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1 **Clinical validation of a real-time polymerase chain reaction assay for rapid**
2 **detection of *Acinetobacter baumannii* colonization**

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23 **Keywords:** *Acinetobacter baumannii*, Active surveillance, Real-time PCR,
24 Colonization

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26 **Summary**

27 Real-time polymerase chain reaction (PCR)-based approaches have not been assessed in
28 terms of their ability to detect patients colonized by *Acinetobacter baumannii* during
29 active surveillance. This prospective, double-blind study demonstrated that a real-time
30 PCR assay had high sensitivity (100%) and specificity (91.2%) compared with conven-
31 tional culture for detecting *A. baumannii* in 397 active surveillance samples, and
32 provided results within 3 h. Receiver-operator curve analyses demonstrated that the
33 technique has diagnostic accuracy of 97.7% (95% confidence interval 96.0e99.3%).
34 This method could facilitate the rapid implementation of infection control measures for
35 preventing the transmission of *A. baumannii*.

37

38 **Introduction**

39 Hospital-associated infections caused by *Acinetobacter baumannii*, especially those
40 caused by multi-drug-resistant strains, continue to represent an important problem.^{1,2}
41 The Healthcare Infection Control Practices Advisory Committee of the Centers for
42 Disease Control and Prevention and the European Society of Clinical Microbiology and
43 Infectious Diseases recommend the use of active surveillance cultures in the control of
44 outbreaks caused by multi-drug-resistant Gramnegative bacteria, including *A.*
45 *baumannii*.^{3,4} In addition, several studies have reported the use of active surveillance
46 cultures in combination with additional measures, such as contact precautions, in the
47 successful control of *A. baumannii* transmission in both outbreak^{5,6} and endemic^{7,8}
48 settings. Active surveillance for *A. baumannii* colonization commonly involves
49 culturing samples collected from multiple patient anatomical sites, and this process
50 typically requires between 24 and 72 h.⁵⁻¹¹ In this context, it has been suggested that
51 detection methods which provide more immediate results could facilitate the rapid
52 implementation of appropriate infection control measures, and contribute to reducing
53 the transmission of *A. baumannii*.³ The detection of *A. baumannii* colonization has also
54 been limited by low sensitivity of the conventional microbiological methods used for
55 active surveillance.⁹⁻¹¹ However, in spite of these limitations, to the authors' knowledge,
56 no study to date has compared real-time polymerase chain reaction (PCR)-based
57 approaches with conventional culture for the detection of *A. baumannii* colonization
58 during active surveillance. As such, this prospective double-blind study compared a
59 real-time PCR assay with conventional culture methods for the detection of *A.*
60 *baumannii* in active surveillance samples.

61

62 **Methods**

63 This study was conducted in the intensive care units (ICUs) at the University Hospital
64 Virgen del Rocío, a tertiary care centre with 62 ICU beds, over a five-week period
65 between October and November 2013. The study was performed in the context of an
66 eradication programme aimed at reducing infection/colonization by endemic,
67 carbapenem-resistant *A. baumannii*. Surveillance samples were collected weekly from

68 ICU patients admitted at least 48 h prior to sample collection, and from whom a positive
69 clinical sample had not been obtained previously. Pharyngeal and perianal swabs were
70 obtained from all patients included in the study for testing by both methods, except
71 when the attending physician deemed that sample collection was contraindicated. Sterile
72 swabs were used for sample collection from the oropharynx and the perianal region, and
73 were stored at 4 °C until analysis. All swabs were processed within 24 h of sample
74 collection. The real-time PCR technique and conventional culture were performed by
75 different members of the research team, and results were compared at the end of the
76 study. Patients were considered to be colonized if *A. baumannii* was detected by
77 conventional culture or if the real-time PCR demonstrated the presence of

78 *A. baumannii* genomic DNA in surveillance samples. Microbiological evaluation of
79 clinical samples from suspected sites of infection were used to identify *A. baumannii*
80 infection episodes. The clinical characteristics of patients whose samples gave
81 discordant results were extracted from medical records. This study was approved by the
82 University Hospital Virgen del Rocío Committee on Ethics in Research.

83 Surveillance samples were cultured on MacConkey agar, and micro-organisms were
84 identified using matrix-assisted laser desorption/ionization time-of-flight mass
85 spectrometry (Bruker, Billerica, MA, USA). Susceptibility testing for colistin was
86 performed on all *A. baumannii* isolates using E-test strips (AB Biodisk, Solna, Sweden).

87 A non-commercial real-time PCR that was developed previously by the authors'
88 group was employed in this study.¹² This assay employs TaqMan chemistry to amplify a
89 highly conserved region (nucleotides 774e859) of the *A. baumannii* ompA gene
90 (accession no. AY485227). This assay is specific for *A. baumannii*, has a limit of
91 detection of seven genome copies, and has previously been shown to have intra-and
92 interassay variabilities of 0.8% and 1.3%, respectively, for quantifying

93 *A. baumannii* genome copies.¹² Surveillance swabs were placed in 1 mL of
94 physiological saline, vortexed, and incubated for 15 min to liberate associated bacteria.
95 DNA was extracted from 200 mL and eluted in 200 mL of sterile water using the Qiam
96 DNA Minikit (Qiagen, Hilden, Germany). Real-time PCR was performed as described
97 previously using 10 mL of the eluted DNA in a 50-mL reaction containing 25 mL of
98 TaqMan Universal PCR master mix (Applied Biosystems, Foster City, CA, USA), the
99 primers (final concentration 300 nm each) and probe (final concentration 100 nm).¹²

100 DNA was amplified using a Stratagene Mx3000P as follows: 50 °C for 2 min, 95 °C for
101 10 min, and then 38 cycles at 95 °C for 30 s and 62 °C for 1 min. Negative controls
102 containing 10 mL of sterile water were performed in duplicate with each run. *A.*
103 *baumannii* genome copies in each sample were quantified by extrapolating from
104 reactions with known concentrations of a plasmid containing the ompA Gene.¹²
105 Genome copy numbers were compared between groups using the Mann-Whitney U-test.
106 Receiver-operator curve (ROC) analyses were performed using Statistical Package for
107 the Social Sciences Version 15.0 (IBM Corp., Armonk, NY, USA).

108 **Results**

109 In total, 397 samples consisting of 202 perianal (50.9%) and 195 pharyngeal (49.1%)
110 swabs were collected (Table I). One hundred and forty-five patients were included in
111 the study, with an average of 1.39 perianal and 1.34 pharyngeal samples taken from
112 each patient. Conventional culture detected *A. baumannii* in 11 samples (eight perianal
113 and three pharyngeal) that corresponded to eight colonization episodes in eight patients,
114 whereas real-time PCR detected *A. baumannii* in 45 samples (31 perianal and 14
115 pharyngeal), corresponding to 39 colonization episodes in 35 patients. The real-time
116 PCR detected *A. baumannii* in all 11 samples that were positive by conventional culture
117 (sensitivity 100%), and of the 386 samples negative by conventional culture, 352 were
118 negative by real-time PCR (specificity 91.2%). Positive samples were considered as
119 colonization events for each method (Table I). Importantly, the real-time PCR provided
120 results within 3 h.

121 Quantification of *A. baumannii* genome copies in samples positive by real-time PCR
122 demonstrated no significant difference between perianal and pharyngeal samples {1.3 x
123 10⁵ copies [interquartile range (IQR) 2.3 x 10⁴ - 3.1 x 10⁶ copies] vs 4.8 x 10⁶ copies
124 (IQR 7.0 x 10⁴ - 2.0 x 10⁷ copies); P = 0.21}. Of the samples positive by real-time PCR,
125 there were significantly more genome copies in samples positive by conventional
126 culture compared with those that were negative [3.1 x 10⁶ copies (IQR 1.6 x 10⁵ - 6.0 x
127 10⁷ copies) vs 1.1 x 10⁵ copies (IQR 2.2 x 10⁴ - 1.0 x 10⁷ copies); P = 0.018]. The
128 number of genome copies in real-time PCR positive samples from patients who
129 subsequently developed *A. baumannii* infection (N = 14 samples) was higher than in

130 samples from patients who did not develop infection (N = 31 samples) [2.6×10^6 copies
131 (IQR 1.4×10^5 - 3.0×10^7 copies) vs 1.2×10^5 copies (IQR 2.0×10^5 - 9.0×10^6 copies);P
132 = 0.042].

133 An ROC was elaborated in order to determine how the application of a threshold
134 number of copies for classifying samples as positive or negative affected the diagnostic
135 accuracy of the real-time PCR (Figure 1). A threshold of 1.7×10^4 genome copies
136 increased specificity to 92.7% while sensitivity remained at 100%, and a threshold of
137 1.4×10^5 genome copies increased specificity to 96.4% while decreasing sensitivity to
138 90.9%, indicating that applying a threshold could reduce the false-positive rate
139 effectively while maintaining high sensitivity. The diagnostic accuracy of the real-time
140 PCR was estimated to be 97.7% [95% confidence interval (CI) 96.0 - 99.3%] by
141 calculating the area under the ROC.

142 Factors potentially leading to discordant results between methods were assessed by
143 reviewing the medical records of the 28 patients from whom discordant samples were
144 collected. Three of the patients were receiving colistin at the time of sample collection.
145 Given that all *A. baumannii* isolates were susceptible to colistin (minimum inhibitory
146 concentration <2 mg/L), a potential cause of discordance is that only non-viable *A.*
147 *baumannii* was present due to the activity of colistin, and that the DNA from these
148 non-viable cells was detected by real-time PCR but not by culture. Four patients from
149 whom samples with discordant results were collected had clinical samples positive for
150 *A. baumannii* by conventional culture within seven days of surveillance sample
151 collection (one sputum sample, one bronchial aspirate, one peritoneal fluid sample and
152 one ulcer sample).

153 **Discussion**

154 This prospective, double-blind study of 397 active surveillance samples demonstrated
155 that a real-time PCR assay showed high sensitivity and specificity compared with
156 conventional culture for the detection of *A. baumannii* colonization. The availability of
157 assay results within 3 h has the potential to facilitate the rapid implementation of
158 infection control measures for reducing the transmission of *A. baumannii*. In addition,
159 this technique is not affected by resistance mechanisms present in *A. baumannii*. It
160 should be noted, however, that the real-time PCR assay required more hands-on time

161 than conventional culture due to the need to perform DNA extraction; however, the
162 increasing automation of both DNA extraction and real-time PCR assays may help to
163 overcome these additional requirements.¹³ PCR-based techniques are being used
164 increasingly to diagnose infection by *A. baumannii* in clinical samples taken from
165 patients with suspected infection.^{14,15} In addition, a previous report described the use of
166 conventional PCR for the detection of *A. baumannii* in endotracheal aspirates from
167 intubated patients receiving mechanical ventilation.¹⁶ However, to the authors'
168 knowledge, the present study represents the first controlled trial to compare real-time
169 PCR with conventional culture for the detection of *A. baumannii* colonization in
170 surveillance cultures.

171 Multiple studies have described the low sensitivity of conventional methods for
172 detecting *A. baumannii* colonization, with reports of sensitivities between 13.5% and
173 85% depending on the number of anatomical sites tested.^{9e11} In the present study, in
174 addition to detecting *A. baumannii* in the 11 samples that were positive by culture
175 methods, the real-time PCR was positive for 34 additional samples collected from 28
176 patients. Interestingly, clinical samples positive for *A. baumannii* were obtained from
177 four of these patients in the seven days following surveillance sample collection, raising
178 the possibility that the real-time PCR detected colonization events not detected by
179 conventional culture. The sensitivity of the method used for detecting *A. baumannii*
180 colonization is of critical importance given a recent study concluding that the use of
181 methods with sensitivity of 55% for active surveillance of *A. baumannii* colonization
182 would reduce *A. baumannii* transmission, infection and mortality by only 48%, whereas
183 a method with 90% sensitivity would reduce these rates by 78%.¹⁷ Importantly, this
184 study also concluded that active surveillance for *A. baumannii* colonization was
185 cost-effective (a 19-53% reduction in per-patient hospital cost) and would reduce
186 transmission and mortality in both endemic and outbreak settings.¹⁷

187 Although this study included 397 samples and was performed in a prospective
188 double-blind manner, its limitations include the short time interval over which the study
189 was undertaken, the single centre design, and the application of real-time PCR in an
190 endemic (vs outbreak) setting alone. However, the results presented here provide
191 microbiological validation of the use of real-time PCR for active surveillance of *A.*

192 *baumannii* colonization, and provide a basis for the use of this method to facilitate the
193 rapid implementation of infection control measures for preventing *A. baumannii*
194 transmission. The use of this approach thus has potential to contribute to antimicrobial
195 stewardship initiatives, as it may aid in preventing the spread and transmission of
196 antibiotic resistance.

197

198 **Conflict of interest statement**

199 MJM and PPR are listed as inventors on a patent describing the real-time PCR method
200 used for detection and quantification of *A. baumannii* genomes. The other authors
201 declare no conflict of interests.

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- 263

264 **Table I.**
 265 Identification of *Acinetobacter baumannii* colonization in active surveillance samples using conventional
 266 culture methods and real-time polymerase chain reaction (RT-PCR)

	Week 1	Week 2	Week 3	Week 4	Week 5	Total
Patient screenings ^{a,b}	42 (20.6)	47 (23.0)	45 (22.1)	35 (17.2)	35 (17.2)	204 (100) ^c
Samples	83 (20.9)	93 (23.4)	85 (21.4)	69 (17.4)	67 (16.9)	397 (100)
Pharyngeal	41 (49.4)	48 (51.6)	42 (49.4)	32 (46.4)	32 (47.8)	195 (49.1)
Perianal	42 (50.6)	45 (48.4)	43 (50.6)	37 (53.6)	35 (52.2)	202 (50.9)
Culture						
Positive	3 (3.6)	4 (4.3)	3 (3.5)	0 (0.0)	1 (1.5)	11 (2.8)
Negative	80 (96.4)	89 (95.7)	82 (96.5)	69 (100)	66 (98.5)	386 (97.2)
Colonization ^d	2 (4.8)	3 (6.4)	2 (4.4)	0 (0.0)	1 (2.9)	8 (5.4) ^e
RT-PCR						
Positive	8 (9.6)	7 (7.5)	22 (25.9)	4 (5.8)	4 (6.0)	45 (11.3)
Negative	75 (90.4)	86 (92.5)	63 (74.1)	65 (94.2)	63 (94.0)	352 (88.7)
Colonization ^d	7 (16.7)	6 (12.8)	19 (42.2)	3 (8.7)	4 (11.4)	35 (17.2) ^e

267 ^aData for all variables are presented as N (%).

268 ^bNumber of patients screened.

269 ^cThe total represents the total number of patient screenings performed (N=204) on 145 patients over the five-week inclusion
 270 period.

271 ^dNumber of colonized patients detected using this technique (% of patient screenings performed).

272 ^eNumber of unique patients identified as colonized over the five-week inclusion period.

273
 274
 275

276

277 **Figure 1.**

278 Receiver-operator analysis of real-time polymerase chain reaction (PCR) for detection of colonization.

279 Receiver-operator curve (blue) showing the relationship between sensitivity and specificity of real-time

280 PCR for detection of *Acinetobacter baumannii* in surveillance samples when different threshold values

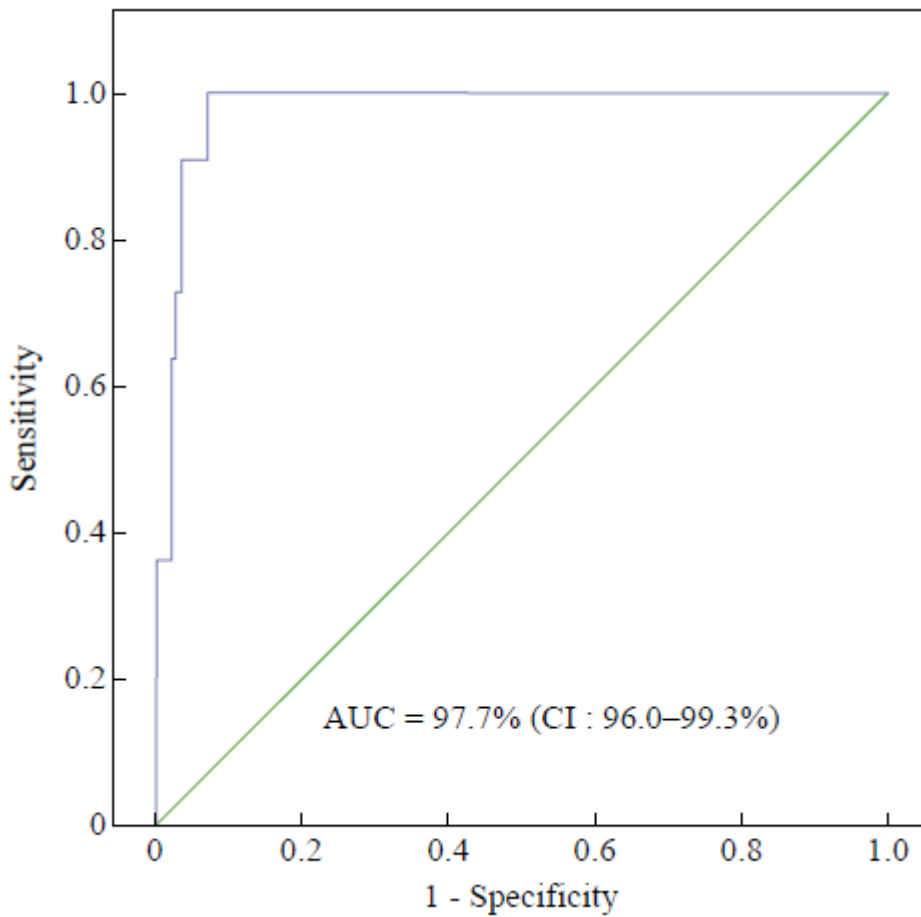
281 for number of genome copies that must be present in order to classify a sample as positive are applied.

282 The green reference line represents values for a test that is unable to discriminate between positive and

283 negative samples. CI, 95% confidence interval; AUC, area under the curve.

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