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4 **Emerging therapies for multidrug resistant *Acinetobacter baumannii***

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30 **Abstract**

31           The global emergence of multidrug resistant *Acinetobacter baumannii* has  
32 reduced the number of clinically-available antibiotics that retain activity against this  
33 pathogen. For this reason, the development of novel prevention and treatment strategies  
34 for infections caused by *A. baumannii* is necessary. A number of studies have begun to  
35 characterize non-antibiotic approaches that utilize novel mechanisms of action to  
36 achieve antibacterial activity. Recent advances in phage therapy, iron chelation therapy,  
37 antimicrobial peptides, prophylactic vaccination, photodynamic therapy and nitric  
38 oxide-based therapies have all been shown to have activity against *A. baumannii*.  
39 However, before these approaches can be used clinically there are still limitations and  
40 remaining questions that must be addressed.

## 41 ***Acinetobacter baumannii* and antibiotic resistance**

42 *Acinetobacter baumannii* has emerged as an important cause of nosocomial  
43 infections, most notably ventilator-associated pneumonia and bacteremia, and less  
44 frequently meningitis, skin and soft tissue infections, urinary tract infections, and  
45 endocarditis [1]. *A. baumannii* pneumonia and bacteraemia are typically acquired in the  
46 hospital setting and are associated with high mortality [2, 3]. Whereas thirty years ago  
47 infections caused by *A. baumannii* could be effectively treated with traditionally used  
48 antibiotics, the global spread of multidrug resistant strains with resistance to  
49 antimicrobials from multiple antibiotic classes has dramatically reduced the number of  
50 drugs that retain activity against this pathogen. These trends have necessitated the use  
51 of new antibiotic treatment strategies that include the use of tigecycline, the first of a  
52 new class of antibiotics termed glycylycyclines, and colistin, an ‘old’ polymixin  
53 antibiotic that was introduced into clinical practice over 50 years ago. Unfortunately,  
54 infections caused by strains with resistance to these antibiotics have already been  
55 described [4-6], demonstrating the ability of *A. baumannii* to rapidly acquire resistance.  
56 Of particular concern are pan-drug resistant strains with resistance to all clinically-used  
57 antibiotics [7, 8]. The increased difficulty of clinically managing infections caused *A.*  
58 *baumannii* due to a lack of active antimicrobials has prompted the development of novel  
59 strategies for managing these infections. In this review, recent advances in non-  
60 antibiotic approaches that are currently being explored for prevention and treatment of  
61 *A. baumannii* infections are described.

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## 63 **Phage therapy**

64 Bacteriophages, or phages, are viruses that infect, and in some cases lyse,  
65 bacterial cells. The potential use of bacteriophages as antibacterial agents was

66 recognized at almost the same time as their discovery nearly a century ago [9].  
67 However, the dawn of the antibiotic era slowed interest in this area in Western countries.  
68 In the present context of infections caused by multidrug resistant bacteria for which  
69 there are a decreasing number of active antimicrobials, research exploring the use of  
70 phage therapy as an alternative treatment has been renewed. In 2010, the first two  
71 reports characterizing lytic phages specific for *A. baumannii*, phages AB1 and AB2,  
72 were published [10, 11]. Since then, numerous additional phages with lytic activity  
73 against *A. baumannii* clinical isolates have been described [12-17], and one of them has  
74 been fully sequenced (Phage AB1 accession number HM368260) [18]. In general, the  
75 phages were isolated from sewage water, patient sputum, clinical samples or  
76 marine/pond samples and all have shown to be highly specific for *A. baumannii* (Table  
77 1).

78         The majority of studies characterizing *A. baumannii* phages have consisted of *in*  
79 *vitro* studies assessing the ability of the phages to lyse clinical isolates of *A. baumannii*.  
80 Phage AB1 was lytic for only one of five clinical multidrug resistant *A. baumannii*  
81 isolates tested [11], whereas AB2 was capable of infecting ATCC 17978, ATCC 19606  
82 and 25 of 125 (20%) of clinical isolates [10]. Phage Abp53 was able to infect and lyse  
83 the ATCC 19606 strain and 7 of 26 (27%) multidrug resistant *A. baumannii* strains [13]  
84 while bacteriophage ZZ1 infected only 3 of 23 (13%) multidrug resistant clinical  
85 isolates [12]. Phages identified more recently have shown a broader host range, with  
86 phages AB7-IBB1, AB7-IBB2 and KM18p lysing 23 of 39 (59%) and 19 of 39 (49%)  
87 and 15 of 34 (44%) of clinical isolates tested, respectively [15-17]. In 2012 the *A.*  
88 *baumannii* phage AP22 was shown to lyse 89 of 130 clinical *A. baumannii* strains  
89 (68%), the broadest host range against *A. baumannii* observed to date [14]. These  
90 studies illustrate that a potential limitation of phage therapy is the limited host range of

91 *A. baumannii* phages. However the narrow spectrum of individual phages was  
92 overcome in a study by Lin *et al.* in which a cocktail of phages was able to lyse 113 of  
93 127 (89%) *A. baumannii* strains [10]. Only one report has evaluated the use of an *A.*  
94 *baumannii* specific phage for treatment in an animal model of infections [19]. In this  
95 study, the phage BS46 was able to protect mice infected intraperitoneally with five  
96 times the LD<sub>50</sub> of a highly virulent *A. baumannii* strain. Clearly, additional studies in  
97 animal models are needed in order to characterize the potential therapeutic efficacy of  
98 phages specific for *A. baumannii*.

99 In addition to their potential for therapeutic use, phages could also be used for  
100 removing *A. baumannii* from colonized surfaces in the hospital environment. *A.*  
101 *baumannii* can form robust biofilms, which protect the bacteria from environmental  
102 insults and allow it to persist on environmental surfaces [20]. It was recently shown  
103 that the *A. baumannii* specific phages AB7-IBBI and AB7-ABB2 can inhibit biofilm  
104 formation, and also remove approximately 75% of preformed biofilms [15, 16],  
105 demonstrating the possible utility of these phages in environmental biocontrol.

106 Taken together, the studies addressing the use phage therapy for *A. baumannii*  
107 infections suggest that the phages isolated to date have lytic potential against clinical  
108 isolates of *A. baumannii* and have high host range specificity, an issue of crucial  
109 importance as it would be ideal to avoid secondary effects on normal human flora.  
110 However, two concerns that have been raised in studies using phages against non-  
111 *Acinetobacter* bacteria are the rapid clearance of phages by macrophages and the  
112 induction of antiphage antibodies [21-23]. Additional issues such as the appearance of  
113 resistant strains and the inflammatory response in humans after administration of phage  
114 therapy will also need to be addressed before phage therapy can be considered for  
115 clinical use.

## 116 **Iron chelation and gallium based therapies**

117 Iron is an essential cofactor for many bacterial processes, raising the possibility  
118 of using iron chelators and iron competitors as antibacterial agents. While a number of  
119 studies have demonstrated the antibacterial activity of various iron chelators [24-31], it  
120 is only recently that this approach has been applied to *A. baumannii*. Figure 1 illustrates  
121 the structure of the iron chelators that have been used to-date with *A. baumannii*.  
122 Deferoxamine is a bacterial siderophore that has been shown to have low antibacterial  
123 activity against a number of bacterial pathogens, likely due to the fact that many  
124 bacteria have receptors for deferoxamine and thus are able to acquire deferoxamine-  
125 bound iron [24]. In agreement with these studies, deferoxamine did not have  
126 antibacterial activity against *A. baumannii* grown in a number of different liquid media  
127 [24, 32, 33]. Deferiprone is a synthetic bidentate iron chelator that has antimicrobial  
128 activity against a number of bacterial species, however showed only modest activity  
129 against *A. baumannii* [24]. The same study also evaluated the activity of the iron  
130 chelators Apo6619, VK28 dihydrochloride and 2,2- bipyridyl (DIP). The authors  
131 observed that if bacteria were grown in Mueller-Hinton broth, DIP showed the highest  
132 antimicrobial activity against *A. baumannii* [minimum inhibitory concentration (MIC) =  
133 64 µg/ml] while Apo6619 and VK28 had somewhat less activity (MIC ≥ 128).  
134 Interestingly, the antibacterial effect appeared to be dependent on the medium used as  
135 bacteria grown in RPMI demonstrated lower MIC values [24].

136 Gallium ( $\text{Ga}^{+3}$ ) is a transition metal with a similar atomic radius and valence to  
137 iron ( $\text{Fe}^{+3}$ ), allowing it to compete with  $\text{Fe}^{+3}$  for binding to iron-requiring enzymes,  
138 proteins and microbial siderophores. However, because gallium cannot undergo  
139 oxidation-reduction cycles it acts as an inhibitor to these bacterial components upon  
140 binding. Similar to the results seen with iron chelators, the effect of gallium nitrate on

141 *A. baumannii* growth depended on the growth medium used [32]. The antimicrobial  
142 activity of gallium was increased in serum compared to iron-rich growth media, likely  
143 due to the presence of transferrin which sequesters free iron. This study also showed  
144 that gallium treatment resulted in lower bacterial loads in the lungs of mice infected  
145 intranasally with *A. baumannii*, indicating that gallium maintains activity *in vivo*.  
146 Antunes *et al.* recently demonstrated that low concentration of gallium could inhibit  
147 growth of *A. baumannii* isolates in human serum and reduce mortality in a *Galleria*  
148 *mellonella* infection model [34]. Importantly, this study also observed a significant *in*  
149 *vitro* synergy between colistin and gallium for both colistin-sensitive and colistin-  
150 resistant *A. baumannii* isolates suggesting that the use of gallium in combination with  
151 clinically-used antibiotics may be a viable treatment option for multidrug resistant  
152 strains. In summary, iron chelation and gallium based therapies show good activity *in*  
153 *vitro*, but additional work is needed to characterize their *in vivo* efficacy.

154

### 155 **Antimicrobial peptides**

156 Antimicrobial peptides are key elements of the immune system of higher  
157 organisms, making them potential candidates for use as antibacterial agents [35].  
158 Although numerous distinct antimicrobial peptides have been developed, most of them  
159 share a cationic character and fold into amphipathic conformations that allow them to  
160 act as bacterial membrane disruptors, although some peptides have also been shown to  
161 exert intracellular mechanisms of action [35, 36].

162 Several antibacterial peptides have been studied *in vitro* and *in vivo* against *A.*  
163 *baumannii*. The cecropin A-melittin hybrid peptides demonstrated *in vitro* bactericidal  
164 activity against multidrug resistant and colistin-resistant *A. baumannii* strains [37, 38],  
165 and showed local efficacy in an experimental model of peritoneal sepsis caused by a

166 pan-drug resistant strain [39]. However, the peptide exhibited a short half-life after  
167 administration, limiting its systemic efficacy. Several peptides derived from frog and  
168 toad skin and their analogs have also been studied. The brevinin-2-related peptide [40]  
169 and six cationic  $\alpha$ -helical frog skin-derived peptides [41] showed good activity (MIC 4 –  
170 128  $\mu\text{g/ml}$ ) against multidrug resistant strains of *A. baumannii* and low hemolytic  
171 activity. One of the frog skin peptides, Alyteserin-2a, presented relatively weak  
172 antimicrobial activity and produced hemolysis. Interestingly, however, its analog  
173 containing D-lysines at positions 7 and 11 showed higher potency against multidrug  
174 resistant *A. baumannii* and lower hemolytic activity and cytotoxicity [42]. A3-APO is a  
175 proline-rich antibacterial peptide that, despite high MIC values (32 - >64  $\mu\text{g/ml}$ ),  
176 showed improved *in vivo* efficacy compared with imipenem in mouse models of  
177 carbapenem-resistant *A. baumannii* infection, when used either intravenously or  
178 intramuscularly [43]. Moreover, in an *A. baumannii* blast injury model, A3-APO  
179 improved survival and reduced bacterial loads in the blood and in wounds, and  
180 improved wound appearance significantly more than treatment with imipenem or  
181 colistin [44].

182         Although antimicrobial peptides have shown promising results in *in vitro* and in  
183 animal models, a number of issues still remain to be addressed. A major technical  
184 challenge that must be resolved before antimicrobial peptides can be used systemically  
185 will be the design of peptides that maintain antibacterial activity but are resistant to  
186 proteolytic degradation in serum. This limitation, however, is not problematic when the  
187 peptides are administered topically, as demonstrated by a recent clinical trial [45]. In  
188 addition, the hemolytic activity that is seen with some peptides will need to be reduced  
189 in order to prevent toxicity upon systemic administration. Studies aimed at developing

190 peptide analogs through the introduction of structural changes that modify the peptide's  
191 stability and toxicity profiles are addressing these limitations.

192

### 193 **Prophylactic vaccines**

194         The increased prevalence of infections caused by *A. baumannii*, as well as the  
195 identification of factors associated with higher risk for acquiring these infections, has  
196 prompted research aimed at developing a prophylactic vaccine. Populations that would  
197 potentially benefit from prophylactic vaccination for *A. baumannii* could include  
198 patients at high risk for requiring mechanical ventilation, patients with extensive burn  
199 injury, and members of the military at high risk for sustaining war-related trauma. The  
200 ideal vaccine would target bacterial components that are highly conserved among  
201 strains within the *A. baumannii* species. In addition, the targeted antigen(s) should be  
202 highly expressed during infection and would be present on the bacterial surface to allow  
203 for interaction between the antigen and the antibodies produced by vaccination.

204         The first reports describing the development of vaccines for *A. baumannii* were  
205 based on formulations that incorporated multiple bacterial antigens into the vaccine. An  
206 inactivated whole cell vaccine was highly immunogenic and elicited antibodies against  
207 multiple bacterial antigens [46]. Tissue bacterial loads were approximately 5 log<sub>10</sub>  
208 lower in vaccinated mice compared to control mice 12 hours post-infection, and serum  
209 pro-inflammatory cytokine levels were lower in vaccinated mice. Importantly, the  
210 inactivated whole cell vaccine was able to protect mice from infection with multiple *A.*  
211 *baumannii* strains, including a pan-resistant clinical isolate. Similar results were  
212 obtained in independent studies with vaccines based on *A. baumannii* outer membrane  
213 vesicles and outer membrane fractions [47, 48]. Although these vaccines were highly  
214 effective in mouse models, their use in humans may be complicated by the high levels

215 of lipopolysaccharide present in the vaccine preparations and the difficulty in  
216 standardizing the composition of the vaccine between production lots due to the high  
217 number of antigens present.

218 More recent studies have used individual bacterial components for the  
219 development of a vaccine. Vaccination with the *A. baumannii* biofilm-associated  
220 protein Bap elicited high levels of antigen-specific titers, reduced post-infection tissue  
221 bacterial loads and was able to protect mice in an intraperitoneal infection model [49].  
222 Although these results are promising, it is unclear if a vaccine based on Bap will have  
223 activity against strains that do not produce biofilm. The bacterial porin OmpA is highly  
224 conserved and expressed at high levels on the bacterial outer membrane making it an  
225 attractive candidate for vaccine development. A vaccine based OmpA was highly  
226 immunogenic in mice and reduced post-infection bacterial loads in multiple tissues [50].  
227 Importantly, survival studies using a diabetic mouse model of disseminated sepsis  
228 showed that the vaccine based on OmpA provided partial protection from infection.  
229 Antibodies against the bacterial outer membrane transporter Ata and the membrane  
230 polysaccharide poly-*N*-acetyl-b-(1-6)-glucosamine (PNAG) were each shown to  
231 promote the opsonophagocytolysis of *A. baumannii in vitro* and to reduce tissue  
232 bacterial loads after passive immunization [51, 52]. It remains to be seen if active  
233 immunization with these antigens is able to induce protective immunity in survival  
234 studies.

235 In short, prophylactic vaccination represents an approach that could be highly  
236 effective for preventing and treating the most common and serious infections caused by  
237 *A. baumannii*. However, issues that remain to be defined, in addition to the technical  
238 challenges of appropriate antigen selection and design, are determining which patient  
239 populations should receive prophylactic vaccination and when they should be

240 vaccinated. Epidemiological studies that have identified and characterized risk factors  
241 for acquiring infections by *A. baumannii* will help to address these questions.

242

### 243 **Photodynamic therapy**

244 Photodynamic therapy was initially developed over 100 years ago, and while  
245 today it is most commonly used for cancer and ophthalmological treatments, the  
246 emergence of multidrug resistant pathogens has prompted its application as a novel  
247 antimicrobial approach. Photodynamic therapy involves the generation of reactive  
248 oxygen species through the use of a combination of oxygen, visible or near infrared  
249 light and a photosensitizer, a non-toxic dye that is photoreactive. Photosensitizers are  
250 typically aromatic molecules that, when excited by a photon, reach a high-energy,  
251 excited state. The excited photosensitizer can then relax to its ground state through  
252 interaction with molecular oxygen producing reactive oxygen species formed by either  
253 type I (electron transfer) or a type II (energy transfer) reactions (Figure 2). These  
254 reactive oxygen species then react locally with the target cells (e.g. DNA and membrane  
255 components) to produce damage which results in target cell death.

256 The activity of two different classes of photosensitizers against *A. baumannii* has  
257 been evaluated: tetrapyrroles (such as porphyrins) and phenothiazinium salts. The  
258 tetrapyrroles deuteroporphyrin, Cd-texaphyrin, tetra-methylpyridyl porphine and propyl  
259 gallate have all demonstrated activity against multidrug resistant *A. baumannii in vitro*  
260 [53, 54]. More recently, the photobactericidal activity of porphyrin-cellulose  
261 nanocrystals against *A. baumannii* was also demonstrated [55]. This study also showed  
262 that the reactive oxygen species produced by photodynamic therapy can diffuse up to  
263 1.5 mm in solution, supporting the use of this approach as local therapy.  
264 Photosensitizers can also be combined with other molecules in order to increase their

265 bactericidal activity, as was shown in a recent study in which the polycationic  
266 biopolymer chitosan increased the effect of hematoporphyrin and the phenothiazinium  
267 toluidine blue on *A. baumannii* planktonic cells [56]. There have been few *in vivo*  
268 studies addressing the effectiveness of photodynamic therapy in the treatment of *A.*  
269 *baumannii* infections in animal models. In a study using a mouse model of thermal  
270 injury it was shown that the tetrapyrrole cholin(e6) reduced bacterial counts 1000-fold  
271 in infected tissue after topical application and exposure to red light [57]. Similar results  
272 using the same animal model were obtained with the phenothiazinium new methylene  
273 blue [58].

274         Although photodynamic therapy has been used clinically for the treatment of  
275 skin infections caused by a variety of pathogens, this approach has not been evaluated in  
276 humans for the treatment of *A. baumannii* infections. The advantages of photodynamic  
277 therapy are that it has been shown to destroy lipopolysaccharide [59], and that it does  
278 not result in the selection of resistant strains after repeated cycles of treatment [60]  
279 However, potential limitations of photodynamic therapy are that it can only be used  
280 topically, and that the reactive oxygen species produced by this technique could  
281 potentially damage host as well as target cells, issues that must be taken into account  
282 when considering this treatment approach.

283

#### 284 **Nitric oxide-based therapies**

285         Nitric oxide (NO) is a short-lived, hydrophobic free radical with a small atomic  
286 radius of 3-4 Å. These properties allow NO to diffuse across biological membranes and  
287 exert effects on both intra- and extracellular components [61] (Figure 3). NO can be  
288 oxidized to dinitrogen trioxide (N<sub>2</sub>O<sub>3</sub>), which causes nitrosative stress on biological  
289 membranes [62], and it can react with thiol groups (S-nitrosation) of both intra- and

290 extracellular proteins [63]. In addition, nitryl radicals (NO<sub>2</sub>) can provoke lipid  
291 peroxidation and subsequent membrane disorganization [64] and NO can induce DNA  
292 damage via an N-nitrosating intermediate or by oxidative cleavage [63]. For these  
293 reasons, the use of NO as a topical treatment for *A. baumannii* wound infections has  
294 begun to be explored. NO-containing nanoparticles were shown to decrease the bacterial  
295 load at wound sites and reduce healing time in a mouse model of *A. baumannii* wound  
296 infection. NO nanoparticle-treated mice also experienced a reduction in inflammation  
297 at the wound site and decreased collagen degradation compared to control mice. Local  
298 cytokine profiles were altered in mice treated with NO nanoparticles, supporting the  
299 idea that the inflammatory response had been altered [65].

300         The major limitation of NO-based therapy is that it can only be used topically,  
301 preventing its application to the most common infections caused by *A. baumannii*,  
302 pneumonia and bacteremia. However, its ease of application together with its multiple  
303 mechanisms of bactericidal activity make it an attractive approach for use in wounds  
304 and burn injuries. In addition to wound treatment, NO may also find a role in  
305 environmental biocontrol as evidenced by a recent study showing that it has inhibitory  
306 effects on the formation of *A. baumannii* biofilms [61], which warrants further study.

307

### 308 **Concluding remarks**

309         The global emergence of multidrug resistant *A. baumannii* requires that novel  
310 approaches for prevention and treatment are developed. The studies reviewed here  
311 highlight recent advances that aim to address this issue using approaches that employ  
312 novel mechanisms of action for achieving antimicrobial activity against *A. baumannii*.  
313 However, for each approach there are still questions that must be answered and  
314 limitations that must be addressed (Box 1) before these potential therapies can be

315 applied in clinical practice. In addition, it remains to be determined how these  
316 approaches affect bacterial physiology and if *A. baumannii* can develop resistance to  
317 these therapies as it has done in response to many antibiotics.

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502 **Table 1.** Characterized lytic bacteriophages that infect *A. baumannii* clinical isolates  
503

<b>Phage</b>	<b>Genome</b>	<b>Genome size (kb)</b>	<b>Tail</b>	<b>Lysis of clinical isolates</b>	<b>Reference</b>
AB1	dsDNA	45-47	Long, non-contractile	20% (1 of 5)	11
AB2	dsDNA	40	Short	20% (25 of 125)	10
Abp53	dsDNA	95	Contractile	27% (7 of 26)	13
ZZ1	dsDNA	166	Contractile	13% (3 of 23)	12
AB7-IBB1	DNA	75	Long, non-contractile	59% (23 of 39)	16
AB7-IBB2	ND	170	Short	49% (19 of 39)	15
Km18p	dsDNA	45	No tail	44% (15 of 34)	17
AP22	dsDNA	46	Contractile	68% (89 of 130)	14

504 Abbreviations: dsDNA, double-stranded DNA; ND: not determined

505

506

507 **Box 1. Outstanding questions**

- 508 - Bacteriophages with lytic activity against clinical isolates of *A. baumannii* have shown  
509 limited host range. Are there phages with broader host ranges that are yet to be  
510 discovered? Can the use of ‘cocktails’ of phages overcome this limitation?
- 511 - What is the nature of immune response produced upon the administration of  
512 bacteriophages and what side effects could be produced by these reactions?
- 513 - Can toxicity and stability issues that have been observed with antimicrobial peptides  
514 be overcome using peptide derivatives (e.g. by substituting D-amino acids)?
- 515 - Which populations would benefit from prophylactic vaccination for *A. baumannii* and  
516 when should they be vaccinated?
- 517 - Can *A. baumannii* develop resistance to photodynamic therapy and NO-based  
518 treatment approaches?

519 **Figure Legends**

520 **Figure 1. Chemical structure of iron chelators.** Chemical structures of the iron  
521 chelators that have been tested for activity against *A. baumannii*.

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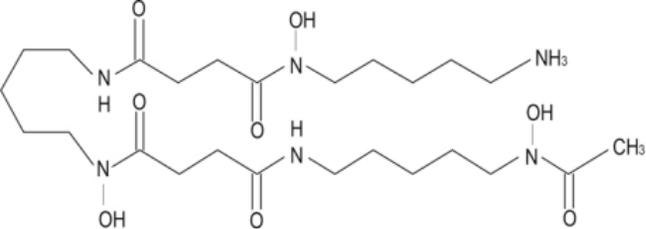
523 **Figure 2. Production of reactive oxygen species with photodynamic therapy.** The  
524 schematic represents the process by which photoactivation (*f*) of photosensitizers (PS)  
525 results in an excited state that reacts with oxygen either through type I or type II  
526 reactions in order to produce reactive oxygen species (ROS) which induce cellular  
527 damage.

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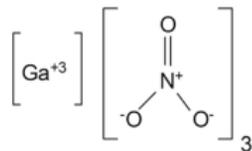
529 **Figure 3. Cell damage produced by nitric oxide-based therapies.** The schematic  
530 represents the mechanisms by which nitric oxide (NO) induces damage to cellular  
531 membranes, proteins and DNA.

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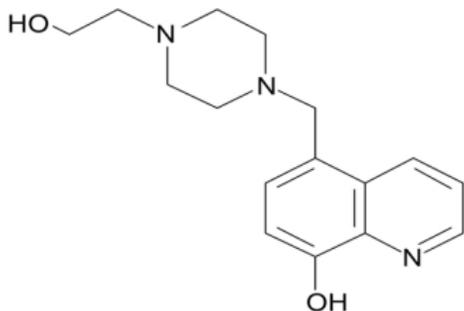
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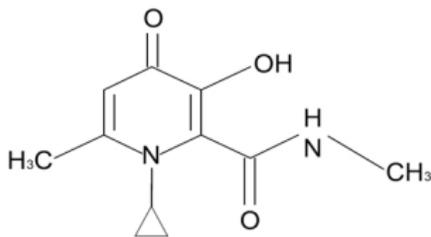
Deferoxamine



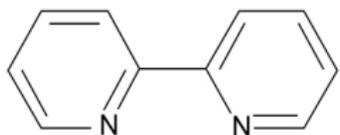
Gallium nitrate



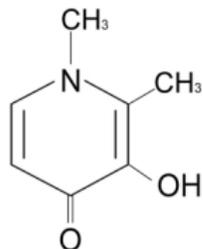
VK28



Ap6619



2,2 Dipyridyl



Deferiprone

