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6 ***In vitro* effects of serotonin, melatonin and other related indole compounds on**
7 **amyloid- β kinetics and neuroprotection**

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21 **Abbreviations:** **3IA**, 3-indoleacetic acid; **A β** , Amyloid- β peptide; **AD**, Alzheimer's
22 Disease; **CD**, Circular Dichroism; **HO**, Heme Oxygenase; **Hsp**, heat shock protein; **Mel**,
23 melatonin; **MTT**, Thiazolyl Blue Tetrazolium Bromide; **Nser**, N-acetylserotonin; **NPT**,
24 without pretreatment; **Nrf2**: nuclear factor-erythroid 2; **PC12**, pheochromocytoma cells;
25 **Phol**, tryptophol; **PT**, pretreatment; **qPCR**, quantitative PCR; **Ser**, Serotonin; **Tee**,
26 tryptophan ethyl ester; **TEM**, Transmission Electron Microscopy; **ThT**, Thioflavin T; **Trpa**,
27 tryptamine; **Tryp**, tryptophan; **ROS**, Reactive Oxygen Species.

28 **Keywords:** amyloid- β , fibril formation, indolic, neuroprotection, vitagene system

29

30

31 **Abstract**

32 **Scope:** Amyloid- β peptide ($A\beta$) is the main component of senile plaques in Alzheimer's
33 disease (AD). The inhibition of $A\beta$ assembly, the destabilisation of $A\beta$ aggregates and the
34 decrease of its cytotoxicity for the prevention of neuronal death are considered
35 neuroprotective effects. In this work, the protective effects against $A\beta$ aggregation and
36 cytotoxicity of eight indolic compounds are evaluated: tryptophan, tryptamine,
37 serotonin, tryptophol, N-acetylserotonin, 3-indoleacetic acid, tryptophan ethyl ester
38 and melatonin.

39 **Methods and results:** Thioflavin T spectroscopic assay, Transmission Electron
40 Microscopy, western blotting, Circular Dichroism, NMR, cell viability (thiazolylblue
41 tetrazolium bromide assay), quantitative PCR, and heme oxygenase activity are used.
42 Serotonin is the most effective compound for inhibiting amyloid- β peptide aggregation.
43 Almost all the indolic compounds tested prevent amyloid- β peptide-induced and
44 increase cell viability, being between 9 and 25%. Melatonin and Serotonin are the most
45 active. Moreover, Serotonin increased the expression of SIRT-1 and 2, heat shock
46 protein 70 and hemeoxygenase activity, this being a possible mechanism underlying the
47 observed neuroprotective effect.

48 **Conclusion:** Melatonin and other related indolic compounds, mainly serotonin, show an
49 inhibitory and destabilizing effect on amyloid- β peptide fibril formation and they possess
50 neuroprotective properties related to the vitagenes system.

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55 **1 Introduction**

56 Alzheimer's disease (AD) is the most common neurodegenerative disorder defined by a
57 progressive decline in memory and other cognitive functions [1]. This disease is
58 characterized by the deposition of extracellular Amyloid- β ($A\beta$) peptide in the brain,
59 leading to the formation of amyloid plaques, as well as by intracellular
60 hyperphosphorylation of τ protein forming neurofibrillary tangles [2]. Currently, the
61 aggregation of $A\beta$ into toxic protofibrils is considered the main key pathogenic event in
62 the onset of AD [3]. For this reason the strategy of inhibiting $A\beta$ aggregation appears to
63 be a valid disease-modifying therapy for AD [4].

64 Indole-derived compounds are present in significant quantities in food. Recently, a
65 revision work has reported their concentrations in fermented foods [5]. The appearance
66 of these compounds has been related to yeast metabolism [6]. Indeed, tryptophol (Phol)
67 is present in beer in concentrations of 0.242 mg/L [7] and serotonin (Ser) has been
68 determined in fermented products such as wines and beer in higher concentrations
69 ranging from 2.94 to 24.2 mgL⁻¹ [8, 9].

70 Melatonin (Mel) is a neurohormone secreted by the pineal gland. It is synthesized from
71 the essential dietary amino acid tryptophan (Tryp) through the Ser pathway (Figure 1A).
72 This molecule is involved in many physiological processes such as circadian rhythm,
73 antioxidant activity and regulation of the immune system [10-12]. Moreover, several
74 healthy properties have been attributed to this bioactive [13]. Concentrations of Mel
75 vary according to age. With the process of aging, a decline of several precursors of Mel

76 including Tryp and Ser occurs and their reduction may be linked to the appearance of
77 AD [14, 15]. Recently, the neuroprotective effect of Mel against A β aggregation has been
78 demonstrated [16]. Moreover, another work suggests a possible role of the indole ring
79 in the inhibitory properties of indole derivatives [17].

80 Emerging evidence shows the high potential of the vitagene system as a target for
81 neuroprotective strategies. Vitagenes are a group of genes involved in preserving
82 cellular homeostasis under stressful conditions. The heat shock proteins (Hsp)
83 (hemeoxygenase) HO-1/Hsp32, Hsp70 by the thioredoxin system and sirtuin proteins
84 form the vitagene family [18, 19]. Moreover, dietary antioxidants, such as polyphenols
85 (curcumin and resveratrol) have recently been demonstrated to be neuroprotective
86 through the activation of hormetic pathways, including vitagenes [20].

87 This study focuses on the protective properties of Mel and other indole-related
88 compounds involved in its synthesis against A β aggregation and toxicity. For this
89 purpose we have used different techniques: hioflavin T (ThT) essay, cell viability
90 (thiazolyl blue tetrazolium bromide [MTT]), western blotting, Transmission Electron
91 Microscopy (TEM), Circular Dichroism (CD), HPLC, NMR, quantitative PCR (qPCR) and HO
92 activity.

93

94 **2 Material and methods**

95 **2.1 Chemicals and standards**

96 Tryptamine (Trpa), Ser, Phol, N-acetylserotonin (Nser), Mel, ThT, DMSO, DMEM-
97 Glutamax, trypsin-EDTA, MTT, PBS, L-glutamine, fetal horse and fetal bovine serum,
98 streptomycin, tween 20, D (+)-sucrose, Tris-HCl, leupeptin hemisulphate, EDTA, β -
99 NADPH, glucose-6-phosphate, glucose-6-phosphate dehydrogenase, biliverdin
100 reductase, hemin and SIRT-1, SIRT-2, Hsp70, HO-1 and β -actin primers, were
101 purchased from Sigma Aldrich (Steinheim, Germany). 3-indoleacetic acid (3IA) and
102 uranyl acetate dihydrate were purchased from Fluka Biochemika (Steinheim,
103 Germany). $A\beta_{1-42}$ peptide was provided by Alexotech (Umeå, Sweden).
104 Na_2HPO_4/NaH_2PO_4 and NaCl were supplied by Panreac (Barcelona, Spain).
105 Pheochromocytoma (PC12) cells were supplied by American Type Culture Collection
106 (Manassas, USA). $A\beta$ N-terminal specific antibody 82E1 was obtained from IBL
107 (Minneapolis, Minnesota). $A\beta$ (1-16) monoclonal antibody 6E10 was purchased from
108 Covance (Japan). Anti-mouse IgG, HRP-linked antibody was supplied by Cell Signaling
109 Technology (Danvers, Massachusetts). HyperPAGE prestained protein marker,
110 TRIsure reagent and SensiFAST™ SYBR® No-ROX Kit were purchased from Bioline
111 (London, UK). L-trypt, SDS-Solution 10%, glycine, bromophenol blue and glycerol
112 anhydrous were purchased from AppliChem (Darmstadt, Deutschland). Pefabloc®SC
113 (AEBSF) and pepstatin were obtained by Roche (Basel, Switzerland).
114 2-Mercaptoethanol, 10× Tris/glycine/SDS (10× premixed electrophoresis buffer
115 containing 25 mM Tris, 192 mM glycine, 0.1% SDS, pH 8.3); 10× Tris/glycine (10×
116 premixed electrophoresis buffer), 4-20% Mini-PROTEAN® TGX Stain-Free gel, 15-well
117 precast polyacrylamide gel (8.6 × 6.7 cm) and Immun-Blot polyvinylidene fluoride

118 (PVDF) membrane were purchased from Bio-Rad (München, Germany). ECL 2 Plus
119 western blotting substrate and RevertAid First Strand cDNA Synthesis Kit were
120 sourced from Thermo Scientific (Rockford, USA). Carbon-coated grids (300 mesh,
121 copper) were purchased from EMS (Hatfield, United States).

122 **2.2 Measurement of A β aggregation and destabilisation using ThT assay**

123 The process of aggregation was monitored using the ThT assay. ThT is able to
124 assemble with misfolding proteins and emit fluorescence as a result of this union [21].
125 A stock solution of 221 μ M of A β_{1-42} protein was dissolved in buffer
126 (Na₂HPO₄/NaH₂PO₄ [25 mM] pH 7.4, 140 mM NaCl) and a stock solution of each indole
127 compound was prepared at 50 mM in DMSO.

128 With regard to the aggregation assay, A β_{1-42} (10 μ M final concentration) was mixed
129 in equal volumes with each compound (100 μ M final concentration) and ThT (25 μ M
130 final concentration) on black 96-well plates. ThT fluorescence was monitored using a
131 multi-detector microplate reader (Synergy HT, Biotek®) fluorescence
132 spectrophotometer set at 450 nm for excitation and 485 nm for emission
133 wavelengths. Fluorescence emission data were recorded every 2 hours over a 48-h
134 period. At the end of the experiment, samples were frozen at -80°C. These samples
135 were later used for electrophoresis and western blot purposes and TEM analysis. The
136 same protocol was used for the disaggregation assay, with the only difference that
137 the A β_{1-42} protein was previously fibrillated during 3 d at 37°C with continuous
138 agitation (400 rpm).

139

140 **2.3 Electrophoresis and western blot analysis**

141 15 μ L of samples (after inhibition and destabilisation assays) were diluted with 5 μ L
142 of Tris-Base (0.125 M), glycerol (20%, v/v), SDS (4%), 2- β -mercaptoethanol (10%, v/v)
143 and bromophenol blue (0.06%, w/v) buffer. After gel electrophoresis (4-20% precast
144 polyacrylamide) proteins were transferred to PVDF membranes by applying 310 mA
145 for at least 1 hour. The membrane was boiled for 5 minutes with PBS and then rapidly
146 cooled. The membrane was incubated with a mix of antibodies (6E10 and 82E1.
147 1/6000 dilution) overnight at 4°C. The samples were then cleaned with Tris Buffered
148 Saline with Tween and incubated with anti-mouse IgG antibody (1/10000 dilution) for
149 1 h. Subsequently, the membrane was cleaned with tris-buffered saline with Tween
150 and examined by chemiluminescence (Amersham Imager 600, GE Healthcare Life
151 Sciences).

152

153 **2.4 TEM images**

154 10 μ L of samples (after inhibition and destabilisation assays) were placed on a 300-
155 mesh carbon-coated grid and incubated for 5 minutes. The excess fluid was then
156 removed and the grids were negatively stained for 1.5 minutes with 5 μ L of a 0.5%
157 uranyl acetate solution. Excess fluid was removed and the samples were viewed using
158 a Zeiss Libra 120 transmission electron microscope operating at 80 kV.

159

160 **2.5 Sedimentation assays**

161 A β ₁₋₄₂ stock solution (1 mM) was prepared by solubilizing the lyophilized A β peptide
162 following a brief vortexing in sterile water at 4°C, followed by sonication for 1 minute.
163 This peptide stock solution was aliquoted and stored at -20 °C. All subsequent steps
164 were performed at 4°C in order to prevent any A β peptide polymerisation.

165 Ser (the most active compound) was solubilized in ethanol (stock solution of 1 mg mL⁻¹)
166 ¹), then diluted to reach a 100 μM final concentration. Aliquots were stored at -20°C,
167 and used the same day or during the following 2-3 days at the latest and added to a
168 solution of phosphate buffer (10 mM final concentration, pH 7.2) and Aβ peptide (100
169 μM final concentration). A typical experiment was performed on a reaction mixture
170 containing 80 μL phosphate buffer, 10 μL Aβ₁₋₄₂, and 10 μL ethanol without or with
171 Ser; sonication was performed for 5 minutes to avoid peptide aggregation as much
172 as possible at time t = 0 h. The solution was incubated at 15 °C for 3 d, prior to
173 centrifugation. The Aβ solution was centrifuged at 85000 rpm for 30 min at 15°C to
174 pellet insoluble Aβ. The pellet was discarded and the supernatant was analysed by
175 HPLC using UV detection at 220 nm on a LC Agilent Series 1200 system (Agilent
176 Technologies, Santa Clara, CA, USA) equipped with a ProntoSil C18 column (5 μm, 250
177 mm x 4.6 mm), (Bischoff, Leonberg, Germany).

178

179 **2.6 CD analysis**

180 Solutions of Aβ₁₋₄₂ with Ser were prepared according to the previously-described
181 protocol in sedimentation assay, that is, Section 2.5. CD spectra were acquired at
182 20°C, under an N₂ atmosphere in a quartz cell with a path length of 0.2 cm on a JASCO
183 J-815 spectropolarimeter (Jasco, Essex, UK), equipped with a Peltier device for
184 temperature control. Near-UV-CD spectra were measured between 200 and 250 nm
185 at a scanning speed of 50 nm minute⁻¹. Data collection was repeated five times,
186 scanning from 250 to 190 nm.

187

188 **2.7 NMR analysis**

189 NMR analysis of the interaction between A β ₁₋₄₂ and Ser was performed in DMSO-*d*₆
190 to prevent fast A β aggregation in the NMR concentration range. NMR samples were
191 prepared by dissolving the A β ₁₋₄₂ peptide to a 0.5 mM concentration in 500 μ L of
192 DMSO-*d*₆; Ser was dissolved in 300 μ L of DMSO-*d*₆ at a concentration of 60 mM.
193 Titration experiments were performed by adding small amounts of Ser to the peptide
194 solution. Under the experimental conditions, the self-associations of Ser and A β were
195 not significant (data not shown). All 1D and 2D NMR spectra were recorded on a
196 Bruker Avance 600 MHz spectrometer, and calibrated using TSP-d4 as an internal
197 standard for proton chemical shifts. NMR experiments were recorded at 300 K, and
198 data were processed using TOPSPIN software (Bruker Topspin). The sequence-
199 specific assignment of the A β ₁₋₄₂ was obtained using 2D total correlation spectroscopy
200 (TOCSY) and nuclear Overhauser effect spectroscopy experiments.

201 **2.8 PC12 cell culture and MTT assay**

202 Rat PC12 cells were obtained from the American Type Culture Collection. They were
203 maintained in 75 cm² culture flasks containing 20 mL of DMEM Glutamax
204 supplemented with 100 units mL⁻¹ penicillin, 100 mg mL⁻¹ streptomycin, 15% fetal
205 horse serum and 2.5% fetal bovine serum at 37 °C with 5% CO₂. Cells were passaged
206 every 3-4 days and detached from flasks using trypsin-EDTA. Cell counts were
207 performed using trypan blue to stain non-viable cells.

208 A β ₁₋₄₂ (5 μ M final concentration) and indole-derived compounds (50 μ M final
209 concentration) were diluted with serum-free culture medium and incubated with
210 cells (at a density of 30000 cells well⁻¹) for 24 hours (without pretreatment [NPT]
211 modality) or incubated for 24 h on a thermoblock with continuous agitation and then
212 exposed to PC12 cells for 24 h (pretreatment [PT] modality) in order to screen the

213 neuroprotective effect of compounds. Cell viability was determined by using the MTT
214 reduction assay. Cells were treated with 0.5 mg mL⁻¹ MTT for 3 hours at 37°C. The
215 dark blue formazan crystals that formed in intact cells were solubilized with DMSO
216 for half an hour. The absorbance was measured at 540 nm with a microplate reader
217 (Synergy HT, Biotek). Results were expressed as the cell viability percentage in
218 relation to the viability of control cells (100%). All experiments were repeated at least
219 in triplicate and performed in quadruplicate.

220 **2.9 qPCR Analysis**

221 Total RNA of PC12 cells after different treatments (A β alone/ A β + Ser [50 μ M/ Ser 50
222 μ M] alone) was extracted using the TRIsure reagent (Bioline) and following the
223 manufacturer's instructions. Using the RevertAid First Strand cDNA Synthesis Kit
224 (Thermo Scientific), 1 μ g of the total RNA was transformed into cDNA. qPCR was
225 performed using the SensiFAST™ SYBR® No-ROX Kit (Bioline) and using SIRT-1, SIRT-
226 2, Hsp70 and HO-1 primers (β -actin was used as a housekeeping gene) (Table 1).
227 Results were calculated using the delta Ct method and represented as absolute
228 values with arbitrary units.

229 **2.10 HO activity**

230 HO activity was determined by the ability to generate bilirubin from heme in the
231 presence of biliverdin reductase and measured spectrophotometrically [22]. In brief,
232 PC12 cells treated under different conditions (A β alone/ A β + Ser [50 μ M]/ Ser [50
233 μ M] alone) were washed with cold PBS and collected in PBS-EDTA (1 mM, pH 8) and
234 50 μ g mL⁻¹ of AEBST. Cells were disrupted by sonication and centrifuged at 105000g
235 for 60 min at 4°C in order to obtain microsomal proteins. The protein concentration
236 was then calculated using BSA as a standard. Microsomes (50 μ g) were added to a

237 reaction mixture containing: biliverdin reductase ($0.5 \mu\text{g } \mu\text{L}^{-1}$), 1 mM β -NADPH, 2 mM
238 glucose-6-phosphate, 1U glucose 6-phosphate dehydrogenase, 25 μM hemin, 0.25 M
239 sucrose, 20 Mm Tris-HCl, pH 7.4. The reaction mixture was incubated at 37°C in the
240 dark for 1 hour. The reaction was stopped by adding 0.1 mL of chloroform, after
241 centrifugation ($15000 \times g$), the extracted bilirubin in the chloroform layer was
242 measured by the difference in absorbance between 463 and 530 nm and using an
243 extinction coefficient of 40 mM cm^{-1} for bilirubin. HO activity was expressed as
244 pmoles of bilirubin formed per milligram of microsomal protein per hour.

245

246 **2.11 Statistical analysis**

247 For cell viability measurements, SIRT-1, SIRT-2, Hsp 70 and HO-1 expression and HO
248 activity One-way analysis of variance test ($p < 0.05$) was used [23].

249

250 **3 Results**

251 **3.1 Inhibitory effects of indolic compounds on $\text{A}\beta_{1-42}$ aggregation**

252 Eight indole-derived compounds (Figure 1A) were investigated: Tryp, Trpa, Ser, NSer,
253 Phol, 3IA, Mel and Tee.

254 To determine whether indolic compounds inhibit the assembly of $\text{A}\beta$ into filaments,
255 ThT assay was used. ThT fluorescence is correlated to β -sheet formation and to fibril
256 formation [21]. As illustrated in Figure 1B, the incubation of $\text{A}\beta_{1-42}$ at 37°C with
257 continuous stirring, showed a rapid increase in the ThT fluorescence in the first hour
258 of the experiment, the typical lag phase of aggregation process taking place in the
259 first ten minutes of the experiment. This observation can be explained due to the use
260 of continuous agitation conditions and the presence of salts. Comparable results

261 described a lag time of 3 minutes using similar experimental conditions [24]. The
262 progressive increase in ThT fluorescence over 50 hours indicates the formation of β -
263 sheet structures of $A\beta_{1-42}$, sufficient this time for the aggregate formation to be
264 observed. This result was confirmed by TEM images (Figure 2B).

265 To evaluate the inhibitory capacity of indole-derived compounds, an initial screening
266 was undertaken. ThT fluorescence assay was used to measure the amount of amyloid
267 fibrils after the addition of a fixed concentration of each compound (100 μ M). The
268 screening revealed that only Ser significantly caused a dramatic reduction of
269 aggregation (Figure 1B). Ser inhibits around 80% of the β -sheet formation at 100 μ M.

270 This result is comparable to the effect on $A\beta_{1-42}$ fibril formation of different
271 polyphenols such as catechin and curcumin which had been previously studied [25].

272 In addition, the inhibitory effect of Ser at different concentrations has been
273 examined. $A\beta_{1-42}$ was co-incubated with 10, 50 and 100 μ M of Ser. Fifty and 100 μ M
274 of Ser were the active concentrations that disrupted fibril formation, presenting a
275 significant inhibition of 30 and 80%, respectively compared to control. In contrast, at
276 a concentration of 10 μ M no inhibitory effect was observed (Figure 2A).

277 To confirm these results, TEM experiments were used to visualize the morphologies
278 of $A\beta_{1-42}$ in the presence or absence of Ser. When $A\beta_{1-42}$ was incubated alone for
279 50 hours, aggregates were observed whereas samples containing $A\beta_{1-42}$ and 50 and
280 100 μ M of Ser were devoid of fibrils and contained only a very small amount of
281 aggregates (Figure 2B). The number of aggregates decreased, therefore, as the
282 concentration of Ser increased, this being a dose-dependent effect.

283 To monitor the efficacy of Ser to destabilise $A\beta_{1-42}$ fibrils, different concentrations of
284 Ser were added to pre-formed $A\beta_{1-42}$ fibrils and ThT fluorescence was measured over

285 48 hours. Our results have revealed that Ser destabilises pre-formed A β fibril in a
286 dose-dependent manner (65, 52, and 22%, for 100, 50 and 10 μ M, respectively)
287 (Figure 3A). These results were confirmed by TEM experiments after ThT assays. TEM
288 observation of A β ₁₋₄₂ pre-formed fibrils shows huge amounts of A β fibrils (Figure 3B).
289 When A β ₁₋₄₂ pre-formed fibrils were co-incubated with increasing concentrations of
290 Ser, the number of fibrils decreases.

291

292 **3.2 Sedimentation assay**

293 Sedimentation assays were performed to ensure that Ser inhibits the formation of
294 insoluble A β aggregates. A β incubated alone or A β co-incubated with Ser were
295 analysed by HPLC. Incubated solutions were previously centrifuged in order to
296 remove insoluble aggregates and the supernatants were then analysed by HPLC.
297 Fresh A β , probably monomer, was eluted at a retention time of 3.8 min as a single
298 peak (Figure 2D). After 3 days of incubation at 15°C, the monomer peak disappeared.
299 The chromatogram shows the presence of limited amounts of A β ₁₋₄₂ in the soluble
300 fractions compared to chromatogram of freshly-prepared A β because fibrils were
301 spun out by centrifugation. After co-incubation with Ser, a single peak at retention
302 time of 3.8 min was observed and attributed to soluble A β species (Figure 2D). This
303 observation confirms that Ser inhibits the conversion of soluble A β into insoluble
304 fibrils.

305

306 **3.3 Western blot analysis.**

307 In order to investigate whether Ser could interfere in A β ₁₋₄₂ fibril formation, samples
308 originating from ThT inhibition assays were used for analysis by Western Blot. 6E10

309 and 82E1 antibodies (reactive to amino acid residue 1-16 of beta amyloid), were used
310 to detect selectively A β monomers, oligomers and fibrils with the aim of assessing
311 the size distribution which were present in ThT samples.

312 With regard to the A β_{1-42} aggregation inhibition experiment, we have analysed A β_{1-42}
313 alone and A β_{1-42} with Ser at the two active concentrations (50 and 100 μ M). Figure
314 2C showed that when the A β_{1-42} was incubated with 100 and 50 μ M of Ser, the signals
315 are more intense in the bands corresponding to 3.5-15 KDa (monomers and dimers
316 of A β) in comparison with A β alone. Moreover, an intense band at 125 kDa (Figure
317 2C) appears for A β alone and not for Ser at both concentrations tested, proving the
318 fibril formation is inhibited by Ser.

319

320 **3.4 CD experiments**

321 To examine and compare conformational changes in secondary structure, the
322 structural behavior of A β_{1-42} , with or without Ser, was monitored using CD
323 spectrometry. Figure 2E shows the far-UV CD spectra of protein before and after 3
324 days of incubation with or without Ser. The freshly-prepared A β is an unstructured
325 protein with a major negative peak around 200 nm, characteristic of random coil.
326 With incubation, A β alone adopts a β -sheet-structure as shown by negative peaks in
327 the 215-220 nm region which is characteristic of an aggregated protein, consistent
328 with the literature [26, 27]. During co-incubation with Ser, A β remained in random
329 coil after 3 days of incubation. Ser prevents the conformational transition from
330 random coil to β -sheet-structure during the fibrillation process.

331

332 **3.5 NMR analysis**

333 The NMR approach was used to obtain data about the specific interaction between
334 A β ₁₋₄₂ and Ser. NMR analyses were performed in DMSO-*d*₆ to prevent fast A β
335 aggregation in the NMR concentration range [28]. To monitor the interaction,
336 titration experiments were performed by adding small amounts of Ser to the peptide
337 solution and monitored using NMR spectra [29]. A β ₁₋₄₂ resonances were attributed
338 by mean of 2D-NMR experiments. The addition of Ser to a solution of A β induced
339 chemical shift variations of peptide resonances (Figure 2F). Many of the proton
340 signals of A β ₁₋₄₂ (Val24, Asp7, Asp23 and Tyr10) shifted to a higher field, with
341 saturable binding process indicating a direct interaction and a specific binding
342 between A β and Ser (Figure 2F). Binding constants in solution were determined by
343 fitting the chemical shift changes of amide and aromatic protons whose variations
344 have been measured unambiguously without overlapping. Dissociation constants
345 (K_d) were determined by curve fitting with a one-site saturation and nonspecific
346 binding model. The dissociation constant values obtained from the chemical-shift
347 changes of Val24, Asp7, Asp23 and Tyr10 were 1.9 ± 1.2 , 5.4 ± 1.9 , 0.5 ± 0.2 ,
348 4.1 ± 0.6 mM, respectively.

349

350 **3.6 Neuroprotection against A β toxicity in PC12 cells by indolic compounds.**

351 MTT Reduction was employed as a cell viability indicator (PC12 cells), extensively
352 used as a quantitative and consistent colorimetric assay [30]. First, the toxicity on
353 PC12 cells of each compound was monitored (supplementary data). Protective
354 effects against A β -induced toxicity of the eight indole compounds were measured.
355 To this aim, we tested two different treatments in order to establish the protocol to
356 apply. On the one hand, the whole compounds were tested NPT: A β ₁₋₄₂ (5 μ M final

357 concentration) and compounds (50 μ M final concentration) were mixed and
358 incubated directly with cells for 24 hours. On the other hand, with P: A β ₁₋₄₂ (5 μ M)
359 and compounds (50 μ M) were mixed and incubated for 24 hours in agitation at 37°C
360 and then incubated with cells for 24 hours. Finally, the cell viability through MTT assay
361 was performed.

362 Figure 4 illustrates cell viability expressed as a percentage relative to the untreated
363 control cells. After exposure to A β ₁₋₄₂ alone, viability decreased by around 50%
364 compared with the control. Results between the two treatments show that PT
365 protocol increased significantly ($p < 0.05$; $p < 0.01$) cell viability for the majority of
366 compounds. Tryp and Tee did not present any neuroprotective effect. The increase
367 in cell viability exhibited is ranked in the following order: Mel > Ser > Trpa > Nser >
368 3IA > Phol. Mel is the most active compound followed by Ser (cell viability 25% and
369 24%, respectively). In this case a significant increase of cell viability was observed with
370 both protocols, augmentation being greater with PT than with NPT (cell viability 75%
371 and 60%, respectively).

372 To confirm the protective effect of Ser, its effect at different concentrations (1, 5, 10
373 and 50 μ M) against A β -induced toxicity was performed using PT protocol. Ser
374 presents a dose-dependent increase of cell viability higher for high concentrations,
375 as proved by one-way analysis of variance test, augmentation ranging between 4%
376 to 25% ($p < 0.001$; $p < 0.0001$) (Figure 5A).

377

378 **3.7. SIRT-1, SIRT2, Hsp 70 and HO-1 expression by Ser**

379 In order to prove the mechanism involved in the neuroprotective properties of Ser,
380 the gene expression of SIRT-1, SIRT-2, Hsp 70 and HO-1, which are genes involved in

381 vitagenes system, were analyzed. As Figure 5B, C and D 5C show Ser (50 μ M)
382 incubated with A β increased SIRT-1 (2.6 fold), SIRT-2 (2.3 fold) and Hsp70 gene
383 expression significantly ($p < 0.01$; $p < 0.001$), compared to the controls (untreated cells
384 and A β incubated alone). In the case of HO-1 gene expression significant differences
385 were only observed when cells were incubated with Ser alone (Figure 5E).

386

387 **3.8. HO activity by Ser**

388 Furthermore, the microsomal HO activity in the same experimental setting described
389 (Section 2.10) was determined. A significant increase of HO activity was observed
390 when cells were treated with Ser and A β in comparison with untreated cells and A β
391 (positive control). Furthermore, the incubation with Ser alone also induced a
392 significant increase in HO activity (Figure 5F).

393

394 **4 Discussion**

395 The inhibition of aggregation or the disaggregation of A β oligomers and fibrils by
396 bioactive compounds present in food and beverages are widely studied in the
397 prevention and treatment of AD. In this context, polyphenols such as curcumin,
398 myricetin, morin, quercetin, kaempferol (+)-catechin, (-)-epicatechin,
399 nordihydroguaiaretic acid, tannic acid and stilbenes have been investigated
400 extensively [31-36]. Furthermore, the role of different plant and food extracts has
401 also been investigated, presenting satisfactory effects against A β toxicity [37-39].

402 The presence of bioactive compounds in fermented beverages has long been
403 observed and they have been studied with great attention. Yeast transforms certain
404 molecules such as amino acids into biologically active compounds. Among these, the

405 case of amino acid Tryp is of interest, since it is the precursor of at least three
406 biologically active compounds: Mel, Ser and Phol [6]. Mel appears in fermented
407 products in order of ng-pg mL⁻¹. However, the concentrations of Phol or Ser are higher
408 (mg L⁻¹). Their occurrence in foods and beverages contribute to a dietetic intake that
409 might exert beneficial effects [5].

410 Very few studies have probed the inhibitory effect of indole compounds on the A β
411 fibrillation process. Mel has been the most studied indole compound and many
412 pharmacological properties have been endorsed [13]. It shows a potent antioxidant
413 activity [40], promotes the synthesis of numerous endogenous antioxidant enzymes
414 [41] and improves mitochondrial energy metabolism [42].

415 With regard to the disruption or the destabilisation of A β oligomers and fibrils, our
416 work demonstrates through ThT assays and confirmed by TEM and Western blot
417 analysis that Ser is a potent inhibitor for both processes (an effect of 80-65%
418 respectively), leading to the formation of soluble species, as indicated by
419 sedimentation assays. These findings show similar effects reported by other
420 polyphenols of around 70-80% on the inhibition of A β aggregation (resveratrol, piceid
421 and rosmarinic acid) [36, 43]. In contrast with our results, Phol and 3IA have been
422 reported to present an inhibitory effect using lysozyme (protein with similar
423 aggregation pattern of A β). However, in this work, which uses A β peptide as a model,
424 no effects have been observed. Moreover, and in accordance with our own work,
425 Tryp and Mel did not provide any effect on the A β fibrillation process [16, 17].

426 In presence of Ser the decrease in ThT fluorescence began between 20-25 hours into
427 the experiment, the moment when the elongation phase was occurring and
428 consequently oligomers and protofibrils have been formed [44]. Based on other

429 reports, compounds that interfere in the elongation phase but not in the lag phase,
430 can inhibit protein assembly by blocking the interstrand hydrogen bond formation
431 and not by stabilising the non-amyloidogenic conformations of the proteins [45]. CD
432 experiments indicate that Ser could perturb the backbone of $A\beta_{1-42}$, inducing the
433 remodeling of peptide assemblies into unstructured and less toxic aggregates as
434 previously indicated [27].

435 Furthermore, the majority of compounds tested protect against $A\beta$ -induced neuronal
436 death, the most active compounds being Mel and Ser. Ser increases cell viability in
437 the first 24 hours of $A\beta$ fibrillogenesis (Ser + $A\beta_{1-42}$ over 24 hours with agitation [PT])
438 when amyloid oligomers and fibrils were already formed (Ser + $A\beta_{1-42}$ PT + 24 hours).

439 *In vivo* results have demonstrated that the infusion of Ser directly into the
440 hippocampus of a mouse model of AD reduced brain interstitial fluid $A\beta$ levels by 35%
441 over an 8-hour period compared with vehicle-treated mice. Furthermore, this work
442 revealed the implication of Ser signalling for altering $A\beta$ levels and plaques not only
443 in mice but also in humans [46].

444 Our data shown that Mel is unable to inhibit $A\beta$ aggregation. It is, however, the
445 compound which presents the highest neuroprotective potential against $A\beta$ -induced
446 toxicity. *In vivo* studies have previously demonstrated the capacity of Mel to reduce
447 $A\beta$ -induced oxidative stress related to reactive oxygen species (ROS) and
448 proinflammatory cytokines, such as IL6 and IL1- β . Moreover, Mel has exhibited
449 protection from damage and death in brain neurons by increasing viability in
450 hippocampal neurons and glial cells after treatment with $A\beta_{1-40}$, $A\beta_{25-40}$, and $A\beta_{1-28}$
451 and in murine N2a neuroblastoma and PC12 cells by using $A\beta_{25-35}$ [47, 16]. Our

452 results, in accordance with ref.16, show an increase of cell viability around 20-25% by
453 Mel.

454 Moreover, our data shows that Ser increased SIRT-1, SIRT-2 and Hsp70 gene
455 expression, this being a possible mechanism involved in the neuroprotection
456 properties observed against A β -induced toxicity. It is known that, during AD, the
457 down-regulation of the expression level of SIRT-1 activates NF κ B, which mediates
458 inflammatory pathway and A β toxicity [48,49]. Evidence for the benefits of SIRT-1 in
459 brain aging includes the finding that increased SIRT-1 activity protects against A β
460 toxicity in cell cultures and neurodegeneration in the p25/CDK5 mouse model [50].
461 SIRT-2, also acting via NF κ B, is considered an emerging target in neurodegenerative
462 processes as well. Additionally, SIRT2 reduces cellular oxidative stress by promoting
463 Forkhead box O (FOXO) transactivation activity to increase the expression of the
464 antioxidant mitochondrial superoxide dismutase and reduce the cellular ROS levels
465 [51]. Several studies have demonstrated that the overexpression of Hsp70 inhibits
466 the aggregation of A β , and protects neurons from intracellular accumulation of A β
467 through promoting its clearance.

468 This work has demonstrated that the co-incubation of Ser cells (with or without A β)
469 produce an increase on HO activity. However, we have not determined an increase
470 in HO-1 mRNA expression levels. HO activity does not necessarily correlate with
471 mRNA levels, partly because HO measures both HO-1 and HO-2 without
472 discriminating between them [52]. HO-1 induces the nuclear factor-erythroid 2
473 (Nrf2), one of the most important systems that enhance cellular protection against
474 oxidative stress. Nrf2 drives transcription of many of the genes important to free

475 radical scavenging, especially those involving the critical tripeptide glutathione or
476 production of the free radical scavenger bilirubin [53].

477 Several studies have demonstrated that other bioactive food compounds such as
478 resveratrol and curcumin act by modulating redox-dependent mechanisms leading
479 to up-regulation of vitagenes in brain. Thus, resveratrol has been proved to increase
480 HO-1 expression in PC12 cells and primary neuronal cultures, presumably through
481 the activation of Nrf2 [54-55]. In addition, an overexpression of SIRT1 was produced
482 by resveratrol, reducing A β -stimulated NF κ B signaling, proving its strong
483 neuroprotective effects in AD [56].

484 Furthermore, curcumin has been shown significantly to increase HO-1 in brain cells
485 [57]. This latter effect on HO-1 can explain, at least in part, the anti-oxidant properties
486 of curcumin, in particular keeping in mind that HO-1-derived bilirubin has the ability
487 to scavenge both ROS and Reactive Nitrogen Species (RNS) [58].

488 Additionally, Mel was shown to increase the expression of SIRT1 in aged rats' dentate
489 gyrus, suggesting a neuroprotective role of this indolic compound through the SIRT1
490 pathway during aging [59]. Other authors have shown that melatonin treatment
491 effectively preserved the relative protein levels of SIRT1 in the hippocampus of
492 completely sleep-deprived rats and via a SIRT-dependent suppression of excessive
493 inflammation and oxidative stress [60].

494 Our work proves that, being the most active molecule of the set of 8 indolic
495 compounds tested, Ser has a neuroprotective effect against A β insult. Despite the
496 fact that there might be other bioactive compounds present in foods in larger
497 amounts, one of the advantages of Ser is that it is commonly found naturally in the

498 target organ. In addition, our RMN results prove a strong interaction between A β -
499 Ser that has to be considered when evaluating the *in vivo* potential of other
500 bioactives, due to the fact that Ser concentration may fluctuate. Additionally, it has
501 been demonstrated that Ser increased SIRT-1, SIRT-2 and Hsp70 gene expression and
502 HO activity, this being a possible mechanism involved in the neuroprotection
503 properties observed against A β -induced toxicity.

504 R.H.O., T.R., M.C.G.P. and A.M.T. designed the research; R.H.O., A.B.C. and G.D.C.
505 conducted the research; R.H.O., G.D.C., A.B.C., A.M.T., T.R., and M.C.G.P. analysed
506 the data and wrote the paper. All the authors read and approved the final manuscript.
507

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519

520 The authors declare no conflict of interest.

521

522 **5 References**

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704 **Figure captions**

705 **Figure 1.** Inhibition of A β fibrils by indolic compounds (Tryp, Trpa, Ser, NSer, Phol,
706 3IA, Mel and Tee): (A) Tryp metabolism and intermediates involved in the synthesis
707 of Mel; (B) Kinetics of A β_{1-42} (10 μ M) polymerisation in absence (control) and
708 presence of indolic compounds (100 μ M) measured by ThT assay.

709 **Figure 2.** Inhibition of A β fibrils by Ser: (A) kinetics of A β_{1-42} (10 μ M) polymerisation
710 in presence of Ser (10, 50 and 100 μ M) measured by ThT assays; (B) TEM observation
711 of A β_{1-42} in absence (left) or in presence (right) of 50 and 100 μ M Ser after 50 h
712 incubation; (C) Effects of Ser at 50 and 100 μ M on the inhibition of A β fibrils tested
713 by Western-blot; (D) Sedimentation assays in the absence and presence of Ser (Ser
714 to A β_{1-42} ratio 1:1); (E) CD spectra of A β_{1-42} in the absence and presence of Ser (Ser to
715 A β_{1-42} ratio 1:1); (F) NMR spectra (left) and proton chemical shift changes (right) of
716 A β_{1-42} in the absence and presence of different concentrations of Ser.

717 **Figure 3.** Destabilisation of A β fibrils by indolic compounds: (A) Kinetics of pre-formed
718 A β_{1-42} (10 μ M) destabilisation in absence (control) and presence of Ser (10, 50 and

719 100 μM) measured by ThT assays; (B) TEM observation of pre-formed $\text{A}\beta_{1-42}$ in
720 absence (left) or in presence (right) of 50 and 100 μM Ser, after 50 h incubation.

721 **Figure 4.** Inhibitory effects of Tryp, Trpa, Ser, Nser, Phol, 3IA, Mel and Tee (50 μM)
722 against $\text{A}\beta_{1-42}$ cytotoxicity (5 μM) on PC12 cells, without pretreatment (NPT) and with
723 pretreatment of 24 hours (PT). Results are expressed as mean SEM of four replicates
724 ($n = 4$). * $p < 0.05$; ** $p < 0.01$ $\text{A}\beta_{1-42}$ versus compounds.

725 **Figure 5.** (A) Inhibitory effect of Ser at different concentrations on $\text{A}\beta_{1-42}$ (5 μM)
726 induced-cytotoxicity on PC12 cells, with pretreatment of 24 hours (PT) against $\text{A}\beta$
727 toxicity. Results are expressed as mean SEM of four replicates ($n = 4$). * $p < 0.05$; **
728 $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$ $\text{A}\beta_{1-42}$ versus Ser at different concentrations. (B)
729 SIRT-1 gene expression (A.U); (C) SIRT-2 gene expression (A.U); (D) Hsp70 gene
730 expression (A.U); (E) HO-1 gene expression (A.U) of $\text{A}\beta$ incubated alone (5 μM) or with
731 Ser (50 μM) and Ser (50 μM) alone; (F) HO activity expressed by pmoles of bilirubin/mg
732 of protein/hour of $\text{A}\beta$ incubated alone (5 μM) or with Ser (50 μM) and Ser (50 μM)
733 alone. Superscript letter ^a indicates a significant difference between C vs $\text{A}\beta$;
734 superscript letter ^b indicates a significant difference between C vs Ser 50 μM + $\text{A}\beta$;
735 superscript letter ^c indicates a significant difference between C vs Ser 50 μM ;
736 superscript letter ^d indicates a significant difference between $\text{A}\beta$ vs Ser 50 μM + $\text{A}\beta$;
737 superscript letter ^e indicates a significant difference between $\text{A}\beta$ vs Ser 50 μM .

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741 **Figure 1**

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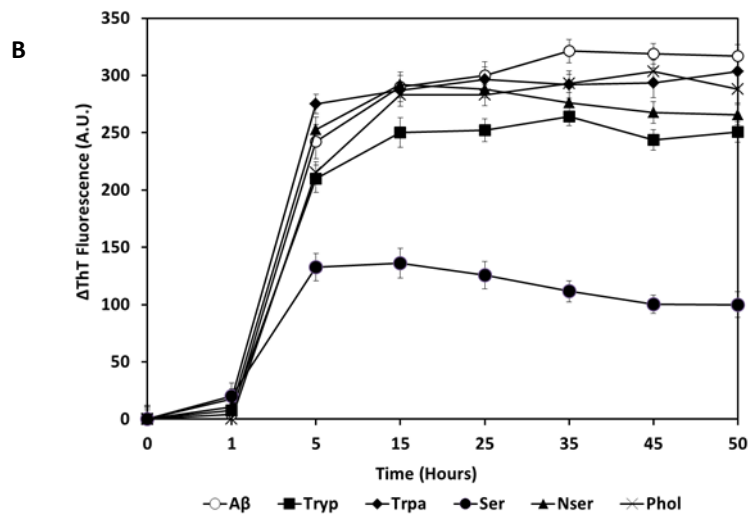
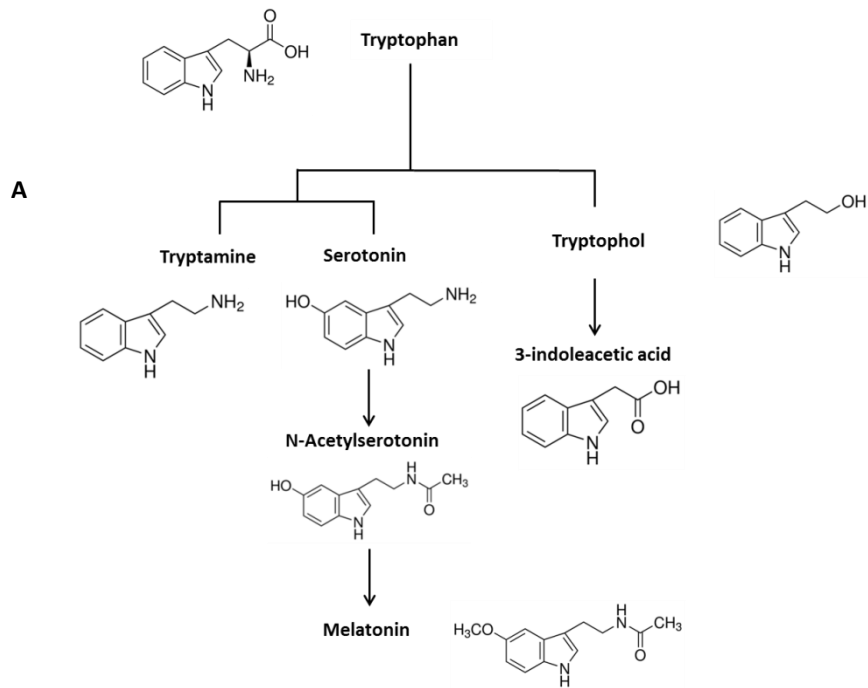
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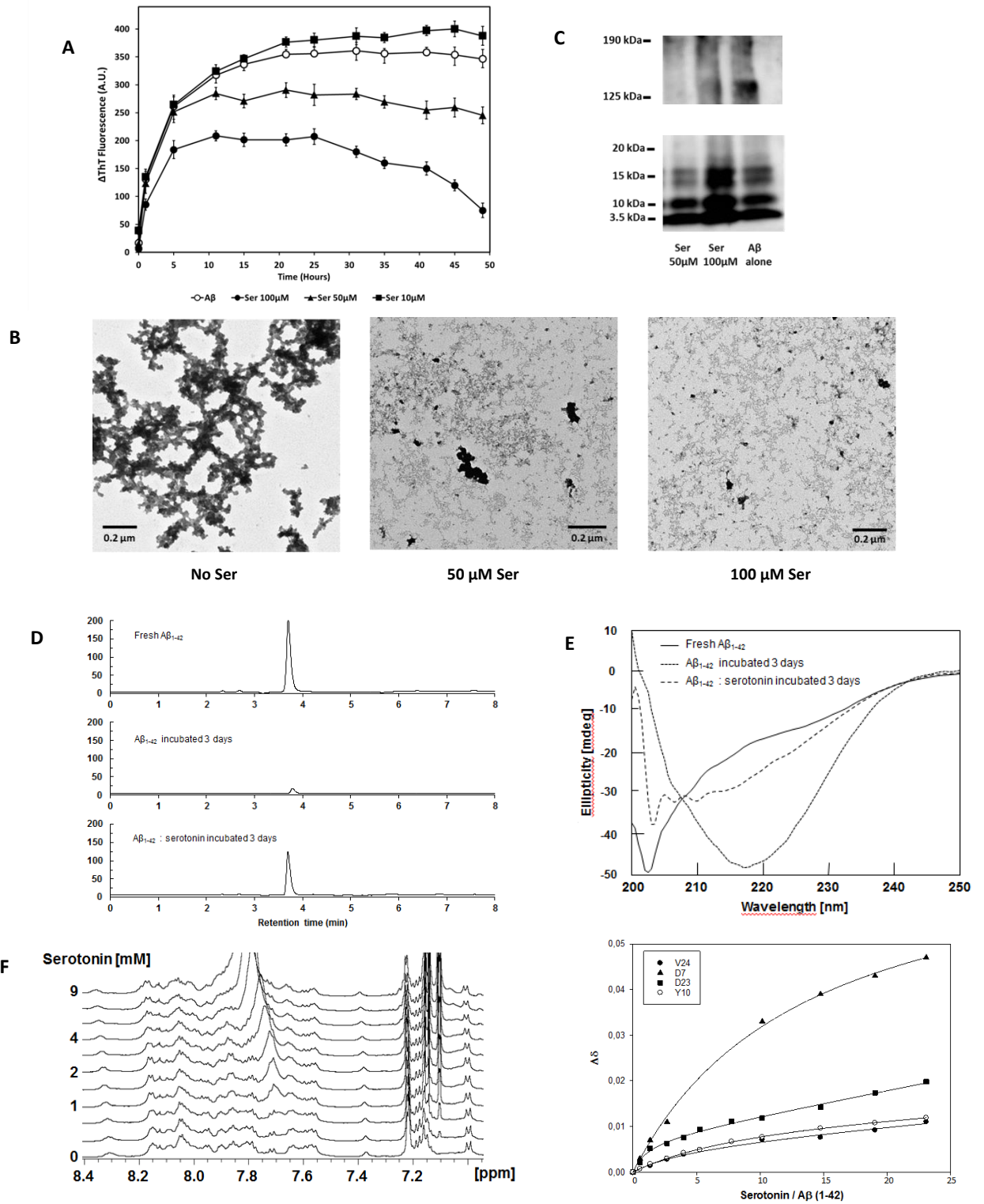
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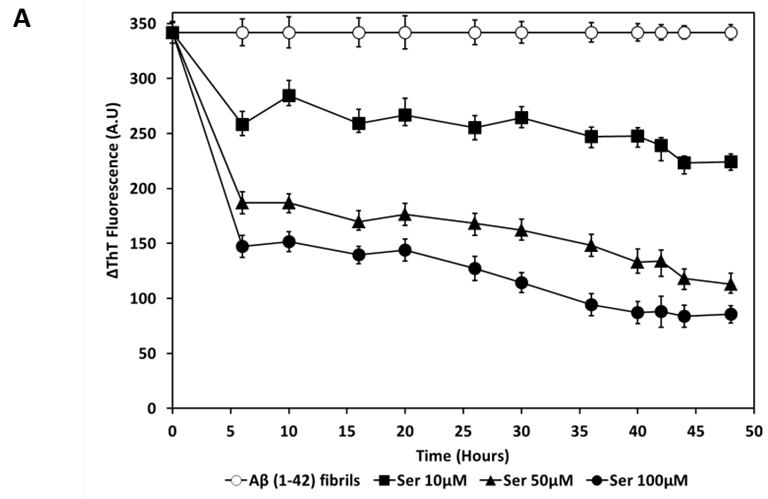
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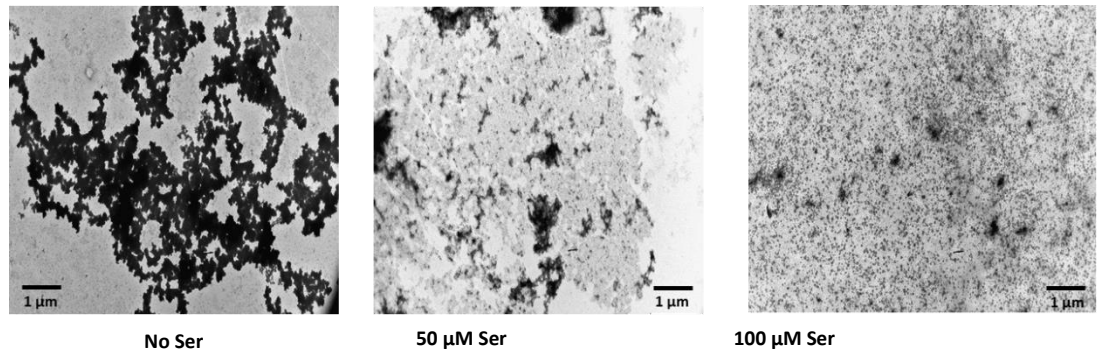
Figure 2



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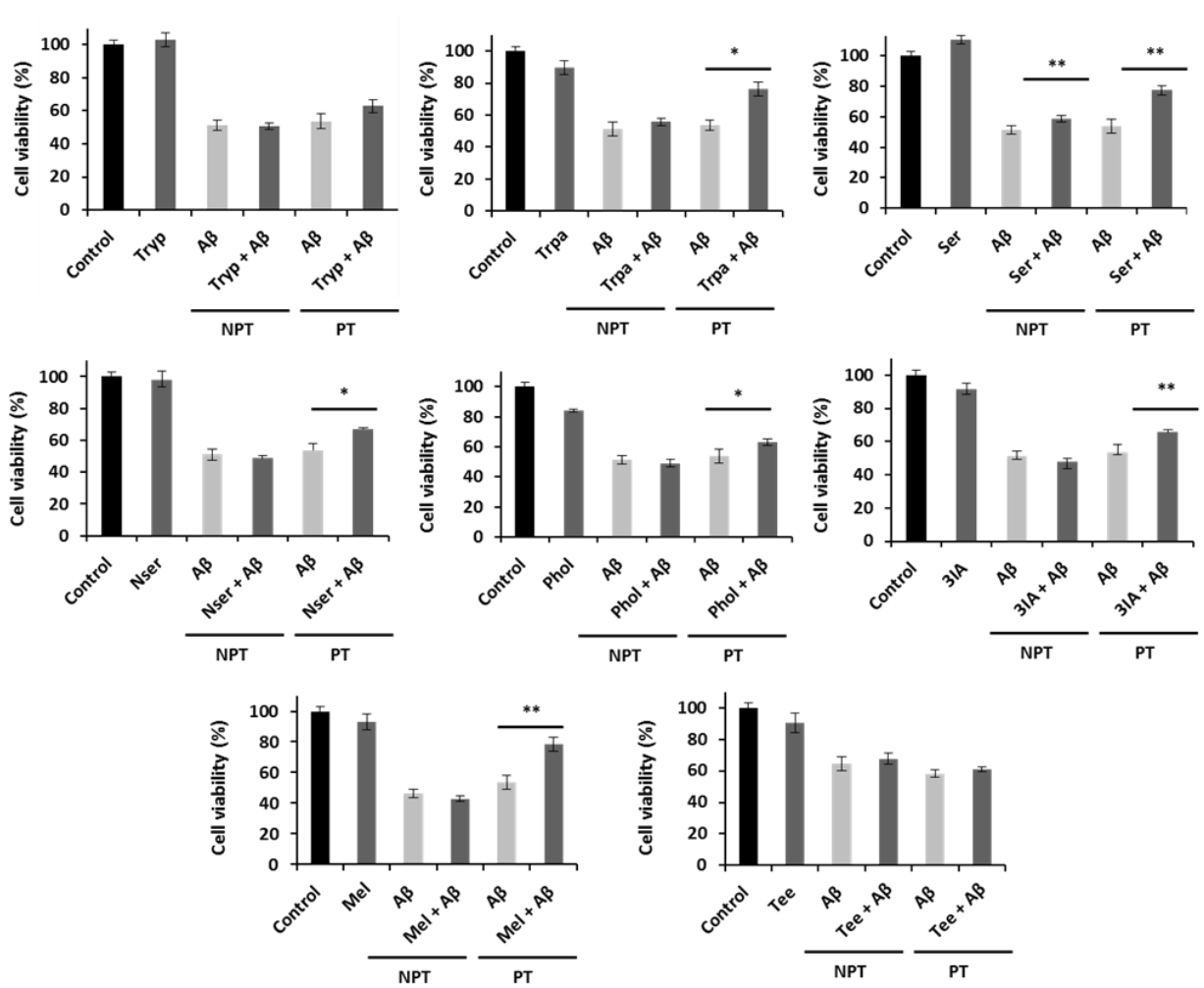
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772 **Figure 4**

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775 **Figure 5**

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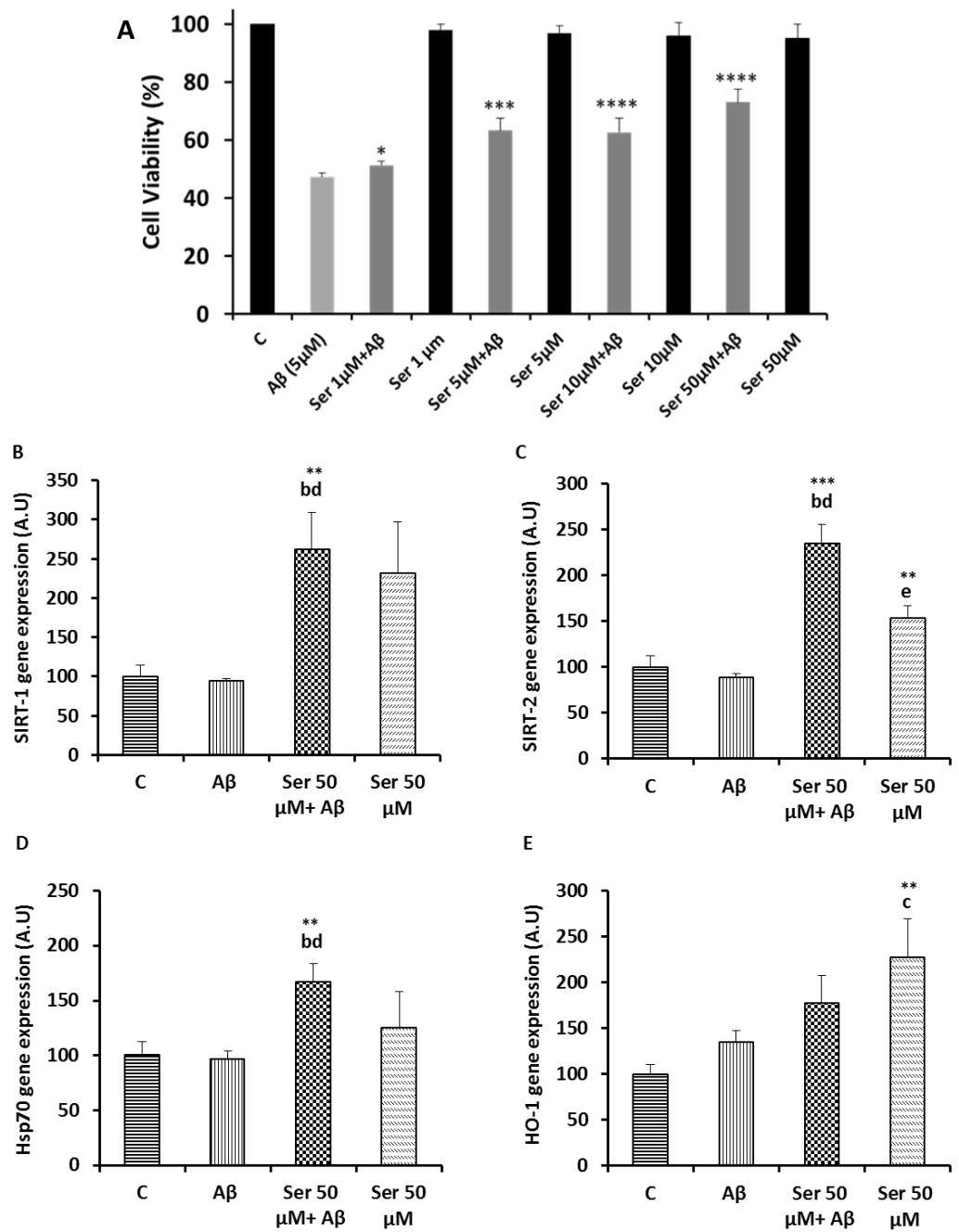
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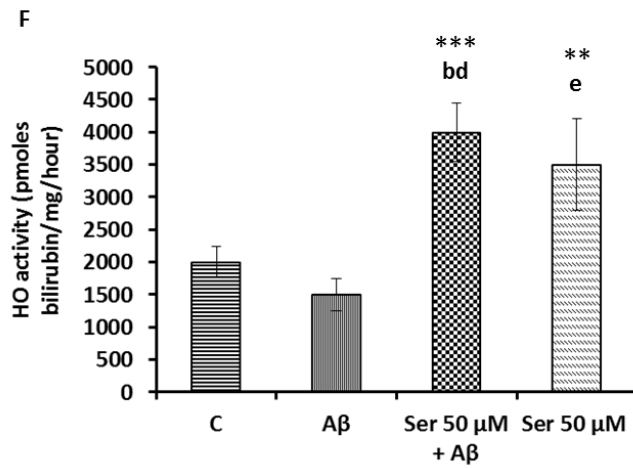
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800 **Table 1.** Primers for RT-PCR.

| mRNA | Primers |
|---------------------------------|---|
| β-actin | Forward: 5'-TGTGATGGTGGGAATGGGTCA-3' Reverse: 5'-TTTGATGTCACGCACGATTTCC-3' |
| SIRT-1 | Forward: 5'TCATTCTGTGAAAGTGATGACGA-3' Reverse: 5'-GCCAATCATGAGGTGTTGCTG-3' |
| SIRT-2 | Forward: 5'-TACCCAGAGGCCATCTTTGA-3' Reverse: 5'-TGATGTGTGAAGGTGCCGT-3' |
| Hsp70 | Forward: 5'- GGGCTCTGAGGAACCGAGC-3' Reverse: 5'-CAGCCATTGGCGTCTCTC-3' |
| HO-1 | Forward: 5'-ACTTTCAGAAGGGTCAGGTGTCC-3' Reverse: 5'-TTGAGCAGGAAGGCGGTCTTAG-3' |

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