

1 **Contribution of hypermutation to fosfomycin heteroresistance in *Escherichia coli***

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15 **Running title: Hypermutation and fosfomycin heteroresistance**

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23

24 **SYNOPSIS**

25 **Objectives:**

26 To explore the effect of combining defects in DNA repair systems with the presence of
27 fosfomycin-resistant mechanisms to explain the mechanisms underlying fosfomycin
28 heteroresistance phenotype in Enterobacteriaceae.

29 **Materials and methods:**

30 We used eleven clinical *Escherichia coli* isolates together with isogenic single-gene
31 deletion mutants in the *E. coli* DNA repair system or associated with fosfomycin
32 resistance, combined with double-gene deletion mutants. Fosfomycin MICs were
33 determined by gradient strip (GSA) and broth microdilution assay (BMD). Mutant
34 frequencies for rifampin (100 mg/L) and fosfomycin (50 and 200 mg/L) were determined.
35 Using two starting inocula, *in vitro* fosfomycin activity was assessed over 24h in growth
36 (0.5-512 mg/L) and time-kill assays (64 and 307 mg/L).

37 **Results:**

38 Strong- and weak-mutator clinical isolates and single-gene deletion mutants, except for
39 $\Delta uhpT$ and $\Delta dnaQ$, were susceptible by GSA. By BMD, the percentage of resistant
40 clinical isolates reached 36%. Single-gene deletion mutants showed BMD MICs similar
41 to those for subpopulations by GSA. Strong mutators showed higher probability of
42 selecting fosfomycin mutants at higher concentrations. By combining the two
43 mechanisms of mutation, MICs and range of resistant subpopulations increased, enabling
44 strains to survive at higher fosfomycin concentrations in growth monitoring assays. In
45 time-kill assays, high inocula increased survival by 37.5% at 64 mg/L fosfomycin,
46 compared to low starting inocula.

47 **Conclusions.**

48 Origin and variability of fosfomicin heteroresistance phenotype can be partially
49 explained by high mutation frequencies together with mechanisms of fosfomicin
50 resistance. Subpopulations should be considered until clinical meaning is established.

51

52 INTRODUCTION

53 Increasing antibiotic resistance rates in Gram-positive and Gram-negative pathogens
54 mean that it is critical to implement alternative treatment strategies. As a result of the
55 limited availability of novel antimicrobial compounds, one of these strategies is to
56 reevaluate old antimicrobial agents. Fosfomycin, which is currently recommended as oral
57 treatment for uncomplicated urinary tract infections (UTIs), has attracted interest because
58 of its activity against multidrug-resistant Enterobacteriaceae.¹

59 A recent study by our group showed that fosfomycin resistance occurs in a stepwise
60 manner, depending on the metabolic or signaling pathways affected.² Fosfomycin
61 susceptibility testing currently requires the addition of glucose-6-phosphate (G6P) to
62 activate rapid fosfomycin intake via the UhpT transporter.^{3,4} This methodology however
63 masks other mutations relevant to fosfomycin resistance, such as loss of the glycerol-3-
64 phosphate transporter (GlpT), the other transmembrane fosfomycin transporter, or loss of
65 components of the sugar phosphotransferase system PTS (PtsI).² These considerations
66 make fosfomycin susceptibility testing results highly dependent on multiple factors, such
67 as bacterial growth, metabolic conditions and the existence of silent mutations with an
68 impact on fosfomycin resistance.⁵

69 In addition to this, hypermutable (or mutator) microorganisms have increased
70 spontaneous mutation rates as a result of defects in the DNA repair or error avoidance
71 systems. Strains with highly elevated mutation rates readily evolve in natural and
72 laboratory bacterial populations.^{6,7} In clinical settings, various studies of a wide variety
73 of etiologic agents, such as *Escherichia coli*, *Pseudomonas aeruginosa* and
74 *Staphylococcus aureus* among others, have shown the prevalence of mutators in as many
75 as 1%–60% of patients.^{8,9} Molecular characterization of these isolates has discovered that

76 the genetic basis of hypermutability includes alterations in the oxidized guanine (GO),
77 methyl-directed mismatch repair (MMR) and nucleotide excision repair (NER) systems,
78 among others.

79 Antimicrobial heteroresistance is a phenotypic phenomenon, often with unknown
80 genotypic backgrounds and its definition therefore is both heterogeneous and
81 controversial. El-Halfawy and Valvano defined it as the presence of a subpopulation of
82 cells with the ability to grow at antibiotic concentrations at least eight-fold higher than
83 the highest concentration that does not affect replication of the dominant population.¹⁰
84 Nicolof *et al.* recently demonstrated, for several antimicrobial agents such as beta-
85 lactams, trimethoprim-sulfamethoxazole or aminoglycosides, but not fosfomycin, that the
86 high prevalence of antibiotic heteroresistance in pathogenic bacteria is a phenomenon
87 mainly caused by spontaneous tandem amplification, typically involving known
88 resistance genes.¹¹ The prevalence of fosfomycin heteroresistance in a collection of
89 clinical isolates of carbapenem-resistant Enterobacteriaceae and cephalosporin-resistant
90 *E. coli* was shown to be 41.1% and 5%, respectively.^{12,13} Nevertheless, the molecular
91 mechanisms underlying the fosfomycin heteroresistance phenotype and their potential
92 role in therapeutic failure are not known.

93 The aim of the present study was to delve into the different mechanisms involved in
94 fosfomycin resistance, in particular the contribution of hypermutation state to the
95 phenotype of fosfomycin heteroresistance.

96

97 **MATERIAL AND METHODS**

98 **Bacterial strains**

99 Twelve *E. coli* (derived from the BW25133 strain) single-gene deletion mutants
100 associated with the DNA repair system in *E. coli* ($\Delta dnaQ$, $\Delta mutH$, $\Delta mutL$, $\Delta mutM$,

101 $\Delta mutS$, $\Delta mutT$, $\Delta mutY$ and $\Delta uvrD$) or with fosfomycin resistance ($\Delta glpT$, $\Delta uhpT$, $\Delta cyaA$
102 and $\Delta ptsI$) were selected from the KEIO collection.¹⁴ Thirty-two double-gene deletion
103 mutants were generated by phage P1_{vir} transduction (Coli Genetic Stock Center [CGSC],
104 Yale University) as described.¹⁵ All gene deletions (single and double) were confirmed
105 by PCR and sequencing, using specific primers listed in table S1.

106 In addition, a total of 11 *E. coli* isolates (C31, C59, C61, C74, P4, P17, P36, P39, P44,
107 P45, P56) from human samples (four commensal isolates from feces and seven pathogens
108 isolated from UTIs) were selected for their range of mutator phenotype.¹⁶ The clinical
109 isolates belonged to different *E. coli* phylogenetic groups (phylogroup A: C31, C59, P4,
110 P17, P39, P56; phylogroup B1: C61, C74; phylogroup B2: P44, P45 and phylogroup D:
111 P36). *E. coli* ATCC 25922, BW25113 and/or MG1655 were used as control strains for
112 the different experiments.

113

114 **Rifampin and fosfomycin mutant frequency estimation**

115 Spontaneous fosfomycin- and rifampin-resistant mutant frequencies were determined for
116 the 11 clinical isolates and the 12 single-gene deletion mutants associated with bacterial
117 DNA repair system and fosfomycin resistance. Wild-type *E. coli* BW25113 and MG1655
118 were included as control strains. To minimize the possibility of mutants in the initial
119 culture, an initial inoculum of $\sim 10^3$ cfu/mL was incubated overnight in Mueller Hinton II
120 broth (MHB) and subsequently spread onto drug-free plates (total bacterial concentration)
121 and Mueller Hinton agar II plates (MHA) supplemented with 100 mg/L rifampin, or
122 MHA-G6P (25 mg/L) plates supplemented with fosfomycin at concentrations of 50 and
123 200 mg/L (subpopulations able to grow at these antimicrobial concentrations). Plates
124 were incubated at 37°C for 24 hours. Experiments were performed in quadruplicate.
125 Laboratory strains and clinical isolates were classified based on rifampin mutant

126 frequency as weak ($<10^{-7}$) or strong ($\geq 10^{-7}$) mutators. Pearson's correlation coefficient
127 was estimated to measure the statistical relationship between the frequencies of mutants
128 resistant to fosfomycin and rifampin.

129

130 **Susceptibility tests**

131 Fosfomycin MICs in clinical isolates and laboratory strains were determined using BMD
132 and GSA. BMD were performed using EUCAST recommendations. For BMD, the
133 presence of skipped wells was recorded and considered as subpopulations regrowth.

134 GSA was performed in duplicate by streaking a 0.5 McFarland inoculum onto MHA,
135 followed by the application of fosfomycin test strips (BioMérieux), and then incubated at
136 37 °C for 24 hours. *E. coli* BW25113 and ATCC 25922 were used as controls. The MIC
137 value at the intersection of the strip and the main bacterial population zone of inhibition
138 was recorded. The main bacterial population was considered as the uniform dense
139 biomass observed on the plate by naked eye. MICs of subpopulations or spotted colonies
140 with increased MICs were also recorded. Spotted colonies were considered as the
141 appearance of distinct colonies growing within the clear zone of inhibition in the GSA.
142 EUCAST recommendations and susceptibility breakpoints were followed.³ Pearson's
143 correlation coefficient was estimated to measure the statistical relationship between the
144 MIC observed by BMD respect to that observed by GSA including or not the bacterial
145 subpopulations within the inhibition zone.

146

147

148 **Whole genome sequencing analysis**

149 Whole genome sequence analysis of the eleven clinical isolates was performed.
150 Translated nucleotide sequences were compared with wild-type amino acid sequences

151 from DNA repair system proteins (DnaQ, MutH, MutL, MutM, MutS, MutT, MutY,
152 RecA, RecF, RecO, RecR, UvrA, UvrB, UvrC, UvrD, UvrY) and proteins involved in
153 fosfomycin resistance (Crp, CyaA, GlpT, UhpT, PtsI, UhpA, UhpB, UhpC and UhpT).
154 All these steps are detailed in the supplementary material. Synonymous mutations were
155 not recorded. Absence of *mutS* gene in some clinical isolates were confirmed by Southern
156 blotting (see supplementary material).

157

158 **Subpopulation growth monitoring**

159 All clinical isolates and single- and double-mutant strains were monitored for
160 subpopulation growth. The starting inoculum was $\sim 5 \times 10^5$ cfu/well. Bacterial strains were
161 grown in 96-well flat bottom plates with MHB containing 25 mg/L of G6P drug-free
162 (controls) and a range of fosfomycin concentrations from 0.5 to 512 mg/L in two-fold
163 dilutions. Bacterial growth over time was monitored by measuring OD at 595 nm every
164 60 min for 24 h at 37°C using the microplate reader Infinite 200Pro (Tecan Group AG,
165 Männedorf, Switzerland). The limit of detection was 0.08 which is equivalent to a cell
166 density of 1×10^8 cfu/mL. Assays were performed in triplicate. Bacterial viability
167 percentages for each well were determined comparing OD values at 24 h to the control
168 well (100% viability).

169

170 ***In vitro* fosfomycin activity using time-kill curves**

171 *In vitro* fosfomycin activity was assessed by time-kill curves with two different starting
172 bacterial inocula, a high inoculum with 10^7 cfu (5×10^5 cfu/mL in 20 mL) and a low
173 inoculum of 10^5 cfu (5×10^3 cfu/mL in 20 mL). To evaluate the role of bacterial
174 subpopulations after fosfomycin exposure at clinically, fosfomycin concentrations of 64
175 mg/L (lowest concentration in the resistance category according to current EUCAST

176 breakpoints) and 307 mg/L (mean maximum plasma concentration in humans observed
177 at steady-state after a dose of fosfomycin 8g/q8h)¹⁷ were tested. Experiments were
178 performed in MHB with G6P, and bacterial growth was quantified at 0, 2, 4, 8 and 24 h
179 after incubation with shaking at 37°C. Samples were washed in saline to avoid the
180 carryover effect, then diluted and plated onto MHA plates (total viable population) and
181 MHA plates supplemented with 25 mg/L of G6P and 64 mg/L fosfomycin (viable
182 resistant population). The limit of detection was 1.3 log₁₀ cfu/mL.
183 When growth was observed after 24 h, up to five colonies were selected to assess the
184 fosfomycin MICs using GSA. The isolates were serially passaged three times on
185 fosfomycin-free plates to assess the stability of the phenotype.

186

187 **RESULTS**

188 **Rifampin and fosfomycin mutant frequency estimation**

189 Figure 1A shows the results of mutant frequency estimation, and Figure 1B the correlation
190 between rifampin and fosfomycin mutant frequencies.

191 Overall, strong mutators (strains with mutant frequencies for rifampin $\geq 10^{-7}$) showed a
192 higher probability of selecting fosfomycin mutants at higher concentrations. All clinical
193 isolates with mutant frequencies of $\geq 10^{-7}$ for rifampin showed fosfomycin-resistant
194 mutants able to grow at 50 mg/L fosfomycin, while C59, C61, C74 and P45 grew at 200
195 mg/L fosfomycin. On the other hand, among the single-gene deletion mutants classified
196 as strong mutators using this breakpoint, neither $\Delta mutH$, $\Delta mutS$ nor $\Delta mutY$ selected
197 resistant subpopulations at fosfomycin concentrations of 200 mg/L.

198 Furthermore, with the exception of the $\Delta mutM$ mutant, neither the clinical isolates nor the
199 single-gene deletion mutants with mutant frequencies of $<10^{-7}$ for rifampin selected
200 fosfomycin-resistant mutants above 50 mg/L.

201 This single-gene deletion mutant ($\Delta mutM$) showed a weak mutator phenotype, similar to
202 clinical isolates C31, P4 and P56, with mean mutant frequencies for rifampin of
203 approximately 10^{-8} (standard deviation (SD) range: 3×10^{-8} - 1.67×10^{-8}). Of all strains
204 included in this assay, the strain lacking the *dnaQ* gene had the highest mutant frequencies
205 for rifampin (mean: 1.25×10^{-5} ; SD: 1.09×10^{-5}). In relation to fosfomycin mutant
206 frequencies, the highest values for fosfomycin at 50 mg/L and 200 mg/L were observed
207 in $\Delta uhpT$ (2.51×10^{-2} [SD: 4.99×10^{-2}]; 1.35×10^{-6} [SD: 7.90×10^{-7}]) together with $\Delta dnaQ$
208 (5.11×10^{-3} [SD: 9.67×10^{-3}]; 2.10×10^{-6} [SD: 2.11×10^{-6}]).

209 Pearson correlation analysis identified a significant association between the frequencies
210 of mutants resistant to fosfomycin and rifampin: rifampicin 100 mg/L versus fosfomycin
211 50 mg/L ($r=0.76$ 95%CI: 0.48-0.9; $p<0.0001$) and rifampicin 100 mg/L versus
212 fosfomycin 200 mg/L ($r=0.75$ 95%CI:0.48-0.89; $p<0.0001$). The deletions in genes
213 associated with fosfomycin resistance had no impact on the frequency of mutants resistant
214 to rifampin.

215

216 **Bacterial susceptibility**

217 Fosfomycin MICs for the isogenic collection and clinical isolates are shown in Table 1.
218 By GSA, all strong-mutator clinical isolates were susceptible, and with subpopulations
219 within the ellipse of inhibition. The maximum range between the MIC of the main
220 population and subpopulation MICs was observed in C59, C61, C74, P17 and P45 isolates
221 with $\geq 7 \log_2$ dilutions of difference. The least difference in MICs between the main

222 population and subpopulations was detected in C31, P4 isolates and control strains with
223 a $\leq 2\log_2$ difference. The highest subpopulation MICs (32 mg/L) were for C61 and C74.
224 By BMD, the percentage of clinical isolates considered resistant rose to 36% (4/11),
225 reaching MIC values of up to 256 mg/L (C61 and C74).

226 Strains with mutations in fosfomycin-related resistance genes, $\Delta glpT$, $\Delta cyaA$ and $\Delta ptsI$
227 showed MICs within the susceptible range by BMD and GSA. $\Delta glpT$ and $\Delta ptsI$ strains
228 showed similar susceptibility to wild-type strains using both methods (Figure 2). Against
229 $\Delta uhpT$ strain, MIC was above the susceptibility breakpoint (>32 mg/L). With respect to
230 GSA, DNA repair system mutants showed main population susceptibility of ≤ 2 mg/L
231 and subpopulations did not grow beyond 32 mg/L, except for the $\Delta dnaQ$ strain, whose
232 subpopulations had MICs of up to 512 mg/L. $\Delta mutS$ and $\Delta dnaQ$ strains were considered
233 resistant by BMD, but not by GSA (Figure 2). Overall, these isolates showed similar
234 MICs by BMD ($\pm 1\log_2$ dilutions) to those observed for subpopulations using the GSA,
235 except for $\Delta mutS$ and $\Delta mutM$ ($\pm 2\log_2$ and $\pm 3\log_2$ dilutions, respectively).

236 Finally, 81.25% (26/32) of the double-gene deletion mutants tested by BMD grew beyond
237 32 mg/L being resistant according to this method (Table 1). By GSA, and considering
238 colonies within the inhibition ellipse zone, 75% (24/32) of double mutants reached MIC
239 values above the susceptibility breakpoint. For double-mutants considered susceptible
240 25% (8/32), three were resistant by BMD. However, when the colonies inside the
241 inhibition zone were ignored, the percentage of resistant strains fell to 18.75% (6/32) and
242 twenty of the double-mutants considered susceptible by this method were resistant by
243 BMD. Pearson correlation analysis identified a significant association between the MIC
244 performed by BMD versus GSA including the scattered colonies ($r=0.78$ 95%CI: 0.65-
245 0.86; $p<0.0001$), but not versus the MIC performed by GSA excluding the more resistant
246 subpopulations ($r=0.09$ 95%CI:-0.17-0.34; $p=0.49$).

247

248 **Whole genome sequencing**

249 Analyses of translated nucleotide sequences of genes associated with fosfomycin
250 resistance (table S2 and figure 3), showed no mutations in Crp, MurA or UhpA proteins
251 in our collection of clinical isolates, except for C61 isolate, which presented a single
252 polymorphism in UhpA. No deletions or insertions were detected among the rest of
253 aminoacidic sequences associated with fosfomycin resistance, although many different
254 polymorphisms were found and some of them were conserved among the clinical isolates.
255 With respect to proteins involved with the bacterial DNA repair system, only RecA and
256 RecR showed wild-type sequences. The absence of *mutS* was observed in four isolates
257 (C59, C61, C74 and P36) and a partial deletion in *E. coli* P45, confirmed by PCR
258 sequencing (data not shown) and Southern blotting (Figure S1). *E. coli* P44 showed
259 insertion sequence *IS26* in the *mutT* gene (between Ala27 and Arg28).
260 Clinical isolates with the lowest number of polymorphisms were C31, P4, P17 and P56.
261 *E. coli* P4 was the only isolate with the aminoacidic sequences equal to the wild-type
262 strain *E. coli* MG1655. Sequence Read Archive accession numbers
263 (<https://www.ncbi.nlm.nih.gov/sra>) of the clinical isolates are the following: C31
264 (SRX7726327), C59 (SRX7726335), C61 (SRX7726333), C74 (SRX7726336), P4
265 (SRX7726330), P17 (SRX7726334), P36 (SRX7726329), P39 (SRX7726331), P44
266 (SRX7726328), P45 SRX7726337) and P56 (SRX7726332).

267

268 **Bacterial growth monitoring**

269 Figure 4 shows the 24h growth monitoring assays, expressed as percentage of viable
270 bacteria at each fosfomycin concentration. The control strains, *E. coli* ATCC 25922 and

271 BW 25113, exhibited MICs of 0.5 mg/L and 1 mg/L, respectively. *E. coli* ATCC 25922
272 showed a subpopulation growing at concentrations of 2 mg/L in one replicate.

273 Most of the clinical isolates (63.6% [7/11]) were able to grow at fosfomycin
274 concentrations at least eight times higher than control strains, except for C31, P4, P39 and
275 P56 (MICs: 2, 1, 8 and 4 mg/L [$\pm 1\log_2$]; respectively). Only C61 and C74 isolates grew
276 beyond 32 mg/L. Among single-gene deletion mutants associated with fosfomycin
277 resistance and DNA repair systems, only $\Delta uhpT$ and $\Delta dnaQ$ strains grew above the
278 susceptibility breakpoint. The single-gene deletion mutant, $\Delta glpT$, did not grow beyond
279 4 mg/L, although one subpopulation grew at a concentration of 128 mg/L.

280 Finally, most double-gene deletion mutants (87.5% [28/32]) survived at concentrations
281 over the susceptible breakpoint (32 mg/L). Only four of the double mutants ($\Delta mutM$ -
282 $\Delta uhpT$, $\Delta mutY$ - $\Delta glpT$, $\Delta mutY$ - $\Delta cyaA$ and $\Delta uvrD$ - $\Delta cyaA$) were not viable above 32 mg/L.

283

284 ***In vitro* fosfomycin activity by time-kill curves**

285 The results of the time-kill assays are shown in figure 5. At the low starting bacterial
286 concentration, none of the strains survived at fosfomycin concentrations of 64 mg/L,
287 except for *E. coli* C74 and the double-mutant laboratory strain, $\Delta mutS$ - $\Delta glpT$. This double
288 mutant was also the only strain able to survive after 24 hours in broth culture
289 supplemented with 307 mg/L.

290 In time-kill assays with high starting inocula, on the other hand, the percentage of strains
291 surviving after 24 hours increased from 12.5% (1/8) to 50% (4/8) at fosfomycin
292 concentrations of 64 mg/L compared with those that survived at the low starting inocula.
293 However, even with high initial bacterial concentrations, only C74 and $\Delta mutS$ - $\Delta glpT$
294 strains survived at the maximum fosfomycin concentration tested of 307 mg/L, due to

295 regrowth caused by subpopulations able to grow at the selecting concentration of 64 mg/L
296 fosfomicin.

297 Fosfomicin MIC of bacterial isolates that were able to survive at fosfomicin
298 concentrations of 64 and 307 mg/L were between 128 and 1024 mg/L by GSA testing.

299 **DISCUSSION**

300 Using both an isogenic collection of laboratory mutants and clinical isolates, the present
301 study showed that the heterogeneous fosfomicin resistance phenotype can be partly
302 explained by a high mutation rate together with the presence of mutations in genes
303 associated with fosfomicin resistance. Fosfomicin heteroresistance is a common
304 phenotype and can be observed by the presence of colonies inside the inhibition zone in
305 disk diffusion or GSA.^{12,13} Nevertheless, the density and spread across the range of
306 antimicrobial concentrations is highly strain-dependent and the underlying mechanisms
307 are not well known.

308 In *E. coli*, and probably other Enterobacteriaceae, fosfomicin resistance increases in a
309 stepwise manner via the acquisition of mutations in genes associated with fosfomicin
310 resistance (such as *glpT*, *uhpT*, *cyaA*, *crp*, *ptsI*, etc.).² In normomutator strains, that is,
311 those with a mutation rate of $<4 \times 10^{-7}$,¹⁸ mutations produce increased but stable MIC
312 values. However, wild-type strains for resistance genes associated with fosfomicin
313 resistance but with a weak- or strong-mutator phenotype (that is, the absence of or
314 defective DNA repair systems) show an increased number of resistant subpopulations,
315 with growth limited to fosfomicin concentrations of 64 mg/L using standard reference
316 methods, which includes the addition of G6P, 25 mg/L for broth dilution or 50 µg for disk
317 diffusion assays.³ The reason for this is that, even under a strong mutator phenotype, just
318 a single mutational event affects fosfomicin-related resistance genes and, as we

319 previously showed, the highest MIC observed is produced with the loss of any of the
320 components of the G6P-transporter (*uhpT* gene or the two-component system encoded by
321 the *uhpABC* operon).² This is true of all mutants involved in mechanisms of the methyl-
322 directed mismatch repair pathway (*mutHLS*), 8-oxyguanine suppression (*mutT*, *mutM* and
323 *mutY*) or nucleotide excision repair (*uvrD*) systems. The only exception was the mutant
324 of the *dnaQ* gene (the epsilon subunit of DNA polymerase III involved in the 3' to 5'
325 exonuclease proofreading activity of the holoenzyme), which because of its high mutation
326 rate was able to accumulate mutations in more than one fosfomycin-related resistance
327 gene, so enabling growth at fosfomycin concentrations beyond 64 mg/L.

328 Hence, under a mutator background, the presence of certain mutations in fosfomycin-
329 related resistance genes, especially those with low impact on the MIC (*i.e.* *glpT* or *ptsI*),
330 is responsible for the different fosfomycin heteroresistance phenotypes, and
331 subpopulation densities are related to the mutability status of the bacteria (that is, the
332 ability to acquire more mutations due to the absence of or defective DNA repair system,
333 for example). This phenomenon was in part showed by Ellington *et al.* where mutator
334 phenotypes were found to have an increased propensity to fosfomycin resistance.¹⁹
335 Nicoloff *et al.* recently showed that the origin of heteroresistance to multiple
336 antimicrobials, excluding fosfomycin, was based on transient or stable increase of certain
337 resistance determinants (duplications, etc.) in bacterial subpopulations that are then
338 selected by the presence of relevant antimicrobial concentrations.¹¹ While some
339 fosfomycin-related genes may cause hypersusceptibility, like transporter overexpression,
340 we cannot rule out the possibility that transient or stable amplification of other genes
341 could be another source of the heterogeneous response to fosfomycin.

342 Inaccuracies or low agreement between different methods for testing fosfomycin
343 susceptibility has previously been associated with different starting bacterial

344 concentrations used in assays, which means variation in the chance selection of resistant
345 subpopulations through inoculation of mutants at the start of susceptibility testing.^{20,21} In
346 broth-based methods, the presence of bacterial subpopulations frequently does not make
347 it possible to differentiate between uniformly-resistant and heteroresistant bacteria. In this
348 respect, agar-based methods such as disk diffusion or GSA are likely to be more effective
349 for the screening of bacterial subpopulations, since minority subpopulations do not
350 replace the susceptible population. We performed fosfomycin susceptibility test by either
351 BMD or GSA to enhance the importance of including the subpopulations in the
352 interpretation MIC, knowing neither are reference methods but commonly used in daily
353 routine in clinical microbiology susceptibility testing.

354 Since 2017, the EUCAST guidelines has recommended ignoring all spotted colonies
355 inside the inhibition zone in disk diffusion assay, and reading the outer zone edge.³ This
356 recommendation should be interpreted with some caution because these subpopulations
357 show stable resistance and could lead to therapeutic failures, especially in cases with high
358 bacterial concentrations where subpopulations may be overrepresented.

359 A limitation of the present study is that it was focused on the relationship between high
360 mutation rate and the increase in resistant subpopulations. Nevertheless, we cannot rule
361 out other sources of heteroresistance based on mechanisms different from increased
362 mutation frequency, as previously stated.

363 In our collection of laboratory mutants, the genotype of our strains explained reasonably
364 well both the fosfomycin heteroresistance phenotype and its expected variability.
365 However, in the clinical isolates that were fosfomycin-heteroresistant, when deleterious
366 mutations in fosfomycin-related resistance genes or DNA repair systems were studied by
367 whole genome sequencing, this correlation was not always found. Since different

368 polymorphisms with unknown roles were found in some isolates, the possibility of other
369 factors affecting fosfomycin heteroresistance cannot be ruled out.

370 Finally, in the time-kill assays, we used relevant (susceptibility breakpoint) and
371 physiological (plasma C_{max}) fosfomycin concentrations and observed fosfomycin
372 activity with low starting inocula in all strains tested, except for the double-gene mutant
373 ($\Delta mutS$ - $\Delta glpT$) and the clinical isolate, *E. coli* C74. However, fosfomycin activity may
374 be somewhat overestimated because these static concentrations may not reflect the
375 variations observed in human pharmacokinetics, and could regrow, even with low
376 inoculum strains.²²

377 As the main conclusion, the origin and variability observed in the phenotype of
378 heteroresistance to fosfomycin can be partly explained by increased mutability in
379 bacterial strains. These subpopulations should therefore be taken into consideration, not
380 only for fosfomycin susceptibility testing, but also for other antimicrobials.

381 The fosfomycin GSA is a reliable method for observing the variability and strength of
382 heterogeneous resistance to fosfomycin. Fosfomycin MICs performed using the BMD
383 may be more representative of the susceptibility of the whole bacterial population due to
384 the growth of resistant subpopulations, but very susceptible to low inoculum artefacts or
385 the presence of skipped wells, so leading to wrong susceptibility interpretations.

386 The clinical significance of these results for the treatment of infections caused by
387 heteroresistant subpopulations should be evaluated in further studies, considering
388 fosfomycin pharmacokinetics and pharmacodynamics in hollow-fiber infection or animal
389 models, or even in clinical observational studies.

390

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395

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405

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414

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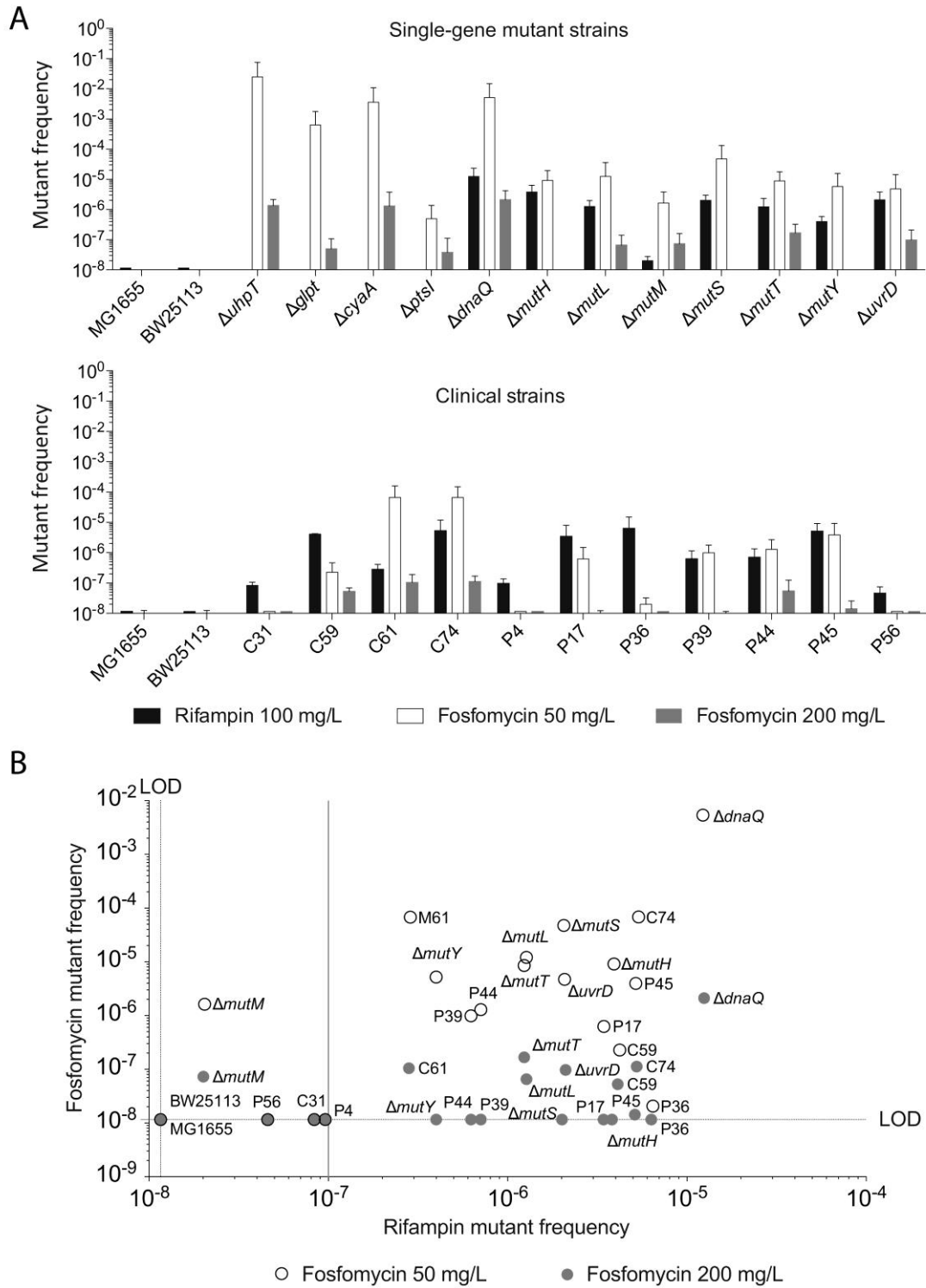
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474 urinary infection caused by multidrug-resistant *Escherichia coli*. *Clin Microbiol Infect*
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478 Figure 1. A) Mutant frequencies for rifampin at 100 mg/L (black bars), fosfomycin at 50
 479 mg/L (white bars) and 200 mg/L (grey bars). B) Correlation between hypermutability
 480 (rifampin mutant frequency at 100 mg/L) and fosfomycin mutant frequency at 50 mg/L
 481 (empty circles) or 200 mg/L (filled circles), vertical line separates weak mutators on left
 482 from strong mutator on the right. LOD means limit of detection.



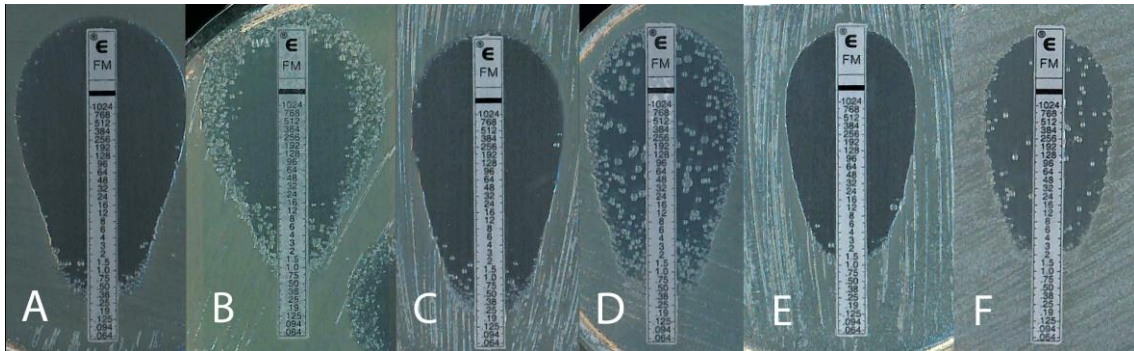
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485 Table 1. Clinical, single-gene and double-gene mutants MICs (mg/L) tested by broth
 486 microdilution method (BMD) and gradient strip assay (GSA).

Strains	BMD	GSA		Strains	BMD	GSA	
		Main population	Subpopulations			Main population	Subpopulations
ATCC25922	2	0.50	1.50	<i>ΔdnaQ-glpT</i>	≥1024	4	1024
BW25113	2	0.50	1.50	<i>Δdna Q-uhpT</i>	512	128	1024
C31	2	1	4	<i>ΔdnaQ-cyaA</i>	≥1024	3	1024
C59	16	0.125	16	<i>ΔdnaQ-ptsI</i>	≥1024	2	1024
C61	256	0.25	32	<i>ΔmutH-glpT</i>	256	0.5	256
C74	256	0.25	32	<i>ΔmutH-uhpT</i>	256	16	256
P4	1	0.75	2	<i>ΔmutH-cyaA</i>	256	1	128
P17	32	0.06	12	<i>ΔmutH-ptsI</i>	256	1.5	512
P36	32	0.125	6	<i>ΔmutL-glpT</i>	256	1.5	384
P39	8	0.125	12	<i>ΔmutL-uhpT</i>	256	16	256
P44	64	0.25	12	<i>ΔmutL-cyaA</i>	128	2	256
P45	64	0.06	12	<i>ΔmutL-ptsI</i>	256	1.5	256
P56	4	0.125	1	<i>ΔmutM-uhpT</i>	1 (subp. 128)	0.5	128
<i>ΔdnaQ</i>	256	1	512	<i>ΔmutM-glpT</i>	128	16	96
<i>ΔmutH</i>	16	2	32	<i>ΔmutM-cyaA</i>	128	1	4
<i>ΔmutL</i>	16	1	8	<i>ΔmutM-ptsI</i>	16 (subp. 64)	1	2
<i>ΔmutM</i>	2	1	16	<i>ΔmutS-uhpT</i>	256	1.5	128
<i>ΔmutS</i>	64	1	16	<i>ΔmutS-glpT</i>	256	16	512
<i>ΔmutT</i>	32	1	24	<i>ΔmutS-cyaA</i>	256	12	16
<i>ΔmutY</i>	32	1	16	<i>ΔmutS-ptsI</i>	512	2	512
<i>ΔuvrD</i>	32	1	16	<i>ΔmutT-uhpT</i>	256	0.5	512
<i>ΔglpT</i>	4 (subp. 128)	0.25	1.5	<i>ΔmutT-glpT</i>	512	16	256
<i>ΔuhpT</i>	128	16	64	<i>ΔmutT-cyaA</i>	256	12	128
<i>ΔcyaA</i>	8	12	16	<i>ΔmutT-ptsI</i>	512	2	192
<i>ΔptsI</i>	4	1.5	1.5	<i>ΔmutY-uhpT</i>	256	0.75	128
				<i>ΔmutY-glpT</i>	256	64	1024
				<i>ΔmutY-cyaA</i>	16	4	8
				<i>ΔmutY-ptsI</i>	2	0.25	2
				<i>ΔuvrD-uhpT</i>	256	0.38	384
				<i>ΔuvrD-glpT</i>	512	12	192
				<i>ΔuvrD-cyaA</i>	32	1.5	32
				<i>ΔuvrD-ptsI</i>	32	1	24

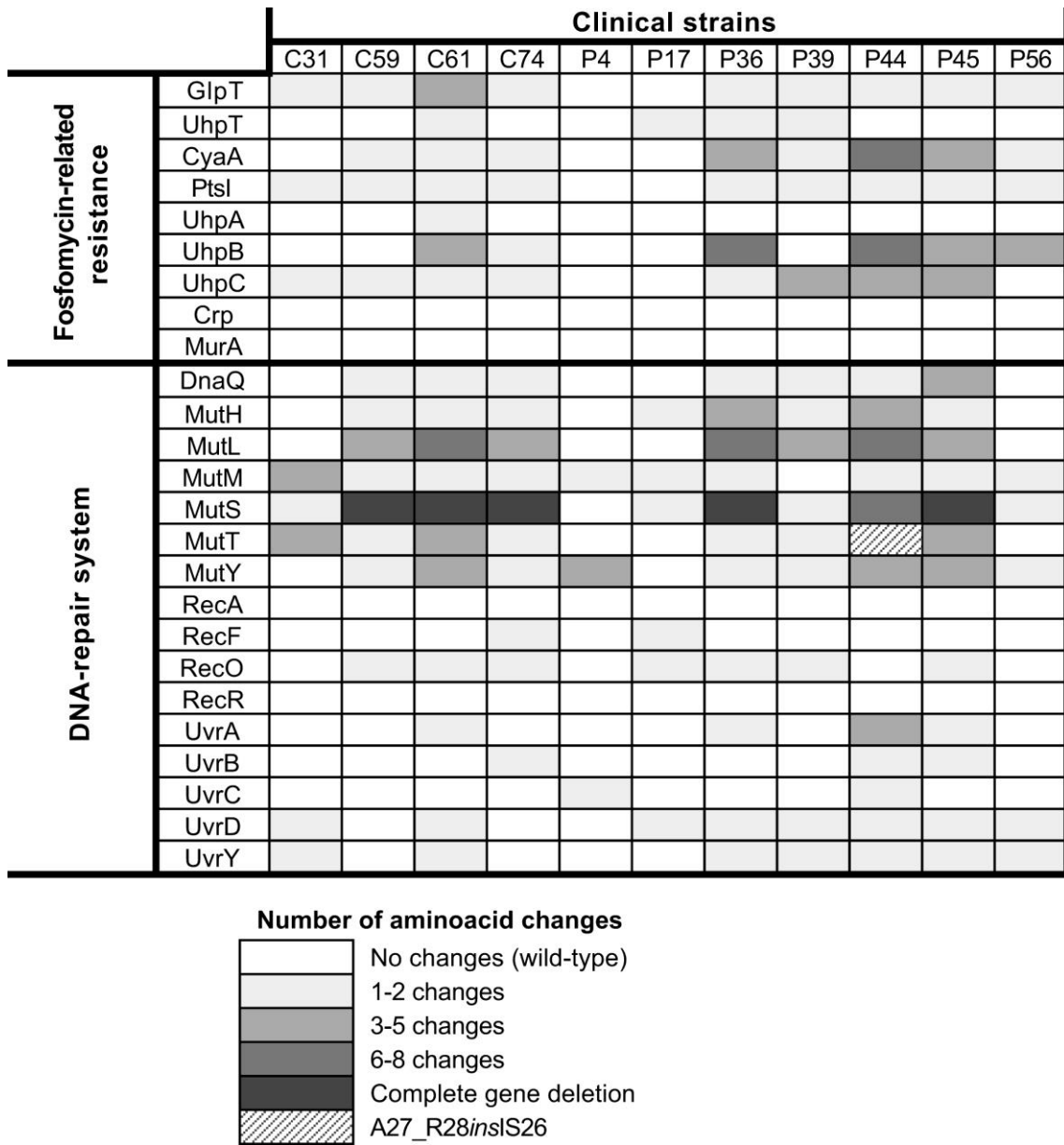
488 Figure 2. Fosfomycin gradient strip assay for: A) *E. coli* BW25113 wild-type, B) $\Delta glpT$,
489 C) $\Delta mutS$, D) $\Delta mutS-glpT$ strains, E) $\Delta ptsI$ and F) $\Delta mutS-ptsI$ This figure appears in
490 colour in the online version of *JAC* and in black and white in the printed version of
491 *JAC*.



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494 Figure 3. Plot showing the number of mutations observed in proteins related to fosfomycin resistance and DNA repair system in the clinical strains.
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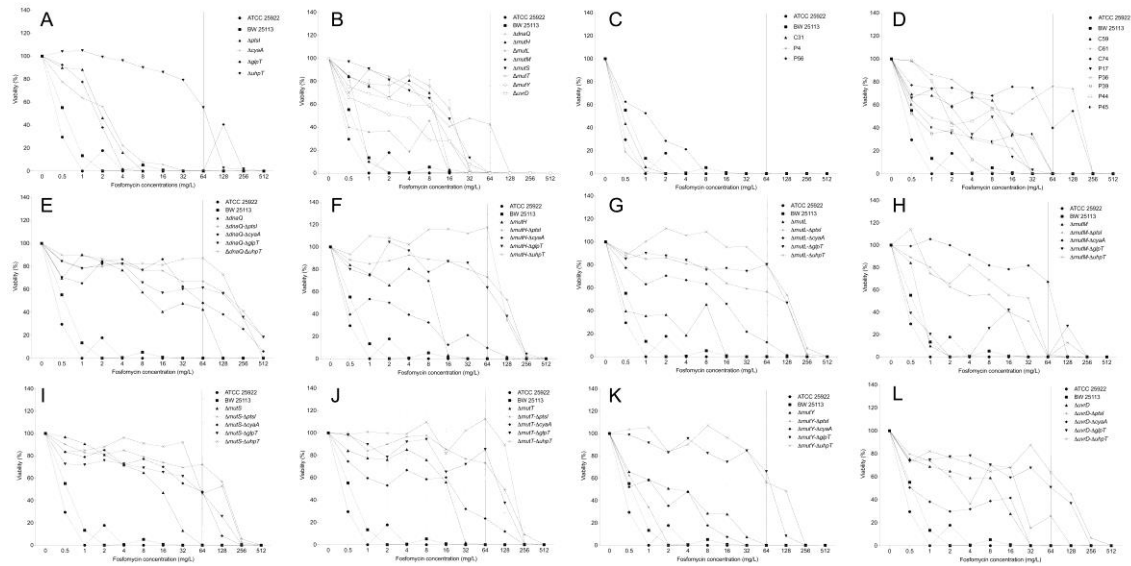


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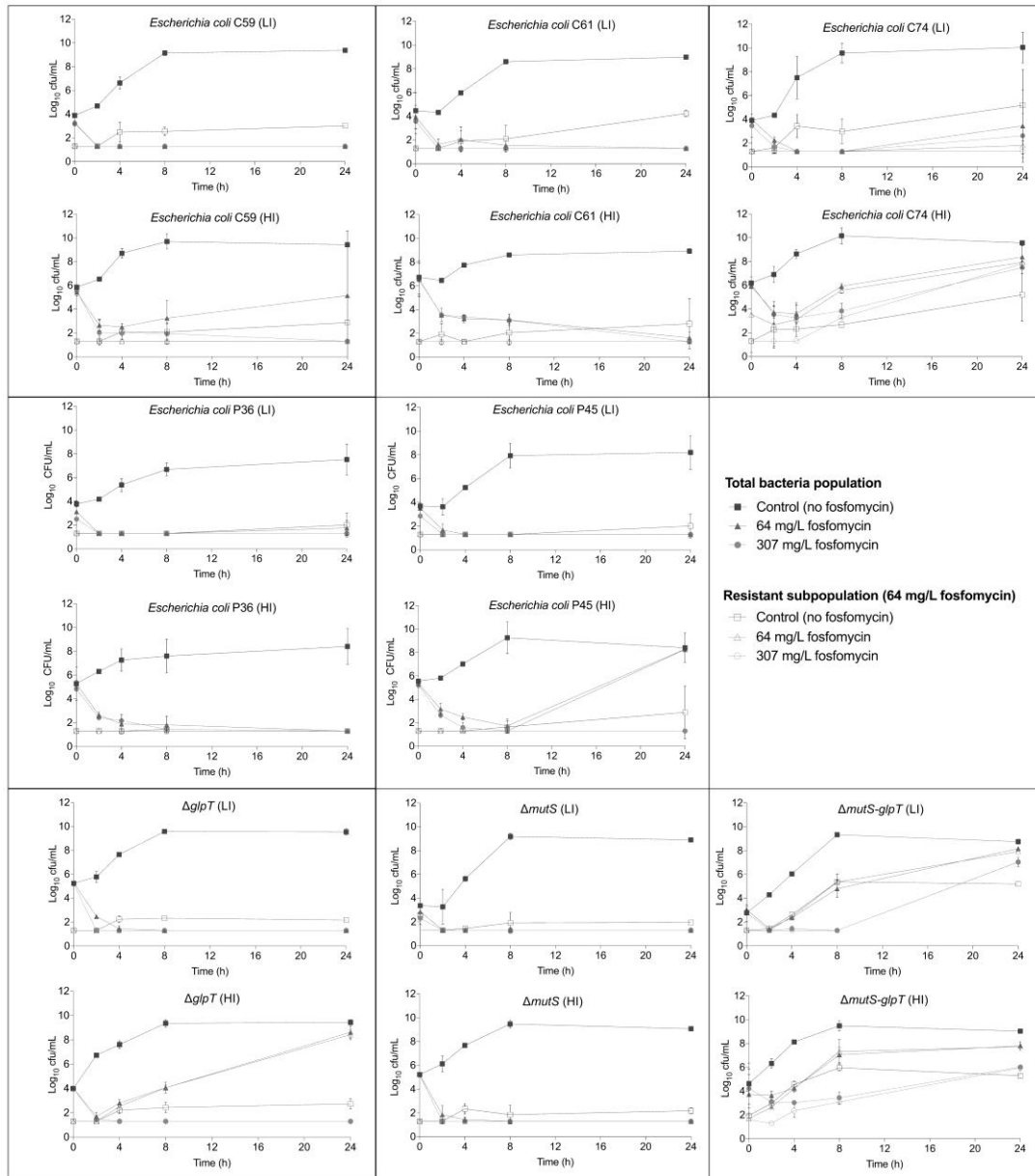
499 Figure 4. Growth curve analysis in MHB at 24h with fosfomycin concentrations ranging
 500 from 0.5 to 512 mg/L. A) Fosfomycin-related resistant mutants, B) DNA repair system
 501 mutants, C) Weak mutator clinical isolates, D) Strong mutator clinical isolates, E-L)
 502 Fosfomycin-related resistant and DNA repair system double mutants. Vertical lines
 503 denote fosfomycin susceptibility breakpoint.
 504



505
 506

507 Figure 5. Time–kill curves for *E. coli* clinical isolates and the single-gene deletion
 508 mutants $\Delta glpT$, $\Delta mutS$ and the double-gene deletion mutant ($\Delta glpT$ - $mutS$), using 64 and
 509 307 mg/L fosfomycin and starting bacterial concentrations of 5×10^3 (LI) and 5×10^5
 510 cfu/mL (HI).

511



512

1 **SUPPLEMENTARY**

2 **MATERIAL AND METHODS.**

3 Table S1. PCR and sequencing primers for mutant confirmation.

Amplified gene	Primer	Sequence	Amplicon size (pb/aa)
<i>glpT</i>	ECglpTF ECglpTR ECglpTintF ECglpTintR	5'-GCGAGTCGCGAGTTTTTCATTG-3' 5'-GGCAAATATCCACTGGCACC-3' 5'-GGGATGGCCTGGTTCAATGAC-3' 5'-GCAGTTTGTTCGGCAGTACG-3'	1359/452
<i>uhpT</i>	ECuhpTF ECuhpTR	5'-TTTTTGAACGCCAGACACC-3' 5'-AGTCAGGGGCTATTTGATGG-3'	1392/463
<i>cyaA</i>	ECcyaAF ECcyaAR	5'-AACCAGGCGCGAAAAGTGG-3' 5'-ACCTTCTGGGATTTGCTGG-3'	2547/848
<i>ptsI</i>	ECptsIF ECptsIR	5'-GAAAGCGGTTGAACATCTGG-3' 5'-TCCTTCTGTCTCGTGGAAAC-3'	1728/575
<i>dnaQ</i>	ECdnaQF ECdnaQR	5'-TTGCCAGACACGAACCATC-3' 5'-TTGCCTCGACCTTCGTCAAC-3'	732/243
<i>mutH</i>	ECmutHF ECmutHR	5'-CAGAGAATTGAACAACGCATGTGG-3' 5'-GCGTTAGGATCGGTTATCCATG-3'	690/229
<i>mutL</i>	ECmutLF ECmutLintF ECmutLR	5'-ACGGCACAAACTGCCAGTAC-3' 5'-ACACGGCGATCTCACGCTAC-3' 5'-CGTTAGCCATTGAGCTGCGT-3'	1848/615
<i>mutM</i>	ECmutMF ECmutMR	5'-ATCCAGTTGTTTCGCCAGCAC-3' 5'-GGCGCTGATGGCGAAGTTAG-3'	810/269
<i>mutS</i>	ECmutSF ECmutSintF ECmutSR ECmutSintR	5'-GCGCCTTATGTGATTACAACG-3' 5'-GGACTTTGTGCTGCCGGTTG-3' 5'-GGCGATAGTGATGGGCATTG-3' 5'-TGATTGCGCTGATGGCCTAC-3'	2562/853
<i>mutT</i>	ECmutTF ECmutTR	5'-GAGAGCGCAAAGTAGGACGTAAC-3' 5'-CAGGCATCGTGTGCGAATGTC-3'	390/129
<i>mutY</i>	ECmutYF ECmutYR	5'-GAAAGTTCGGTTTACACCCTGC-3' 5'-TGCAACGTGAAGCAGAAGGTC-3'	1053/350
<i>uvrD</i>	ECuvrDF ECuvrDintF ECuvrDR ECuvrDintR	5'-TGGCATCTCTGACCTCGCTG-3' 5'-CCAGGATACCAACAACATTCAG-3' 5'-ATCCGGCCTACATGACGTTG-3' 5'-CGTACCATGTATGAGCAGGAG-3'	2163/720

4

5

6 **Whole genome sequencing analysis**

7 Whole genome sequence analysis of the eleven clinical isolates (C31, C59, C61, C74, P4,
8 P17, P36, P39, P44, P45 and P56) was performed, as follows. Genomic DNA from
9 isolates was extracted from 3 mL bacterial cultures grown to mid-exponential phase in
10 MHB using the DNeasy Blood & Tissue Kit (Qiagen, Netherlands), according to the
11 manufacturer's recommendation. DNA was resuspended in 10 mM Tris-HCl, pH 8.0, and
12 concentrations determined using the Qubit 2.0 fluorometer (Invitrogen, Thermo Fisher
13 Scientific, Massachusetts, USA). In-house Miseq sequencing (Illumina, San Diego, CA,
14 USA) was performed. Libraries were prepared with the Nextera XT DNA library
15 preparation kit (Illumina) and sequencing with a reagent cartridge, V3 600 cycles
16 (Illumina), achieving at least 30x average coverage. CLC Genomic Workbench software
17 (Qiagen, Netherlands) was used for *de novo* assembly of Illumina reads. Bacterial
18 genomes were annotated using the RAST 2.0 annotation server¹⁸. A database containing
19 wild-type amino acid sequences from DNA repair system proteins (DnaQ, MutH, MutL,
20 MutM, MutS, MutT, MutY, RecA, RecF, RecO, RecR, UvrA, UvrB, UvrC, UvrD, UvrY)
21 and proteins involved in fosfomycin resistance (Crp, CyaA, GlpT, UhpT, PtsI, UhpA,
22 UhpB, UhpC and UhpT) were constructed with sequences obtained from the EcoCyc
23 database¹⁹. Translated nucleotide sequences from the RAST were compared with our
24 custom database, using the Bioedit Sequence Alignment Editor BioEdit version 5.0.7
25 (Tom Hall, Ibis Therapeutics, Carlsbad, CA). Protein sequences with <100% identity
26 were analysed using Protein BLAST and mutations were recorded.

27 All those genes that were not found using this method were subsequently amplified with
28 conventional PCR and sequencing, using specific primers (table S1) to confirm gene
29 deletions. Synonymous mutations were not recorded.

30

31 **Southern blotting**

32 To confirm the absence of *mutS* gene in clinical isolates, Southern blotting experiments
33 were performed using a digoxigenin-11-dUTP-labeled *mutS* probe. The probe (702 bp)
34 was prepared by PCR amplification (PCR primers: mutSintF: 5'-
35 GGACTTTGTGCTGCCGGTTG-3'; mutSintR: 5'-GCCAACTTTCAGCGTGTCCAG-
36 3'), using the above protocol and replacing the dNTP solution with PCR DIG Labelling
37 Mix (Roche Applied Science, Mannheim, Germany). PCR conditions were adjusted by
38 choosing an annealing temperature of 54 °C and an extension time of 40s. After PCR, the
39 probe was purified by gel extraction using the QIAquick Gel Extraction Kit (Qiagen).
40 DNA from *E. coli* BW25113 (wild-type) and Δ *mutS* strains were used as positive and
41 negative controls, respectively.

42 DNA from the control strain and clinical isolates was separated according to size and
43 blotted onto a PVDF membrane filter. The filter was hybridized with the digoxigenin-
44 labelled *mutS* probe.

45

46 RESULTS

47 Table S2. List of non-synonymous mutations found in clinical strains (wt denotes for
48 wild-type)

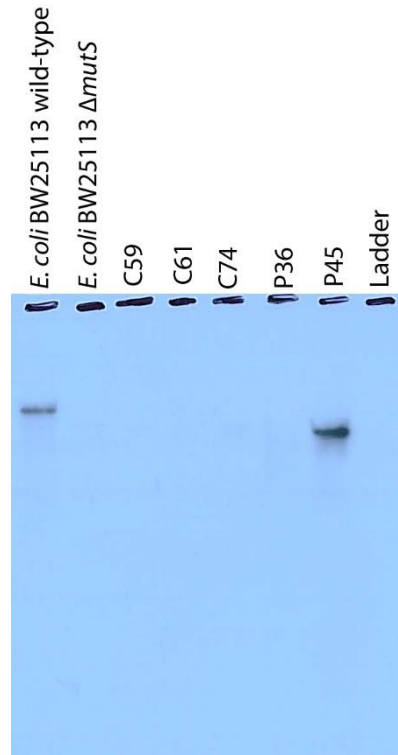
Genes	C31	C59	C61	C74	P4	P17	P36	P39	P44	P45	P56
GlpT	E448K	L297F E448K	E443Q Q444E E448K	E448K	wt	wt	E448K	L297F E448K	A402V E448K	E448K	E448K
UhpT	wt	wt	A355L E350Q	wt	wt	F160S	E350Q	E350Q	wt	wt	wt
CyaA	wt	N142S	N142S	N142	wt	wt	N142S D837E T840A	N142S	N142S A349E S356K G359E E362D I514V D837E T840A	N142S D837E T840A	N142S
PtsI	R367K	R367K	R367K	E282K R367K	wt	wt	R367K	R367K	A306T R367K	R367K	R367K
UhpA	wt	wt	P25S	wt	wt	wt	wt	wt	wt	wt	wt
UhpB	wt	wt	P84S L85I T166I H482T	S404A	wt	wt	T75M P84S Q441H L456V G459S Q463H H482T	wt	T75M P84S Q441H G459D Q463H H482T	P84S Q441H G459D Q463H H482T	P84S Q441H G495S Q463H H482T
UhpC	Y18H	I108M	Y18H	T435A	wt	wtr	Y18H A177S S417A	Y18H A177S I422A T435A	Y18H A177S S417A	Y18H S417A	wt
Crp	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt
MurA	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt
DnaQ	wt	I222L Q233E	A200T	I222L Q233E	wt	wt	A200T I222L	I222L	A200T I222L	A101T A200T I222L	wt
MutH	wt	V36A	V36A	V36A	wt	S145R	V36A Q135K V170I	V36A	V36A K79Q Q135K V170I	V36A	wt
MutL	wt	L418P A470V A494G	N131D S350A A368F L418P S459A A494G	L418P P423T A494G	wt	wt	D129N N131D S350A A368V T377S P389S L418P A477V A494G	A73_R74insLA L418P	N131D S350A A368V T377S P389S L418P A477V A494G	N131D P203S G281R S350A	wt
MutM	S98N T127A E196K	T127A	T127A A148E	T127A	S98N	T127A	T127A A193T	wt	T127A A148E	T127A	T127A
MutS	R19K G337E	Δ mutS	Δ mutS	Δ mutS	wt	R19K G337E	Δ mutS	G337E	A3T R19K A135T E263Q G337E T384N	M1_A9del R19K E263Q G337E T384N	P231L G337E
MutT	E93K S106A G109D	R89S	R89S E103K D114E	R89S	wt	wt	R89S L129V	R89S	A27_R28insIS26	I40V R89S L129V	wt
MutY	wt	A217S Q272H	G101S A217S A280S	A217S	V175L S217A E241D Q272L	wt	T98A A217S	A217S Q272H	A57V T98A A217S G318D	N67S T98A A217S A280S G318D	A217S Q272H
RecA	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt
RecF	wt	wt	wt	E194Q	wt	A134V	wt	wt	wt	wt	wt
RecO	wt	V123A	V123A	V123A	wt	V123A	V24I	V123A	wt	V123A	wt
RecR	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt	wt
UvrA	wt	wt	T214S R619H	wt	wt	wt	F112Y	wt	F112Y D523N E928K	F112Y	wt
UvrB	wt	wt	wt	G405C	wt	wt	wt	wt	D135A L281M	D434E	wt
UvrC	wt	wt	wt	wt	N418S	wt	wt	wt	A143V	wt	wt
UvrD	L559M	wt	S719T	wt	wt	R234C	S719T	Q434K	S719T	S719T	S719T
UvrY	M1L	wt	T45A	wt	wt	wt	T45A	M1L	T45A	T45A	M1L

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51 Figure S1. Southern blot analysis of *mutS* genes in five selected strains with absence of
52 *mutS* by WGS and PCR. This figure appears in colour in the online version of *JAC* and
53 in black and white in the printed version of *JAC*.
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