



Depósito de Investigación
Universidad de Sevilla

Depósito de investigación de la Universidad de Sevilla

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14 **Abstract**

15 This study assesses the effect of background, surrounding, thickness and dilutions on
16 the colour specifications of orange juice analyzed by digital image and visual
17 evaluation. A commercial orange juice was diluted with distilled water to give ten levels
18 of concentration (100, 90, 80, 70, 60, 50, 40, 30, 20, and 10% of juice). These samples
19 were evaluated instrumentally by digital image analysis using nine combinations of
20 surroundings and backgrounds (black, grey and white) and three different thicknesses.
21 Visual evaluation was also carried out on black, grey and white backgrounds. A grey
22 surrounding, a white background and a fixed thickness are suggested to be used in
23 future measurements of orange juice colour by digital image analysis. Results of visual
24 evaluation indicated that panellists were able to order correctly the orange juice samples
25 according to the dilution level, independently of the background chosen. The
26 correlations between the instrumental and the visual analysis were significant ($p < 0.05$)
27 in all the backgrounds explored.

29 **Key words:** Colour, image analysis, background, surrounding, thickness.

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32 **1. Introduction**

33 Colour perception is a psychophysical phenomenon that involves the psychology of the
34 observer, the physiology of vision, and the spectral radiant energy of a source of light.

35 The visible radiation produces an stimulus on the retina, which is transmitted to the
36 brain by the optical nerve¹. Colour measurement is necessary in routine quality
37 assessment and in research and development in the food industry. It is one of the most
38 important visual attributes in food and is usually the first one evaluated by consumers.
39 Furthermore, it is associated to the concept of quality and some studies have revealed
40 that the colour of citrus beverages is related to the consumer's perception of flavour,
41 sweetness and other quality characteristics^{2,3,4,5}.

42 Colour can be evaluated by instrumental and visual analysis⁶. Methods for visual
43 assessment of colour includes preference tests, difference tests, acceptance tests⁷, and
44 descriptive analysis methods^{8,9}. For this kind of evaluation, the observing conditions
45 such as illumination, sample presentation, background or surrounding on which the
46 sample is presented have to be taken into account¹⁰.

47 On the other hand, instruments are able to define colour objectively following the
48 recommendations established by the CIE^{11,12}.

49 The International Commission on Illumination (Commission Internationale de
50 l'Eclairage, CIE) developed the Tristimulus Colorimetry, which is a valuable resource
51 for solving the problems of objective analysis of colour in foods and beverages, thus,
52 diverse applications have been carried out with orange juice (OJ)^{13,14}. In particular, the
53 CIELAB system has been accepted worldwide in most industries¹⁵, starting from the
54 tristimulus values previously defined by CIE colorimetry¹⁶.

55 The main advantages of the instrumental measurement are its simplicity, precision, and
56 versatility. They also eliminate subjectivity, are non destructive and

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57 allow automatization¹⁷. There are different types of colour measurement instruments like
58 colorimeters, spectrophotometers and spectroradiometers. Their validity for the
59 objective colour evaluation of food and beverages, among them, orange juice (OJ), have
60 been demonstrated by several authors^{18,19}.

61 New advances in image acquisition technology offer the possibility of using technically
62 sophisticated apparatus available at relatively low cost to evaluate colour in terms of
63 millions of pixels. In comparison with the traditional light sensors, the main advantage,
64 is that they allow to make a detailed evaluation of a wider area of any food product,
65 with inhomogeneous colour possible, and every different colour can be accounted for by
66 one or more pixels²⁰. Furthermore, it is based upon digital cameras, which can quickly
67 capture images in digital format (DigiEye®)²¹ and offers a more reliable measurement
68 of the food colour, which can be correlated with sensory analysis²².

69 As in any other instrumental measurements, it is necessary to set up the right
70 measurement conditions considering the characteristics of the product to be measured.

71 When the incident light goes through any translucent beverages, part of the light is
72 reflected, part is transmitted, part is absorbed, and also part comes from the background
73 and goes through the sample towards the observer's eye or the instrument, so accurate
74 colour specifications in OJ is not an easy task²³. When measuring colour, the following
75 aspects must be considered: the arrangement of the sample, the geometry of the system,
76 the light source intensity, the thickness of the sample, and the blank; as they may affect
77 the instrumental measurement^{25,24}. Other authors have also suggested that background
78 and surrounding area are factors that can also influence colour measurement¹⁷. Also, it
79 is necessary to control the lighting geometry²⁴ which is the relative position of the
80 sample, and the entire trajectory of the light until it reaches the observer's eye or the
81 instrument.

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82 As digital image is a very accurate measure of the chromatic characteristics of each
83 pixel, the influence of these factors becomes especially important. Furthermore, this
84 kind of measure simulates in a very exact way the visual appreciation, in which it is
85 clear that they have a relevant effect¹⁰.

86 Therefore, the main objective of this study was to set up the right conditions for colour
87 evaluation of orange juice by Digital image analysis. Several measurements conditions
88 were assessed including backgrounds, surroundings, sample thickness, and dilutions.
89 Colour specifications of OJs were analyzed by digital image and visual evaluation.
90 Furthermore correlations between visual and instrumental analysis with different
91 backgrounds were also explored.

92 **2. Material and methods**

93 *2.1. Samples*

94 A series of dilutions of commercial fresh OJ with distilled water were done to get ten
95 levels of OJ concentrations (100, 90, 80, 70, 60, 50, 40, 30, 20, and 10% of juice).

96 As a result of the successive dilutions, a collection of orange juice solutions with
97 different amount of pulp and pigments were obtained, which represent the range of OJs
98 colours from the darkest to the palest.

99 *2.2. Colour measurement: Image analysis*

100 The DigiEye imaging system was used to capture the digital images²¹. The latter system
101 includes a calibrated digital camera with 10.2-megapixel Nikon D80 (Nikon
102 Corporation, Tokyo, Japan) and an objective Nikkor 35-mm f/2D (Nikon Corporation),
103 a colour sensor for display calibration, and an illumination box designed by VeriVide
104 Ltd. (Leicester, UK) (Figure 1).

106 To explore the influence of the surroundings and backgrounds on the colour
107 measurement of OJ by image analysis, three backgrounds and surroundings (white, grey
108 ($L^* = 50$), and black) were selected. Figure 2 shows the nine combinations of
109 backgrounds and surroundings used. The influence of the sample's thickness was also
110 evaluated. Three levels of thickness: 15, 30, and 40 mm were obtained by filling a
111 cuvette with 44.7 mm of internal diameter with three different volumes (20, 45 and 70
112 mL).

113 In these measurements, the samples were illuminated by a diffuse D65 simulator. To
114 calculate the CIELAB coordinates from RGB colour space, the DigiFood software was
115 used²⁶.

116 For colour specifications the CIELAB colour space, recommended by the CIE²⁷ was
117 applied. Colour differences can be calculated by different formulas like CIE94²⁸ or
118 CIEDE2000^{29,30}. In this work we have decided to use the most usual CIELAB formula
119 in OJ which calculate colour differences as the Euclidean distance between two points
120 in the 3-D space defined by L^* , a^* and b^* :

$$\Delta E_{ab}^* = \sqrt{(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2}$$

123 2.3. Visual analysis

124 To control the illumination and observation conditions visual analyses were carried out
125 using a VeriVide CAC Portable cabinet (Konika Minolta, Nieuwegein, Netherlands)
126 equipped with a daylight source simulating the D65 illuminant (dimension of viewing
127 area: 635 mm width, 280 mm height, and 280 mm depth). The visual analyses were
128 done under the following conditions: grey colour ($L^* = 50$) surrounding and three
129 different backgrounds (black, grey and white) (Figure 8). To standardize thickness to 30
130 mm (a medium value from those assayed in the instrumental measures) the cylindrical

131 transparent glass flasks were filled with 80 ml of the corresponding solutions. Samples
132 were placed randomly in the cabin.

133 Ten panellists recruited from students and staff at the University of Seville, without
134 previous training in visual evaluation, took part in the study. All of them were asked to
135 order the samples in an ever-increasing order of concentration of OJ. For data analysis,
136 the samples were given from 1 to 10 points, corresponding to the most diluted OJ sample
137 to the original OJ, respectively.

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139 **3. Results**

140 *3.1. Influence of surrounding and background on the orange juice colour parameters*
141 *assessed by digital image.*

142 In order to explore the effect of the background and the surrounding on the colour
143 analysis, an average diluted sample (50%) was selected. Thickness was fixed at 30 mm.
144 The influence of the surrounding was evaluated by comparing the colour differences for
145 white/black (SW/SB), white/grey (SW/SG), and black/grey (SB/SG) surroundings with
146 all the backgrounds explored.

147 The highest colour differences (ΔE^*_{ab}) were observed when comparing SW/SB, in
148 which colour differences ranged from 6.84 to 6.87 for the three different backgrounds.

149 The second highest colour differences corresponded to SW/SG (4.70-5.08). In both
150 cases, ΔE^*_{ab} was higher than the visual discrimination threshold **which has been**
151 **established for wine by Melgosa et al.³¹** ($\Delta E^*_{ab} > 3$ CIELAB units approximately). The
152 lowest colour differences, ranging from 1.85 (white background) to 2.35 (grey
153 background), were obtained when comparing the surroundings SB/SG.

154 These results are in agreement with Stinco et al.¹⁷, who conducted a similar study on
155 spectroradiometric instrumental measurements. They concluded that the highest colour

156 differences were obtained when comparing SW and SB, although they reported higher
157 ΔE^*_{ab} values.

158 Although many studies have reported the influence of different backgrounds on the
159 instrumental measurement of colour^{18,32,6,25,17} none of them have been referred to digital
160 image analysis. To explore the influence of the background, the average diluted sample
161 (50% of OJ) was also selected and three possible backgrounds: white background
162 (BaW), grey background (BaG), and black background (BaB). Colour differences due to
163 the background were calculated. The highest colour differences (ΔE^*_{ab}) were observed
164 for the pair BaW/BaB, ranging from 2.72 to 2.99 for the three different surroundings.
165 The second highest colour differences corresponded to BaG/BaW (2.30-1.75), and
166 finally the lowest colour differences were obtained when comparing the backgrounds
167 BaB/BaG, ranging from 0.72 (SW) to 1.00 (SG). All these differences were lower than
168 the threshold of visual detection reported by Martínez et al.³³. It must also be
169 highlighted that the backgrounds produced lower colour differences than the
170 surroundings, as reported above for the 50% OJ sample. Similar results were reported
171 by Stinco et al.¹⁷ in spectroradiometry measurements, although the colour differences
172 reported in that study were higher (2 - 18 CIELAB units). Similarly, other authors have
173 also concluded that background has a clear effect on the instrumental colour
174 measurements of OJ¹⁰ and meat³⁴.

175 Figure 3 shows the location of the 50% OJ sample in the a^*b^* plane as a function of the
176 background used. It can be observed a clearly separated group corresponding to BaW
177 and two other closer groups corresponding to BaG and BaB, which showed lower
178 values of a^* and b^* (Figure 3a). However, Figure 3b shows that when representing L^*
179 values, no well-defined groups are observed. Obviously, the lowest values of L^*
180 corresponded to the black background (BaB) while the highest values of L^* to the white

181 background (BaW). However, the fact that the samples showed no grouping tendency,
182 suggests that the effect of the background in OJ does not have a relevant impact in
183 lightness values.

184 The influence of the surrounding and background on the colour parameters is affected
185 by the dilution of the sample, as it can be inferred from the collection of different
186 dilutions of OJ samples. Figure 4 shows that, when comparing surroundings, the colour
187 differences decreased from the most diluted OJ (10%) to the most concentrated (100%).
188 The same trend was observed for the different backgrounds. For example, OJ 10%, on
189 BaW showed colour differences values of 10.45, 7.09, and 4.19 on SB/SW, SG/SW,
190 and SB/SG, respectively, while for OJ 100%, colour differences values decreased to
191 4.78, 3.62, and 1.24, respectively. This implies a reduction around 50% in colour
192 differences values.

193 Figure 5 shows the effect of the backgrounds for the ten dilutions studied. As
194 previously discussed for the surroundings, the same trend was observed when
195 comparing the different backgrounds on the three surroundings. Furthermore, the
196 differences in colour comparing backgrounds also decreased due to OJ concentration,
197 but in a more accused way. Taking as an example the sample OJ 10% in SW, the colour
198 differences were 11.38, 7.81, and 3.82 comparing BaW/BaB, BaG/BaW, and BaB/BaG,
199 respectively, while for OJ 100% colour differences values decreased to 0.99, 0.41, and
200 0.37, respectively. In this case, a reduction around 90% in colour differences values was
201 observed due to the effect of the background.

202 It can be concluded that surrounding as well as background do have an influence in the
203 digital analysis measurements of OJ. The magnitude of this effect is affected by the
204 concentration of pulp and pigments. Due to the huge offer of OJ existing in the market,
205 with different amount of pulp and pigments, it is necessary to fix these factors before OJ

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206 colour analysis. Therefore, according to these results and taking into account the CIE
207 recommendations²⁷ and also previous studies with different instrumental
208 measurements¹⁷, the grey surrounding (uniform neutral grey with $L^* = 50$) and white
209 background were selected for the following measurements. Besides the CIE
210 recommendation cited above, this combination is also the most usual in visual
211 assessment.

212 *3.2. Influence of dilutions and thickness on the orange juice colour parameters assessed* 213 *by digital image.*

214 The effect of the dilutions on the colorimetric parameters was also studied in this work.
215 It can be observed that the lower the concentration of the OJ, the higher the values of L^*
216 (Figure 6a). Hue was affected in a similar way: the lower the concentration of the OJ,
217 the higher values of h_{ab} . This means that OJs with more pigments in pulp and serum are
218 more reddish and darker (as it could be expected) (Figure 6c). The same trend was
219 observed by Stinco et al.¹⁷ and Meléndez Martínez et al.¹⁰. Finally, in the case of
220 chroma, it can be observed a slight increase for OJ dilutions ranging from 10 to 40 %,
221 and a stabilization of C^*_{ab} values from this dilution onwards (Figure 6b). However,
222 other authors Melendez-Martínez et al.¹⁰ and Stinco et al.¹⁷ have reported that C^*_{ab}
223 values increases as the concentration of OJ does.

224 The effect of the sample thickness on the chromatic parameters (L^* , C^*_{ab} , h_{ab}) is shown
225 in Figure 7. The colorimetric parameter lightness decreased as the thickness increased,
226 however this effect was less important as the concentration of OJ increased. This means,
227 that the effect of thickness on L^* is negligibly when the concentration of the OJ is 100%
228 (Figure 7a). The same trend is observed for chroma. For samples containing over 40%
229 of OJ, the effect of thickness is null (Figure 7b). Hue is also represented in Figure 7c.
230 This parameter was not affected by the sample thickness.

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231 Previous studies have been conducted on the effect of thickness in colour measurements
232 but in other foods and beverages^{35,24}. For example, studies in olive oil found out that
233 lightness and hue decreased when increasing sample thickness while chroma increased
234 up to about 19.6 mm thickness. They also concluded that colour tended to stabilize at a
235 certain thickness²⁴.

236 It could be concluded that the effect of the thickness will become lower as the pulp and
237 pigments content increases because of the decline of the OJ translucency. However, the
238 thickness must be taken into account and fixed, in order to do reproducible and reliable
239 objective colour measurements by digital image.

240 3.3. Visual analysis

241 In order to compare the instrumental and the visual analyses, 10 panellists took part in
242 the visual assessment of the different samples considered. Each of them was asked to
243 sort the series of cuvettes containing the different dilutions of orange juice in increasing
244 order of OJ concentration. Table 1 shows the rank sums (RS) given by the panellists in
245 the different backgrounds. The inter-variability of the panellists has been calculated
246 considering the correct responses of each one, obtaining an average punctuation of
247 7.2 ± 1.4 .

248 On black and white backgrounds two completely correct visual assessments were
249 achieved, while on grey background one more was achieved. It has to be highlighted
250 that in the incorrect assessments, the main mistakes were done among samples with
251 more than 50 % of OJ. Thus, if only the most diluted samples were taken into account
252 (10, 20, 30, 40, and 50 %) the percentage of completely correct visual analyses would
253 increase up to 80 - 90% of the panellists. This means that it is more difficult to
254 discriminate among samples with higher pulp and pigment contents. These results could
255 be explained by the colour differences between consecutive samples, measured on the

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256 different backgrounds. Table 2 shows that from the pair 40-50 % and 50-60 % to the
257 pair 90-100 %, colour differences decreased considerably.

258 In any case, the statistical analysis by the Page test of the set of data, showed that all of
259 the backgrounds resulted in significant correct classifications ($p < 0.05$) and all the
260 backgrounds showed a similar pattern.

261 The similarity in the ordering results obtained for the different backgrounds, could be
262 explained by the previous instrumental results discussed above. They showed that
263 colour differences between backgrounds were lower than the colour-difference
264 threshold reported by the literature in many of the samples and furthermore, the same
265 trend was observed in all the backgrounds (Figure 4 and 5). In contrast to these results,
266 previous studies on the effect of the background on the visual evaluation of OJ showed
267 that the best results were obtained when a cabin with black walls was used¹⁰. Huang et
268 al.³⁶ also concluded that panellists were able to rank squash purees samples with the
269 highest correlations with the theoretical order, when a black background and was used.

270 *3.4. Correlation between visual and instrumental measurements*

271 The correlations between the instrumental and the visual data were explored by means
272 of a polynomial regression. The RS given by the panellists (Table 1) and each of the
273 colorimetric coordinates (L^* , C^*_{ab} , and h_{ab}) in the different backgrounds were
274 considered. Results are presented in Table 3. Chroma did not present a good adjustment
275 with any of the backgrounds explored (R^2 values were 0.61, 0.55 and 0.56 with BaB,
276 BaG and BaW, respectively). Hue was very well correlated with RS in all the
277 backgrounds ($R^2 = 0.99$), and in the case of L^* , the best correlation was obtained for
278 white background.

279 Multiple regressions analysis between instrumental and visual data, considering the RS
280 given by the panellists (Table 2), was also explored, and all the correlations obtained
281 were significant ($p < 0.05$):

282 Black background:

$$283 \text{RS} = -22.553 + 1.235L^* + 7.786a^* - 0.296b^*; R^2=0.993$$

$$284 \text{RS} = 909.09 - 0.519L^* - 1.406C^*_{ab} - 8.310h_{ab}; R^2=0.990$$

286 Grey background:

$$287 \text{RS} = -570.84 + 10.339L^* + 10.999a^* - 1.608b^*; R^2=0.990$$

$$288 \text{RS} = 655.21 + 10.317L^* - 3.659C^*_{ab} - 12.260h_{ab}; R^2=0.997$$

290 White background:

$$291 \text{RS} = 194.94 - 2.181L^* + 7.134a^* - 0.120b^*; R^2=0.984$$

$$292 \text{RS} = 681.70 + 7.883L^* - 0.753C^*_{ab} - 12.864h_{ab}; R^2=0.988$$

293

294 4. Conclusions

295 In conclusion, the results of this work have probed the influence of the surrounding and
296 the background in the definition of OJ colour by image analysis. According to them and
297 considering the CIE recommendations and other previous studies, the grey surrounding
298 (uniform neutral grey with $L^* = 50$) and white background are proposed to be used in
299 the colour determination by digital image analysis of OJ. Moreover the sample's
300 thickness must be also taken into account and fixed to get reproducible and reliable
301 colour measurements of OJ by digital image.

302 In the visual evaluation of OJ the background is not relevant, thus the correlations
303 between the instrumental and the visual analysis were significant ($p < 0.05$) in all the
304 backgrounds explored.

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306 Acknowledgments

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307 This work was supported by funding from the Consejería de Innovación Ciencia y
308 Empresa, Junta de Andalucía by the project P08- AGR-03784. Special thanks to Jose
309 Luis Yanes Conde and Patricia Serrano Chica for their collaboration on image analysis.

310 **Compliance with Ethics Requirements**

311 Rocío Fernández Vázquez holds a grant from the Consejería de Innovación Ciencia y
312 Empresa, Junta de Andalucía.

313 Carla M. Stinco declares that she has no conflict of interests.

314 Dolores Hernanz declares that she has no conflict of interest.

315 Francisco J. Heredia, declares that he has no conflict of interest.

316 Isabel M. Vicario declares that she has no conflict of interest.

317 This article does not contain any studies with human or animal subjects.

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Figure Captions

Figure 1. Scheme of DigiEye System.

Figure 2. The nine possibilities of combinations of backgrounds (black (BaB), grey (BaG) and white (BaW)) and surroundings (black (SB), grey (SG) and white (SW)) used in the digital image analysis.

Figure 3. Location, of the sample (OJ 50%) measured in the different background and surroundings, in the diagram: (a) in the a^*b^* plane and (b) in L^* values.

Figure 4. Colour differences between surroundings (SB/SG, SB/SW, and SG/SW) with black (a), grey (b) and white (c) backgrounds.

Figure 5. Colour differences between backgrounds (BaB/BaG, BaB/BaW, and BaG/BaW) with black (a), grey (b) and white (c) surroundings.

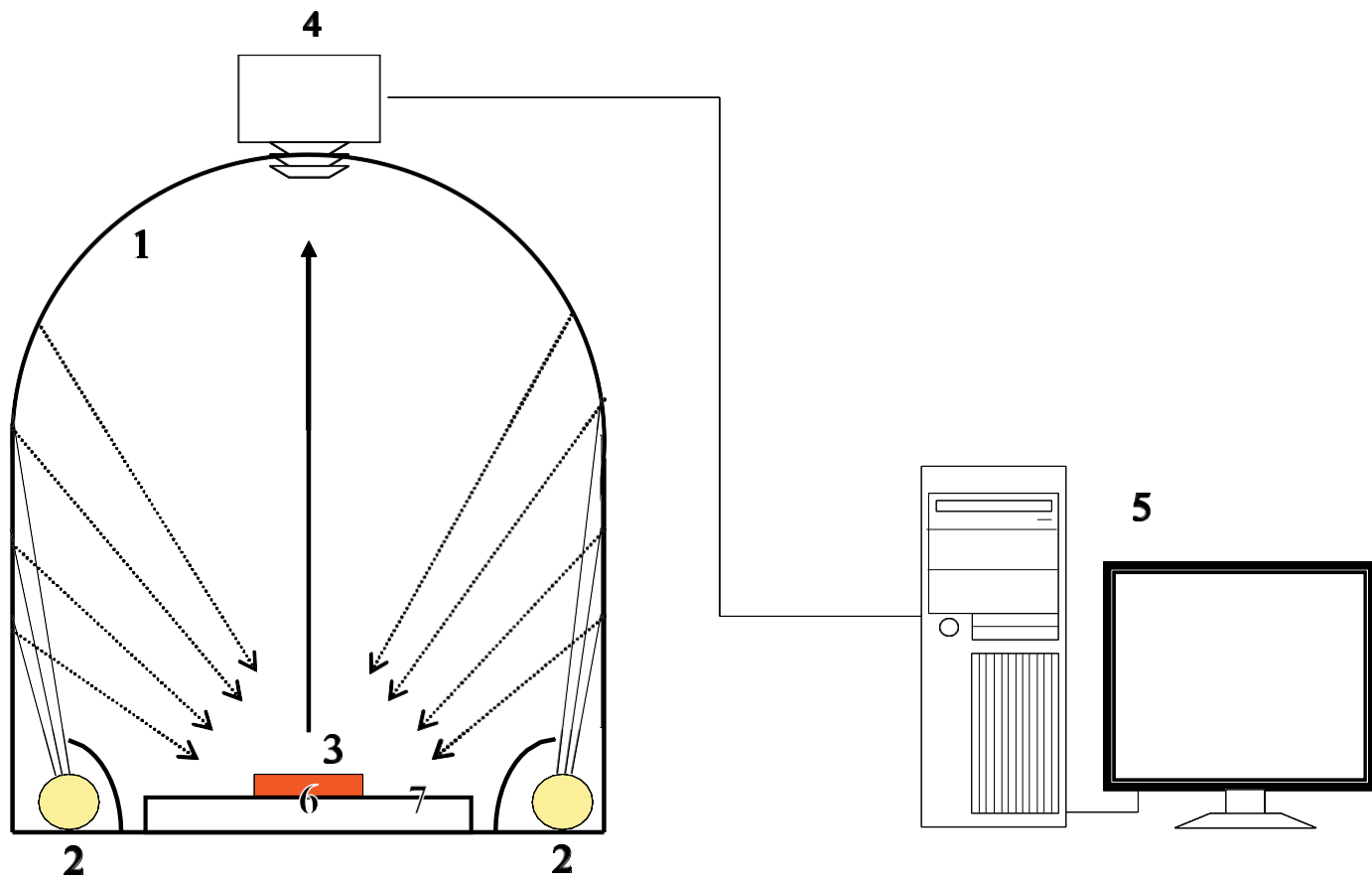
Figure 6. Effect of the orange juice (OJ) dilutions in the colorimetric parameters.

Figure 7. Effect of orange juice (OJ) samples' thickness on L^* , C^*_{ab} and h_{ab} .

Figure 8. Pictures of the three viewing conditions (BaB, BaG, BaW corresponding to black, grey and white background)

Figure

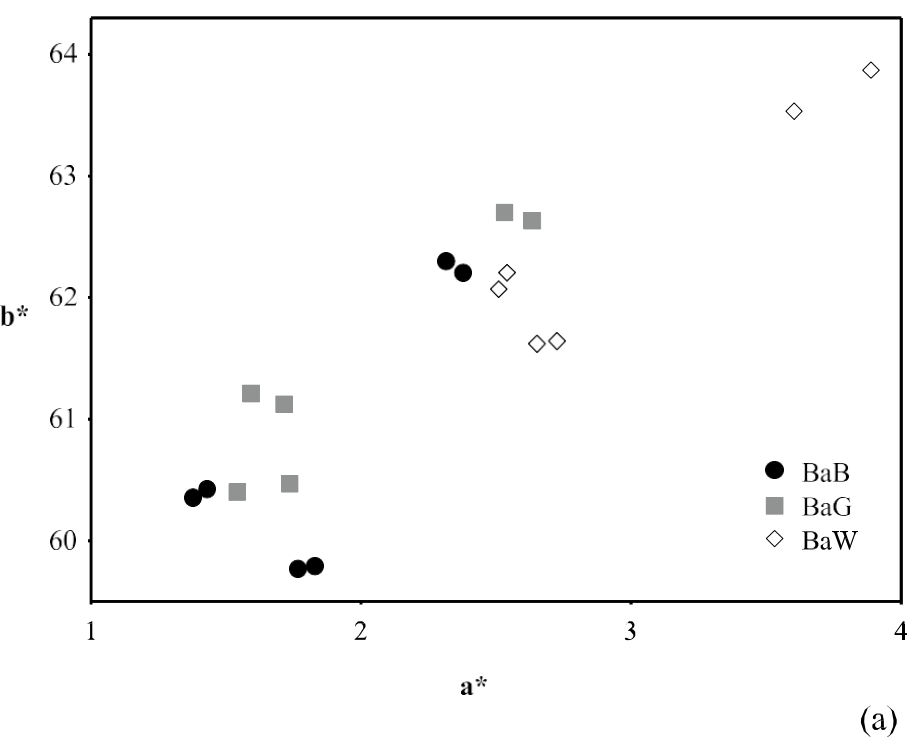
[Click here to download Figure: Figure 1.eps](#)



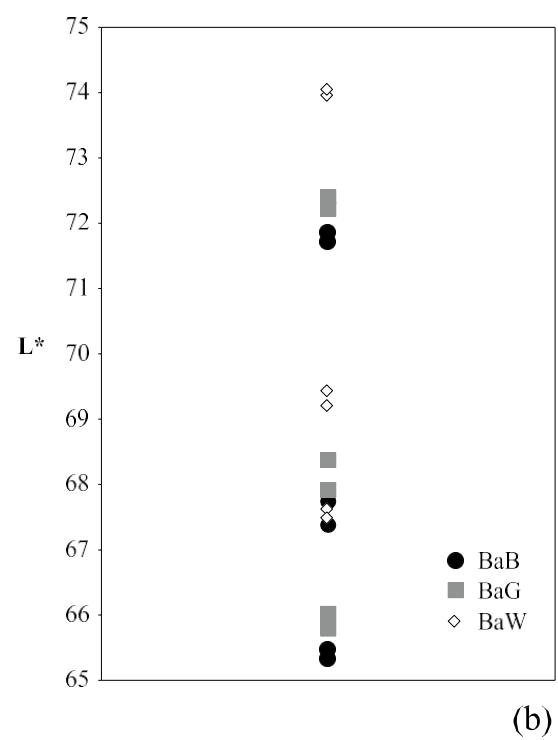
- 1: Domed cabinet
- 2: Fluorescent tubes (D65 simulator)
- 3: Sample
- 4: Digital camera
- 5: PC with Digifood[®] Software
- 6: Background
- 7: Surrounding

Figure 2
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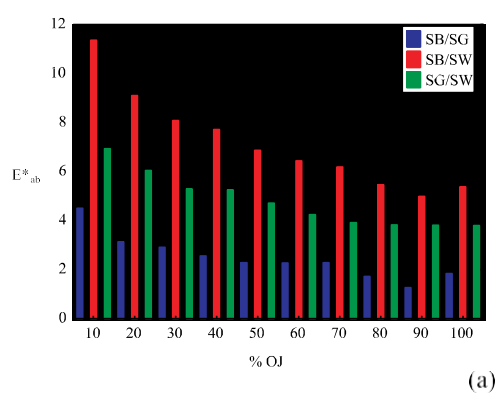


(a)

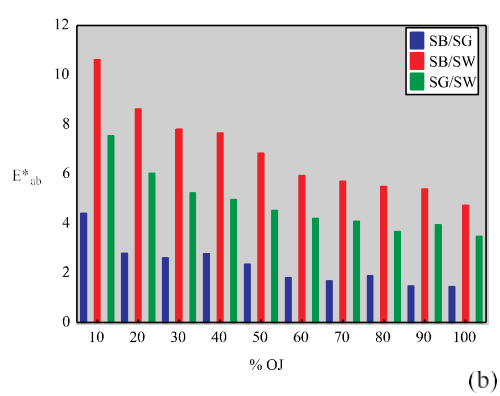


(b)

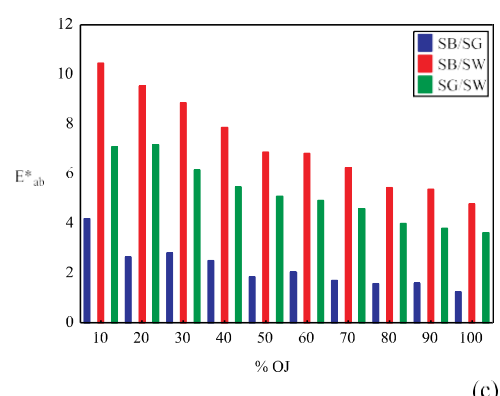
Figure
[Click here to download Figure: Figure 4.eps](#)



(a)

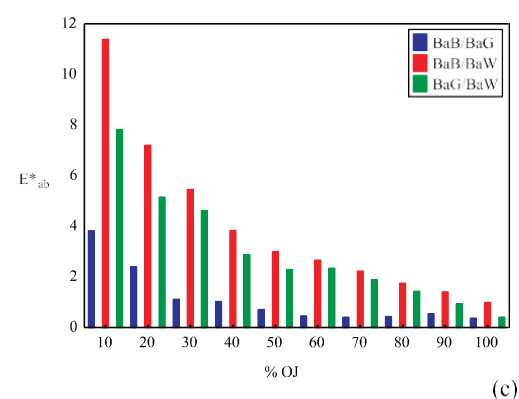
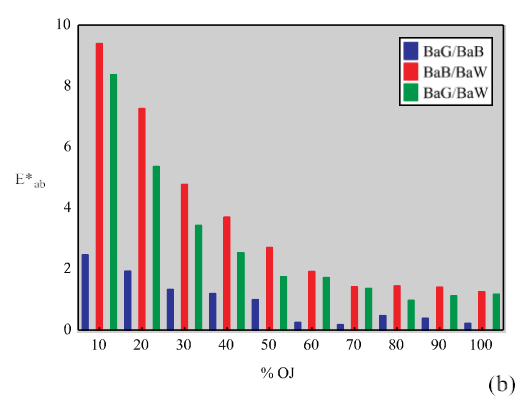
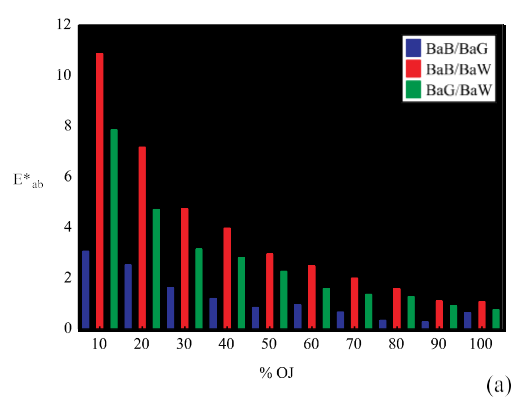


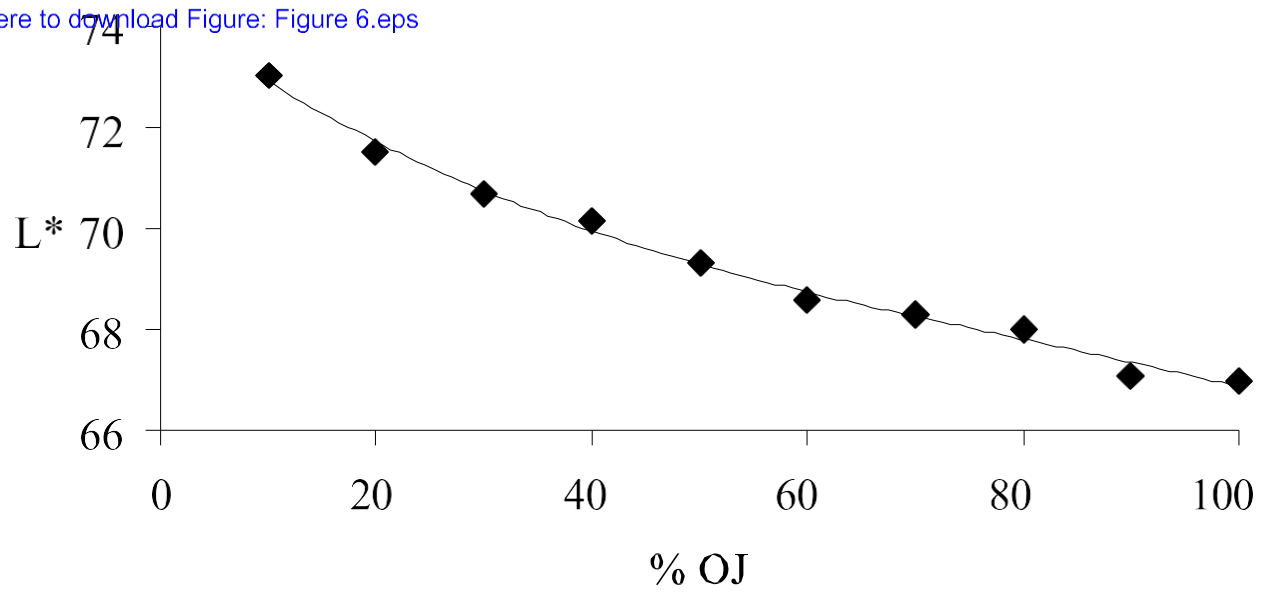
(b)



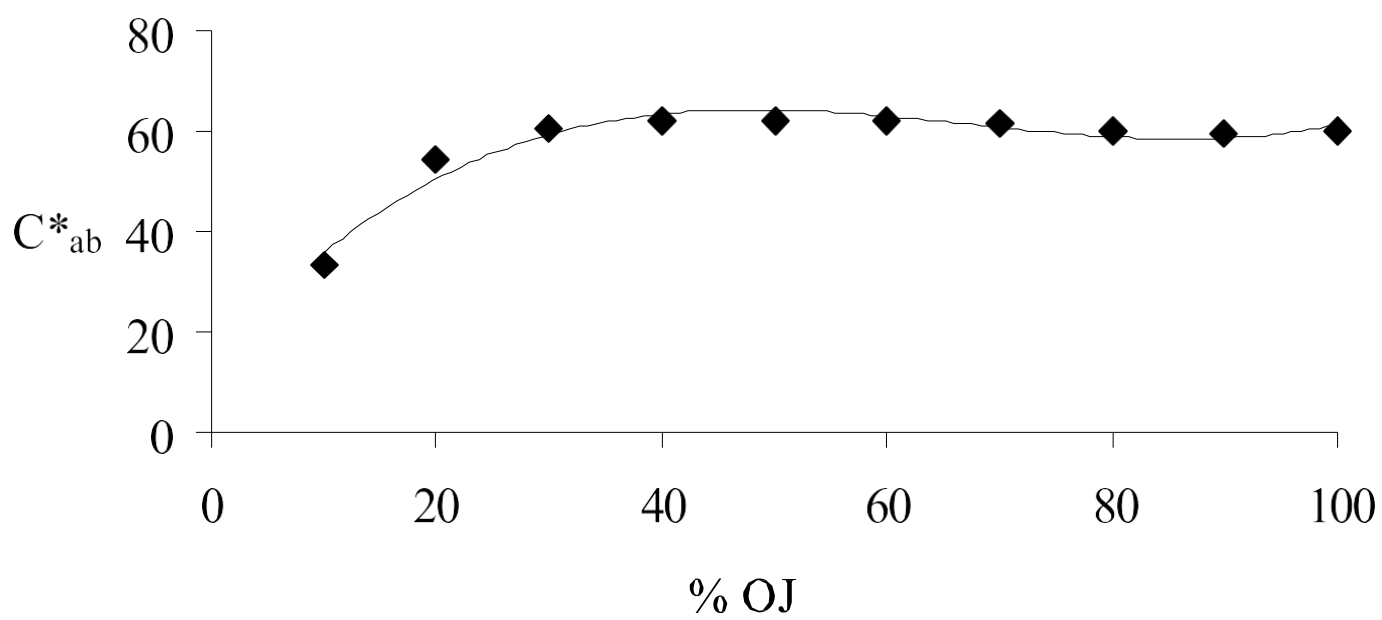
(c)

Figure
[Click here to download Figure: Figure 5.eps](#)

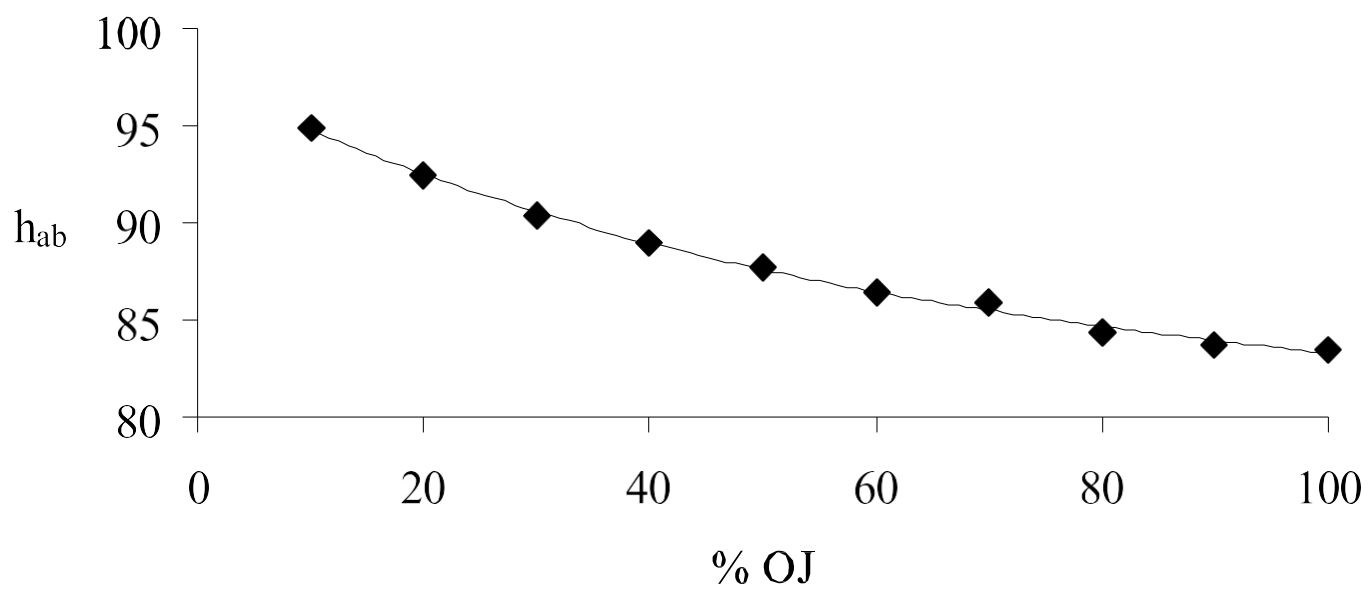




(a)

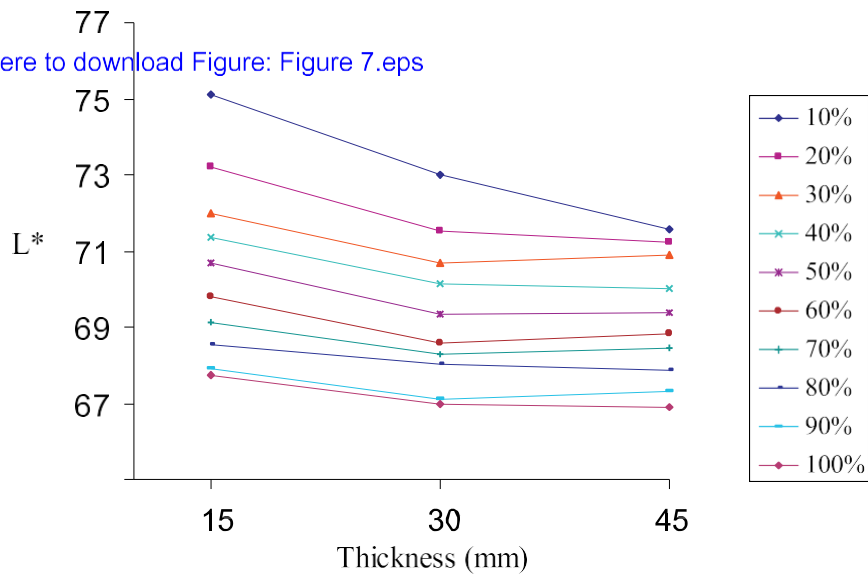


(b)

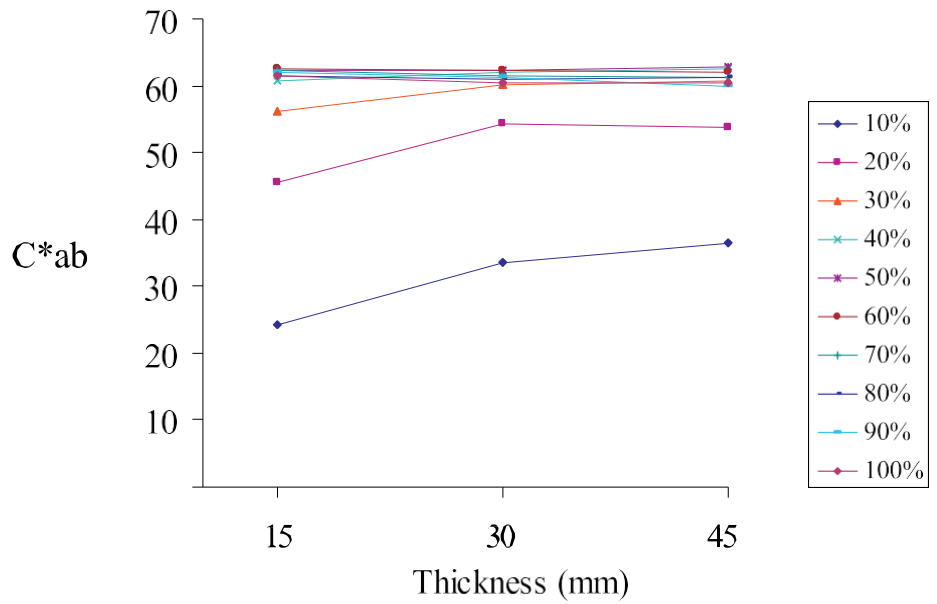


(c)

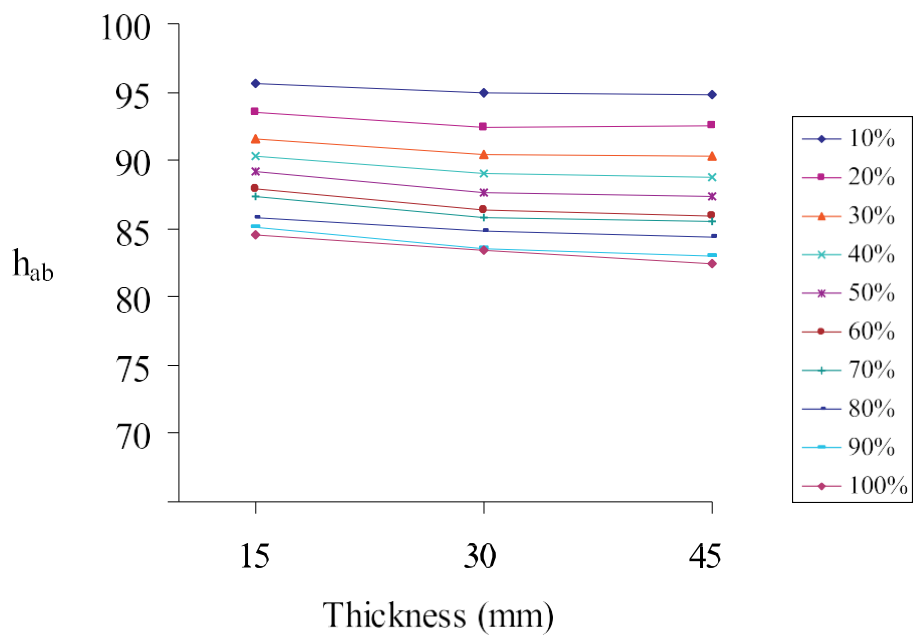
Figure
[Click here to download Figure: Figure 7.eps](#)



(a)



(b)



(c)

Figure 8
[Click here to download high resolution image](#)



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Table 1. Rank sums (RS) of the panellists in black (BaB), grey (BaG) and white (BaW) backgrounds.

% PULP	RS-BaB	RS-BaG	RS-BaW
10	10	10	10
20	20	20	20
30	31	30	30
40	39	41	41
50	51	49	49
60	70	63	68
70	71	70	66
80	80	85	86
90	88	86	91
100	90	96	89

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6 Table 2. Colour differences between dilutions in black (BaB), grey (BaG) and white (BaW)
7 backgrounds.

ΔE^*_{ab}	BaW	BaG	BaB
10-20 %	21.00	21.29	19.30
20-30 %	6.25	7.75	8.09
30-40 %	2.39	2.94	3.22
40-50 %	1.69	1.83	1.77
50-60 %	1.55	1.67	1.69
60-70 %	0.97	0.70	0.80
70-80 %	1.30	1.34	1.43
80-90 %	1.57	1.54	1.56
90-100 %	0.88	1.32	1.40

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Table 3. Regression coefficients between colour parameters and rank sums (RS) of the panellists.

	<u>BaB</u>	<u>BaG</u>	<u>BaW</u>
L*	0.53	0.88	0.98
C* _{ab}	0.61	0.55	0.56
<u>h_{ab}</u>	<u>0.99</u>	<u>0.99</u>	<u>0.99</u>

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