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1 **Original article**

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3 Running head: **Chromosome number evolution in the Cyperaceae**

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5 Title: **Inferring hypothesis-based transitions in clade-specific models of chromosome**
6 **number evolution in the Cyperaceae**

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18

19 **Abstract**

20 Large-scale changes in chromosome number have been associated with diversification
21 shifts in many lineages of plants. For instance, several ancient rounds of polyploidization
22 events have been inferred to promote genomic differentiation and/or isolation and,
23 consequently, angiosperm diversification. Dysploidy, although less studied, has been
24 suggested to play also an important role in angiosperm diversification. In this article, we aim
25 to elucidate the role of chromosomal rearrangements on lineage diversification by analyzing a

2

26 new comprehensive sedge (Cyperaceae) phylogenetic tree. Mode and tempo of chromosome
27 evolution were inferred to be homogeneous in rate and process across the complete phylogeny
28 as the null hypothesis. In order to discern patterns of diversification shifts and chromosome
29 number changes within the family tree, we tested clade-specific chromosome evolution
30 models for several subtrees according to previously reported increments of diversification
31 rates. Results show that alternative hypotheses of different clade-specific models of
32 chromosome evolution are significantly supported against the null hypothesis of a model with
33 no transition events along the phylogeny. This could suggest a link between diversification
34 and changes in chromosome number evolution. Our methodological approach may allow
35 identifying different patterns of chromosome evolution, as found for Cyperaceae, for other
36 lineages at different evolutionary levels.

37

38 **Key words**

39 ChromEvol, chromosome evolution, Cyperaceae, diversification rates, holocentric
40 chromosomes, phylogeny

41

42 **1. Introduction**

43 Chromosomal rearrangements are frequent in eukaryotes and are in many cases
44 correlated with differentiation and speciation (Coghlan et al., 2005). These rearrangements
45 can be produced by a sole mechanism or a combination of translocations, aneuploidy,
46 dysploidy and polyploidy (whole genome duplication; WGD) (Coghlan et al., 2005). Whereas
47 some of these events could produce changes in the genome structure and linkage of genes
48 (Butlin, 2005), others could affect directly the gene content through either deletions or
49 duplications of DNA (Coghlan et al., 2005). These events may promote speciation by

50 provoking changes in species fitness, adaptability to new habitats, reproductive isolation
51 and/or shifts in recombination rates (Butlin, 2005; Coghlan *et al.*, 2005; Coyne and Orr, 2004;
52 Navarro and Barton, 2003a, 2003b; Otto and Whitton, 2000; Rieseberg, 2001; Soltis *et al.*,
53 2009).

54 In angiosperms, the role of polyploidy and its consequences on speciation have been
55 intensely studied, with a particular interest in ancient polyploid events in some of the most
56 species-rich lineages (Debodt *et al.*, 2005; Smith *et al.*, 2018; Soltis *et al.*, 2009; Soltis and
57 Soltis, 2016). This has led to an understanding of polyploidization as a possible driver for
58 lineage radiation (Comai, 2005; Hegarty and Hiscock, 2007, 2008; Levin, 1983; Otto, 2007;
59 Otto and Whitton, 2000; Soltis and Soltis, 2016, 2000; Van de Peer, 2011). On the other hand,
60 although dysploidy (translocations, fusions and fissions that lead to changes in chromosome
61 number) is more frequent than polyploidy and especially aneuploidy (duplication or deletion
62 of an entire chromosome) in angiosperms (Grant, 1981), its consequences in diversification
63 have been largely unexamined (though, see Gitaí *et al.*, 2014; Lee and Namai, 1993, 1992;
64 Orellana *et al.*, 2007; Vallès *et al.*, 2012; Vickery, 1995; Weiss-Schneeweiss *et al.*, 2009).
65 Dysploidy has recently been suggested to not represent a dead end through evolutionary time
66 (Escudero *et al.*, 2014).

67 Probabilistic models have been recently formulated for chromosome. These models
68 vary in their complexity, with the simplest ones calculating the rate of gains and losses of
69 chromosomes and changes in ploidy level along a phylogeny. More complex models allow
70 identifying linear dependency between the current number of chromosomes and the rate of
71 increasing and decreasing chromosome numbers. More recently, Freyman and Höhna (2018)
72 expanded ChromEvol functions (Glick and Mayrose, 2014; Mayrose *et al.*, 2010) with the
73 ChromoSSE package in revBayes (Höhna *et al.*, 2014). This software allows not only

74 detecting shifts in the mode of chromosome evolution during anagenetic processes but also
75 during cladogenesis, that can be associated with diversification rate shifts. Moreover,
76 BiChroM type models (correlated rates of phenotype and chromosome evolution; Zenil-
77 Ferguson *et al.* 2017, 2018) can be integrated with the classic ChromEvol models. However,
78 none of these new approaches considers the possibility of more complex models of
79 chromosome evolution, with different parameters throughout the phylogeny. Here, we
80 expand these studies by applying different models of karyotypic evolution to different clades.
81 This approach is crucial to identify changes in the mode of chromosomal evolution as
82 innovations that may be related to shifts in diversification rates.

83 The cosmopolitan family of sedges (Cyperaceae, ca. 5500 species; Govaerts *et al.*,
84 2017) is the tenth most species-rich angiosperm family. It has mainly diversified in the
85 tropics, although genus *Carex* L., the most diversified genus of the family (ca. 2200 spp., 40%
86 of species richness; Govaerts *et al.* 2017), and several other lineages are distributed mostly in
87 temperate regions (Reznicek, 1990). Cyperaceae has the highest known chromosome number
88 variation among all angiosperm families ($2n=4-224$; Roalson, 2008). Because of its high
89 species richness and wide range of chromosome numbers, Cyperaceae constitutes a model
90 taxon for incorporating studies of biodiversity with evolution and systematics (e.g. Hipp,
91 2007). This is especially true of the genus *Carex*, which alone displays a wide variation of
92 chromosome number ($2n=12-124$; Hipp, 2007; Roalson, 2008). Variation in the number of
93 chromosomes and changes in the mode of evolution have been suggested as a possible driver
94 of diversification in *Carex* (Escudero *et al.*, 2012b, 2014). The huge continuous variation in
95 chromosome number of this family is explained by the presence of holocentric chromosomes,
96 which means that the kinetochoric activity is present along the chromosomes. By contrast,
97 monocentric chromosomes have a clear primary constriction in which kinetochoric activity is

98 concentrated (Hipp et al., 2013; Melters et al., 2012; Mola and Papeschi, 2006). In lineages
99 with holocentric chromosomes (see review in Márquez-Corro *et al.* 2017), fusions and
100 fissions (termed symploidy and agmatoploidy, respectively; Escudero *et al.* 2014) are more
101 common (Grant, 1981). This occurs even within species level, due to the characteristics of the
102 kinetochoric plate (Hipp et al., 2013; Melters et al., 2012; Mola and Papeschi, 2006) that
103 allows more or less constant C-values despite chromosome number variation (Escudero et al.,
104 2014).

105 Four main shifts in diversification rate have been detected in Cyperaceae. Escudero *et*
106 *al.* (2012b) found an increase in diversification rates in the non-*Siderostictae* clade (that
107 comprises Core *Carex*, Caricoid *Carex* and *Carex* subgenus *Vignea*), which has been
108 confirmed in a recent study by Spalink *et al.* (2016b). Escudero and Hipp (2013) used
109 Hinchliff and Roalson's (2013) phylogeny to infer an additional shift in diversification rates in
110 the clade including the tribes Scirpeae, Dulichieae, and Cariceae plus *Khaosokia caricoides*
111 (SDC clade) and the tribes Fuireneae, Abildgaardieae, Eleocharideae, and Cypereae (FAEC
112 clade). Spalink *et al.* (2016b) showed instead shifts in three different lineages inside the
113 SDC+FAEC clade reported by Escudero and Hipp (2013). Thus, in addition to the shift in the
114 non-*Siderostictae* clade (as in Escudero *et al.* 2012b), Spalink *et al.* (2016b) also found a shift
115 in the FAEC clade and in the represented taxa of the C₄ photosynthetic pathway *Cyperus*
116 within Cypereae 2 clade (within FAEC).

117 Different modes of chromosomal evolution are present in Cyperaceae. For example,
118 *Carex* karyotype evolves mainly via agmatoploidy and symploidy (Heilborn 1924; Davies
119 1956), whereas polyploidy is more common in the rest of sedges (Escudero et al., 2012b).
120 Thus, this hyperdiverse family and its wide range of karyotypic variation constitute an ideal
121 lineage to study shifts in chromosome evolution and how they could be related with changes

122 in diversification rates. We hypothesize that some shifts in lineage diversification could be
123 related, at least in part, with changes in the mode of chromosome evolution. This could be
124 explained by the fact that chromosome evolution may lead to different mechanisms of
125 adaptation (e.g. adaptive mutation perpetuated by fission events) and/or reproductive isolation
126 that could drive differentiation and speciation (Butlin, 2005; Coghlan *et al.*, 2005; Coyne and
127 Orr, 2004; Navarro and Barton, 2003a, 2003b; Otto and Whitton, 2000; Rieseberg, 2001;
128 Soltis *et al.*, 2009). However, there is still possible that diversification rates shifts within the
129 family are related with others characteristic rather than mode of chromosome number
130 evolution, so further studies must be carried out.

131 The aims of this study are (i) to elucidate the role of chromosome evolution in the
132 diversification of the sedge family using probabilistic models, and (ii) to evaluate the utility of
133 nested models for studying chromosome evolution in diverse lineages. We hypothesize that
134 transitions in the mode of chromosome evolution are closely preceded or followed by a shift
135 in diversification rates in Cyperaceae. Our null hypothesis, by contrast, is that chromosome
136 numbers change in the family at a constant rate, regardless of the diversification rate of
137 independent clades.

138

139 **2. Materials and Methods**

140 *2.1. Family Tree and Chromosome Counts*

141 A new comprehensive phylogeny of Cyperaceae was created from NCBI GenBank
142 database sequences of previous studies (e.g. Hinchliff and Roalson, 2013; Spalink *et al.*,
143 2016b; Jiménez-Mejías *et al.* 2016a). This analysis included 1058 species out of the ca. 5500
144 circumscribed to Cyperaceae (Govaerts *et al.* 2017), and was based on a supermatrix
145 alignment of the nuclear ribosomal genes ETS and ITS, the plastid genes *matK*, *ndhF*, *rbcL*,

146 *ycf6*, and the chloroplast spacer region *trnC-ycf6*. Though we used the GTRCAT model in
147 RAxML (Stamatakis, 2006) for computational purposes, the model parameters were
148 individually calculated for five different partitions identified using PartitionFinder v2
149 (Lanfear *et al.*, 2016). We converted the resulting maximum likelihood phylogeny to
150 ultrametric using treePL (Smith and O’Meara 2012; see Fig.1, Appendix A). A total of eleven
151 calibrations were placed on key nodes throughout the phylogeny based on fossil evidence
152 (Jiménez-Mejías *et al.* 2016b; Spalink *et al.* 2016a, 2016b; Appendix B).

153 Species haploid numbers were collected from online databases IPCN (Index to Plant
154 Chromosome Numbers, Goldblatt and Johnson 2017), CCDB (Chromosome Counts
155 Database, Rice *et al.* 2015), and some chromosome number reports (see Appendix B).
156 Chromosomes counts were downloaded for a total of 825 taxa that were included in the
157 phylogeny (Appendix B).

158 Due to the holocentric characteristic of sedge chromosomes, counts can vary within
159 single species (Roalson, 2008). Because we aimed to detect shifts in chromosome number
160 evolution along the family tree, we assigned to the tips the most frequent number in the
161 species dominated by symploidy/agmatoploidy series, and the record with the lowest
162 chromosome number for species presenting polyploidy (see Appendix B).

163

164 2.2. *Selecting the Best Scenario of Chromosome Evolution*

165 We used ChromEvol v.2.0 (Glick and Mayrose, 2014; Mayrose *et al.*, 2010) to model
166 the mode of chromosome evolution. This software determines the likelihood of a model to
167 explain the given data along the phylogeny, based on the combination of two or more of the
168 following parameters: (i) gain or (ii) loss of a single chromosome, (iii) polyploidization, (iv)
169 demi-polyploidization (half increment of the chromosome number) and (v) incremental

170 changes to the base number with regard to a rate of multiplication that is different from a
171 regular duplication. Two additional parameters detect linear dependency between the current
172 haploid number and the rate of (vi) gain and (vii) loss of chromosomes.

173 Shifts in diversification have been previously detected in four main nodes (1-4; Fig. 2)
174 of Cyperaceae (SDC+FAEC, FAEC, non-*Siderostictae Carex* and C_4 *Cyperus*; Escudero *et*
175 *al.*, 2012b; Escudero and Hipp, 2013; Spalink *et al.*, 2016b), so analyses were conducted
176 independently not only for the complete phylogeny but also for the same phylogeny split in
177 several combinations of subtrees (see below). These included clades that exhibit
178 diversification rates shifts, the background phylogeny of these clades (i.e. pruned tree without
179 the corresponding clade), and further combinations of clades and backgrounds. A similar
180 methodology, but not with models of chromosome number evolution, has been previously
181 used to infer transitions in continuous character evolution using Brownian and Ornstein-
182 Uhlenbeck models (see Escudero *et al.*, 2012a, 2010; Hipp, 2007; O'Meara *et al.*, 2006).
183 Specifically, we used the censored approach described by O'Meara *et al.* (2006). This
184 approach breaks up the original tree in several subtrees and the branches that connect the
185 subtrees are excluded from the analyses. The main advantage of this approach is that
186 assumptions are not made about when and how the trait shift occurs in the missing branch.
187 We developed models ranging from the simplest (one model) to most complex (five models)
188 scenarios, identifying the models that best fit the data by calculating the Akaike information
189 criterion score with ChromEvol (AIC, Mayrose *et al.* 2010). In order to compare the simplest
190 (one model) with the more complex scenarios (two to five models), the branches connecting
191 the subtrees were removed in both the single model and two to five model cases. AIC weights
192 (Wagenmakers and Farrell, 2004) were calculated and summed to infer the importance
193 weights of a transition occurring on each specific clade.

194 In our specific study case, we defined four main clades (where shift in diversification
195 rates were previously detected): (i) clade 1 is FAEC clade; (ii) clade 2 corresponds to non-
196 *Siderostictae Carex* clade; (iii) clade 3 is *C₄ Cyperus*; and clade 4 conforms SDC+FAEC
197 clade. Our chromosome modeling analyses were performed in up to five different subtrees: (i)
198 subtree 1 is clade 1 after excluding clade 3; (ii) subtree 2 corresponds to clade 2; (iii) subtree
199 3 conforms clade 3; (iv) subtree 4 corresponds to clade 4 after excluding subtrees 1, 2, and 3;
200 and (v) subtree 5 corresponds to the remaining phylogeny after excluding clade 4 (see Fig. 2).
201

202 3. Results

203 The best-fitting null model for the complete tree was Linear_Rate_Demi_Est, with an
204 AIC score of 5501.84 (see Table 1). The Linear_Rate_Demi_Est model implies a constant
205 rate of incremental/decremental change in chromosome number, polyploidy, and demi-
206 polyploidy, and a linear relationship between the rate of incremental/decremental change and
207 chromosome number (Mayrose et al., 2010).

208 The analysis of separate subtrees showed a significant decrease in AIC scores (see
209 Table 1). In the best-fitting model ($\Delta\text{AIC} = -207.56$), a transition in the model of karyotype
210 evolution was observed in each of the analyzed subtrees except for the subtree 4 (clade 4,
211 SDC+FAEC; Fig. 2, Appendices C-D). In this case, subtree 4 and 5 displayed the same
212 model, a Base_Num model, with 0.07 fission events/Myr, 0.70 fusion events/Myr and a rate
213 of base-number multiplication of $0.2e^{-3}$ events/Myr with a base haploid number $x = 13$.
214 Further transitions are inferred for subtrees 1 (FAEC clade excluding subtree 3), 2 (non-
215 *Siderostictae Carex*) and 3 (*C₄ Cyperus* lineage). Because these transitions include linear rates
216 parameters, we specify the events per chromosome number and million years (hereafter iMyr)
217 and the range of fission and fusion rates using the minimum and maximum chromosome

218 number in each subtree (see Appendix E).

219 TABLE 1. Akaike information criterion (AIC) values, difference (Δ AIC) from the null scenario (no transitions)

220 and AIC weights for each scenario. Importance weights for no transition scenario and for each clade appear

221 together with brief comments on the right side of the table.

Transition scenarios [†]	AIC	Δ AIC	AIC weight	Conclusions
Null	5501.84	0.00	$6.41e^{-46}$	No transition events
1	5382.08	-119.76	$6.51e^{-20}$	A single transition event, either in FAEC clade (1), non- <i>Siderostictae Carex</i> (2), <i>C₄ Cyperus</i> (3) or SDC+FAEC clade (4)
2	5369.57	-132.27	$3.38e^{-17}$	
3	5420.74	-81.11	$2.62e^{-28}$	
4	5467.23	-34.61	$2.10e^{-38}$	
1,2	5330.73	-171.11	$9.20e^{-09}$	Scenarios of two transition events
1,3	5345.63	-156.21	$5.34e^{-12}$	
1,4	5369.09	-132.75	$4.31e^{-17}$	
2,3	5311.06	-190.78	$1.72e^{-04}$	
2,4	5377.40	-124.44	$6.75e^{-19}$	
3,4	5387.07	-114.77	$5.36e^{-21}$	
1,2,3	<i>5294.28</i>	<i>-207.56</i>	<i>7.55e⁻⁰¹</i>	Scenarios of three transition events. The best scenario suggest a sole mode of chromosome number evolution through sedges, with exception of clades 1, 2 and 3
1,2,4	5333.07	-168.77	$2.84e^{-09}$	
1,3,4	5332.64	-169.20	$3.53e^{-09}$	
2,3,4	5302.58	-199.26	$1.19e^{-02}$	
1,2,3,4	5296.63	-205.21	$2.33e^{-01}$	Most complex scenario, Four transition events. This case is not much worse than the scenario 1,2,3 (Δ AIC=2.35), and would support transition events in lineages 1, 2, 3 and 4

222 The best scoring scenario is indicated with bold italics.

223 [†]Each number corresponds to a transition in the mode of chromosome evolution for the respective clade.

224

225 On the subtree 1 (FAEC clade excluding subtree 3), the mode of evolution changed to

226 the Linear_Rate_Demi model, with negligible constant rates of fusion or fission (0

227 events/Myr), 0.03 duplication events/Myr (either demi-polyploidization or WGD), and a
 228 linear rate of $8.2e^{-3}$ fission events/iMyr and $5.2e^{-3}$ losses events/iMyr (linear and net rates of
 229 0.02–0.45 fission events/Myr and 0.02–0.29 fusion events/Myr). The *C₄ Cyperus* lineage
 230 retained the Linear_Rate_Demi_Est model, with 13.68 fission events/Myr, 9.98 fusion
 231 events/Myr, 0.22 duplication events/Myr, 1.59 demi-polyploid events/Myr, and a rate of -0.15
 232 fission events/iMyr and 0.75 fusion events/iMyr (linear rate of -0.90–12.30 fission
 233 events/Myr and 4.50–61.50 fusion events/Myr, and net rate of 12.78–1.38 fission events/Myr
 234 and 14.48–71.48 fusion events/Myr). Finally, the non-*Siderostictae Carex* best model was
 235 Linear_Rate_Demi_Est, with a constant rate of 2.50 fission events/Myr, 2.13 fusion
 236 events/Myr, $2.7e^{-3}$ duplications events/Myr, 0.01 demi-polyploidy events/Myr, and a linear
 237 rate of 0.02 fission events/iMyr and 0.07 fusion events/iMyr (linear rate of 0.14–1.30 fission
 238 events/iMyr and 0.49–4.55 fusion events/iMyr, and net rate of 2.64–3.80 fission events/Myr
 239 and 2.62–6.68 fusion events/Myr).

240 The results of the remaining AIC scores of model selection and combination are
 241 included in Appendix D, with the best-fitting models depicted in Figure 2. Analysis output
 242 files with all the inferred chromosome rate transitions of every model studied are available
 243 online at github.com/jimarcor/ChromEvolCyp.

244

245 4. Discussion

246 4.1. Chromosome Evolution Modes on Cyperaceae

247 The sedge phylogeny presented here is the most comprehensive family tree published
 248 to date, with more than twice as many taxa as previous analyses (Hinchliff and Roalson, 2013;
 249 Spalink et al., 2016b). This phylogeny allows studying evolutionary processes more
 250 thoroughly in Cyperaceae. We also use a new approach for inferring modes of chromosomal

251 evolution across this phylogeny. By separately analyzing the full tree and subtrees, we have
252 clarified our understanding of chromosome evolution along the Cyperaceae phylogeny.

253 The null hypothesis of a single mode of chromosome evolution on the sedges'
254 phylogeny is consistently rejected by the analyses (Table 1). This approach appears to be
255 useful for studying transitions in chromosome evolution at higher taxonomic levels and could
256 be used at finer evolutionary levels as well (e.g., analyzing groups of close species). Our
257 results are particularly relevant in the study of clades containing species with holocentric
258 chromosomes, whose labile karyotypes could exhibit heterogeneous modes of evolution.

259 The best-fitting model of karyological evolution in Cyperaceae suggests multiple
260 model transitions throughout the family phylogeny. These include distinct modes of evolution
261 in the C₄ *Cyperus* clade, in non-*Siderostictae Carex* clade, and the FAEC clade excluding C₄
262 *Cyperus*). We found no support for a distinct mode of chromosome evolution at the origin of
263 the SDC+FAEC clade.

264 Chromosome numbers seem to have evolved primarily by fusion (Fig. 2, Appendices
265 D-F) until diversification of the non-*Siderostictae Carex* and FAEC clades. The shift at the
266 non-*Siderostictae Carex* (Table 1-2) is mainly related to a massive increase in the rate of
267 chromosome fissions and fusions. This clade also includes the former genera *Kobresia*,
268 *Schoenoxiphium*, *Uncinia* and *Cymophyllus* (Global Carex Group, 2015), in which no or few
269 genome duplications have been inferred (Davies, 1956; Hipp et al., 2009; Hoshino, 1981;
270 Wahl, 1940). Accordingly, non-*Siderostictae Carex* shows here the lowest polyploidy rates of
271 all subtrees with the exception of the remaining SDC clade and early divergent lineages (from
272 Rhynchosporeae to Mapania clades, see Fig. 2) that show the lowest (in the transition non-
273 *Siderostictae Carex* a soft increase of polyploidy rates was detected). Models regarding this
274 clade imply the evolution of chromosomes by events of agmatoploidy (fission) and symploidy

275 (fusion). This phenomenon has been suggested to occur in *Carex* (Davies, 1956; Hipp et al.,
 276 2009; Hoshino, 1981; Wahl, 1940), but it has never been statistically tested at the genus level.
 277 *Carex* alone constitutes ca. 40% of the Cyperaceae species of the sedges family (Govaerts et
 278 al., 2017). Therefore, understanding whether diversification rate shifts are related to
 279 karyotypic change is key to comprehending chromosome evolution as the result, trigger, or
 280 part of the speciation process and whether this change is mediated by intrinsic factors (e.g.
 281 linkage disequilibrium), extrinsic factors (e.g. reinforcing ecological speciation), or both.

282 TABLE 2. Importance weights for each clade and weight for the null scenario of no transitions. In bold are those
 283 sums with the highest probability of a transition to occur.

Transition scenarios by clades	AIC weight sum
Null	6.41e ⁻⁴⁶
1	0.988
2	1.000
3	1.000
4	0.245

284

285 A second transition in mode of karyological evolution corresponds to the FAEC clade
 286 excluding C₄ *Cyperus* (Table 1-2). This shift in the mode of chromosome evolution is
 287 dominated by a decrease of the rate of fusion events, and a slightly increase of fission events
 288 as chromosome number grows (Fig. 2, Appendices D-F). Chromosome duplication seems to
 289 have no large effect, and thus, karyotypes are likely to remain largely stable within this clade,
 290 particularly in lineages such as *Fimbristylis* and *Eleocharis* (though, some instances of
 291 duplication may be evident in *Schoenoplectus* and *Schoenoplectiella*). This pattern could
 292 suggest the possibility of constraints against chromosome number evolution in this clade,
 293 although the selection process that would cause such results remains obscure.

294 The high rates of fusions, fissions, demi-polyploidization and duplications in the C₄

295 *Cyperus* clade contrast remarkably with the karyotype stability of the FAEC clade (Fig. 2,
296 Appendices D-F). Lowest haploid numbers in this clade correspond to a polyploid series;
297 *Cyperus brevifolius* (= *Kyllinga brevifolia*), for instance, also presents high chromosome
298 number ranges due to duplication ($n = 9-86$; Roalson, 2008). Polyploidy has also been
299 suggested previously for *Cyperus esculentus* (Arias et al., 2011; De Castro et al., 2015), and
300 has been reported as frequent throughout the clade (see Roalson, 2008). Though neo-
301 polyploids generally do not feature higher diversification rates (Mayrose et al., 2011), this
302 *Cyperus* lineage (ca. 760 species; Larridon et al., 2013) would constitute a counterexample of
303 that trend. Nevertheless, although high rates of fission and fusion have been detected, these
304 parameters could be the byproduct of a biased chromosome dataset. Since there are few
305 species represented in this clade and chromosome data depends on the current published
306 reports, high fusion and fission rates can be due to the inability to detect further duplications
307 and demi-polyploidization. In this case, lineage diversification could suggest a link with the
308 mode of chromosome evolution towards an evolutionary scenario dominated by incremental
309 changes to ploidy. Alternatively, this increase in the diversification rate could be related with
310 other innovative mechanisms of the lineage, such as the evolution of the C_4 photosynthetic
311 pathway (Larridon et al., 2013). Therefore, genome duplications and shifts in the
312 photosynthetic pathway could have acted in concert.

313 Although a clear correspondence between chromosome number transitions and
314 diversification rates shifts cannot be assured in this study, strong evidence is found in shifts in
315 chromosome evolution modes through the family tree that might suggest a link. Nevertheless,
316 as exemplified by the *Cyperus* lineage, this relationship could also be related to other
317 evolutionary process such as the development of C_4 photosynthetic pathway. Further research
318 is required to accurately test the relationship between chromosome model evolution

319 transitions and shifts in diversification rates. The results of these studies could provide new
320 insight into the macroevolutionary processes that explain these patterns.

321

322 4.2. Final Remarks

323 Summing up, this study proposes (i) the use of single model vs. complex models (i.e.
324 two to five different models) of chromosome evolution as a feasible approach to the study of
325 chromosome evolution; (ii) that, for Cyperaceae, the statistical support for a complex
326 transition scenario was much higher than a simple model of chromosome number evolution;
327 (iii) a clear pattern of high rate of duplications, and possibly fusions and fissions, as the main
328 mean of chromosome evolution for, at least, part of the lineage of *C₄ Cyperus* species, (iv)
329 very high rate of agmatoploidy and symploidy in genus *Carex* (except *Siderostictae* clade),
330 (v) karyotype stability (low rates of chromosome evolution) through most FAEC clade
331 lineages.

332

333 Figure captions

334 FIGURE 1. Summarize infographic of the methodology followed in the study.

335 FIGURE 2. Best-fitting scenarios of chromosome evolution for the Cyperaceae phylogeny. Numbered clades
336 correspond to those in which a shift in diversification rate have been detected (1, FAEC clade; 2, *Carex*
337 lineage; 3, *C₄ Cyperus* lineage; 4, SDC+FAEC clade). Akaike information criterion (AIC) of the best-
338 fitting scenario (AIC₁) appear next to the phylogeny, compared (Δ AIC) to the null hypothesis AIC score
339 (AIC₀).

340

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347

348 **Supporting Information:**

349 Appendix A: Phylogenetic tree in nexus format

350 Appendix B: Calibrations for Cyperaceae phylogeny and list of haploid chromosome number
351 used in the analysis

352 Appendix C: AIC scores for model selection

353 Appendix D: AIC scores for scenario comparison

354 Appendix E: Best model parameters

355 Appendix F: Family tree with chromosome data

356

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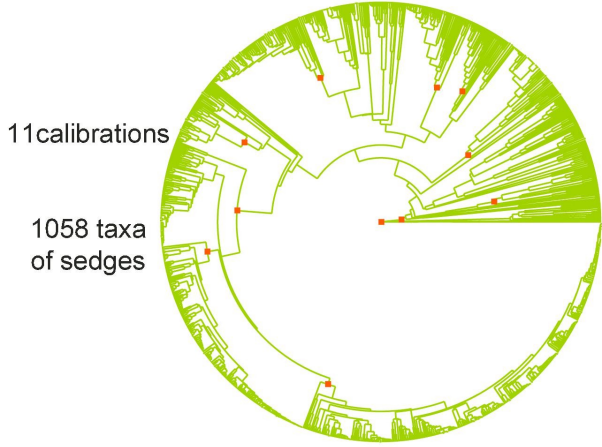
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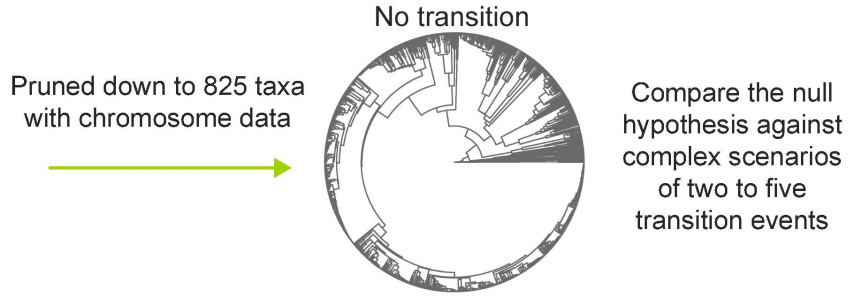
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549

1. PHYLOGENY CONSTRUCTION



2. MODELS OF EVOLUTION



3. SCENARIO COMPARISON



AIC = 5501.84*

⋮



AIC = 3550.03 + 343.06 + 1417.97* = 5311.06

⋮

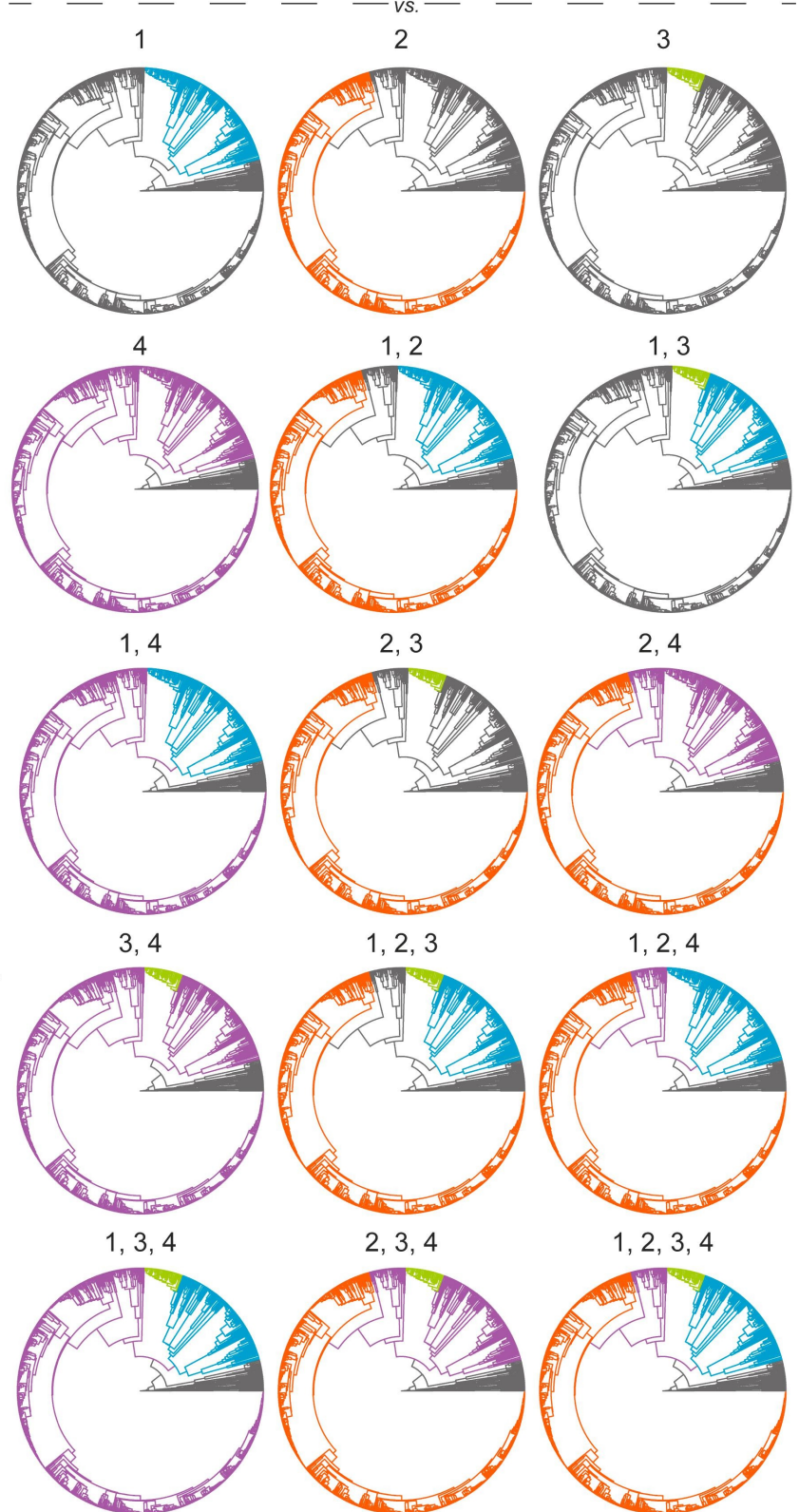
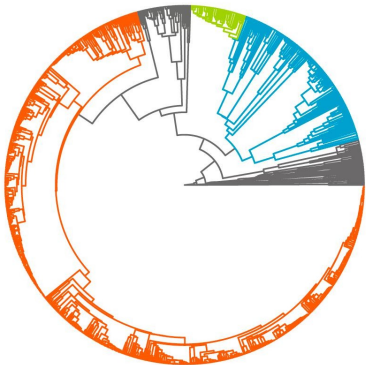


AIC = 3550.03 + 343.06 + 277.70 + 908.68 + 217.16 = 5296.63

* When the same model was applied to two or more subtrees, loglikelihoods and AIC values were calculated excluding branches that connected the subtrees.

4. BEST TRANSITION SCENARIO

Choose the best scenario (see Fig. 2)



$$AIC_{123} = 5294.28$$

$$\Delta AIC_0 = -207.56$$

