

Article

Spectral Properties of Exact Polarobreathers in Semiclassical Systems

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Abstract: In this paper, we study the spectral properties of polarobreathers, that is, breathers carrying charge in a one-dimensional semiclassical model. We adapt recently developed numerical methods that preserve the charge probability at every step of time integration without using the Born–Oppenheimer approximation, which is the assumption that the electron is not at equilibrium with the atoms or ions. We develop an algorithm to obtain exact polarobreather solutions. The properties of polarobreathers, both stationary and moving ones, are deduced from the lattice and charge variable spectra in the frequency–momentum space. We consider an efficient approach to produce approximate polarobreathers with long lifespans. Their spectrum allows for the determination of the initial conditions and the necessary parameters to obtain numerically exact polarobreathers. The spectra of exact polarobreathers become extremely simple and easy to interpret. We also solve the problem that the charge frequency is not an observable, but the frequency of the charge probability certainly is an observable.

Keywords: nonlinear waves; discrete breathers; polarobreathers; charge transport; spectra

MSC: 65P10; 37M05



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1. Introduction

The subject of a charge moving when coupled to a lattice vibration has a long history [1,2]. This phenomenon is very different from Ohmic conductivity in metals and semiconductors, where electrons in the conduction band or holes in the valence band move freely within a crystal. In insulators, where all the bands are either occupied or empty, but also in metals and semiconductors, an extra charge outside the bands may be attached to some atom or ion and have a low probability of moving to another position. The deformation it produces in the lattice can travel, and is known as a polaron [3–5]. The charge—electron, or hole—changes its position to the same state in a neighboring atom. Polarons were first conceived as either a static solution or coupled to phonons, which were set in motion by an external electric field, but there are other possibilities as explained below. There is a probability that a charge may change to the neighboring site due to the overlapping of the electron wavefunctions in each atom, described by the transfer integral. It is clear that if the distance between atoms decreases, there will be an increase in the transition probability, and vice versa. Even for a symmetric vibration, the increase in the transition probability is much larger for shorter distances than the other way around, leading to an exponential dependence on the change in the potential barrier [6].

The level of description in which an electron or hole can be ascribed to a single atom is called the tight-binding approximation. It allows for a semiclassical treatment, in which the heavier atoms are described classically and the much lighter electron is described as a quantum particle. A system can be described in terms of the atomic states, and the quantum

Hamiltonian is expressed in terms of the energies of these states and the transfer matrices; the latter often depend on the distance exponentially.

Large displacements of the atoms or ions in a crystal imply that the linear description is no longer valid. This nonlinearity may lead to the apparition of localized entities or, when traveling, solitary waves. Notable examples at the macroscopic scale are tsunamis, which travel thousands of kilometers at jet speed in a different manner to usual sea waves. Only slightly less impressive are tidal bores, solitary waves that travel upriver when the incoming tide encounters the outcoming one. Moreover, rogue waves in the sea appearing apparently from nowhere have been proven to exist by systematic satellite observations.

These solitary waves can be kinks, solitons [7,8], or breathers, also called intrinsic localized modes [9–11]. Solitons and kinks have a constant profile in the moving frame, where solitons become zero at $\pm\infty$, while kinks only at one of $\pm\infty$, and tend to a constant at the other infinity. Breathers also have a vibrating profile. Sometimes the distinction depends on the variables used. Important steps in breather theory were the mathematical proof of their existence [12,13] and Floquet and band theory for breathers [14–16], which later expanded to dark breathers [17,18] and multibreathers [19,20]. They have been obtained with classical molecular dynamics [21,22] and ab initio molecular dynamics [23,24]. Recently, the spectral theory of exact moving breathers was developed, showing that breathers admit a very simple description in their moving frame, where they have usually a single frequency [25,26]. On the one hand, this makes possible the interpretation of numerical spectra, and on the other, it facilitates the integration methods.

The large displacements bringing neighboring atoms closer and increasing the probability of charge transfer between atoms in semiclassical systems lead to a strong coupling between charge and vibrations. The combined entities are called polarbreathers [27–29], or solelectrons in some systems [30,31]. The mathematical methods are often within the Born–Oppenheimer approximation [32], that is, supposing that the electrons are always in an equilibrium state with the configuration of atoms around them, due to their dynamics being much faster than the dynamics of the atoms. The authors have overcome this limitation by developing efficient numerical methods for semiclassical systems, based on splitting methods that conserve the charge probability at each step of time integration [33]. On the experimental side of polarbreathers, it was recently discovered that by bombarding layered silicates and other materials with alpha particles, it was possible to measure electrical currents in the absence of an electric field; the electrons or holes were carried by nonlinear lattice excitations [34,35].

The purpose of this paper is to apply the theory of exact polarbreathers in their moving frame to a semiclassical system, using numerical integration methods developed in Ref. [33]. In this way, we clarify the polarbreather spectra, facilitate their description, develop the mathematical methods for obtaining numerically exact polarbreathers, and compare their spectra with the approximate ones. The results can help to identify bands in the spectra of some materials where nonlinear vibrations couple to nonfree charges. The lattice part of the model without the charge is given by a Frenkel–Kontorova model [36,37] with the Lennard–Jones interaction potential, because it has been proven extremely good for producing long-lived breathers in two-dimensional systems [38,39].

The layout of the article is as follows. Section 2 presents the semiclassical system and its transformation into a Hamiltonian one. Section 3 deals with an important problem of the charge description: that the charge frequencies are not an observable of the system. In Section 4, a review of the theory of exact traveling excitations is presented. The linearization of the system is performed in Section 5. Very useful calculations, known as tail analysis, which advance many properties of nonlinear excitations, are presented in Sections 6 and 7 for stationary and moving polarbreathers, respectively. In Section 8, the developed numerical methods to solve dynamical equations and obtain exact polarbreathers are described. Sections 9 and 10 analyze stationary and moving polarbreathers, respectively, following a similar pattern: generation, description of the spectrum of approximate polarbreathers,

obtainment of exact solutions and interpretation of their spectra, stability analysis, and path continuation. The article ends with the conclusions.

2. The Model

We consider a model of N particles, atoms or ions, with a classical and a quantum Hamiltonian imposing periodic boundary conditions. The classical Hamiltonian is given by

$$H_L = K_E + U_E + V_E = \sum_{n=1}^N \left(\frac{1}{2} p_n^2 + U(u_n) + V(1 + u_{n+1} - u_n) \right). \tag{1}$$

In this equation, the variable u_n is the separation of the particle n from the equilibrium position using the lattice unit as length unit; p_n represents the momentum of the particle n , $p_n = mv_n = m\dot{u}_n$ equal to $p_n = \dot{u}_n$ because the mass of the particle is chosen as the mass unit. Therefore, $K_E = \sum_{n=1}^N \frac{1}{2} p_n^2$ is the kinetic energy of the system. $U_E = \sum_{n=1}^N U(u_n)$, is the sum of the on-site energies of the classical system with respect to their equilibrium positions. It represents the interaction of the system with other systems, as other layers in silicates, or simply other atom chains in a crystal. Due to the periodicity of the crystal, the simplest form for the on-site energy for a particle is given by the first-order Fourier series, with the condition that $u_n = 0$ is a stable equilibrium with zero energy: $U(u_n) = U_0(1 - \cos(\omega_0 u_n))$, known as the Frenkel–Kontorova model. The depth of the potential well is taken as unit of energy, i.e., $U_0 = 1$, and the period T_0 of small oscillations of a particle in the potential well $U(u_n)$ is taken as time unit, i.e., $\omega_0 = 2\pi$. The interaction energy $V_E = \sum_{n=1}^N V(1 + u_{n+1} - u_n)$ is the sum of interaction energies between the particles of the system, that is, the energy of the interaction of the system with itself, described as pairs of Lennard-Jones potential between nearest neighbors. The Lennard-Jones potential has the generic physical property of growing to infinity when the distance between particles becomes zero and tending to a constant value, i.e., with zero derivative or zero force, when the particles separate. The Lennard-Jones potential is given by:

$$V(r) = \varepsilon \left(\left(\frac{\sigma}{r} \right)^{12} - 2 \left(\frac{\sigma}{r} \right)^6 \right).$$

The interaction potential has a minimum at $r = \sigma$ with depth ε , being the ratio between the interaction energy and the on-site energy. We use $\varepsilon = 0.05$, a value that brings about the extraordinary mobility of breathers, both in the system without charge [38,39] and with it [33]. We consider $\sigma = 1$, that is, also equal to the lattice constant, which is justified by the fact that there are no forces on the lattice particles at the equilibrium position. We also add the well depth ε to the interaction potential in order to have zero energy at the equilibrium distance.

The quantum Hamiltonian [40] for an electron or hole added to the system is given by:

$$\hat{H}_c = \sum_{n=1}^N (E_n |n\rangle\langle n| - J(u_{n+1} - u_n) [|n\rangle\langle n+1| + |n+1\rangle\langle n|]), \tag{2}$$

with the expectation value:

$$H_c = \sum_{n=1}^N (E_n c_n^* c_n - J(u_{n+1} - u_n) [c_n^* c_{n+1} + c_{n+1}^* c_n]), \tag{3}$$

which is real, since $c_n^* c_{n+1} + c_{n+1}^* c_n = 2 \operatorname{Re}(c_n^* c_{n+1})$.

$|c_n|^2 = c_n^* c_n$ is the probability that the charge is located at site n with energy E_n , and the sum of probabilities adds to one, i.e.,

$$\sum_{n=1}^N |c_n|^2 = 1. \tag{4}$$

The complex variable c_n is the n -th component of $|\psi\rangle = \sum_n c_n |n\rangle$, where $|n\rangle$ represents the state for which the charge is completely localized at site n . The possibility of expanding the wave function in a basis of localized states $|n\rangle$, that is, states for which the electron is tight-bound to a single atom of the system, consists of the tight-binding approximation.

The evolution of the wave function $|\psi\rangle$ is described by the Schrödinger equation $i\hbar\partial_t|\psi\rangle = \hat{H}_c|\psi\rangle$. The Hamiltonian \hat{H}_c in (2), acting on $|\psi\rangle = \sum_m c_m |m\rangle$, leads to:

$$\hat{H}_c \sum_m c_m |m\rangle = \sum_{n,m} E_n |n\rangle \langle n|c_m\rangle - J(u_{n+1} - u_n)[|n\rangle \langle n+1|c_m\rangle + |n+1\rangle \langle n|c_m\rangle],$$

where $\langle n|c_m\rangle = c_n \delta_{n,m}$. The left hand side of the Schrödinger equation becomes $i\hbar\partial_t|\psi\rangle = \sum_n i\hbar\dot{c}_n |n\rangle$. Identifying the components on the basis of vectors $\{|n\rangle\}$ in both sides of the evolution equation, we obtain the following evolution equation for the probability amplitudes c_n 's:

$$i\tau\dot{c}_n = E_n c_n - J(u_{n+1} - u_n)c_{n+1} - J(u_n - u_{n-1})c_{n-1}, \tag{5}$$

where τ is the reduced Planck constant $\hbar = h/2\pi$ in scaled units, with h being the Planck constant. In Refs. [25,41], which study a particular model for the movement of potassium ions in a silicate layer, the scaled units for length, energy, mass, and time are $u_L = 5.19 \text{ \AA}$, the interatomic distance; $u_E = k_e e^2 / u_L \simeq 2.77 \text{ eV}$, the electric potential energy between two ions with unit charge e ; $u_M = 39.1 \text{ amu}$, the mass of an ion; and $u_T = (u_M u_L^2 / u_E)^{1/2} \simeq 0.2 \text{ ps}$, the derived unit from the previous ones. In those units, $\tau = \hbar / u_E u_T \simeq 0.00119$. In this article, we do not refer to a specific model, but we use $\tau = 0.001$ to have a correct order of magnitude. Note that the ratio of masses between a proton and an electron, approximately 2000, is coherent with the fastest movement of the electron with respect to the atoms in the lattice by a factor of $1/\tau$.

Equation (3) is the expected value of the Hamiltonian \hat{H}_c (2) when the system is in the state $|\psi\rangle = \sum_n c_n |n\rangle$, i.e., $H_c = \langle\psi|\hat{H}_c|\psi\rangle$. \hat{H}_c is represented on the basis of $|n\rangle$ by a matrix with elements $\langle n|\hat{H}_c|m\rangle$. $E_n = \langle n|\hat{H}_c|n\rangle$ are, therefore, the diagonal elements. The off-diagonal matrix elements, also called the transfer matrix elements, are $J_{m,n} = \langle m|\hat{H}_c|n\rangle$, and are related with the probability of transition between the sites n and m . We suppose that they are zero, except for the nearest-neighbor particles, and that they depend on the distance between particles in the form:

$$\begin{aligned} J_{n+1,n} &= J(u_{n+1} - u_n) = J_0 \exp(-\alpha[1 + u_{n+1} - u_n]) \\ &= I_0 \exp(-\alpha[u_{n+1} - u_n]), \quad \text{with } I_0 = J_0 \exp(-\alpha). \end{aligned} \tag{6}$$

Therefore, the transfer elements and the probability of transition between sites increase rapidly when the particles become closer. The parameter values are $\alpha = 15$, a value corresponding to the $n = 3$ orbitals; and $I_0 = J_0 \exp(-\alpha) = 5 \times 10^{-4}$, a good value for an insulator at equilibrium. Both values are also very convenient for producing traveling polarobreathers [33]. E_n represents the charge energy at the n -th site, and in general, it may depend on the variables of the lattice. We keep it in the general notation, but in the present paper, we consider it uniform and constant, which makes possible to set it to zero. However, the nonzero value is useful, as explained below in Section 3.

The expected Hamiltonian for the lattice and the charge is given by $H = H_L + H_c$, with Hamiltonian equations for the lattice variables:

$$\dot{u}_n = p_n \quad \text{and} \quad \dot{p}_n = -\frac{\partial H}{\partial u_n}. \tag{7}$$

Therefore, the system of governing mathematical equations of the charge–lattice interactions reads as:

$$\ddot{u}_n = -U'(u_n) + V'(1 + u_{n+1} - u_n) - V'(1 + u_n - u_{n-1}) - J'(u_{n+1} - u_n)(c_n^*c_{n+1} + c_{n+1}^*c_n) + J'(u_n - u_{n-1})(c_{n-1}^*c_n + c_n^*c_{n-1}), \tag{8}$$

$$i\tau\dot{c}_n = E_n c_n - J(u_{n+1} - u_n)c_{n+1} - J(u_n - u_{n-1})c_{n-1}, \tag{9}$$

where we have omitted the explicit dependence on t from the variables.

Considering the time-dependent variables a_n and b_n , the real and imaginary parts of $\sqrt{2\tau}c_n$, where the normalization is required, the governing Equations (8) and (9) can be written in the canonical Hamiltonian form with the Hamiltonian:

$$H = \sum_{n=1}^N \left(\frac{1}{2} p_n^2 + U(u_n) + V(1 + u_{n+1} - u_n) + \frac{1}{2\tau} E_n [a_n^2 + b_n^2] - \frac{1}{\tau} J(u_{n+1} - u_n) [a_n a_{n+1} + b_n b_{n+1}] \right), \tag{10}$$

which is the sum of the lattice and charge Hamiltonians (1) and (3), respectively, in variables u_n, a_n, p_n , and b_n . For the components u_n, a_n, p_n , and b_n of the canonical variables, the canonical Hamiltonian equations derived from (10) are:

$$\dot{u}_n = p_n, \tag{11}$$

$$\dot{a}_n = \frac{1}{\tau} E_n b_n - \frac{1}{\tau} J(u_{n+1} - u_n) b_{n+1} - \frac{1}{\tau} J(u_n - u_{n-1}) b_{n-1}, \tag{12}$$

$$\dot{p}_n = -U'(u_n) + V'(1 + u_{n+1} - u_n) - V'(1 + u_n - u_{n-1}) - \frac{1}{\tau} J'(u_{n+1} - u_n) (a_n a_{n+1} + b_n b_{n+1}) + \frac{1}{\tau} J'(u_n - u_{n-1}) (a_{n-1} a_n + b_{n-1} b_n), \tag{13}$$

$$\dot{b}_n = -\frac{1}{\tau} E_n a_n + \frac{1}{\tau} J(u_{n+1} - u_n) a_{n+1} + \frac{1}{\tau} J(u_n - u_{n-1}) a_{n-1}, \tag{14}$$

for all $n = 1, \dots, N$. Note that the total probability (4) is conserved along the solutions of Equations (11)–(14) as the sum:

$$\sum_{n=1}^N a_n^2 + b_n^2 = 2\tau,$$

is conserved. We solve the canonical Hamiltonian Equations (11)–(14) with the exact charge probability (4) conserving, symplectic numerical method of Ref. [33] described in Section 8.1, to obtain the solution for the charge amplitude $c_n = (a_n + ib_n)/\sqrt{2\tau}$ and its probability $|c_n|^2 = (a_n^2 + b_n^2)/2\tau$. In addition, in Section 8.2, we describe the numerical algorithm based on nonlinear least squares for the computation of numerically exact time-periodic stationary and moving polarobreaters.

3. Frequency Shift of the Charge Amplitudes $\{c_n\}$

Let us define new probability amplitudes $\{\tilde{c}_n\} = \{c_n \exp(-i\mu t)\}$ with real μ that does not depend on n . This change conserves the density matrix [40], that is, the products $\tilde{c}_n \tilde{c}_m^* = c_n c_m^*$ do not change. Consider a state vector $|\phi\rangle = \sum_n c_n |n\rangle$ and a quantum observable, corresponding to a Hermitian operator \hat{A} . The expected value of the observable is given by $\langle A \rangle = \langle \phi | \hat{A} | \phi \rangle = \sum_{n,m} A_{n,m} c_n c_m^*$. It is obvious that c_n and \tilde{c}_n bring about the same expected values as well as derivatives of the expected values, as in (8). There is no physical form to detect the difference between the two sets of probability amplitudes; the kets $|\phi\rangle$ and $|\tilde{\phi}\rangle$ represent the same state. They are not, however, solutions of the same Equation (9), as can be seen by supposing that c_n is a solution, and substituting $c_n = \tilde{c}_n \exp(i\mu t)$ in (9) we obtain:

$$i\tau \frac{d(\tilde{c}_n \exp(i\mu t))}{dt} = E_n c_n - J(u_{n+1} - u_n) c_{n+1} - J(u_n - u_{n-1}) c_{n-1},$$

$$i\tau \dot{\tilde{c}}_n \exp(i\mu t) - \tilde{c}_n \mu \tau \exp(i\mu t) = E_n c_n - J(u_{n+1} - u_n) c_{n+1} - J(u_n - u_{n-1}) c_{n-1}. \tag{15}$$

Multiplying (15) by $\exp(-i\mu t)$, we arrive at the equation:

$$i\tau \dot{\tilde{c}}_n = (E_0 + E_n) \tilde{c}_n - J(u_{n+1} - u_n) \tilde{c}_{n+1} - J(u_n - u_{n-1}) \tilde{c}_{n-1}, \tag{16}$$

where $E_0 = \mu\tau$. Therefore, multiplying the solution c_n of (9) by $\exp(-i\mu t)$ brings about a new solution to Equation (9), but with the energy level shifted up by $E_0 = \mu\tau$.

This is a valuable property; exact solutions c_n of (9) do not need to be periodic, but there may exist a solution $\tilde{c}_n = c_n \exp(-i\mu t)$, which is periodic and a solution of the same evolution Equation (9) with the energy shift $E_0 = \mu\tau$ to E_n , and therefore, with a frequency shift $\mu = E_0/\tau$ for all the frequencies. As we have seen above, the magnitude E_0 does not appear in any physical observable. It is equivalent to fixing the gravitational potential energy at some specific height.

The actual solution could be obtained as $c_n = \tilde{c}_n \exp(i\mu t)$ from (16), but this is actually irrelevant, since we simply can obtain the actual energies and frequencies of the original system (9) by subtracting E_0 and E_0/τ from the energy and frequency values, respectively.

4. Review of the Properties of Exact Moving Breathers and Solitons

Before analyzing the properties of polarobreathers, it is useful to review the properties of exact moving excitations—breathers or solitons. See Refs. [25,26] for details. We focus on the lattice variables u_n , but similar analysis applies to $|c_n|^2$ and c_n . Figure 1 illustrates the properties below.

Exact traveling wave: An exact traveling wave with velocity V_b is characterized by a function or sum of functions of the form:

$$u_n = f(n - V_b t, \Omega t),$$

with f a 2π periodic on its second one, and with the following condition below.

Fundamental time and step: There exist a fundamental time T_F and an integer number called the step s , so the profile f repeats exactly after a time T_F . The velocity is then $V_b = s/T_F$.

Localized solutions: If f is localized in the first variable, it may be a breather, soliton, or kink. We will refer to it as a breather for simplicity. Then, we write the second variable as $\Omega_b t$. If it is delocalized, it is an exact extended traveling wave.

Fundamental frequency: $\omega_F = 2\pi/T_F$. The relevant frequencies are integer multiples of ω_F , among which is the frequency associated to the velocity, i.e., the frequency at which a traveler in the moving frame encounters particles $2\pi V_b = s\omega_F$.

Frequency in the moving frame: Ω is the frequency in the moving frame, because if an observer moves with the breather, then $n - V_b t \approx 0$, and there remains a single frequency Ω, Ω_b for the breather. We do not take into account the variation due to the discreteness.

Exactness: The exactness condition implies that $\Omega = m\omega_F$ for the integer m , or m_b for the breather.

Harmonic modes: The breather, as any function of (n, t) , can be expressed as a sum of harmonic waves, also called modes $u_q = A_q \exp(i[qn - \omega_q t])$, with ω_q being the laboratory frequency of each mode.

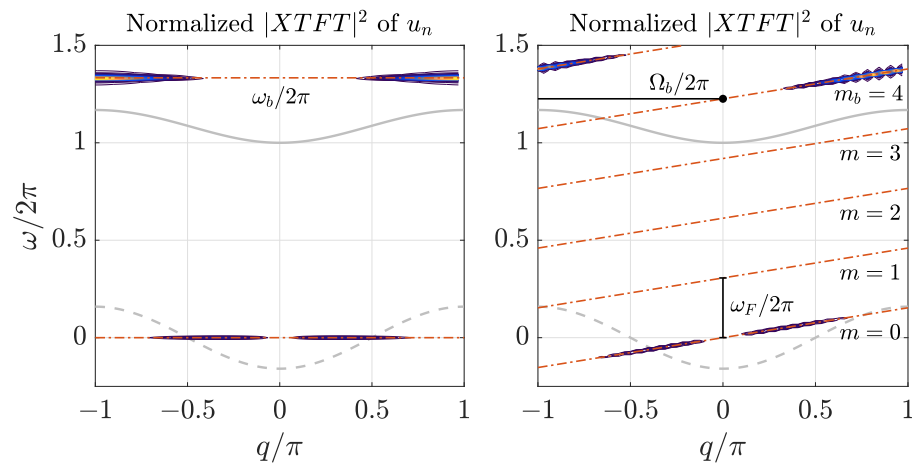


Figure 1. Plot of the XTFT of exact breathers together with the dispersion relations for u_n (continuous line) and c_n (dashed line), the latter only for reference. **(Left)** Stationary soliton-breather. **(Right)** Moving soliton-breather, resonant lines and the breather line, $m_b = 4$. The breather frequency in the moving frame $\Omega_b = m_b\omega_F$ and the fundamental frequency ω_F are also marked. In this case the step $s = 1$. See Section 4 for details.

Resonant modes: Resonant modes with the breather are all the modes that advance the step s in the time T_F , that is, they are also exact with the same step and fundamental frequency. They can be written as $A_q \exp(i[(qn - V_b t) - m\omega_F t])$.

Resonant lines: Therefore, the laboratory frequencies of the resonant modes are given by $\omega_q = m\omega_F + qV_b$. They form parallel straight lines called resonant lines, with slope V_b , and cross the vertical axis ($q = 0$) at $\Omega_m = m\omega_F$, for the m integer. All modes in a resonant line travel with speed V_b and have the same frequency in the moving frame $\Omega_m = m\omega_F$.

Breather line: All the modes in the spectrum of the localized exact solution u_n are within one resonant line, with $m = m_b$, that is, the breather line. Note that the breather line ends at $q = \pi$ and reappears at $q = \pi - 2\pi = -\pi$ as a different resonant line.

Breather frequency in the moving frame: The breather line crosses the vertical axis ($q = 0$) at $\Omega_b = m_b\omega_F$, the frequency of the breather in the moving frame.

Transformation to the moving frame: Changing the frame of reference to the one moving with the breather, it is equivalent to the transformation $\omega \rightarrow \omega_{MF} = \omega - qV_b$. The resonant lines and the breather line become horizontal lines with the frequencies in the moving frame.

Soliton or kink: If $\Omega_b = 0$, the excitation is a kink or soliton, that is, a static profile in the moving frame.

Wing: If a resonant line crosses the dispersion band, there is a resonant phonon, i.e., a solution to the linearized equation, that may bring about a wing. It is an extended wave that travels with the localized solution, becoming a pterobreather, pterosoliton, or pterokink. The wing may be an integral part of the solution to the nonlinear dynamical equations, that is, it will not exist without the wing [42].

Commensurability condition: The breather line frequency change for $\Delta q = 2\pi$ is $\Delta\Omega_b = V_b\Delta q = 2\pi V_b$, the velocity frequency, which is given by $s\omega_F$. Then,

$$\frac{\Omega_b}{\Delta\Omega_b} = \frac{\Omega_b}{2\pi V_b} = \frac{m_b}{s} \tag{17}$$

is a rational number. This important property allows for the determination of $m_b, s, \omega_F, \Omega_b$, and $V_b = \Delta\Omega_b/2\pi$, by simple inspection of the breather spectrum. $\Delta\Omega_b$ is

also the difference in frequency between the breather line and its continuation when $\pi \rightarrow -\pi$. The moving frame frequency and the velocity frequency are commensurate.

5. Linear Approximations

In this section we obtain, the linearized equations corresponding to the dynamical Equations (8) and (9). We will use them to obtain the dispersion relations (DRs), also called the phonon bands, both for the variables u_n and c_n , while there is no dispersion relation for $\rho_n = |c_n|^2$. They provide the vibrational modes at small amplitude, the phonons, and they are necessary to understand the spectrum of larger-amplitude polarobreathers formed with modes that separate from the linear ones, as observed in Sections 9 and 10. In general, the larger the amplitude, the larger the separation from the phonon band.

The dispersion relations are also useful for the tail analysis used in Sections 6 and 7, which supposes that a localized nonlinear solution has a core of large amplitude that decreases at sites further away from the core, forming a tail of decreasing amplitude. A few sites away from the core, when the amplitude is small enough, the lattice variables would abide by the linear dispersion relations, with a decreasing exponential solution valid only to one side of the core and at some distance from it. This simple method is extremely useful for predicting the properties of nonlinear excitations, such as the increase or decrease in frequency with respect to phonons with the same wavenumber. In this way, the properties of the polarobreathers in Sections 9 and 10 can be easily understood.

If we expand the terms in the dynamical Equations (8) and (9) to the first order in their variables, using $\omega_0^2 = U''(0) = 4\pi^2$, $C = V''(1) = 72\varepsilon = 3.6$, and

$$\begin{aligned} J(u_{n+1} - u_n) &= J(0) + J'(0)(u_{n+1} - u_n) + \dots = I_0 - \alpha I_0(u_{n+1} - u_n) + \dots, \\ J'(u_{n+1} - u_n) &= J'(0) + J''(0)(u_{n+1} - u_n) + \dots = -\alpha I_0 + \alpha^2 I_0(u_{n+1} - u_n) + \dots, \end{aligned}$$

and also seeing (6), we obtain:

$$\begin{aligned} \ddot{u}_n &= -\omega_0^2 u_n - C(2u_n - u_{n+1} - u_{n-1}) \\ &\quad + \alpha I_0 [1 - \alpha(u_{n+1} - u_n)](c_n^* c_{n+1} + c_{n+1}^* c_n) \\ &\quad - \alpha I_0 [1 - \alpha(u_n - u_{n-1})](c_{n-1}^* c_n + c_n^* c_{n-1}), \tag{18} \\ i\tau \dot{c}_n &= E_n c_n - I_0 [1 - \alpha(u_{n+1} - u_n)] c_{n+1} - I_0 [1 - \alpha(u_n - u_{n-1})] c_{n-1}. \tag{19} \end{aligned}$$

Keeping only the linear terms in (18) and (19), the lattice and the charge decouple, and we obtain a fully linearized system of equations:

$$\begin{aligned} \ddot{u}_n &= -\omega_0^2 u_n - C(2u_n - u_{n+1} - u_{n-1}), \tag{20} \\ i\tau \dot{c}_n &= E_n c_n - I_0(c_{n+1} + c_{n-1}). \tag{21} \end{aligned}$$

The solutions of linear equations have an exponential form with imaginary exponents if they are bounded, that is, they have the form $u_n = \exp(i[qn - \omega_L t])$ and $c_n = \exp(i[qn - \omega_c t])$. The substitution of these expressions into the equations above leads to the dispersion relations:

$$\omega_L = \pm \left(\omega_0^2 + 2C[1 - \cos(q)] \right)^{1/2} = \pm \left(\omega_0^2 + 4C \sin^2\left(\frac{q}{2}\right) \right)^{1/2}, \tag{22}$$

$$\omega_c = \frac{E_0}{\tau} - 2 \frac{I_0}{\tau} \cos(q). \tag{23}$$

We have included these dispersion relations in Figure 1 and in all XTFT plots for reference. Note that the variables u_n and c_n become decoupled at the linear limit. Note also that wavenumbers $q = 0$ and $q = \pm\pi$ correspond to stationary solutions. For $q = 0$, the particles vibrate in phase with the same frequency, and for $q = \pm\pi$, they vibrate with an alternate pattern.

There could be some doubt about which variables the linearization should be performed in (18), because only the density matrix elements $\rho_{n,m} = c_n c_m^*$ appear. However, the linearization with respect to $\rho_{n,m}$ and u_n results in the linear terms on $\rho_{n,m}$ becoming zero, and (8) does not change. There is no such problem for (19), as it is already linear in c_n terms.

In Sections 6 and 7, using the system of linearized Equations (20) and (21), we perform the tail analysis of stationary and moving localized excitations, respectively. We will compare the results in these sections with the present one.

6. Tail Analysis of Stationary Localized Excitations

For the tail of a stationary localized excitation, we propose the ansatz:

$$u_n = \exp(-\xi_L n) \exp(i[qn - \omega_L t]), \quad c_n = \exp(-\xi_c n) \exp(i[qn - \omega_c t]), \quad \xi_{L,c} \in \mathbb{R}_+, \quad (24)$$

which is valid for $n > 0$ (for $n < 0$, we change the sign in front of n in the first exponentials). Note that both $\xi_{L,c} = 0$ implies no localization and extended waves. With the ansatz (24) and assumption of the constant charge energy $E_n = E_0$, for all n , from the Equations (20) and (21) we obtain the following equations for the lattice and charge frequencies:

$$\omega_L = \pm \left(\omega_0^2 + 2C[1 - \cosh(\xi_L) \cos(q)] + i2C \sinh(\xi_L) \sin(q) \right)^{1/2}, \quad (25)$$

$$\omega_c = \frac{E_0}{\tau} - 2 \frac{I_0}{\tau} [\cosh(\xi_c) \cos(q) - i \sinh(\xi_c) \sin(q)], \quad (26)$$

respectively, where q is the wavenumber. The momentum is given by $p_c = \bar{\tau}q$, with $\bar{\tau} = \tau/2\pi$ or \hbar in physical units. However, we will use both terms for q when there is no confusion, as they represent the same physical observable in different units.

If the frequencies $\omega_{L,c}$ are not real, that will imply decaying solutions; therefore, either both $\xi_{L,c} = 0$, where we obtain extended solutions and the linear dispersion relations for the lattice and charge (22) and (23), or $\sin(q) = 0$, i.e., $q = 0$ or $\pm\pi$, and for $q = 0$ from (25) and (26), we obtain:

$$\begin{aligned} \omega_L &= \pm \left(\omega_0^2 + 2C[1 - \cosh(\xi_L)] \right)^{1/2} \quad \text{with} \quad |\omega_L| < \omega_0, \\ \omega_c &= \frac{E_0}{\tau} - 2 \frac{I_0}{\tau} \cosh(\xi_c) < \frac{E_0}{\tau} - 2 \frac{I_0}{\tau}. \end{aligned} \quad (27)$$

From (27), it can be seen that the localization drives the frequencies below the linear spectrum (above for negative ω_L), and further away the larger the localization is.

For $q = \pm\pi$, there is the opposite effect, i.e., localization increases the frequencies above the linear spectrum (below for negative ω_L). From (25) and (26):

$$\begin{aligned} \omega_L &= \pm \left(\omega_0^2 + 2C[1 + \cosh(\xi_L)] \right)^{1/2} \quad \text{with} \quad |\omega_L| > \left(\omega_0^2 + 4C \right)^{1/2}, \\ \omega_c &= \frac{E_0}{\tau} + 2 \frac{I_0}{\tau} \cosh(\xi_c) > \frac{E_0}{\tau} + 2 \frac{I_0}{\tau}. \end{aligned} \quad (28)$$

Note that the localization parameters $\xi_{L,c}$ do not need to be the same in (25) and (26) and (27) and (28) for the lattice and the charge. A good estimate would be $2\xi_c = \xi_L$, as in the lattice Equation (8), the charge amplitude c_n products $c_n c_{n\pm 1}^*$ appear. Furthermore,

$$c_n = \exp(-\xi_c n) \exp(i[\pm\pi n - \omega_c t]) = (-1)^n \exp(-\xi_c n) \exp(-i\omega_c t)$$

has the same pattern as

$$c_n = \exp(-\xi_c n) \exp(i[\pm\pi n + \omega_c t]) = (-1)^n \exp(-\xi_c n) \exp(i\omega_c t).$$

As the $\pm\pi$ modes are not actually traveling, the two modes will appear mixed, i.e.,

$$c_n(\pm\pi) = A \exp(-\xi_c n) (-1)^n \exp(-i\omega_c t) + B \exp(-\xi_c n) (-1)^n \exp(i\omega_c t),$$

and

$$c_n^*(\pm\pi) = A^* \exp(-\xi_c n) (-1)^n \exp(i\omega_c t) + B^* \exp(-\xi_c n) (-1)^n \exp(-i\omega_c t),$$

where $A, B \in \mathbb{C}$. In this way, we can obtain the time dependence on the charge probability $\rho_n = |c_n|^2$, i.e.,

$$\rho_n = \exp(-2\xi_c n) \left(|A|^2 + |B|^2 + AB^* \exp(-2i\omega_c t) + A^* B \exp(2i\omega_c t) \right).$$

If we write $AB^* = |A||B| \exp(i\delta)$ for $\delta = \arg(A) - \arg(B)$, we obtain:

$$\begin{aligned} \rho_n &= \exp(-2\xi_c n) \left(|A|^2 + |B|^2 + 2|A||B| \cos(2\omega_c t - \delta) \right) \\ &= (|A|^2 + |B|^2) \exp(-2\xi_c n) + 2|A||B| \exp(-2\xi_c n) \cos(2\omega_c t - \delta). \end{aligned}$$

In this way, we will observe in the charge probability spectrum two frequencies: $\omega_\rho = 0$, corresponding to a stationary deformation, and $\omega_\rho = 4\frac{E_0}{\tau} \cosh(\xi_c)$, where the charge probability spectrum is independent of the E_0 value. Note that as $|c_n|^2$ is an observable, its frequencies can be measured; from them, we can deduce the difference in frequencies from the $q = \pi$ and $q = 0$ modes of c_n , but not their actual value, because there are no physical means of knowing which is the shift E_0/τ of the c_n frequencies. We choose for our convenience the value of E_0 that makes c_n periodic with a commensurate period with the lattice one. However, that selection has no physical consequences, and we will plot the c_n spectrum corresponding to $E_0 = 0$ for simplicity.

7. Tail Analysis of Moving Localized Excitations

We can repeat the tail analysis above for the moving solutions. In this case, the trial solutions in (24) are changed to:

$$u_n = \exp(-\xi_L [n - V_b t]) \exp(i(q[n - V_b t] - \Omega_L t)), \tag{29}$$

$$c_n = \exp(-\xi_c [n - V_b t]) \exp(i(q[n - V_b t] - \Omega_c t)). \tag{30}$$

These ansätze are valid for $n > V_b t$ or for $n < V_b t$ changing $\xi_{L,c} \in \mathbb{R}_+$ to $-\xi_{L,c}$. Considering first the ansatz (29) for u_n , in the moving frame $n = V_b t$, the frequency is Ω_L , with

$$u_{n+1} = \exp(-\xi_L) \exp(iq) u_n,$$

that is, u_{n+1} is vibrating with the same frequency Ω_L but with a smaller amplitude and a difference of phase q . The general solution for a traveling breather would be a sum of terms as u_n in (29), with the same frequency Ω_L in the moving frame. The parameter ξ_L would be also dependent on each mode. The larger ξ_L is, the more localized the specific localized mode would be, and likewise for c_n with the ansatz (30).

We also assume that the general solution is exact, that is, it repeats after some fundamental time T_F displaced an integer number of sites $s = V_b T_F$, called the step. Then, all the modes (29) have the same properties, that is, V_b , T_F , and step s . The fundamental frequency is defined as $\omega_F = 2\pi/T_F$. The exactness condition implies that $\Omega_L = m_L \omega_F$ for the integers m_L and $V_b = s(\omega_F/2\pi)$. See Ref. [25] for more details. The fundamental period T_F could, in principle, be different for the lattice and the charge amplitude, but for the common system to be exact, there should be integer multiples of both periods to obtain a common fundamental period T_F and step s .

Substituting the ansatz (29) for u_n into the linear Equation (20), we obtain:

$$([qV_b + \Omega_L] + i\zeta_L V_b)^2 = \omega_0^2 + 2C[1 - \cosh(\zeta_L) \cos(q)] + i2C \sinh(\zeta_L) \sin(q). \tag{31}$$

Compare (31) with Equation (25) of Section 6. Expanding the left hand side of (31), we arrive at two equations for the real and imaginary part:

$$\begin{aligned} \omega_L^2 &= \zeta_L^2 V_b^2 + \omega_0^2 + 2C[1 - \cosh(\zeta_L) \cos(q)], \\ \zeta_L V_b \omega_L &= C \sinh(\zeta_L) \sin(q). \end{aligned} \tag{32}$$

For compactness, we use the laboratory frequency:

$$\omega_L = qV_b + \Omega_L = qV_b + m_L \omega_F,$$

of the moving mode with wavenumber q .

For delocalized waves, with $\zeta_L = 0$, from (32), we recover the lattice dispersion relation (22) and the group velocity of the linear extended waves:

$$\begin{aligned} \omega_L^2 &= \omega_0^2 + 2C[1 - \cos(q)], & \text{for } \zeta_c = 0, \\ V_b &= \frac{C \sin(q)}{\omega_L}, & \text{equal to } \frac{\partial \omega_L}{\partial q}, \text{ for } \zeta_c = 0. \end{aligned}$$

The latter equation indicates that there is only one mode with a given V_b : the one where the slope of the dispersion relation is precisely V_b . This is why it is not possible to have a coherent wave at the linear limit, as every mode has a different velocity.

As $\cosh(\zeta_L) \geq 1$ and increases with the localization parameter ζ_L , in addition, if $\pi/2 < |q| < \pi$, $\cos(q) < 0$, and if $0 < |q| < \pi/2$, $\cos(q) > 0$, then from the first equation of (32), we find that

$$\begin{aligned} \omega_L(\zeta_L)^2 - \omega_L(0)^2 &= \zeta_L^2 V_b^2 + 4C|\cos(q)| \sinh^2\left(\frac{\zeta_L}{2}\right), & \text{for } \frac{\pi}{2} \leq |q| \leq \pi, \\ \omega_L(\zeta_L)^2 - \omega_L(0)^2 &= \zeta_L^2 V_b^2 - 4C|\cos(q)| \sinh^2\left(\frac{\zeta_L}{2}\right), & \text{for } 0 \leq |q| < \frac{\pi}{2}. \end{aligned}$$

Therefore, it can be seen that ω_c^2 is above the linear frequency for the same $|q| \in [\pi/2, \pi]$. However, when $|q|$ becomes smaller than $\pi/2$, the two terms of the nonlinear correction have different signs, but they are also clearly above the linear frequency in a close proximity to $|q| = \pi/2$, at which point the negative term is zero. The main conclusion is that a solution such as the one proposed is possible below the linear spectrum, closer to $q = 0$, and above the linear spectrum, closer to $|q| = \pi$. The latter would be the case for our system.

Let us analyze the ansatz for c_n , given by (30). The substitution into Equation (21) with the constant $E_n = E_0$ leads to the following equation:

$$\tau \omega_c + i\tau \zeta_c V_b = E_0 - 2I_0[\cosh(\zeta_c) \cos(q) - i \sinh(\zeta_c) \sin(q)], \tag{33}$$

where the charge amplitude laboratory frequency is given by:

$$\omega_c = qV_b + \Omega_c = qV_b + m_c \omega_F.$$

For the comparison with the stationary case, compare Equation (33) with (26) of the previous section.

Equation (33) leads to two equations for the real and imaginary part:

$$\begin{aligned} E_c &= \tau \omega_c = E_0 - 2I_0 \cos(q) \cosh(\zeta_c), \\ V_b &= 2 \frac{I_0}{\tau} \sin(q) \frac{\sinh(\zeta_c)}{\zeta_c}. \end{aligned}$$

The first equation gives the energy of the system, and the second gives the velocity. Note that a change in frequency $\tilde{\omega}_c - E_0/\tau$, corresponding to $\tilde{c}_n = c_n \exp(iE_0/\tau)$, is the frequency with the corresponding energy $\tilde{E}_c = \tau\tilde{\omega}_c - E_0$ for the system with $\tilde{E}_0 = 0$.

For $\xi_c = 0$, we recover the linear dispersion relation (23) together with the group velocity:

$$E_c = \tau\omega_c = E_0 - 2I_0 \cos(q), \quad \text{for } \xi_c = 0,$$

$$V_b = 2\frac{I_0}{\tau} \sin(q), \quad \text{equal to } V_b = \frac{\partial\omega_c}{\partial q}, \quad \text{for } \xi_c = 0.$$

Again, there is only one linear mode for a given velocity V_b , and coherent wave packages of c_n are not possible at the linear limit or close to it.

The modes with a higher localization ξ_c value correspond to faster propagating modes compared to the corresponding linear ones, since $\sinh(\xi_c)/\xi_c > 1$ for $|\xi_c| > 0$. From the equivalent considerations above, for $|q| \in [\pi/2, \pi]$, the localization energies $E_c(\xi_c)$ are above the linear energies $E_c(0)$, and vice versa for $0 \leq |q| < \pi/2$, i.e.,

$$E_c(\xi_c) - E_c(0) = 4I_0 |\cos(q)| \sinh^2\left(\frac{\xi_c}{2}\right) > 0, \quad \text{for } \frac{\pi}{2} \leq |q| \leq \pi,$$

$$E_c(\xi_c) - E_c(0) = -4I_0 |\cos(q)| \sinh^2\left(\frac{\xi_c}{2}\right) < 0, \quad \text{for } 0 \leq |q| < \frac{\pi}{2}.$$

8. Numerical Methods

In this section, we describe numerical methods used to solve the canonical Hamiltonian system (11)–(14) and obtain numerically exact polar breather solutions.

8.1. Numerical Integration of the Canonical Hamiltonian Equations

To solve the canonical Hamiltonian Equations (11)–(14) numerically, we consider the exact charge probability (4) conserving, second-order semi-implicit splitting method PQDWDQP from the recently proposed splitting method class specifically developed for the semiclassical Hamiltonian dynamics of charge transfer in nonlinear lattices [33]. The symmetric and symplecticity-preserving method PQDWDQP is constructed by splitting the total Hamiltonian (10) in the sum of the following Hamiltonians: $H = H_Q + H_P + H_D + H_W$, where

$$H_Q = \frac{1}{2} \sum_{n=1}^N p_n^2, \tag{34}$$

$$H_P = \sum_{n=1}^N [U(u_n) + V(1 + u_{n+1} - u_n)], \tag{35}$$

$$H_D = \frac{1}{2\tau} \sum_{n=1}^N E_n (a_n^2 + b_n^2), \tag{36}$$

$$H_W = -\frac{1}{\tau} \sum_{n=1}^N J(u_{n+1} - u_n)(a_n a_{n+1} + b_n b_{n+1}). \tag{37}$$

Importantly, corresponding Hamiltonian systems associated to each Hamiltonian (34)–(36) can be solved exactly, and solutions are identified with the analytic symplectic flows ϕ_t^Q , ϕ_t^P , and ϕ_t^D , respectively. Meanwhile, we solve the Hamiltonian system of (37) with the symplectic implicit midpoint rule, whose solution we identify with the flow map ψ_h^W , where $h > 0$ is the time step. Thus, the numerical method PQDWDQP with the flow map ψ_h is a symmetric composition of flows ϕ_t^Q , ϕ_t^P , and ϕ_t^D as well as the flow map ψ_h^W , i.e.,

$$\psi_h = \phi_{h/2}^P \circ \phi_{h/2}^Q \circ \phi_{h/2}^D \circ \psi_h^W \circ \phi_{h/2}^D \circ \phi_{h/2}^Q \circ \phi_{h/2}^P,$$

where the symmetry of the method follows from the construction, symplecticity follows from the composition of symplectic maps, and the charge probability conservation (4) follows from the implicit midpoint step ϕ_h^W , which preserves quadratic invariants [43].

Advancing from state (u_n, a_n, p_n, b_n) to a new state (U_n, A_n, P_n, B_n) after one time step h for all $n = 1, \dots, N$, the solution given by the flow ϕ_h^Q of the Hamiltonian system associated with the split dynamics (34) reads:

$$U_n = u_n + hp_n, \quad A_n = a_n, \quad P_n = p_n, \quad B_n = b_n.$$

Similarly, the solution given by the flow ϕ_h^P of the Hamiltonian system associated with the split dynamics (35) reads:

$$\begin{aligned} U_n &= u_n, \\ A_n &= a_n, \\ P_n &= p_n - h[U'(u_n) - V'(1 + u_{n+1} - u_n) + V'(1 + u_n - u_{n-1})], \\ B_n &= b_n. \end{aligned}$$

Notice that during the applications of the flow maps ϕ_h^Q and ϕ_h^P , only the lattice variables u_n and p_n get updated, while the charge variables a_n and b_n remain unchanged.

To find the exact expression of the flow ϕ_h^D of the Hamiltonian system associated with the Hamiltonian (36), we state the associated system of differential equations:

$$\dot{u}_n = 0, \tag{38}$$

$$\dot{a}_n = \frac{1}{\tau} E_n b_n, \tag{39}$$

$$\dot{p}_n = 0, \tag{40}$$

$$\dot{b}_n = -\frac{1}{\tau} E_n a_n, \tag{41}$$

where $n = 1, \dots, N$. From (38)–(41), it is easy to see that we obtain decoupled harmonic oscillator Equations (39) and (41) for the variables a_n and b_n , which can be solved exactly for all n and any initial condition (a_n^0, b_n^0) , i.e.,

$$\begin{aligned} a_n(t) &= a_n^0 \cos(\omega_n t) + b_n^0 \sin(\omega_n t), \\ b_n(t) &= -a_n^0 \sin(\omega_n t) + b_n^0 \cos(\omega_n t), \end{aligned}$$

where $\omega_n = \tau^{-1} E_n$. Thus, the exact (explicit) solution given by the flow ϕ_h^D of the Hamiltonian system (38)–(41) is in the following form:

$$\begin{aligned} U_n &= u_n, \\ A_n &= a_n \cos(\omega_n h) + b_n \sin(\omega_n h), \\ P_n &= p_n, \\ B_n &= -a_n \sin(\omega_n h) + b_n \cos(\omega_n h). \end{aligned}$$

If all $E_n = 0$, then the flow map ϕ_h^D is just an identity map.

We conclude this section by listing the explicit representation in the component form of the implicit midpoint map ϕ_h^W :

$$U_n = u_n, \tag{42}$$

$$A_n = a_n - \frac{h}{\tau} \left(J(u_{n+1} - u_n) \frac{B_{n+1} + b_{n+1}}{2} + J(u_n - u_{n-1}) \frac{B_{n-1} + b_{n-1}}{2} \right), \tag{43}$$

$$P_n = p_n - \frac{h}{\tau} (J'(u_{n+1} - u_n) \zeta_{n,n+1} - J'(u_n - u_{n-1}) \zeta_{n,n-1}), \tag{44}$$

$$B_n = b_n + \frac{h}{\tau} \left(J(u_{n+1} - u_n) \frac{A_{n+1} + a_{n+1}}{2} + J(u_n - u_{n-1}) \frac{A_{n-1} + a_{n-1}}{2} \right), \tag{45}$$

where

$$\zeta_{n,n'} = \frac{1}{4} [(A_n + a_n)(A_{n'} + a_{n'}) + (B_n + b_n)(B_{n'} + b_{n'})]$$

and the momentum value P_n in (44) gets updated after the linear system of Equations (43) and (45), formed from all $n = 1, \dots, N$, solved for the charge variables A_n and B_n . In what follows, all numerical results are obtained with $N = 64$ and $h = 0.01$.

The authors of [33] also proposed fully explicit structure-preserving splitting methods for the semiclassical Hamiltonian dynamics of charge transfer in nonlinear lattices. While the explicit methods are computationally more efficient compared to the semi-implicit splitting method PQDWDQP, they do not exactly conserve charge probability (4), which is essential for obtaining numerically exact polarobreather solutions. Since numerically exact polarobreather solutions are determined for the discrete dynamical system given by the numerical method PQDWDQP with the time step h , the prospect for future research is to extend proposed structure-preserving splitting methods in [33] to computationally efficient higher-order methods [44] for the computation of exact polarobreather solutions in crystal lattice models with realistic potentials [25].

8.2. Numerical Algorithm for Computation of Exact Polarobreathers

The numerical integration method PQDWDQP described above provides a good means to obtain numerical solutions to (11)–(14) at discrete time instances for arbitrary initial conditions, which are prescribed in Sections 9 and 10. Thus, we can obtain approximate stationary and moving polarobreather solutions with exact charge probability conservation (4) and approximate energy conservation in long-time simulations using the symplectic integrator [43]. For examples, see Sections 9.1 and 10.1.

The obtained approximate solutions can be used as initial guess solutions for the numerical algorithm presented below to compute numerically exact polarobreather solutions; see Sections 9.2 and 10.2. The developed algorithm is based on the Gauss–Newton algorithm for nonlinear least squares [45] with constraints:

$$\min_{x, E_0, \lambda} F(x; E_0) + g(x)^T \lambda, \tag{46}$$

with the objective function:

$$F(x; E_0) = \frac{1}{2} f(x; E_0)^T f(x; E_0),$$

where

$$x(t) = (u_1(t), \dots, u_N(t), a_1(t), \dots, a_N(t), p_1(t), \dots, p_N(t), b_1(t), \dots, b_N(t))^T \in \mathbb{R}^{4N}$$

is the solution vector of (11)–(14) at time t with $E_n = E_0$, and $\lambda \in \mathbb{R}^M$ is a vector of Lagrange multipliers for M number of constraints; see below (48). $M = N + 2$ and $M = 2$ for the stationary and moving exact polarobreather calculations, respectively. In addition, we define shift operator \mathcal{S}_s acting on the components of vector $x(t)$, such that

$$(\mathcal{S}_s x(t))_n = x_{n+s}(t),$$

taking into account the periodic boundary conditions. Then,

$$f(x; E_0) = \mathcal{S}_s x(T_F) - x(0), \tag{47}$$

where $x(T_F)$ is the numerical solution of (11)–(14) at time T_F obtained with exact charge probability (4) conserving the splitting method PQDWDQP and time step h of Section 8.1.

We recall that T_F is the fundamental period and the step $s = 0$ for the stationary polarobreaters, while $s > 0$ if the traveling polarobreather moves to the right and $s < 0$ if the traveling polarobreather moves to the left. Note that the objective function in (46) is minimized for x , Lagrange multipliers λ , and the constant charge shift energy value E_0 (parameter value in the system) with the fixed value of T_F and s , which are estimated from the approximate solution spectra; see Sections 9 and 10.

The vector function $g(x) \in \mathbb{R}^M$ contains imposed constraints such as the charge probability (4) conservation, without the loss of generality $b_N = 0$, to eliminate the charge rotational invariance by an arbitrary angle θ , i.e., canonical Hamiltonian Equations (11)–(14) are invariant under the transformation $\bar{c}_n = c_n \exp(i\theta)$. Thus,

$$g(x) = \begin{bmatrix} \sum_{n=1}^N (a_n^2 + b_n^2) - 2\tau \\ b_N \end{bmatrix}. \tag{48}$$

For the computation of exact stationary polarobreaters, we also impose, in addition to (48), that all $p_n = 0$, which leads to time-periodic stationary polarobreather solutions $p_n(T_F) = p_n(0) = 0$. The quadratic constraint in (48) demonstrates the necessity for the exact charge probability (4), conserving numerical methods, e.g., the use of PQDWDQP.

We consider the damped Gauss–Newton algorithm to solve the optimization problem (46), i.e., (46) is reduced to the regularized linear least squares:

$$\min_{\Delta x, \Delta E_0, \lambda} \frac{1}{2} \left(f(x^k; E_0^k) + J(x^k, E_0^k) \begin{bmatrix} \Delta x \\ \Delta E_0 \end{bmatrix} \right)^T \left(f(x^k; E_0^k) + J(x^k, E_0^k) \begin{bmatrix} \Delta x \\ \Delta E_0 \end{bmatrix} \right) + \left(g(x^k) + G(x^k) \Delta x \right)^T \lambda + \frac{1}{2} \mu \left(\Delta x^T \Delta x + \Delta E_0^2 \right), \tag{49}$$

where $\mu \geq 0$ is the regularization parameter adjusted with each iteration, and k is the iteration index, where $k = 0, 1, 2, \dots, x^k$, and E_0^k are the solution and energy E_0 value at the k -th iteration, respectively. $J(x^k, E_0^k) \in \mathbb{R}^{4N \times 4N+1}$ is the Jacobian matrix of (47), while $G(x^k) \in \mathbb{R}^{M \times 4N}$ is the Jacobian matrix of the constraint vector function $g(x)$. The Jacobian matrix $G(x^k)$ can easily be evaluated analytically. However, in theory, the Jacobian matrix $J(x^k, E_0^k)$ can also be evaluated analytically but with high difficulty. Thus, we evaluate it numerically. Accordingly, increments (descent directions) $\Delta x \in \mathbb{R}^{4N}$ and $\Delta E_0 \in \mathbb{R}$.

The minimum of the linear least squares (49) is the solution to the following linear system of equations:

$$\begin{bmatrix} J(x^k, E_0^k)^T J(x^k, E_0^k) + \mu I_{4N+1, 4N+1} & G(x^k)^T \\ \text{-----} & \text{-----} \\ G(x^k) & 0_{M,1} \end{bmatrix} \begin{bmatrix} \Delta x \\ \Delta E_0 \\ \lambda \end{bmatrix} = \begin{bmatrix} -J(x^k, E_0^k)^T f(x^k; E_0^k) \\ -g(x^k) \end{bmatrix},$$

where I and 0 are identity and zero matrices of appropriate dimensions, and

$$x^{k+1} = x^k + \Delta x, \quad E_0^{k+1} = E_0^k + \Delta E_0.$$

We set the stopping criteria on the maximal absolute errors of functions $f(x; E_0)$ and $g(x)$ with tolerance 10^{-14} . Thus, the converged solution satisfies (pointwise) time periodicity T_F and

the step s conditions (47), as well as the system constraints $g(x)$, to high numerical accuracy, which are then preserved by the numerical method PQDWDQP to perform spectral analysis.

For all $\mu > 0$, x^k , and E_0^k , the matrix $J(x^k, E_0^k)^T J(x^k, E_0^k) + \mu I_{4N+1, 4N+1}$ is positive definite. If $\sum_{n=1}^N (a_n^k)^2 + (b_n^k)^2 > 0$ with $b_N^k = 0$, then the Jacobian matrix $G(x^k)$ has a full row rank and the linear system above has a unique solution such that $\sum_{n=1}^N (a_n^{k+1})^2 + (b_n^{k+1})^2 > 0$ and $b_N^{k+1} = 0$, which implies that the Jacobian matrix $G(x^{k+1})$ has a full row rank and the linear system has a unique solution with x^{k+1} and E_0^{k+1} . To verify this, assume the opposite: $\sum_{n=1}^N (a_n^{k+1})^2 + (b_n^{k+1})^2 = 0$. This implies that $a_n^{k+1} = b_n^{k+1} = 0$ for all n , i.e., increments $\Delta a_n = -a_n^k$ and $\Delta b_n = -b_n^k$. From the unique solvability of the linear equations with x^k and E_0^k , from the linear equations, we obtain:

$$\begin{aligned} 2 \sum_{n=1}^N (\Delta a_n a_n^k + \Delta b_n b_n^k) &= - \sum_{n=1}^N ((a_n^k)^2 + (b_n^k)^2) + 2\tau, \\ -2 \sum_{n=1}^N (a_n^k a_n^k + b_n^k b_n^k) &= - \sum_{n=1}^N ((a_n^k)^2 + (b_n^k)^2) + 2\tau, \\ \sum_{n=1}^N (a_n^k)^2 + (b_n^k)^2 &= -2\tau, \end{aligned}$$

which yields a contradiction, since $\tau > 0$. Thus, the linear system has a unique solution for all x^k and E_0^k if x^0 satisfies all constraints.

To update the regularization parameter μ after each iteration step in (49), we compute the gain ratio value:

$$v = 2 \left(F(x^k; E_0^k) - F(x^{k+1}; E_0^{k+1}) \right) / \left[\begin{matrix} \Delta x \\ \Delta E_0 \end{matrix} \right]^T \left(\mu \begin{bmatrix} \Delta x \\ \Delta E_0 \end{bmatrix} - J(x^k, E_0^k)^T f(x^k; E_0^k) \right).$$

The gain ratio value v allows us to adjust the value of μ such that it decreases as we approach the minimum of the nonlinear least squares (46). We were able to obtain satisfactory convergence results; the numerical results demonstrated superlinear convergence if good starting x^0 and E_0^0 values are provided, with the update strategy for μ , that is, double the value of μ , if $v < 0.25$, remaining the same value for the next iteration, unless $v > 0.75$, then a three-times smaller value of μ is considered. As an initial value, we chose $\mu = 10^{-6} \max[\text{diag}(J(x^0, E_0^0)^T J(x^0, E_0^0))]$.

9. Stationary Polarobreathers

9.1. Generation of Approximate Stationary Polarobreathers

The methods used to produce polarobreathers in this model (solving (11)–(14)) are extremely efficient; they have been used in previous works in one and two dimensions [33,38,39]. In this section, we present the method for the stationary case; the moving polarobreather case will be presented in the following Section 10.

We introduce nonzero initial conditions only for the velocities or momenta:

$$(p_{n^*-1}, p_{n^*}, p_{n^*+1}, p_{n^*+2})^T = \gamma(-1, 2, -2, 1)^T, \quad \gamma \in \mathbb{R}_{\neq 0}. \tag{50}$$

The parameter γ is related with the kinetic energy delivered to the system as $K_E = 5\gamma^2$. The reference index n^* can be arbitrary because the system is periodic, but we usually take $n^* = N/2$, for visual plotting purposes. The charge wave function is located initially with probability one, with the pattern:

$$(a_{n^*}, b_{n^*})^T = \sqrt{\tau}(1, -1)^T. \tag{51}$$

That is, the charge is completely localized at n^* with probability one. This combined pattern (50) and (51) proves to be very efficient in obtaining quite good stationary solutions with long life. Other methods and patterns have also been investigated, for example,

producing a local compression, which often brings about a stationary breather and two traveling ones in opposite directions. Moreover, different patterns for the positions and momenta have been considered. Many of them work quite well, but this is the preferred one for simplicity and for obtaining results. We describe the results for $\gamma = 0.4$, corresponding to the kinetic energy $K_E = 0.8$, in scaled units. Other values of γ bring about qualitatively similar results.

We perform the 2D discrete Fourier transform in positions and time (*XTFT*) of time-series data on the variables u_n , $|c_n|^2$, and c_n , which are represented in Figure 2-top. For reference, the dispersion relations (22) and (23) for u_n and c_n are also plotted with gray solid and dashed lines, respectively. Numerical results were obtained, setting all $E_n = 0$ in (11)–(14).

As the first two quantities are real, for the *XTFT* components, it holds that $F(-q, -\omega) = F^*(q, \omega)$ and $|F(-q, -\omega)| = |F(q, \omega)|$, which is a symmetry that can be observed in the corresponding two upper plots. Usually, in this case, the negative frequencies are not represented, but here, they are included for comparison with the *XTFT* of c_n , where the symmetry does not hold, as c_n is complex. Some main features from the top plots of Figure 2 can be discerned:

1. For the *XTFT* of u_n , there appear two horizontal lines at $\omega = 0$, centered around $q = 0$, and some frequency $\omega_L/2\pi \simeq 1.33$ above the dispersion relation and centered around $q = \pm\pi$. This means that the u_n breather is composed of a soliton, that is, a static deformation with a displacement largely in phase and a staggered vibration above the u_n phonon spectrum, i.e., a nonlinear vibration, as demonstrated in Section 6. The static solution appears due to the asymmetry of the Lennard-Jones potential well, which makes compression harder than expansion, and therefore, oscillations with respect to the equilibrium distance are larger for expansion than for compression.
2. For $\rho_n = |c_n|^2$, two horizontal lines appear, one at zero frequency, a stationary soliton close to $q = 0$, that is, with nearest neighbors in phase; and also at frequency $\omega_\rho/2\pi \simeq 0.75$, close to the modes $q = \pm\pi$, that is, with a staggered profile. The soliton here is necessary, as ρ_n is a positive quantity, so the vibration has an alternating pattern around a stationary one. This means that there is a small change in probability between neighboring particles with the frequency ω_ρ . This was also explained in Section 6. Depending on the nonlinearity and the system, the interchange of probability will be larger or smaller.
3. For c_n , we find three main frequencies, two of them $\pm\omega_c \simeq \pm 0.375$, close to the c_n phonon spectrum, one above and the other below. The upper one is around $q = \pm\pi$, i.e., with a staggered profile; and the lower one is around $q = 0$, that is, with a bell profile. These two frequencies are explained in Section 6. The other two are located at $\pm(\omega_L - \omega_c)$. These appear because the quantum Hamiltonian has the time periodicity of u_n , which appears in the transfer matrix elements.
4. As deduced in Section 6 the $\rho_n = |c_n|^2$ frequency is equal to the difference between the phonon frequencies $\pm\omega_c$, in this case being $\omega_\rho = 2\omega_c$. For $E_n = E_0 \neq 0$, the two frequencies would be $E_0/\tau \pm \omega_c$. See Section 3 for details.
5. There are some other lines of weaker intensity in *XTFT* of $|c_n|^2$, but especially for c_n . We can observe some phonons for u_n and even more for c_n , where they occupy the whole c_n -phonon band, but not for $|c_n|^2$, as there is no dispersion relation for $|c_n|^2$, because its evolution depends on the other terms of the density matrix $\rho_{n,m} = c_n c_m^*$ [40]. Results on the density matrix for polarobreaters will be discussed and published elsewhere.

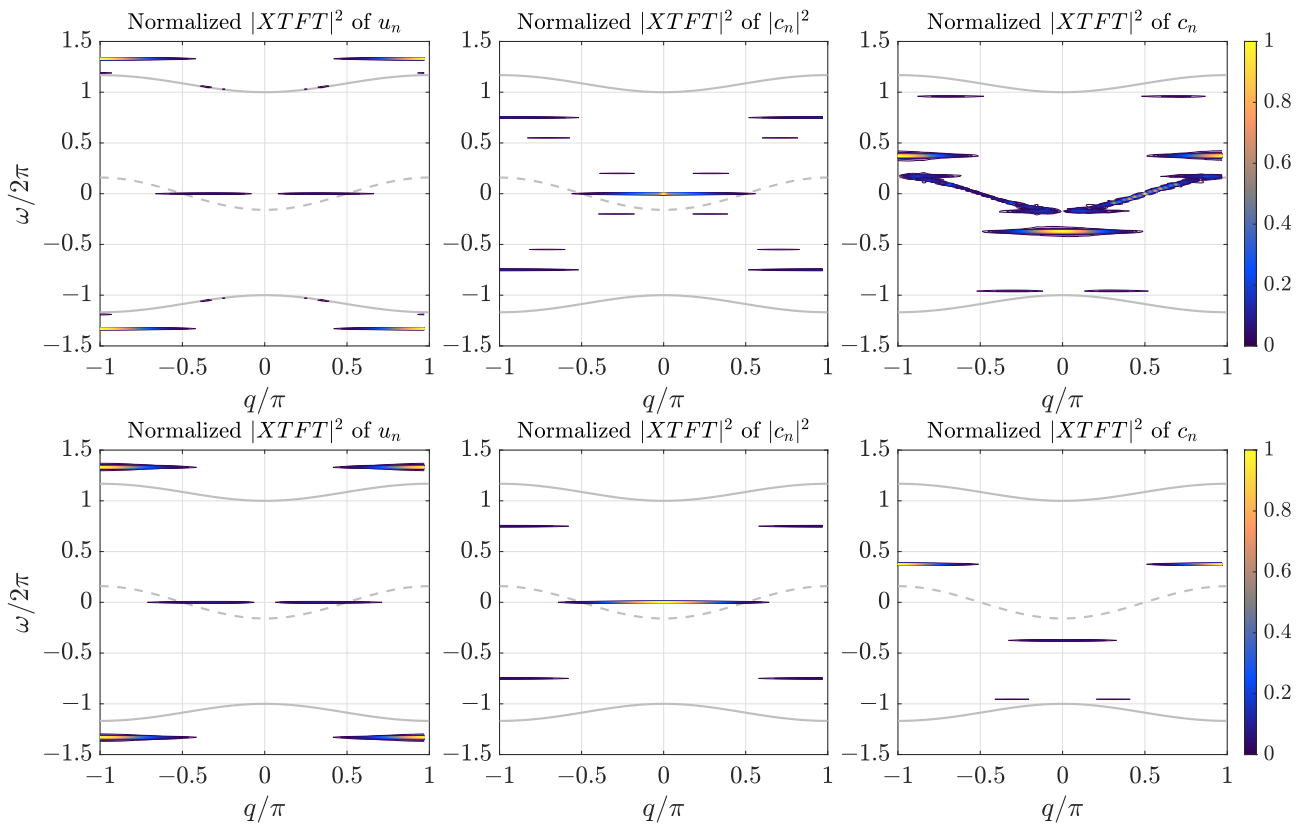


Figure 2. XTFT of the lattice variables u_n , charge probability $|c_n|^2$, and probability amplitude c_n . **(Top)** Inexact stationary breather generated with $\gamma = 0.4$ and kinetic energy $K_E = 0.8$. **(Bottom)** Exact stationary breather obtained using the approximate polarobreather as an initial seed. See text.

9.2. Exact Stationary Polarobreathers

The approximate solution described above is good enough to be used as a seed in the numerical algorithm of Section 8.2 for obtaining numerically exact polarobreathers. The two main frequencies are $\omega_L/2\pi \simeq 1.33$ and $\omega_\rho/2\pi \simeq 0.75$, with corresponding periods: $T_L \simeq 1/1.33$ and $T_\rho \simeq 1/0.75$. The frequencies of c_n are analyzed in a different way, which is explained below. We can observe that the periods and frequencies are approximately commensurate: $9T_\rho \simeq 12 \simeq 16T_L$. Therefore, $T_F = 12$ is a good estimate for the common period for both u_n and $|c_n|^2$, and it is the fundamental time or period taken for the whole system [25].

We suppose that $E_n = E_0$ in Equations (12) and (14), with initial value $E_0 = (\omega_c^+ - \omega_c^-)\tau$, where ω_c^\pm are the upper and lower frequencies above and below the c_n -phonon band; see the top right plot of Figure 2. We recall that the value of E_0 also gets adjusted and found in the damped Gauss–Newton method of Section 8.2. This is an essential degree of freedom to obtain exact periodic solutions with the desired numerical accuracy $\sim 10^{-14}$.

The profile of the exact polarobreathers and their (lack of) change with time for u_n and $\rho_n = |c_n|^2$ can be seen in Figure 3, where the solution is visualized in time after five fundamental periods. The small asymmetry of ρ_n in Figure 3b can be observed. The XTFT of the exact solution is plotted in Figure 2-bottom; the main features are as follows:

1. All the phonons have disappeared from the dispersion bands.
2. Extra bands have also disappeared, except for the ones described above, which have become much more defined.
3. In the XTFT of u_n , the zero-frequency component corresponding to the stationary soliton and the frequency ω_L slightly above the positive phonon band, centered at $\pm\pi$ (also the symmetric band at $-\omega_L$), have remained. These features are in accordance with the theory described in Section 6.

4. In the XTFT of c_n , already shifted to $E_0 = 0$ by the numerically found E_0 value, only two bands— $\pm\omega_c$ slightly above the c_n phonon band at $q = \pm\pi$ and below at $q = 0$, which correspond to a hard potential case—have remained; see Section 6.
5. Furthermore, for the XTFT of c_n , there is a weak negative frequency $-(\omega_L - \omega_c)$ corresponding to the forcing by the matrix transfer elements $J(u_{n+1} - u_n)$, which change with $\pm\omega_L$, the u_n frequency. The corresponding band is symmetric in q , but does not include $q = 0$. This means that it is a stationary wave: the sum of waves traveling in opposite directions with wavenumbers around $q \simeq \pm\pi/3$ or wavelength $\lambda \simeq 6$. With greater initial kinetic energy, the positive frequencies ω_L also appear above the positive phonon band and are centered at $q = \pm\pi$.
6. In the XTFT plot of charge probability $\rho_n = |c_n|^2$, only the bands with frequencies at zero and $\omega_\rho = \omega_c^+ - \omega_c^-$ have remained, due to the election of $E_0 = 0$, $\omega_\rho = 2\omega_c^+$ (as well as $-\omega_\rho$, due to the symmetry).

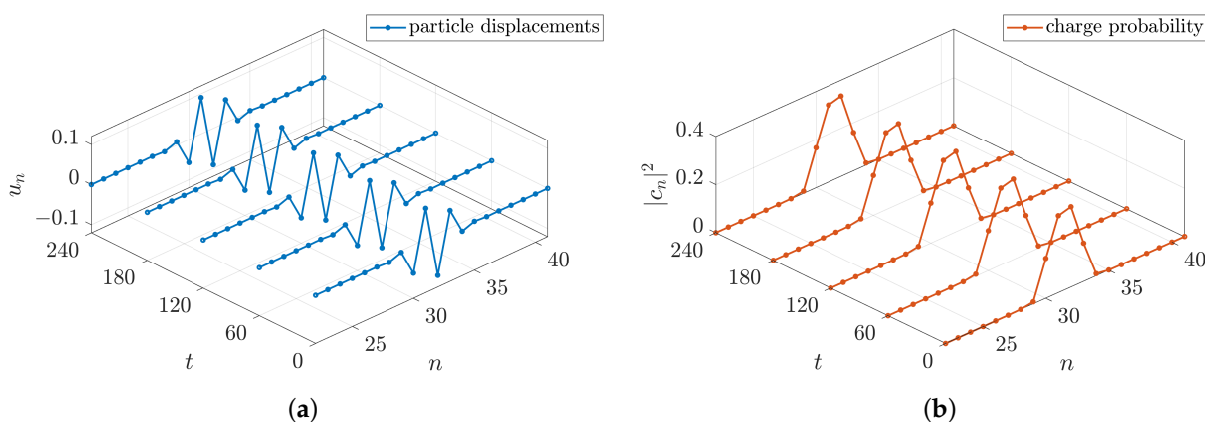


Figure 3. Exact stationary polarobreather solution illustrated in time separated by 5 fundamental periods $T_F = 12$. (a) Profile of the lattice variables u_n . (b) Profile of the charge probability $\rho_n = |c_n|^2$. The periodicity and asymmetry of the exact solution can be appreciated.

We conclude that although ω_c^\pm are not observable, their difference ω_ρ is indeed observable, and appears in the spectrum of the charge probability. Thus, ω_ρ may appear in the spectrum of physical systems, providing a valuable insight into the states of extra electrons or holes of the system.

9.3. Stability of Exact Stationary Solutions: the Switching Mode

We can numerically obtain the Floquet matrix, that is, the $\partial S_s x(T_F)/\partial x(0)$ at the exact solution. As the system is symplectic, if there is an eigenvalue λ , then $1/\lambda$ is also an eigenvalue. As the system is also real, if λ is an eigenvalue, then so are λ^* and $1/\lambda^*$ as the conjugate of $1/\lambda$. Therefore, the eigenvalues come in quadruplets if they are complex with $|\lambda| \neq 1$, and in pairs λ, λ^* if $|\lambda| = 1$, or $\lambda, 1/\lambda$ if λ is real. The perturbation of the system with an eigenvector results in the perturbation growing as λ^r with r periods. Therefore, the (linearized) system is only stable if $|\lambda| = 1$ for all eigenvalues. When changing a parameter as the frequency, the complex eigenvalues have to leave the unit circle as a quadruplet; therefore, for nonreal λ , two pairs of complex eigenvalues in the unit circle have to first collide for an instability to appear. This will be a Hopf bifurcation to a set of solutions with different periods $(\arg(\lambda) \pm 1)T_F/2\pi$. Most of these eigenvalues correspond to phonons outside the core of the polarobreather. However, two eigenvalues colliding at $(-1, 0)$ can get out of the unit circle in a period-doubling bifurcation. Two eigenvalues can collide at $(1, 0)$ and get out of the circle as two real eigenvalues: one larger than one and another smaller, corresponding to two eigenvectors: one growing and other contracting to conserve the area in the phase space.

The system structurally has four eigenvalues at $(1, 0)$, corresponding to two growth modes, i.e., small changes in amplitude in u_n or c_n ; and two phase modes, corresponding

to two small changes in phase or time origin. Their meaning is that solutions also exist with almost the same period and slightly different amplitudes or phases.

There is a peculiarity in our Floquet matrix calculation: it is performed at $T_F = 16T_L = 9T_\rho = 12$; therefore, an eigenvalue λ for the u_n variables with period T_L appears as λ^{16} , and for c_n , it appears as λ^9 compared to the period for $|c_n|^2$. This means that instability eigenvalues appear much more grown or contracted than usual, and the numerical imprecision for the eigenvalues at $\lambda = 1$ is amplified.

We can observe the eigenvalues of the exact polarobreaters in Figure 4a. The reference circle appears populated with the phonon eigenvalues, and the four structural eigenvalues exist at +1. However, there is also a pair of real instability eigenvalues, which are very unstable, as just explained. For the breather period, they would be reduced to around 1.1 and 0.9. The corresponding two eigenvectors appear in Figure 4b for $\rho = |c_n|^2$, together with the solution at $t = 0$. We observe a small asymmetry in the profile of ρ_n , and the eigenvectors tend to increase the probability at the neighboring particles away from the center of localization.

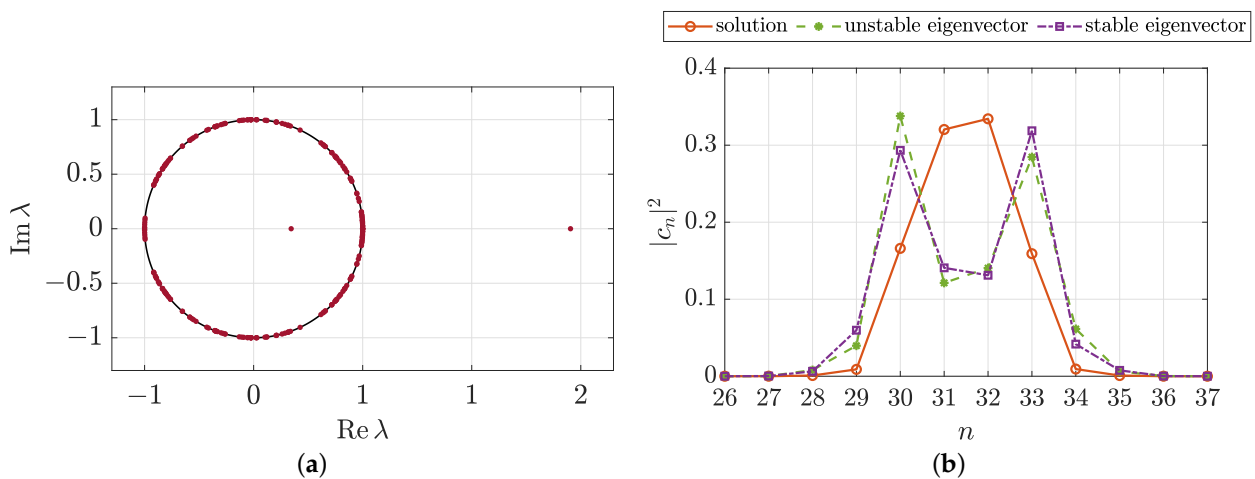


Figure 4. (a) Floquet eigenvalues of an exact stationary polarobreather. The unstable eigenvalues are so large and small because the common period T_F is 16 times the breather period and 9 times the probability period. (b) Profile of the charge probability and the stable and unstable eigenvectors of the charge probability, where the latter corresponds to the switching mode. See text.

Long-time simulations confirm this interpretation. After some time, the polarobreather switches a position; after some time, it switches back, and so on. Therefore, in spite of the instability, which gets stabilized by the nonlinearity of the lattice, the breather and charge probability are stationary. They do not disperse or travel, but experience quasi-periodic switches between two neighboring sites. Figure 5 shows the switching behavior of a polarobreather in a long-time simulation.

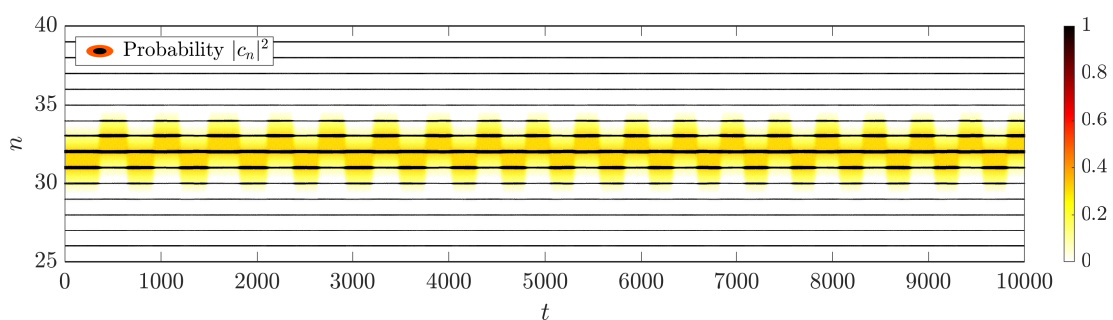


Figure 5. Long-time simulation of an exact stationary polarobreather. The alternating switching of position and charge can be observed.

10. Moving Polarobreathers

In this section, we follow the scheme of the previous section on stationary polarobreathers. In spite of the additional complications by the mobility, this will be easy to explain based on that section.

10.1. Generation of Approximate Moving Polarobreathers

The method used to produce moving polarobreathers in this model is also extremely efficient. We introduce as initial conditions the following initial pattern for the momenta or velocities:

$$(p_{n^*-1}, p_{n^*}, p_{n^*+1})^T = \gamma(-1, 2, -1)^T, \quad \gamma \in \mathbb{R}_{\neq 0},$$

while the particle displacements are set at zero. The parameter γ is a measure of the modulus of the initial kinetic energy $K_E = 3\gamma^2$. The charge amplitude function with a probability of one is initially located with the pattern (51).

Figure 6-top shows the *XTFT* plots obtained with $\gamma = 0.6$, $K_E = 1.08$. They look very similar to Figure 2-top. As already seen in Section 4, the transformation $\omega_L \rightarrow \omega_M = \omega_L - qV_b$ corresponds to the description of the system in the comoving frame, where all the phonons and excitations traveling at the same velocity V_b appear as horizontal lines, corresponding to a stationary solution with a single frequency. Quite a few bands appear. For u_n and $|c_n|^2$, real numbers, we only comment on the positive part of the spectrum due to its symmetry. One necessary objective to obtain moving exact polarobreathers is to identify the common fundamental time T_F and step s .

1. We observe the *XTFT* of u_n . There are three localized waves traveling at the same speed, and some phonons. The three localized waves are a soliton; a breather; and with weaker intensity, what we could call a quasilinear breather, very close to the phonon band. We know that the soliton and main breather are characteristic components of this system, with strong asymmetry in the coupling potential. Therefore, we discard the weaker breather as an effect of the breather not being exact. In Section 4 it is deduced that the breather frequency in the moving frame Ω_L is the frequency of the breather line for $q = 0$. The commensurability relation (17) was also obtained:

$$\frac{\Omega_L}{2\pi V_b} = \frac{m_L \omega_F}{s_L \omega_F} = \frac{m_L}{s_L},$$

with m_L and the step s_L being the integers we have to find. We measure $\Omega_L/2\pi \simeq 1.2257$ and $V_b \simeq 0.3065$; then $\Omega_L/2\pi V_b = 3.999 \simeq 4$. Therefore, the simplest values are

$$m_L = 4, \quad s_L = 1, \quad \frac{\omega_{F,L}}{2\pi} \simeq 0.3065, \quad T_{F,L} \simeq 3.2626.$$

2. For the *XTFT* of $|c_n|^2$, also for positive frequencies, we observe four resonant lines with intensity. One is the soliton, characteristic of the *XTFT* of a positive quantity. The many lines are a sign of high nonlinearity, which corresponds to a very localized $|c_n|^2$, and is therefore close to one for some n . The linear approximation is not at all valid, and there are many harmonic frequencies.
3. For the *XTFT* of c_n , we have to observe the frequency differences, as the solution is invariant to a global shift in frequency, as explained in Section 3. We observe many phonons in the dispersion band, two positive bands centered around $q = \pm\pi$, and two negative bands centered at $q = 0$. Two bands are very close to the phonon band, indicating a strong interaction with the linear modes. Observing the two truly nonlinear bands, their difference in frequency is $\Omega_c/2\pi \simeq 0.715$, corresponding to $\Omega_c = m_c \omega_{F,c}$. Using the commensurability relation (17) as above and with the same $V_b \simeq 0.3064$, we obtain:

$$\frac{\Omega_c}{2\pi V_b} = \frac{m_c}{s_c} \simeq \frac{7}{3}.$$

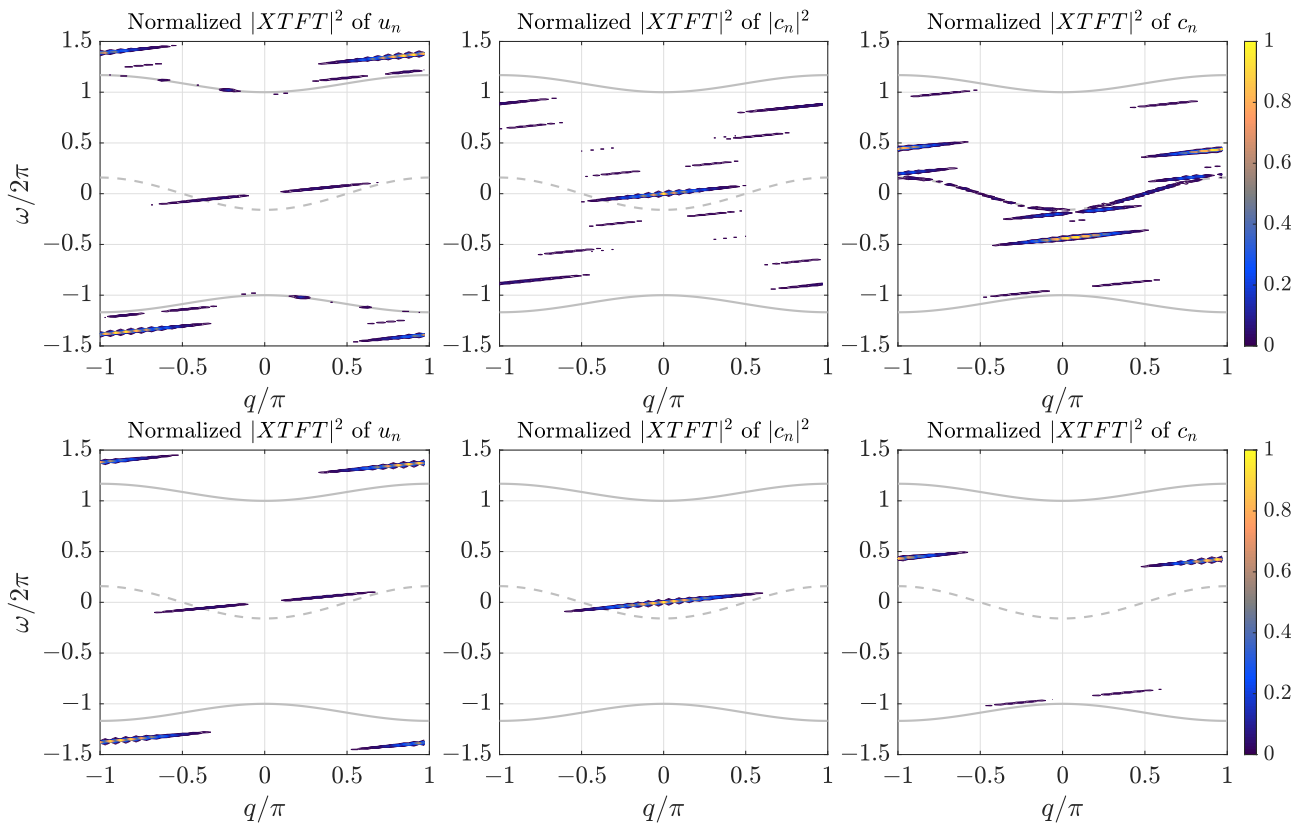


Figure 6. (Top) XTFT of u_n , $|c_n|^2$ and c_n for an approximate polarbreather generated with $\gamma = 0.6$ and kinetic energy $K_E = 1.08$. (Bottom) XTFT of u_n , $|c_n|^2$ and c_n for the exact moving polarbreather obtained using the approximate polarbreather as an initial seed. See text.

We conclude that

$$m_c = 7, \quad s_c = 3, \quad \frac{\omega_{F,c}}{2\pi} \simeq 0.1021, \quad T_{F,c} \simeq 9.7911.$$

Thus, we can set the common step $s = 3$ and estimate the fundamental time $T_F = 9.79$, i.e.,

$$T_F = 9.79 \simeq 3T_{F,L} \simeq T_{F,c},$$

as well as finding an approximate value of $E_0 \simeq 0.0028$ for the computation of the exact moving polarbreathers of the following section.

10.2. Exact Moving Polarbreathers

Using the numerical methods explained in Section 8 and the found step s and fundamental period T_F , together with the estimated E_0 value, we can obtain numerically exact moving polarbreathers. Their XTFT is shown in Figure 6-bottom. There is a large difference between the approximate moving polarbreather and the exact one.

1. For the XTFT of u_n , phonons have been eliminated, and only a well localized soliton breather remains.
2. For the XTFT of $|c_n|^2$, only a soliton remains, meaning that in the moving frame, it is reduced to a static deformation, corresponding to a charge probability traveling without vibration. The other part of the solution is a uniform probability spread through the lattice, indicating a small probability that the charge could appear at any site n .
3. For the XTFT of c_n , two intensity bands remain: one around $q = 0$, and a stronger one centered at $q = \pm\pi$. The difference in frequencies is exactly the breather frequency, indicating that there is no vibration of c_n , except the one driven by the change in the transfer elements $J_{n,n+1}$, which depend on u_n .

To summarize, there is a common fundamental period T_F , during which the polarobreather repeats itself with a shift of $s = 3$ sites. In T_F , the breather at u_n repeats three times, and in the moving frame performs $m_L = 4$ oscillations for each repetition, totaling 12 repetitions. In the same duration of time T_F , the c_n variable oscillates $m_c = 7$ times, while advancing $s_c = 3$ sites. There is no vibration in $|c_n|^2$; there is just the translation with velocity V_b of the static profile with about half the probability located at a single site, and the rest is uniformly shared by the rest of lattice particles. The periodicity of the exact polarobreather solution can be appreciated in Figure 7, where the particle displacements u_n (Figure 7a) and the charge probability $|c_n|^2$ (Figure 7b) are illustrated in time separated by five fundamental periods $T_F = 9.79$.

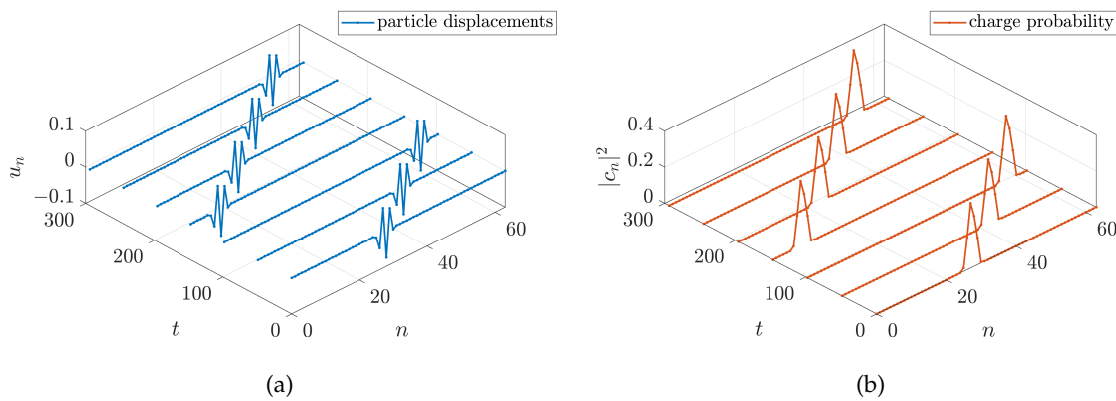


Figure 7. Profiles of an exact moving polarobreather in time separated by 5 fundamental periods $T_F = 9.79$. (a) u_n , with high localization and staggered profile. (b) $|c_n|^2$, corresponding to a charge probability localized at five sites and peaking at one site.

10.3. Stability of Moving Polarobreathers

Most of the properties for the eigenvalues of the Floquet matrix for the moving exact polarobreather are the same as in the stationary case (see Section 9.3), as we are observing the system in the moving frame where it is stationary. Eigenvalues of the Floquet matrix are illustrated in Figure 8. There is also a difference; now, we should expect six structural eigenvalues at the eigenvalue $(1, 0)$. They correspond to the growth and phase mode for u_n and c_n , and two new eigenvalues associated with a small change of the velocity. The latter imply that there exists another solution with a small change in the velocity V_b . This solution will not be an exact solution that will appear with the change in T_F for constant s . The stability of the exact moving polarobreather can be observed in a very long-time simulation, shown in Figure 9.

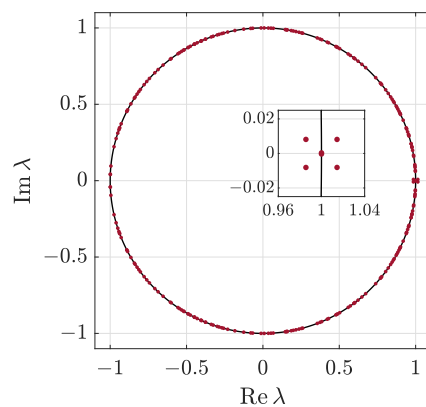


Figure 8. Floquet eigenvalues for the moving exact polarobreather. The six structural eigenvalues at $(1, 0)$ can be seen, with some imprecision due to the numerical error and the long time used for the common T_F for all the variables. See text.

10.4. Path Continuation

By changing the parameters T_F and keeping the step and the ratio between the u_n and c_n periods, it is possible to obtain exact solutions with similar characteristics with a different fundamental frequency ω_F , both for increasing and decreasing frequencies. For the decreasing frequencies, the convergence stops as the u_n frequency approaches the phonon band. For increasing frequencies, the path continuation also eventually stops to converge. These results will be published elsewhere.

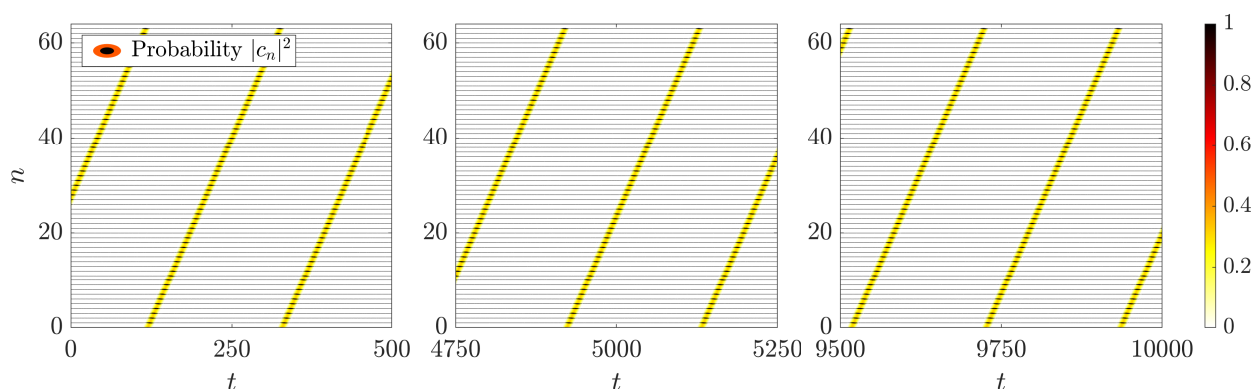


Figure 9. Long-time simulation of an exact moving polarobreather showing its stability.

11. Conclusions

We considered a classical model without charge that shows long-lived traveling breathers, and used it to construct a semiclassical model adding the coupling to a charged quantum particle—electron or hole. We analyzed the system and advanced its properties through linear and tail analysis. We adapted recent numerical methods developed by the authors to produce efficient symplectic algorithms that preserve symplecticity and charge conservation at every time step. They allow for efficient integration of the dynamical equations without the Born–Oppenheimer approximation, i.e., both the lattice and the charge are out of equilibrium. We constructed initial conditions for the lattice variables and the charge amplitude that bring about long-lived polarobreathers. The analysis of their spectra allows important parameters to be obtained not only for exact stationary solutions, but in particular for traveling ones, such as the step, fundamental frequency, and frequency in the moving frame, both for the lattice and charge variables. We developed a method for obtaining numerically exact polarobreathers, using as an initial seed the approximate solutions and the parameters found in their spectra. An important aspect of this method is dealing with the system invariance under a change in the frequency of the charge amplitude. The spectrum of the exact polarobreathers is much more simplified, leaving only a breather and a soliton for the lattice variables, a soliton for the charge probability, and two breather-like exact solutions for the charge amplitude, with a frequency difference that matches the breather one and corresponds to a soliton and a breather with a frequency shift. However, for approximate polarobreathers that might appear in real systems, the charge probability shows small oscillations with frequencies that can be related to the frequencies of the charge amplitude. The obtained results may allow for the identification of charge coupling and its properties in the spectrum of real systems.

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