were considered related) (8), as well as a SNPs analysis of the whole

95 genome present in 95% of the compared genomes and using the first isolate
96 (20200414) of our region as reference. The comparison included previously
97 reported CTX-M-27-producing *S. sonnei* isolates (2–4): 2 isolates from the
98 English outbreak (Accession No. SRS1439910 and SRS1439888), 8 from the
99 Australian outbreak (including representatives of two different branches,
100 Accession No: SRS5701367, SRS6935867, SRS6935850, SRS6935848,
101 SRS6935845, SRS6935843, SRS6935834, SRS6935821), 2 non-outbreak
102 isolates (the USA isolate, the Swiss isolate, SAMN14133045) and the Spanish
103 isolates. Allele 2 of IncFII was detected in all of them, except in the isolate from
104 the USA and one of the Australian isolates. A BLAST comparison of FII_2-
105 positive CTX-M-27-producing *S. sonnei* genomes with our plasmid yielded a
106 mean 77 kb similarity with our 83 kb plasmid, and a mean homology of 99.6%.

107 This analysis revealed that the Spanish isolates and one Australian isolate,
108 which also harboured 3 QRDR mutations and *qnrB1*, *sul1* and *dfrA17* genes,
109 were closely clustered (less than 5 alleles/14-37 SNPs of difference). This cluster
110 differed by more than 50 alleles from the English outbreak and the main group
111 in the Australian outbreak.

112 This is the first description of ESBL-producing *S. sonnei* in Spain. Based on our
113 results, the Spanish isolates are related to strains from two other continents that
114 showed a similar resistome and genetic platform containing the *bla* genes,
115 suggesting common acquisition and rapid spread. Although cases in adult men
116 with a history of sexual transmission have been described in other countries (9),
117 our cluster also includes a paediatric patient and a woman within two months of
118 each other. This is evidence of transmission to secondary cases in the

119 community, which raises concerns about limited therapeutic options if a
120 vulnerable population is affected.

121

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132 **Transparency declarations**

133 None to declare.

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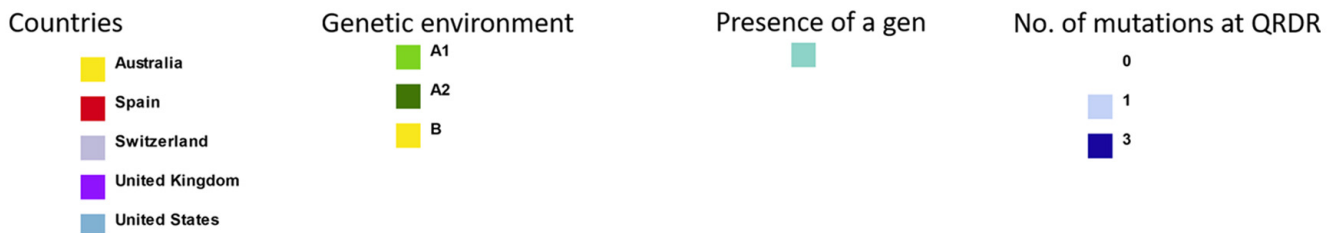
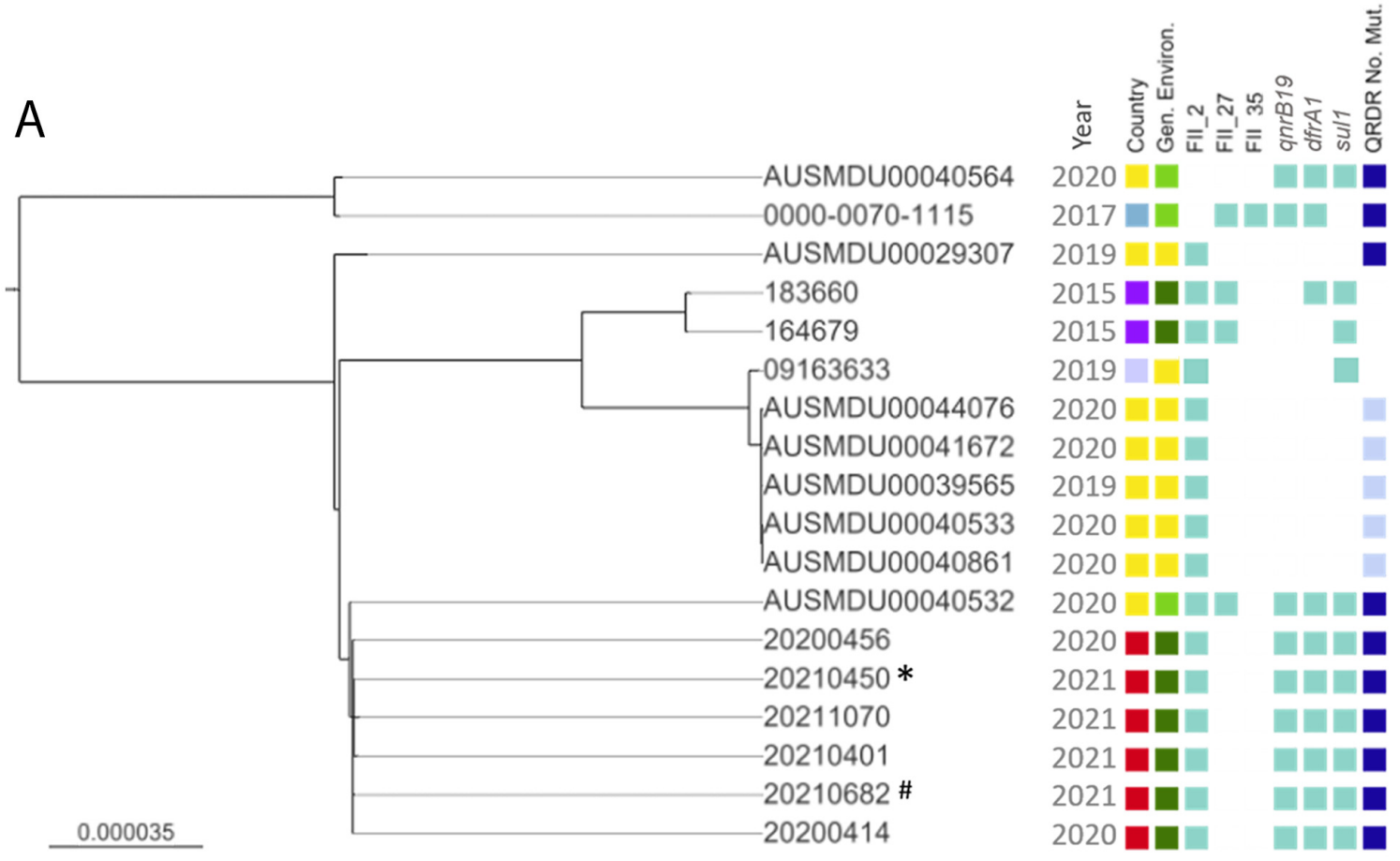
172

173 **Figure 1.** A) Core-genome dendrogram of 18 CTX-M-27-producing *S. sonnei*
174 isolates, inferred using the SNP tree tool based on the cgMLST V1 +
175 Hierarchical Clustering (HierCC) V1 scheme from EnteroBase. Tips are
176 coloured according to year and country of detection, the presence of different
177 resistance determinants, the number of mutations in the quinolone resistance-
178 determining region (QRDR) and the genetic environment surrounding CTX-M-
179 27 (Gen. E), * isolate from the paediatric case and # isolate from the female
180 case. B) EasyFig v2.2.5 was used to represent the *bla*_{CTX-M-27}-containing genetic
181 environments compared in this study. The genetic structures were arbitrarily
182 named A and B; type A was divided into A1 and A2 due to the presence of an
183 additional IS26 sequence.

184

185 **Figure 2.** BRIG comparison of p183660 (purple ring) and p0916363 (green ring) with
186 the long-read sequenced *bla*_{CTX-M-27}-carrying plasmid p20200414 (blue ring) analysed in
187 this study. The arrangement of *bla*_{CTX-M-27} is highlighted by the yellow arrow, that of the
188 antibiotic or metal resistance determinants by red arrows, while the insertion
189 sequences are highlighted in light blue. The TEM-1 environment presents in p183660
190 and the differences found in p20200414 are showed in the extended right image.

A



B

