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20 **ABSTRACT**

21

22           The color of orange juices influences the consumers' choice, hence the  
23 assessment of this attribute has been paid much importance for decades. The  
24 instrumental measurement of orange juices color can be harnessed for the rapid,  
25 quality-control oriented estimation of its carotenoids, which are health-promoting  
26 compounds. In this work we compared and analyzed different spectroscopic data that  
27 can be used for these purposes, like the reflectance spectra of orange juices and the  
28 absorption spectra of their carotenoid extracts. Additionally, we have revisited the  
29 Kubelka-Munk theory and have concluded that its parameters are suitable to assess  
30 the carotenoid content of the samples, but not as much as the CIELAB color  
31 coordinates. In this regard, we have observed that K/S is the Kubelka-Munk  
32 parameter better correlated with the carotenoid content of the orange juices surveyed  
33 ( $r = -0.84$ ), although better correlations were observed when the CIELAB coordinate  
34  $a^*$  was considered ( $r = 0.86$  and  $0.88$  for measurements with white and black  
35 background, respectively). However, in our opinion this fact should not lead to  
36 dismiss the usefulness of the Kubelka-Munk theory to estimate carotenoid contents,  
37 since its application can lead to obtain valuable information about the absorption and  
38 scattering properties of the samples.

39

40

41 **KEYWORDS:** Absorption spectra; carotenoids; color; Kubelka-Munk; orange juice;  
42 reflectance spectra.

43

44

45

## 46 INTRODUCTION

47           The color of orange juices (OJ) influences the consumers' preferences a great  
48 deal (Tepper, 1993). The hues of most OJ range from yellow to orange and are  
49 mainly due to their content in carotenoids. However, other oranges also accumulate  
50 anthocyanin pigments such that their juices exhibit a characteristic reddish color  
51 (Arena, Fallico, Maccarone, 2000, Kirca Cemeroglu, 2003, Meléndez-Martínez,  
52 Vicario, Heredia, 2005). Recently, two red-fleshed orange mutants that owe their  
53 reddish color mainly to the carotenoid lycopene and not to anthocyanins have also  
54 been described and studied (Lee, 2001, Liu et al., 2007, Alquezar, Rodrigo, Zacarías,  
55 2008). Anyhow, the genotype is not the only factor determining the carotenoid  
56 pattern of oranges and therefore their color, since the climate of the area of  
57 production and the industrial processing, among others, are also related to the  
58 pigment content (Mouly, Gaydou, Lapierre, Corsetti, 1999, Meléndez-Martínez,  
59 Britton, Vicario, Heredia, 2008).

60           The citrus industry has been aware of the importance of the color of OJ since  
61 long ago, especially in the United States, where this attribute has been long used as a  
62 quality parameter for the commercial classification of the product. In relation to this  
63 it is important to mention that much research has been conducted in this country to  
64 standardize its assessment to the extent that many instruments have been evaluated,  
65 and that even a color number scale was developed for the rapid classification of the  
66 juices (Huggart Wenzel, 1954, Huggart, Barron, Wenzel, 1966, Hunter, 1967,  
67 Eagerman, 1978, Buslig Wagner Jr., 1984, Buslig Wagner Jr., 1985, Meléndez-  
68 Martínez et al., 2005). Anyway, in our opinion, internationally recommended color-  
69 specification systems, like CIELAB (CIE, 2004), must be always employed with

70 independence we consider OJ, virgin olive oils (Moyano, Melgosa, Alba, Hita,  
71 Heredia, 1999) or any other product.

72           Apart from its evident relationship with the perceived quality and the  
73 consumers' choices, with the derived economic repercussions, the study of the color  
74 of OJ is especially challenging for several reasons. On one hand, the carotenoid  
75 pattern of OJ is very complex and includes, among others, pigments with provitamin  
76 A activity ( $\alpha$ -carotene,  $\beta$ -carotene and  $\beta$ -cryptoxanthin) and other biological  
77 functions or actions (besides the former, lutein, zeaxanthin and, in some genotypes,  
78 lycopene). This is important because the instrumental measurement of the color of  
79 OJ, apart from serving to assess this important quality attribute, could also be  
80 harnessed for the rapid, quality-control oriented estimation of these phytochemicals.  
81 In this sense, the application of tristimulus colorimetry in conjunction to multivariate  
82 statistical methods has proved a powerful tool that allows the determination of  
83 individual carotenoids (Meléndez-Martínez, Vicario, Heredia, 2003), and even  
84 hypothetical vitamin A activity (Meléndez-Martínez, Vicario, Heredia, 2007b) in OJ.  
85 In other studies carried out in our laboratory, multivariate statistical methods have  
86 been applied to gain insight into how the diverse carotenoids occurring in OJ  
87 “interplay” to produce a final color (Melendez-Martinez et al., 2010).

88           The instrumental measurement of the color of these products is also  
89 especially challenging because they are neither transparent nor opaque, but  
90 translucent. Whilst the color of transparent products can be readily ascertained from  
91 transmission measurements and that of opaque ones from reflection measurements,  
92 the behavior of light when reaches a translucent sample makes the instrumental  
93 measurement more complicate (Meléndez-Martínez et al., 2005). Over and above

94 this fact, the characteristic turbidity of OJ also plays an important role in its  
95 appearance, which is also an important factor to be considered when measuring its  
96 color (Rummens, 1970, Arena et al., 2000). The importance of the pulp particles in  
97 this regard is double, as they also contain the carotenoid pigments (Meléndez-  
98 Martínez, Vicario, Heredia, 2009).

99           Although the color definition of OJ continues attracting the interest of both  
100 scientists and the citrus industry in the first years of the 21st century (Arena et al.,  
101 2000, Lee Castle, 2001, Choi, Kim, Lee, 2002, Lee Coates, 2003, Meléndez-  
102 Martínez, Vicario, Heredia, 2004, Meléndez-Martínez, Britton, Vicario, Heredia,  
103 2005, Pérez-López, Beltran, Serrano-Megías, Saura López, Carbonell-Barrachina,  
104 2006, Cortes, Esteve, Frigola, 2008, Tiwari, Muthukumarappan, O' Donnell, Cullen,  
105 2008), little attention has been paid to methodological aspects. In this paper we  
106 analyze different spectroscopic approaches that can be used to define the color of the  
107 juices with a view to determine their pigment content as the correlation between the  
108 color of several foodstuffs and their carotenoid content is raising much interest  
109 (Meléndez-Martínez et al., 2003, Humphries, Graham, Mares, 2004, Ruiz, Reich,  
110 Bureau, Renard, Audergon, 2008). In this regard, we discuss the absorbance spectra  
111 of OJ carotenoid extracts (which has been used for very long to quantitate them), the  
112 reflectance spectra of OJ (which have proved very useful to estimate carotenoid  
113 levels without having to extract them) and spectra derived from the application of the  
114 Kubelka-Munk theory, which has been used for translucent samples and whose  
115 application to the estimation of OJ carotenoid levels has not been assessed in detail.

116           Moreover, some observations regarding the use of black or white  
117 backgrounds for the color measurement of the juices are made.

## 118 MATERIAL AND METHODS

### 119 *Samples*

120           Seventy commercially available OJ were surveyed. The samples were  
121 divided in two main groups according to the industrial treatment undergone:  
122 ultrafrozen orange juices (UFOJ, juices not subjected to heat treatment that are  
123 stored and marketed at  $T < 18^{\circ}\text{C}$ ; ,  $n = 26$ ) and thermally-treated orange juices  
124 (TTOJ,  $n = 44$ ). The UFOJ were supplied at different intervals by Zumos Vitafresh  
125 (Almonte, Huelva, Spain), whilst the TTOJ were purchased from several retailers in  
126 Seville at different times. All the samples were stored as recommended (the UFOJ at  
127  $T < -18^{\circ}\text{C}$  and the TTOJ at room temperature or  $4^{\circ}\text{C}$ ) until their analysis. UFOJ  
128 were thawed at room temperature.

### 129 *Instrumental color measurement*

130           For the color readings, the samples were placed in a plastic cuvette ( $47.5 \times 35$   
131  $\times 10$  mm) for reflection measurements. The measurements were carried out with  
132 subdued illumination to avoid possible light interferences. Moreover, the cuvettes  
133 were placed inside a cabin with grey walls. The reflectance visible spectra (380-770  
134 nm,  $\Delta\lambda = 1$  nm) were recorded by means of a CAS 140 B spectroradiometer  
135 (Instrument Systems, Munich, Germany) fitted with a Top 100 telescope optical  
136 probe (Instrument Systems, Munich, Germany) and a Tamron zoom mod. SP 23A  
137 (Tamron USA, Inc., Commack, NY, USA). The zoom, to which the probe was  
138 attached, was held at a fixed distance of 50 cm in a straight line from the sample. For  
139 all the measurements the source of light was an external incandescent lamp providing  
140 a  $45^{\circ}$  incident illumination with respect to the perpendicular to the cuvette. The  
141 apparatus was set to take three consecutive readings, so the color parameters

142 obtained were averages of three measurements. The instrument blank measurements  
143 were made with the cuvette filled with distilled water against a reference white  
144 pressed plate (SRS-99-010, Labsphere Inc. North Sutton, NH, USA). The OJ  
145 samples were measured against a white background (WB, the pressed white plate)  
146 and a black background (BB, a round plastic piece with homogeneous black color).

147 The illuminant  $D_{65}$  and the  $10^\circ$  Standard Observer were considered as  
148 references. The illuminant  $D_{65}$  is a standard illuminant defined by International  
149 Commission on Illumination and the  $10^\circ$  Standard Observer was considered to  
150 represent best average spectroscopic response of human observers

151 The color coordinates corresponding to the approximately uniform color  
152 space CIELAB (CIE, 1978) were obtained directly from the apparatus. Within this  
153 color space, two color coordinates,  $a^*_{10}$  and  $b^*_{10}$ , and a psychometric index of  
154 lightness,  $L^*_{10}$ , are defined.  $a^*_{10}$  takes positive values for reddish colors and negative  
155 values for the greenish ones, whereas  $b^*_{10}$  takes positive values for yellowish colors  
156 and negative values for the bluish ones.  $L^*_{10}$  is an estimation of the relative  
157 luminosity, and according to this parameter any given color can be regarded as  
158 equivalent to a member of a grey scale, between black ( $L^*_{10} = 0$ ) and white ( $L^*_{10} =$   
159  $100$ ). From  $a^*_{10}$  and  $b^*_{10}$ , the psychometric parameters chroma ( $C^*_{ab,10}$ ) and hue-  
160 angle ( $h_{ab,10}$ ) are defined:

$$161 \quad C^*_{ab,10} = [(a^*_{10})^2 + (b^*_{10})^2]^{1/2} \text{ (Eq. 1)}$$

$$162 \quad h_{ab,10} = \tan^{-1} (b^*_{10}/a^*_{10}) \text{ (Eq. 2)}$$

163  $C^*_{ab,10}$  (related to the quantitative attribute of colorfulness) allows to  
164 determine for each hue its degree of difference in comparison to a grey color with the  
165 same lightness, whilst hue-angle ( $h_{ab,10}$ ) is the attribute according to which colors  
166 have been traditionally defined as reddish, greenish, etc.



167

168 *Application of the Kubelka-Munk theory to the spectroscopic data*

169 The theory can be briefly summarized into several main points (Calvo, 1993):

170 - The layer of sample can be divided into elementary layers with parallel  
171 sides to the total layer and identical optical properties.

172 - The elementary layer or sheet is defined as a sheet with parallel and infinite  
173 sides, so that the effect of the edges is eliminated. However its thickness is finite.

174 - The thickness of the elementary sheet is small to the total thickness of the  
175 sample, but large compared to the size of the particles.

176 - At any wavelength, the optical properties of the sample can be described by  
177 one scattering (S) and one absorption (K) coefficient. Such coefficients describe the  
178 amount of light scattered and absorbed when passing through the sample.

179 - There are an ascending flow and a descending flow of diffuse light. Each  
180 flow loses on its way through the sample an amount of light proportional to its  
181 energy and to K, due to absorption and another amount of light proportional to its  
182 energy and to S, owed to scattering. Likewise, each flow gains an amount of light  
183 proportional to the energy of the other one and to S, due to scattering.

184 Considering that  $i$  is the descending flow and  $j$  the ascending one. The  
185 following equations can be deduced:

186 
$$dj = -(S + K)j dx + Si dx \quad (\text{Eq. 3})$$

187 
$$-di = -(S + K)i dx + Sj dx \quad (\text{Eq. 4})$$

188 These equations, in turn, lead to the following formulas (Judd, Wyszecski,  
189 1975, Wyszecski, Stiles, 1982, Hutchings, 1994):

190 
$$a_\lambda = 1/2[R_\lambda + (R_{0\lambda} - R_\lambda + R_{g\lambda}/R_{0\lambda}R_{g\lambda})] = (S_\lambda + K_\lambda)/S_\lambda \quad (\text{Eq. 5})$$

191 
$$b_\lambda = (a_\lambda - 1)^{1/2} \quad (\text{Eq. 6})$$

192 
$$-S_{\lambda}=(b_{\lambda}X)^{-1} \text{Arctgh} [(1-a_{\lambda}R_{0\lambda})/b_{\lambda}R_{0\lambda}] \text{ (Eq. 7)}$$

193 
$$K_{\lambda}=S_{\lambda}(a_{\lambda}-1) \text{ (Eq. 8)}$$

194 where  $a_{\lambda}$  and  $b_{\lambda}$  are constants,  $R_{g\lambda}$  is the spectral reflectance of the background,  $X$  is  
195 the sample thickness,  $R_{\lambda}$  and  $R_{0\lambda}$  refers to the spectral reflectance of the sample with  
196 WB and BB, respectively,  $S_{\lambda}$  is the scattering coefficient, and  $K_{\lambda}$  the absorption  
197 coefficient.

#### 198 *Carotenoid analysis*

199 Carotenoids were extracted and analyzed by HPLC according to the routine  
200 protocol followed in our laboratory, which is described in detail elsewhere  
201 (Meléndez-Martínez, Vicario, Heredia, 2007a). The total carotenoid content of the  
202 samples was calculated as the sum of the levels of the individual compounds.

203 The visible absorption spectra (380-770 nm) of the OJ carotenoid hexane  
204 extracts were recorded on an HP8452 UV/Vis diode-array spectrophotometer  
205 (Hewlett-Packard, Palo Alto, CA) with a wavelength accuracy of 2 nm. A 10 mm  
206 pathlength glass cell was used for the measurements.

#### 207 208 *Data analysis*

209 The spectroscopic data were averages of three consecutive measurements.  
210 The color coordinates were automatically calculated by the software of the  
211 spectroradiometer. They and The Kubelka-Munk parameters were calculated  
212 according to the formulas indicated before. The statistical treatments of the data were  
213 carried out with the Statistica<sup>®</sup> v.6.0 software (StatSoft Inc., 2001).

## 214 **RESULTS AND DISCUSSION**

### 215 *Spectroscopic information related to the color of orange juices*

216 Typical reflectance visible spectra of UFOJ and TTOJ obtained placing the  
217 cuvette with the samples against a white and a black background (WB and BB,  
218 respectively) are represented in Figure 1. Overall, the shape of the spectra is very  
219 similar regardless of the type of the juice. The reflectance of the samples is lowest at  
220 around 450 nm and then increases sharply up to around 550 nm. From that  
221 wavelength onwards, the reflectance increases smoothly in the case of the  
222 measurements made with WB. When the BB is used, the reflectance between 550 nm  
223 and 770 nm diminishes and then increases smoothly or remain virtually constant,  
224 such that the reflectance values at around 550 nm and at 770 nm are very similar.  
225 Concerning the differences in the reflection spectra as a function of the type of juice,  
226 it was observed that, in most cases, the reflection of visible light was higher in the  
227 case of the thermally treated ones along the whole visible region. In the case of the  
228 spectra represented in Figure 1, it was observed that the reflection spectrum one of  
229 the thermally treated juices was very similar to that of the ultrafrozen one in the  
230 region 380-550 nm, although from 550 nm onwards its reflectance values were  
231 clearly higher. Typical absorption spectra of the carotenoid fraction of both UFOJ  
232 and TTOJ are represented in Figure 2. Exhibiting color ranging from yellow to  
233 orange, the carotenoids occurring in the OJ studied absorbed maximally in the region  
234 400-500 nm. The different shapes of the represented spectra reflect the qualitative  
235 and quantitative differences in the carotenoid profiles of the UFOJ and TTOJ, since  
236 the visible absorption spectra of most carotenoids exhibit three absorption bands, the  
237 location of which depend on the number and arrangement of the conjugated double  
238 bonds in their molecules (Britton, 1995b, Meléndez-Martínez, Britton, Vicario,  
239 Heredia, 2007). The carotenoid content of the thermally treated juices analyzed was  
240 lower than those of the ultrafrozen orange juices, hence the higher absorbance

241 maxima of the latter. Apart from this, in Figure 2 it can be observed that the shape of  
242 the spectra of both kinds of juices are different, which reflects differences in their  
243 carotenoid profile. These differences are addressed in detail in other papers  
244 (Meléndez-Martínez, Britton, Vicario, Heredia, 2008, Melendez-Martinez et al.,  
245 2010).

246         When comparing the spectra depicted in Figures 1 and 2 it can be readily  
247 seen that, obviously, the reflection of visible light by the juices is lowest where the  
248 carotenoids occurring in them absorb maximally. However, if we take a closer look  
249 to those figures some questions can be raised. Thus, for instance, the peaks and  
250 valleys that can be observed in the absorption spectra of the carotenoid fraction are  
251 not observed in the reflectance spectra of the juice samples. Over and above this, the  
252 absorption of the carotenoid extracts at 500 nm is rather negligible (Figure 2), which  
253 can lead to think that the reflection of the OJ at that wavelength should be high,  
254 which is not the case. Actually the reflection at that point is very close to the lowest  
255 (Figure 1). How can these differences be explained? In principle, it is sensible to  
256 think that such differences can be due, at least in part, to the fact that OJ is an  
257 aqueous suspension whilst the carotenoid extract is dissolved in hexane.  
258 Additionally, the carotenoids in OJ are located within the pulp particles, which also  
259 play an important role in the appearance, and therefore, in the spectra of the juices in  
260 relation to their turbidity, as mentioned earlier on. Put otherwise, in the case of the  
261 translucent OJ samples, apart from absorption, reflection and transmission  
262 phenomena there is also light scattering, which does not take place in the solutions of  
263 the carotenoid extracts in hexane. On the other hand, it is sensible to think that the  
264 fact that the absorbance is a logarithmic magnitude while the reflectance is not, is  
265 also related to the changes observed in the shape and sharpness of the spectra.

266           However, to fully understand the differences between the spectra of  
267 carotenoid extracts in organic solvents and those corresponding to biological  
268 structures containing these pigments it is interesting to come as far as the sub-cellular  
269 location of the pigments, that is, to the plastids. Due to their hydrophobicity,  
270 carotenoids can form aggregates in some media, which changes their physical  
271 properties (Britton, 1995a). In other words, whereas in hexane solutions of  
272 carotenoids there are single molecules of carotenoids, in their biological milieu they  
273 can form aggregates and crystals under some conditions, whose absorption spectra  
274 would differ from those of the monomer carotenoids. The aggregation of carotenoids  
275 and the derived color shifts are well-known. In the case of the so-called H-  
276 aggregates, the carotenoid molecules are disposed with their polyene chains parallel  
277 to each other, and a blue shift due to the hypsochromic (to shorter wavelengths)  
278 displacement of the absorption maxima can be observed. In the case of the J-  
279 aggregates, there is a head-to-tail association of the polyene chains, and a red shift  
280 due to a bathochromic (to longer wavelengths) displacement of the absorption  
281 maxima can be observed (Kön et al., 2008). In the literature there are many examples  
282 of typical spectra of monomer and aggregated carotenoids as well as of carotenoid-  
283 containing structures (Ruban, Horton, Young, 1993, Zsila, Deli, Simonyi, 2001, Fish,  
284 2006, Kön et al., 2008), which serve to illustrate the shifts in the location of the  
285 absorption bands and the changes in the overall shape of the spectra. Thus, they  
286 could also serve to explain the differences between the shape of the reflection spectra  
287 of the biological materials and the sharp absorption spectra of their carotenoid  
288 fraction. As another example of the influence of aggregation states, it can be said that  
289 disturbed soil samples and soil aggregates show also significantly different colors

290 attributable to multiple causes (Sánchez-Marañón, Delgado, Delgado, Pérez,  
291 Melgosa, 1995, Sánchez-Marañón, Soriano, Melgosa, Delgado Delgado, 2004).

292 In our view, when it comes to measure the color of OJ or any other natural  
293 product with a view to correlate it with the pigment content, not only the physics and  
294 the mere pigment content but also other aspects related to their biological  
295 environment should be taken into account. For instance, in the case of OJ it is to be  
296 considered that it may also happen that not all the carotenoids are equally apparent  
297 within the pulp particles. In this regard it is important to note that OJ are complex  
298 sources of carotenoids with different chemical structures (Meléndez-Martínez,  
299 Britton, Vicario, Heredia, 2008) and that these are much related within their location  
300 and organization within the cell (Britton, 1995). In relation to this, we have reported  
301 recently that the pigments more related to the hue and the chroma of orange juices  
302 are not necessarily those occurring at the highest concentrations (Meléndez-Martínez  
303 et al., 2010).

304  
305 *The application of the Kubelka-Munk theory to orange juices revisited: assessing*  
306 *pigment contents from absorption / scattering data and CIELAB coordinates*

307 As mentioned before, OJ are neither transparent nor opaque but translucent,  
308 so the instrumental measurement of its color is more challenging. In this kind of  
309 sample the instrumental measurement is largely dependent on both the thickness of  
310 the sample and the color of the background placed at its back. This fact can be  
311 overlooked by applying the Kubelka-Munk theory, which entails measuring the  
312 sample with two different backgrounds and obtaining constants that are independent  
313 of the background and the thickness of the sample.

314           The averaged values of all UFOJ and TTOJ for  $K_\lambda$ ,  $S_\lambda$  and  $K_\lambda/S_\lambda$  are  
315 represented in Figure 3. The absorption coefficient  $K_\lambda$  is defined as “...*the rate of*  
316 *decrease of transmittance with thickness of a very thin layer...*” (the same meaning of  
317 the absorptivity in Beer law), whereas the scattering coefficient  $S_\lambda$  is defined as  
318 “...*rate of decrease of reflectance with thickness of a very thin layer...*” and gives us  
319 an idea of the amount of scattered light (Judd, Wyszecki, 1975) The  $K_\lambda/S_\lambda$  ratio is  
320 called the Kubelka–Munk index and is particularly useful to determine if the color  
321 measurements should be made by transmission or reflection. If Kubelka-Munk index  
322 is greater than 1, absorption is greater than scattering and transmission measurement  
323 is recommended, if Kubelka-Munk index is lower than 1 scattering is predominant  
324 and reflection measurement is recommended (Francis, 1987).

325           If we compare the typical reflection spectra of the OJ (Figure 1) with the  
326 spectral representation of  $S_\lambda$ (Figure 3) we can notice a great similarity, which may  
327 indicate that the reflection spectra of the samples is largely related to the scattering of  
328 light attributable to pigments. It is also interesting to note that the spectral  
329 representation of the Kubelka-Munk index (Figure 3) bears a great resemblance to  
330 the absorption spectra of the carotenoid extracts. Taking into consideration a work by  
331 Ronsholdt and McLean (Ronsholdt and McLean, 2001) in which different  
332 spectroscopic data were assessed for the quantification of carotenoids in rainbow  
333 trout, it can also be noticed that the representation of the K/S index bears clear  
334 similarities with the spectrum of astaxanthin, the major carotenoid in the fish muscle.  
335 In fact, the authors stated that the Kubelka-Munk transformation of the data removed  
336 much of the general spectral information of physical origin. In this sense, it is  
337 tempting to infer that this treatment of the data can be useful to obtain a good  
338 estimation of the carotenoid content of foods and other sources without having to

339 extract them, which offers many advantages (more efficient throughput of samples,  
340 little risk of pigment degradation, etc.).

341 The usefulness of the CIELAB color coordinates to estimate the individual or  
342 total carotenoid content of OJ has been demonstrated in some of our previous works  
343 (Meléndez-Martínez, Vicario, Heredia, 2003, Meléndez-Martínez, Vicario, Heredia,  
344 2007). The average CIELAB color coordinates of the samples analyzed are displayed  
345 in Table 1 with their corresponding standard deviations, whilst the correlation  
346 coefficients between them and the total carotenoid content of the samples are shown  
347 in Table 2. All the correlations were significant at  $p < 0.05$ , the highest values of linear  
348 correlation coefficient  $r$  (over 0.8) corresponding to  $a^*_{10}$  regardless of the  
349 background used for the measurements. The differences in the carotenoid levels and  
350 color coordinates within and between types of orange juices can be easily explained  
351 considering that it is well-known that the accumulation of secondary metabolites in  
352 general and of carotenoids in particular in plants depend on the genotype, climate  
353 and agronomic factors and type of processing and storage conditions, among others  
354 factors. Considering the averaged color data, it can be claimed that, overall, the  
355 TTOJ appear lighter and less vivid than the UFOJ.

356

357 Due to the interesting similarities found between the reflectance spectra of  
358 carotenoid-containing samples and some of the spectra obtained considering the  
359 Kubelka-Munk theory commented before, it appeared interesting to assess the  
360 usefulness of the Kubelka-Munk parameters to assess the carotenoid content of the  
361 juices analyzed in this study. The results of this assessment are shown in Figure 4,  
362 where the linear correlation coefficient  $r$  between the Kubelka-Munk parameters (at  
363 500nm, wavelength where  $K_\lambda/S_\lambda$  is approximately 1) and the carotenoid content of



364 each OJ is shown. When comparing the values of  $r$  with the ones displayed in Table  
365 2, it can be readily concluded that they are similar but not higher than the highest  
366 found with CIELAB color parameters. This seems to be indicative that the Kubelka-  
367 Munk parameters (above all  $K/S$ , which was found to be better correlated to the  
368 pigment content than  $K$  and  $S$  considered individually) can be used to estimate the  
369 carotenoid content of OJ, although they are not as suitable as the CIELAB  
370 colorimetric coordinates. Apart from these observations it has to be considered that  
371 the application of the Kubelka-Munk theory requires two measurements (with WB  
372 and BB), a more complex mathematical treatment and involves more error sources  
373 than those linked to a CIELAB colorimetric measurement. It is interesting to note  
374 that there are large differences in the correlations between the  $K/S$  index and the  
375 carotenoid levels as a function of the juices considered ( $r = 0.44$  and  $0.75$  for UFOJ  
376 and TTOJ, respectively). This could reflect marked differences in the absorption and  
377 scattering of light between UFOJ and TTOJ, which could in turn be related to the  
378 characteristics of their pulp particles, these being interesting aspects to be addressed  
379 in future research.

380           Despite the Kubelka-Munk theory has been widely applied to foods, paints,  
381 biological material, etc. (Huang, Francis, Clydesdale, 1970, Hetherington Mac  
382 Dougall, 1992, Calvo, 1993, Yang, Celmer, Koutcher, Alfano, 2000, Berns  
383 Mohammadi, 2007) for several purposes, in the case of orange-based drinks and  
384 other foodstuffs sometimes it does not lead to higher correlations with other  
385 parameters as compared to other methodologies (Rummens, 1970, Gullett, Francis,  
386 Clydesdale, 1972). In this regard, as we reviewed some years ago (Meléndez-  
387 Martínez, Vicario, Heredia, 2005), some authors reported that the use of the color or  
388 spectroscopic information obtained with only one background could be more

389 valuable for some purposes (Little, 1964, Durán, Rodrigo, Alcedo, 1976, Lafuente,  
390 Gasque, Nieto, Izquierdo, 1979). Considering the spectra represented in Figure 1 the  
391 main differences, in spectroscopic terms, derived from the use of the WB and the BB  
392 can be readily inferred. Thus, it is evident that the spectra of the juices are largely  
393 very similar within the interval of wavelengths from 380 nm to ca. 550 nm, the  
394 region of the electromagnetic spectrum where the OJ carotenoids absorb maximally.  
395 From ca. 550 onwards, the reflectances of the samples measured with the BB are  
396 much lower. This is logical since the light that reaches the BB is not reflected, which  
397 can occur when the WB is used. From our previous studies on the matter some other  
398 conclusions derived from the use of either background can be drawn. For instance,  
399 we have reported that the use of a black background led to a better discernment of OJ  
400 dilutions both visually and instrumentally (Meléndez-Martínez, Vicario, Heredia,  
401 2004). On the other hand, the use of either background did not affect much the  
402 correlations between individual carotenoids and the CIELAB color coordinates  
403 (Meléndez-Martínez, Vicario, Heredia, 2003). In the case of the correlation between  
404 those color coordinates and the retinol activity equivalents (parameter used to assess  
405 the potential vitamin A activity of a product) of OJ, it was concluded that the use of  
406 the BB led to slightly better values of  $r$ . At this point, it is pertinent to stress that the  
407 actual vitamin A activity of the juices depend on many factors (human genotype,  
408 food matrix, carotenoid isomeric form, etc.) and that therefore, we are very far to use  
409 color data to accurately estimate it. However, since the objective measurement of  
410 color has proved useful to determine the hypothetical vitamin A activity, its  
411 applicability in food labelling appears very promising (Meléndez-Martínez, Vicario,  
412 Heredia, 2007).

#### 413 **CONCLUDING REMARKS**

414           Despite the color of OJ continues attracting much attention from scientists  
415 and the industry, few studies have dealt with methodological aspects in the last years.  
416 In this paper we have commented the features of different spectra that can be used to  
417 evaluate the color of these products and of the pigments accounting for it.  
418 Furthermore we have applied the Kubelka-Munk theory to the spectroscopic data to  
419 ascertain whether  $K_\lambda$ ,  $S_\lambda$  or  $K/S_\lambda$  can lead to better correlations with the carotenoid  
420 content of the samples as compared to those obtained with the color coordinates of  
421 the CIELAB space. In this sense, we have concluded that  $K/S$  is the Kubelka-Munk  
422 parameter better correlated with the carotenoid content of orange juices subjected to  
423 different processing conditions, although it does not involve a better estimation of the  
424 carotenoid content. In conclusion, it can be stated that, apart from the traditional  
425 quantification of total carotenoid contents by means of the absorption spectra of their  
426 extracts, it is also possible to use the color coordinates (like  $a^*$ ) computed from the  
427 reflectance spectra of the product for that purpose. This has the advantage that the  
428 carotenoids do not have to be extracted, so the assessment is more rapid and risks of  
429 degradation and/or formation of artifacts are minimized. Additionally, good  
430 correlations ( $r > 0.8$  in absolute values) were observed between the  $K/S$  index and the  
431 total carotenoid levels, which indicate that this Kubelka-Munk parameter also seems  
432 useful for the quantification of OJ carotenoids. Although the correlation of this  
433 parameter with the total carotenoid level was slightly lower as compared to  $a^*$  ( $r >$   
434  $0.85$ ), the applicability of this theory for that purpose should not be dismissed since it  
435 offers the possibility to obtain valuable data about the absorption and scattering  
436 properties of the samples.

437

#### 438 **ACKNOWLEDGMENTS**

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440 European Regional Development Fund (ERDF) support.

441

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611

612 **FIGURE CAPTIONS**

613 Figure 1. Reflectance visible spectra of an ultrafrozen (UFOJ) and two thermally-  
614 treated (TTOJ) orange juice samples obtained by spectroradiometry with white  
615 background (solid lines) and black background (dotted lines)

616

617 Figure 2. Absorption visible spectra of the carotenoid fraction of an UFOJ and two  
618 TTOJ in hexane

619

620 Figure 3. Spectroscopic representation of the Kubelka-Munk parameters of an UFOJ  
621 and two TTOJ

622

623 Figure 4. Representation of the levels of carotenoids of the samples vs the Kubelka-  
624 Munk parameters

625

1 Table 1. Average total carotenoid content and CIELAB color coordinates of the  
 2 samples analyzed and standard deviations (SD)

<b>ULTRAFROZEN ORANGE JUICES (<i>n</i> = 26)</b>					
<b>White background</b>					
	<b><i>L</i><sup>*<sub>10</sub></sup></b>	<b><i>a</i><sup>*<sub>10</sub></sup></b>	<b><i>b</i><sup>*<sub>10</sub></sup></b>	<b><i>C</i><sup>*<sub>ab,10</sub></sup></b>	<b><i>h</i><sub>ab,10</sub></b>
<b>Mean±SD</b>	73.41±1.29	13.94±1.60	68.92±3.76	70.32±3.94	78.59±0.87
<b>Black background</b>					
	<b><i>L</i><sup>*<sub>10</sub></sup></b>	<b><i>a</i><sup>*<sub>10</sub></sup></b>	<b><i>b</i><sup>*<sub>10</sub></sup></b>	<b><i>C</i><sup>*<sub>ab,10</sub></sup></b>	<b><i>h</i><sub>ab,10</sub></b>
<b>Mean±SD</b>	61.13±2.42	8.15±1.24	55.75±3.75	56.35±3.80	81.69±1.04
<b>Total carotenoid content (mean±SD): 24.08±2.59 mg/l</b>					
<b>THERMALLY TREATED ORANGE JUICES (<i>n</i> = 44)</b>					
<b>White background</b>					
	<b><i>L</i><sup>*<sub>10</sub></sup></b>	<b><i>a</i><sup>*<sub>10</sub></sup></b>	<b><i>b</i><sup>*<sub>10</sub></sup></b>	<b><i>C</i><sup>*<sub>ab,10</sub></sup></b>	<b><i>h</i><sub>ab,10</sub></b>
<b>Mean±SD</b>	76.79±2.43	8.32±3.08	63.38±7.49	63.97±7.72	82.62±2.12
<b>Black background</b>					
	<b><i>L</i><sup>*<sub>10</sub></sup></b>	<b><i>a</i><sup>*<sub>10</sub></sup></b>	<b><i>b</i><sup>*<sub>10</sub></sup></b>	<b><i>C</i><sup>*<sub>ab,10</sub></sup></b>	<b><i>h</i><sub>ab,10</sub></b>
<b>Mean±SD</b>	64.80±3.30	3.45±2.55	50.52±7.40	50.68±7.53	86.24±2.30
<b>Total carotenoid content (mean±SD): 6.34±4.56 mg/l</b>					

3

1 Table 2. Linear correlation coefficients between the total carotenoid content and the  
 2 CIELAB color coordinates as a function of the background used for the  
 3 measurements. All the correlations were significant ( $p < 0.05$ )

4

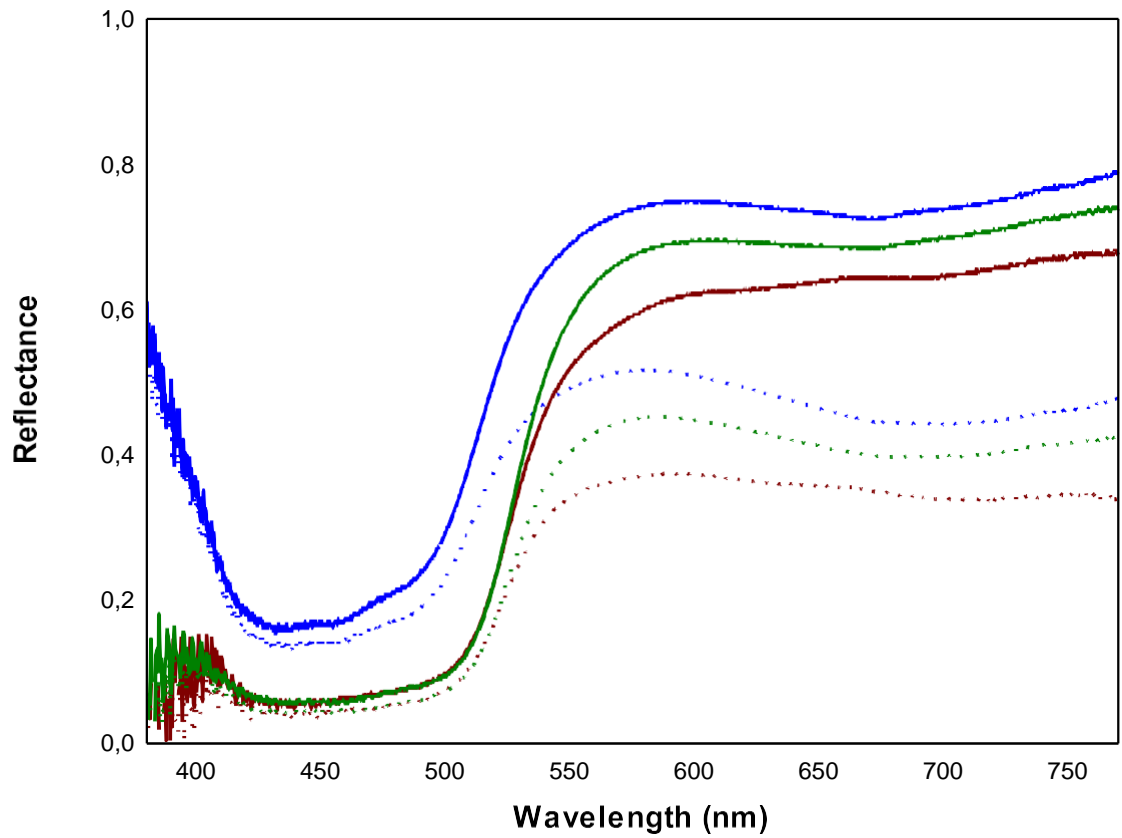
<b>UFOJ AND TTOJ (<math>n = 70</math>)</b>				
<b>White background</b>				
<b><math>L^*_{10}</math></b>	<b><math>a^*_{10}</math></b>	<b><math>b^*_{10}</math></b>	<b><math>C^*_{ab,10}</math></b>	<b><math>h_{ab,10}</math></b>
-0.67	0.86	0.67	0.70	-0.82
<b>Black background</b>				
<b><math>L^*_{10}</math></b>	<b><math>a^*_{10}</math></b>	<b><math>b^*_{10}</math></b>	<b><math>C^*_{ab,10}</math></b>	<b><math>h_{ab,10}</math></b>
-0.49	0.88	0.62	0.64	-0.86

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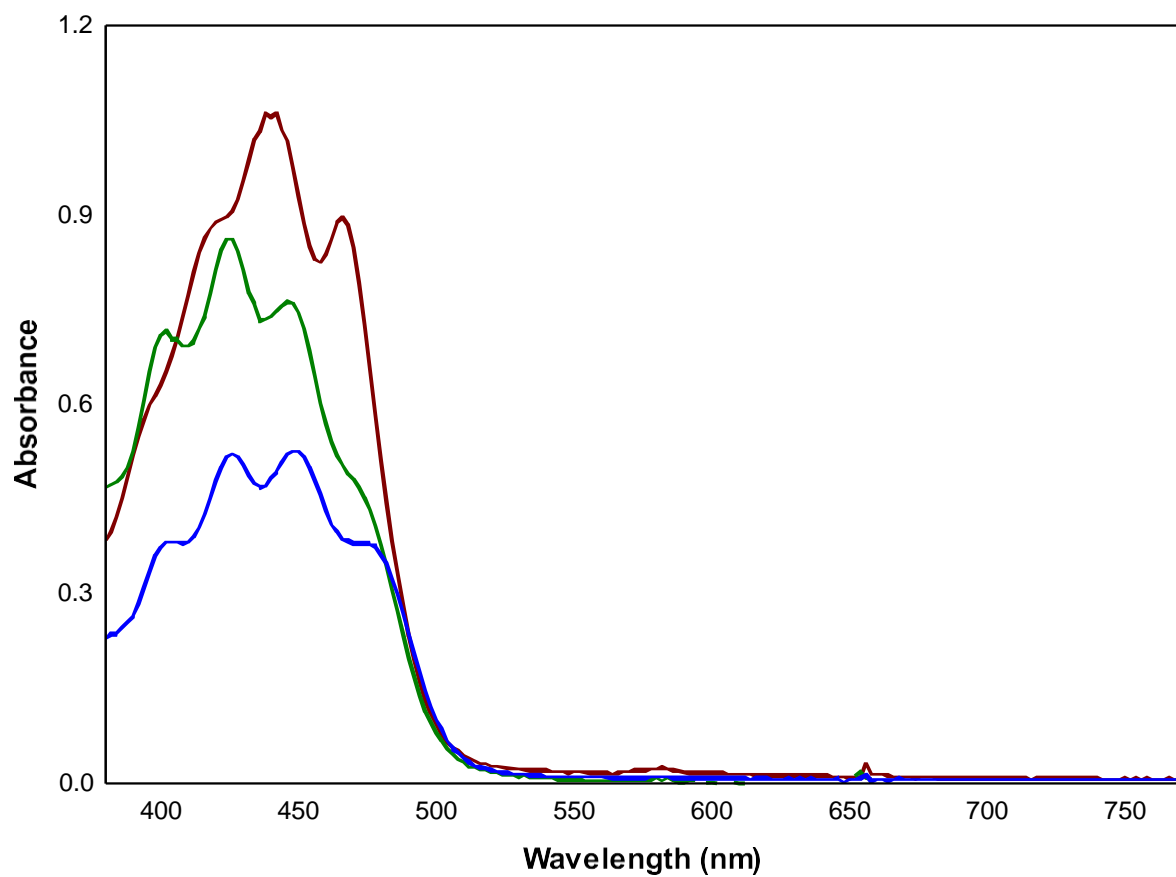


- 1
- 2 — UFOJ
- 3 — TTOJ1
- 4 — TTOJ2
- 5

6

7 Figure 1. Reflectance visible spectra of an ultrafrozen (UFOJ) and two thermally-  
8 treated (TTOJ) orange juice samples obtained by spectroradiometry with white  
9 background (solid lines) and black background (dotted lines)

Figure 2



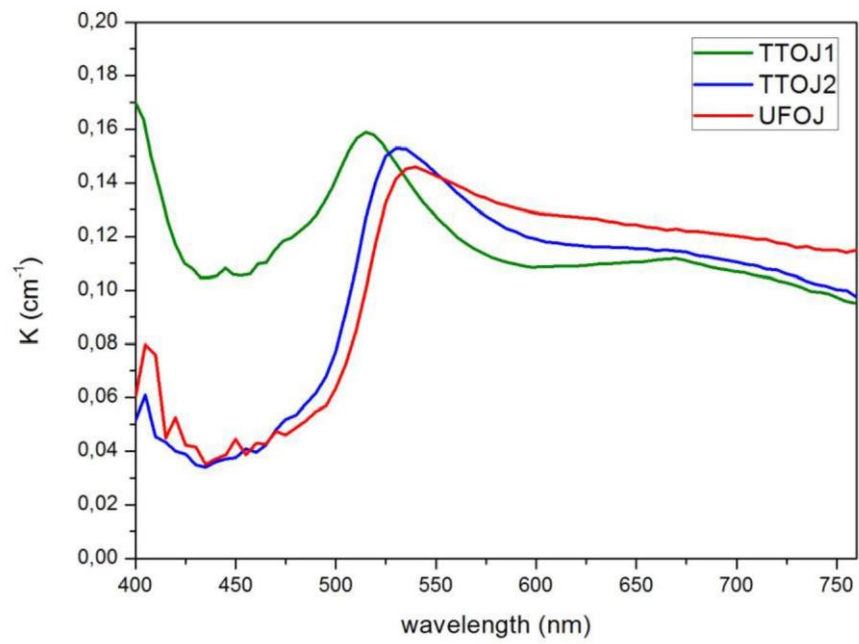
1  
2

3 — UFOJ  
4 — TTOJ1  
5 — TTOJ2  
6

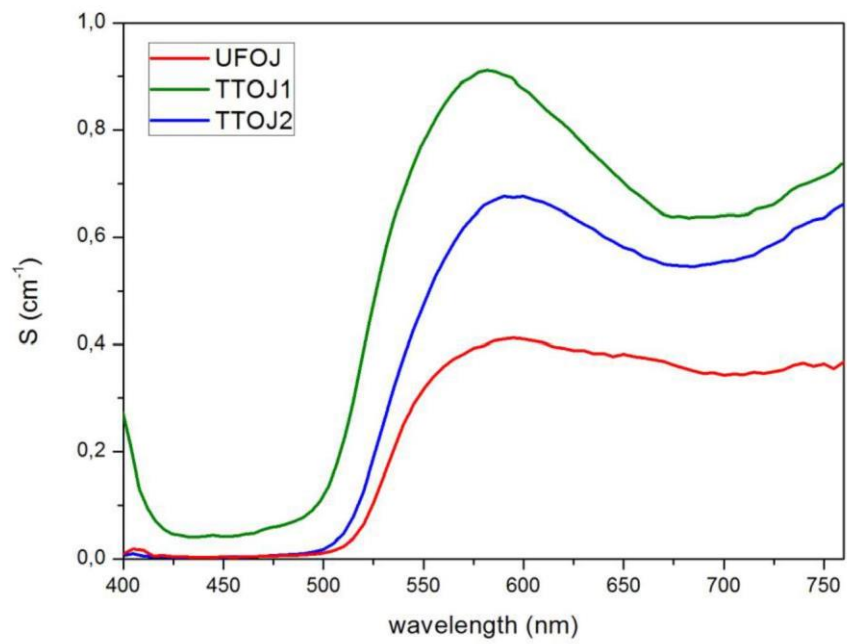
7 Figure 2. Absorption visible spectra of the carotenoid fraction of an ultrafrozen  
8 orange juice (UFOJ) and two thermally treated orange juices (TTOJ) in hexane



Figure 3

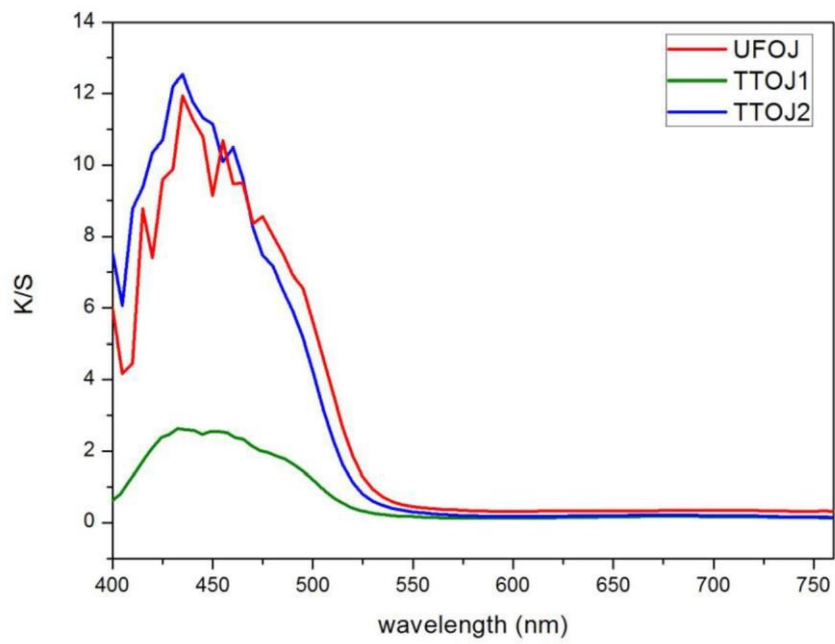


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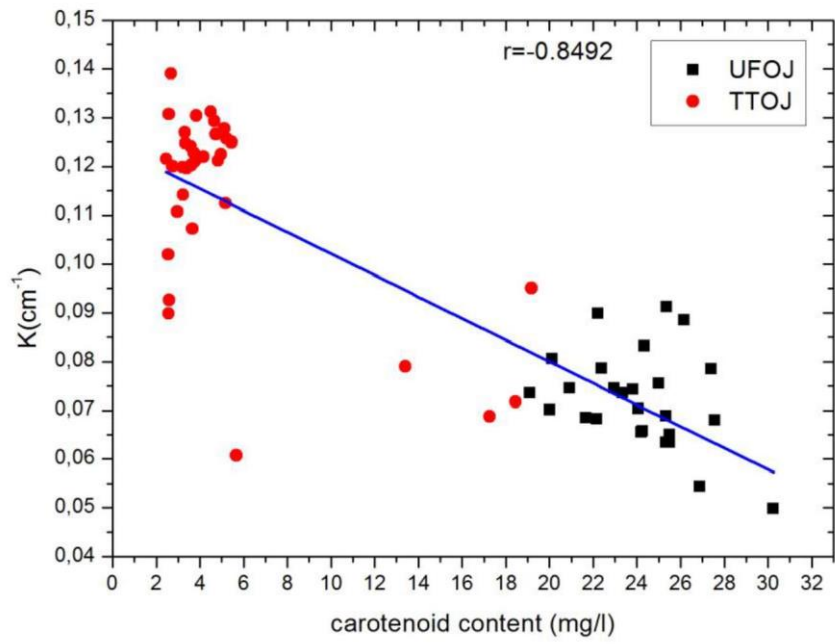


4

5        Figure 3. Spectroscopic representation of the Kubelka-Munk parameters of an  
6        ultrafrozen orange juice (UFOJ) and two thermally treated orange juices (TTOJ)

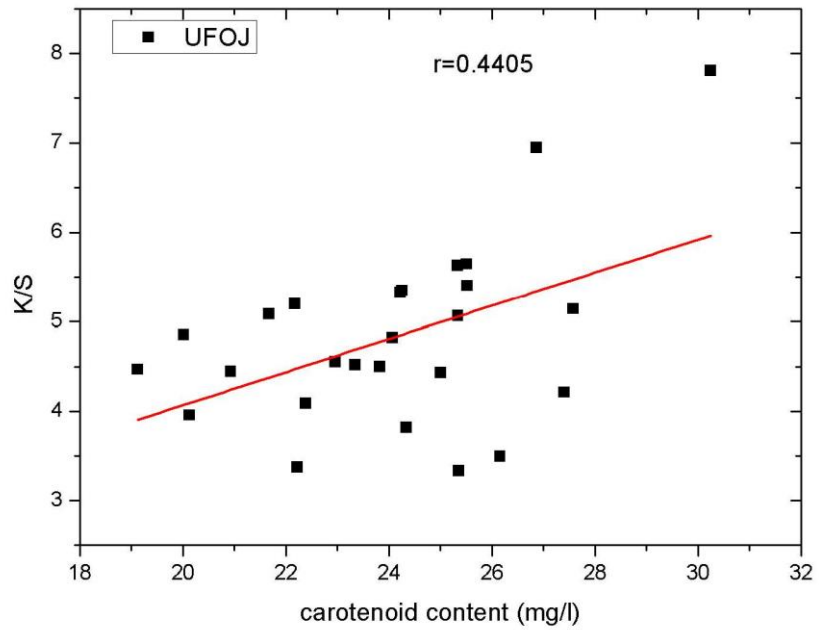
Figure 4

1



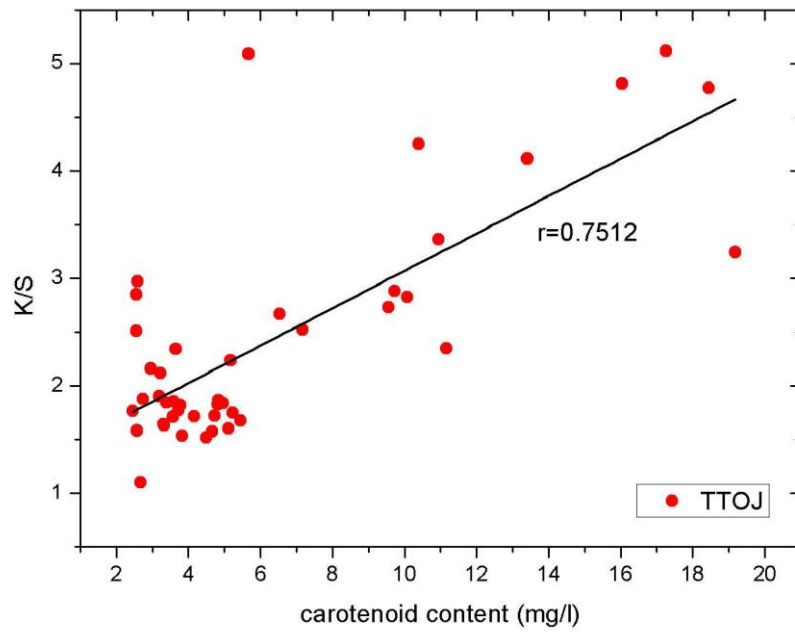
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7 Figure 4. Representation of the levels of carotenoids of the samples (UFOJ n= 26,  
 8 TTOJ n = 44) vs the Kubelka-Munk parameters, linear fits and simple regression  
 9 coefficients

10