

1 **Understanding gold nanoparticles interactions with chitosan: crosslinking agents**
2 **as novel strategy for direct covalent immobilization of biomolecules on metallic**
3 **surfaces**

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31 **Abstract**

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33 The development of a new method for covalent immobilization of biomolecules on the
34 surface of bare gold nanoparticles (AuNPs) by using crosslinking agents in one step is
35 presented. A very compatible biopolymer such as chitosan has been used as a target
36 molecule to probe the viability of the proposed methodology. Click chemistry, based on
37 biocompatible reactions and coupling with 1-Ethyl-3-(3-dimethylaminopropyl)
38 carbodiimide hydrochloride and N-hydroxysuccinimide allow to analyze the covalent
39 interactions between the metal nanoparticles and the biopolymer. Spectra deconvolution
40 technique and Zeta potential measurements confirm the covalent interaction. The present
41 study allows to quantify the proportion of AuNPs covalently adhered to the chitosan,
42 which depends on the solution pH. The obtained results indicates that covalent
43 interactions can be increased up to 25% in relation to total system interactions, which are
44 mostly electrostatic. The proposed strategy opens up a new pathway for biomedical
45 applications because the control of the chemical linkage can be directly performed on the
46 nanoparticle surface without using any molecular intermediate, which may improve the
47 encapsulation efficiency on drug delivery therapies.

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49 **Keywords:** gold nanoparticles, biopolymers, surface plasmon band, covalent interaction,
50 zeta potential, crosslinking agents

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58 **1. Introduction**

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60 The study of the so called non-covalent interactions (that is, interactions between
61 chemical species where covalent bonds are not present) is a subject of great interest [1].

62 In this sense, it is possible to mention between others, the union antigen/antibody [2] and
63 their presence in the development of molecular machines [3], organic electronic materials
64 [4] or sensors [5]. Considering these types of chemical unions, a systematic study of the
65 non-covalent interaction between free anionic gold nanoparticles (AuNPs) and a very
66 versatile biopolymer such as chitosan has been performed [6]. The fact that gold
67 nanoparticles absorb and scatter light in the visible region makes nanometric gold a
68 valuable optical probe to study biomolecular interactions. On the other hand, one of the
69 most important characteristics of chitosan is that it is not toxic, being also biocompatible
70 and biodegradable, what favors multiple applications of the biopolymer [7-9].

71 Recently, it has been shown that the interaction between chitosan and AuNPs is
72 controlled electrostatically by direct interaction of the citrate anions present on the
73 nanoparticle surface and the protonated amine groups located in the molecular structure
74 of the polymer. The maximum electrostatic interaction takes place at a pH 6.4 with 10 nm
75 citrate gold nanoparticles. In these conditions, low concentrations of chitosan can be
76 detected in solution, being the detection limit (LOD) equals to 69 nM [6].

77 Aiming to go one step further in studying the direct interaction between chitosan
78 and gold nanoparticles, a new method for chitosan covalent immobilization on the non
79 functionalized surface of AuNPs using crosslinking agents in a single reaction is
80 presented. Coupling biomolecules to nanoparticles is quite complex procedure because it
81 has to be considered not only the chemical parameters affecting to the reaction kinetics
82 but also take into account the stability of the final product. In this sense, the bonding
83 reactions to connect substrates of interest with specific biomolecules, show that the

84 coupling with EDC (1-Ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride)
85 and s-NHS (N-hydroxy succinimide) are one of the most important strategies for covalent
86 immobilization of proteins.

87 Very few chemical groups are known to provide specific and practical conjugation
88 to carboxylic acids ($R-COOH$), such as occur in proteins and many other biomolecules.
89 Carbodiimide compounds provide the most popular and versatile method for labeling or
90 crosslinking to carboxylic acids. The most readily available and commonly used
91 carbodiimides are the water-soluble EDC for aqueous crosslinking and the water-
92 insoluble DCC (Dicyclohexylcarbodiimide) for non-aqueous organic synthesis methods.
93 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide (EDCI) can also be used for the
94 interaction between silica nanoparticles with acid groups and 20 hydroxyl-modified
95 camptothecin (CPT). This carbodiimide covalent functionalization pathway has also been
96 employed in the presence of silver nanoparticles. In aqueous media silver NPs with a thiol
97 such as tiopronine could covalently be bound to the vasoactive intestinal peptide (VIP)
98 [10], a small peptide of 28 amino acids with modulating capacities in pathologies related
99 with an inflammatory and/or autoimmune character [11]. However, despite the existence
100 of multiple strategies of covalent immobilization, it is not found in the literature a key
101 strategy of immobilization for biopolymers coupling, such as chitosan, directly onto the
102 surface of AuNPs by using the crosslinking agents EDC and s-NHS.

103 In order to induce the covalent linkage between the citrate-AuNPs and chitosan,
104 the coupling with EDC/s-NHS have been carried out directly on the nanoparticle surface
105 without using any other molecular spacer. The interaction of the citrate-AuNPs with the
106 crosslinking agents was confirmed by Zeta potential measurements. The addition of such
107 crosslinking agents to the chitosan and AuNPs solution produces a broadening of the
108 Surface Plasmon Band (SPB) between 600 and 700 nm, due to the existence of an

109 underlying band which is responsible for the covalent interactions. The UV-Vis spectra
110 have been analyzed by means of the deconvolution technique allowing to quantify the
111 proportion of the each interaction type involved on the aggregation process. A study as a
112 function of the solution pH has been performed to evaluate the efficiency of the proposed
113 strategy, showing that covalent interactions can be increased up to 25% in relation to the
114 total system interactions.

115

116 **2. Materials and Experimental Methods**

117

118 **2.1 Materials**

119 All the chemical reagents used were of analytical grade. Gold nanoparticles (AuNPs) used
120 for the experiments were synthesized following the Turkevich's method [12] by using
121 tetrachloroauric acid (from Aldrich) as metallic precursor reagent and citric acid dibasic
122 trihydrate (from Aldrich) as reduction agent (99% of purity).

123 Chitosan solutions were prepared by using polymer of low molecular weight (67 kDa)
124 from Aldrich, and as crosslinking agents s-NHS (98%) and EDC (both from Sigma
125 Aldrich) were used. The pH of chitosan solutions was controlled by sodium hydroxide
126 from Aldrich and glacial acetic acid solution (1% V/V) from Probus, S.A. All solutions
127 were prepared with deionized water, being its conductivity less than 10^{-6} S m⁻¹.

128 Chitosan stock aqueous solutions (22.85 μ M) were prepared by weighting 22.96 mg and
129 adding 15 mL of acetic acid (1% V/V) very slowly, using a syringe tip with continuous
130 stirring. Once the solution was prepared, concentrated aqueous solutions of hydrochloric
131 acid or sodium hydroxide were added with a micropipette until the working pH was
132 obtained.

133

134 **2.1.1 Crosslinking agent's preparation**

135 Aqueous solutions of 1-ethyl-3-(3-dimethylamino-propyl)carbodiimide (EDC) and N-
136 Hydroxy-sulfosuccinimide sodium salt (s-NHS) were used for the covalent linkage of
137 AuNPs and chitosan. The stock solutions were 50 nM and 25 nM for EDC and s-NHS,
138 respectively.

139

140 **2.1.2 Working solutions**

141 Two different sets of samples were prepared in order to check the previously analyzed
142 electrostatic interaction [6] and the new immobilization covalent protocol proposed by
143 using crosslinking agents. The first set was prepared by mixing 3 mL of AuNPs (9.52
144 nM), 1mL of chitosan stock solution and 30 μ L of water, without EDC and s-NHS
145 crosslinking agents. The second set was prepared adding 3mL of AuNPs (9.52 nM), 1 ml
146 of chitosan stock solution, 20 μ L of EDC and 10 μ L of s-NHS. Crosslinking agents were
147 incubated with the AuNPs during an hour before chitosan aliquot was added.

148

149 **2.2 UV–Vis spectra**

150 A Shimadzu UV–Vis mini 1240 spectrophotometer with a spectral resolution of 1 nm
151 was used in the wavelength range of 400-850 nm. Plastic disposable cells with an optic
152 path of 10 mm were used for spectrophotometric measurements. The UV–Vis absorption
153 spectra of the working solutions were acquired 10 min after mixing at 298.2 K. A blank
154 spectrum of chitosan solution at the working pH was always recorded.

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158 **2.3 TEM measurement**

159 The size and shape of AuNPs were confirmed by using TEM images, whose samples were
160 prepared by placing a single drop of the AuNPs solution on a copper grid coated with a
161 carbon film. This drop was evaporated during half an hour at room temperature and it was
162 analyzed with the TEM microscope (Hitachi CM 200) working at 200 kV.

163

164 **2.4 Zeta potential measurements**

165 Zeta potential measurements were carried out with a Zetasizer Nano ZS Malvern
166 Instruments Ltd (UK), which measured the electrophoretic mobility of the sample from
167 the velocity of the particles using a Laser Doppler velocimeter (LDV). A DTS 1060
168 polycarbonate capillary cell was used at 298.2 K. Experiments were done by triplicate.
169 The Zeta potential of the gold colloid is about -37 mV in water solutions, which is
170 sufficient to keep the particles from interacting with each other and therefore maintain a
171 stable particle size of the sample. In all the experiments performed, concentrations remain
172 constant: AuNPs (9.52 nM), EDC (50 nM) and s-NHS (25 nM). Four different solutions
173 were measured: free AuNPs, a mix of AuNPs with each crosslinking agents separately
174 and the last one containing AuNPs with both crosslinking agents, EDC and s-NHS.

175

176 **2.5 Refractive index measurements**

177 The refractive index of the chitosan solutions were measured using an optic lyman system
178 Abbe refractometer. Based on the calibration solutions of known refractive index, the
179 absolute error for this magnitude is estimated at ± 0.0004 units. Experiments were carried
180 out at 298.2 K.

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182

183 **2.6 Concentration of AuNPs solutions**

184 Once the nanoparticle's core diameter is measured by TEM images, the mean number of
185 Au atoms in each particle (n) can be calculated, assuming spherical particle shape, by
186 using the following relationship [13]:

187
$$n = \frac{0.5\pi N_A d_m^3}{3V_M} \quad (1)$$

188 Where N_A stands for the Avogadro's number, d_m is the diameter of the nanoparticle
189 expressed in cm and V_M corresponds to the molar volume of bulk gold (10.215 cm^3) [14].
190 For 15 nm gold nanoparticles, the mean number of Au atoms in a particle corresponds to
191 $n = 30,867$. Once the number of Au atoms in the gold nanoparticle solution is known, it
192 is possible to obtain the average concentration of nanoparticles from the n value, resulting
193 in a solution with a concentration of 9.52 nM in AuNPs.

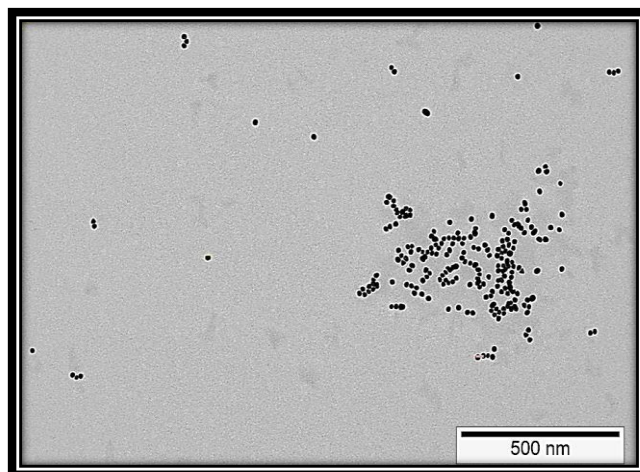
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195 **3. Results and discussion**

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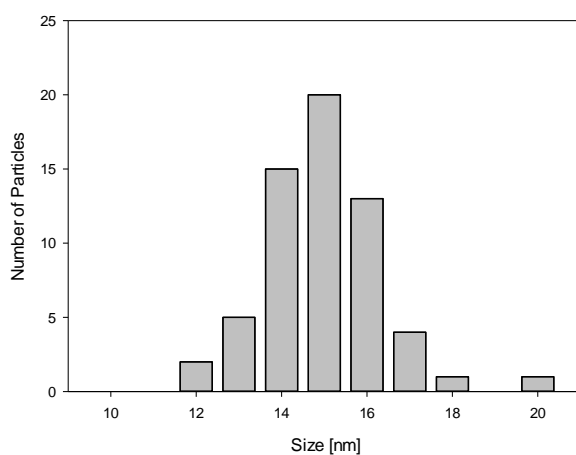
197 **3.1 Characterization of the AuNPs**

198 The size and shape of the synthesized AuNPs have been confirmed by using the
199 corresponding TEM images. All of them present a circular shape (as can be seen in Fig.
200 1.a) with an estimated average diameter of 16 ± 1 nm, obtained from the corresponding
201 histogram (shown in Fig. 1.b). The average size of the AuNPs was also corroborated from
202 the UV–Vis measurements showing a well-defined maximum of the surface plasmon
203 band (SPB) band at 520 nm, which is in good agreement for the size and shape of the
204 synthesized AuNPs [15].



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207

208 **Fig. 1.** a) TEM image showing the shape and the size of the synthesized gold
 209 nanoparticles. b) Calculated histogram to determine the average size of the AuNPS from
 210 the TEM image.

211

212 **3.2 Covalent interaction of the AuNPs with Chitosan**

213 Electrostatic interaction of AuNPs with chitosan has been previously investigated [6]

214 showing a broadening in the UV–Vis spectrum, which can be explained in basis of two

215 overlapping bands, one of them corresponding to the free AuNPs and the other one to the

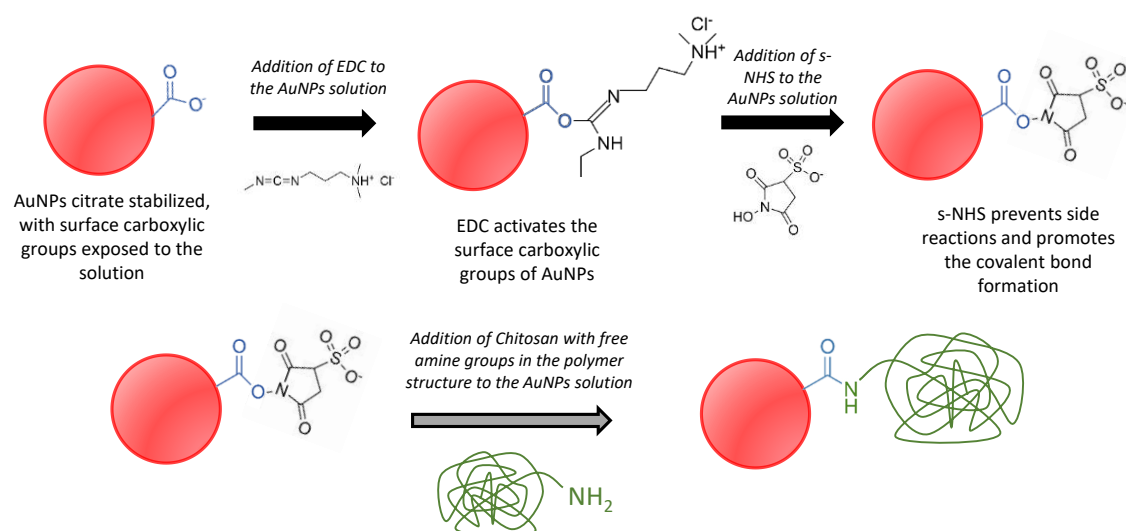
216 interacting AuNPs with chitosan. The electrostatic interaction is modulated by the

217 medium pH because it allows to modify the surface charge proportion through the

218 adsorbed citrate molecules on the AuNPs surface as well as the biopolymer and

219 consequently the amount of charged groups available, which can interact on both sides.

220 Once the electrostatic interaction has been analyzed, a new challenge arises from the
 221 possibility to join covalently the AuNPs and the chitosan in a direct form. For this purpose
 222 a straightforward approach which allow the direct assembly of the AuNPs and chitosan
 223 by using crosslinking agents such as EDC/s-NHS is presented. The proposed procedure
 224 is shown in the reaction Scheme 1.



225
 226

227 **Scheme 1.** Chemical steps for the covalent union between AuNPs and chitosan by using
 228 the crosslinking agents (EDC/s and NHS). It is only shown the formation of just one
 229 covalent bond between the AuNPs and biopolymer, though there are many linkage sites
 230 on the biopolymer chain.

231

232 This reaction mechanism involves two consecutive steps: the first one consists in
 233 activating the carboxylic groups of the surficial citrate adsorbed onto the gold
 234 nanoparticles by using EDC. The second step involves the participation of the s-NHS,
 235 which promotes the amide bond formation preventing side reactions. Thus, it is possible
 236 to perform the covalent linkage between the carboxylic groups of the adsorbed citrate
 237 groups located on the AuNPs surface and the amine groups of the chitosan structure to

238 form the corresponding amide bond. It is important to remark, from the experimental
239 point of view that this mechanism occurs in a single step, being a direct, fast and easy
240 method. Accordingly, it has been possible to investigate the direct covalent attachment of
241 the chitosan to the AuNPs, as well as the possible differences between electrostatic and
242 covalent interactions. A similar strategy based on EDC/s-NHS coupling has been
243 proposed to bind covalently peptides to colloidal gold functionalized with a carboxylic
244 thiol chain, used as molecular bridge [16]. However, the new proposed strategy allows to
245 link covalently gold nanoparticles in a direct form without using any molecular spacer,
246 employing for this purpose the surficial citrate adsorbed onto the nanoparticles through
247 their carboxylate groups. The main advantage of the proposed method is the elimination
248 of using any chemical modifiers, which facilitates the chemical linkage between AuNPs
249 and many biomolecules containing free-amine groups, such as aminoacids, proteins,
250 nucleotides and various biopolymers including DNA.

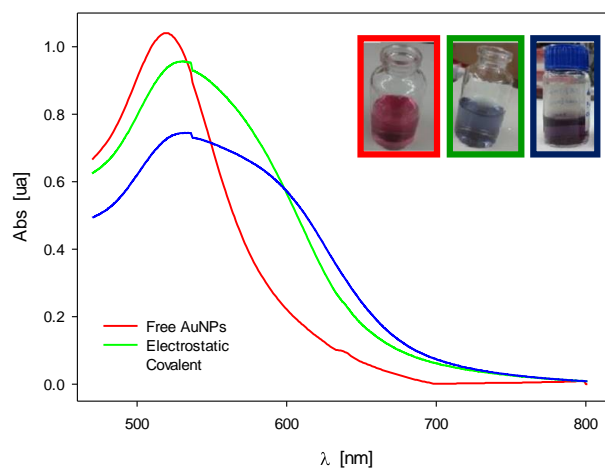
251 The UV–Vis spectroscopy is a powerful tool to study the aggregation process of AuNPs
252 because the SPB band position is highly affected when the particles have agglomerated
253 in some extent. Normally, the aggregation process shows a significantly broadening of
254 the SPB band as well as a red shift of the band maximum. In some cases, it is possible to
255 find some changes on the spectral characteristics of the system due to the surrounding
256 media on the AuNPs surface environment. For this purpose, refractive index
257 measurements have been carried out on chitosan solutions using an optic Lyman system
258 Abbe refractometer. If the surrounding media have a significant effect on the SPB band
259 displacement, the refractive index of the chitosan solutions should show significant
260 differences related to the pure solvent. Having performed the corresponding refractive
261 index measurements, there is no significant differences between the solvent and chitosan
262 solutions, showing only a slight variation of 0.0007 units in this magnitude. Underwood

263 et al. [17] show that only a 4-8 nm SPB displacement is found when the solution refractive
264 index change, at least, on 0.05 units [17], considering the dielectric permittivity data of
265 the literature [18,19]. Taking into account the values of the refractive indexes for chitosan
266 solutions and the displacement of the SPB maximum found in the experimental spectra,
267 it can be concluded that the observed colorimetric changes in the colloidal gold are not
268 due to a change in the surrounding medium of the nanoparticles.

269 Furthermore, the spectra deconvolution permits to obtain the contribution of each
270 underlying band to the experimental spectrum. For pure electrostatic interaction between
271 AuNPs and chitosan as a function of the media pH, it is shown that experimental spectra
272 have two main contributions: the first one is due to the free AuNPs and the second one is
273 caused for the AuNPs particles which interact with the biopolymer. The pH plays a key
274 role in this process because it modulates the interaction from very acid to neutral media,
275 corroborating the electrostatic nature of the linkage.

276 From this point of view, it is also interesting to analyze the covalent union between the
277 AuNPs and the chitosan, which allows to compare the extension and differences between
278 these kinds of chemical interaction. For this purpose, a strategy based on reaction
279 Scheme 1 is proposed in this work. Two different samples were prepared in presence and
280 absence of crosslinking agents at pH 6.3 (as described in experimental section) in order
281 to check the covalent linkage. The obtained UV-Vis spectra are shown in Fig. 2.

282



283

284 **Fig. 2.** UV-Vis spectra showing the SPB for free AuNPs (solid red line), AuNPs and
 285 chitosan (solid green line) and AuNPs and chitosan in presence of EDC/s-NHS (solid
 286 blue) at pH 6.3, respectively. The boxed inset images correspond to the experimental test
 287 solutions for each experiment showing their characteristic colors.

288

289 In absence of the crosslinking agents, there is a displacement of the SPB band towards
 290 higher wavelengths indicating the aggregation process occurs, as it is expected for an
 291 electrostatic interaction (green solid line). However, a noticeable broadening and a greater
 292 displacement of the SPB (located between 600-700 nm) is found in the presence of EDC
 293 and s-NHC (blue solid line), as it can be noted. The change is even appreciable in the
 294 color of the solution, which shows a clear change from blue to violet in the absence and
 295 the presence of the proposed agents, respectively (see inset images in the picture). In this
 296 way, the color changes observed in the worked solutions also demonstrate a greater
 297 aggregation state of the AuNPs compared to the electrostatic interaction. Therefore, the
 298 obtained results in the SPB in presence of the EDC and s-NHC confirm the validity of the
 299 proposed reaction scheme and it is also an evidence of the covalent linkage between the
 300 carboxylic surficial groups of the citrate residues onto the AuNPs and the free amine
 301 groups of the D-glucosamine monomer in the biopolymer. The addition of EDC, NHS or
 302 a mixture of both of them to a sample of free AuNPs does not produce any significant

303 change during one hour in the measured SPB, indicating that crosslinking agents do not
304 promote the aggregation process by themselves.

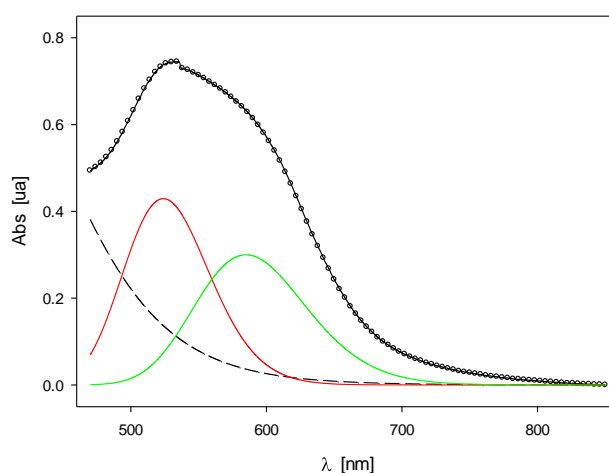
305 The obtained changes on the experimental spectra of AuNPs interacting with chitosan can
306 be analyzed in depth by using a deconvolution procedure for the SPB. Thus, it is possible
307 to divide the experimental spectra to obtain the contribution of each underlying band,
308 determining their characteristic parameters such as the maximum absorbance (A_{\max}), the
309 wavelength corresponding to the maximum absorption (λ_{\max}) and the half width of the
310 band (w_v) [20]:

$$311 \quad A = A_{\max} \exp \left\langle -\ln 2 \left\{ \ln \left[1 + \frac{2(\lambda_{\max} - \lambda) k \sinh(b)}{\lambda_{\max} \lambda w_v} \right] \right\} / b \right\rangle^2 \quad (2)$$

312 The deconvolution of the experimental UV–Vis spectrum for the covalent attachment at
313 pH 6.3 is shown in Fig. 3. Following the previous findings, it allows the assignation of
314 four different bands responsible for the background absorption (short dashed line), the
315 free AuNPs (solid red line), the electrostatic interaction (solid green line) and a new band
316 accountable for the covalent linkage (solid blue line), centered at $\lambda_{\max} = 623$ nm. The
317 solid black line corresponds to the sum of the four underlying bands (simulated spectrum),
318 which matches perfectly with the experimental data (white circles).

319 It is clear the existence of an electrostatic band even when the crosslinking agents are
320 added to the solutions to favor the covalent interaction. This fact can be explained taking
321 into account the pK_a of the ammonium groups located into the chitosan chains. The
322 estimated pK_a value of 6.1–6.7 depends on the degree of N-deacetylation in chitosan [21].

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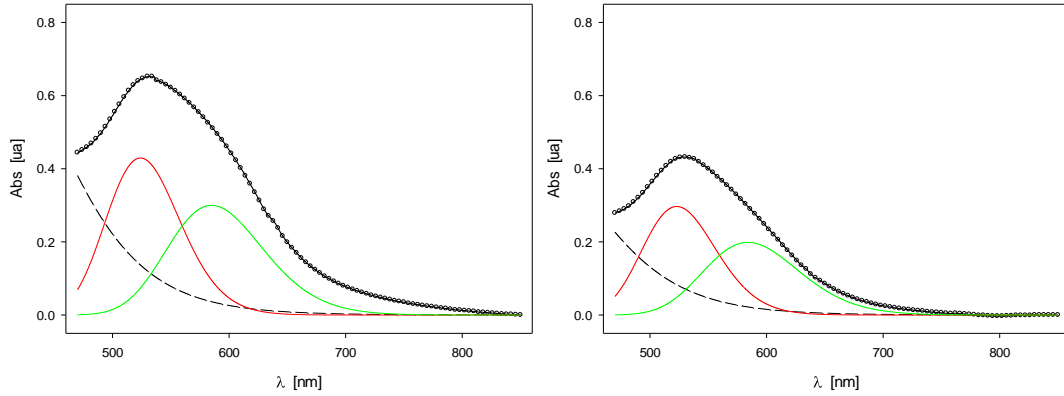
325 **Fig. 3.** Deconvolution of the UV-Vis experimental spectrum for the SPB in the presence
 326 of EDC/s-NHS for the AuNP-chitosan covalent interaction at pH 6.3. White circles:
 327 experimental data, solid black line: simulated data, dashed black line: background
 328 absorption, solid red line: free AuNPs ($\lambda_{\text{max}} = 524$ nm), solid green line: electrostatic
 329 interaction ($\lambda_{\text{max}} = 585$ nm), solid blue line: covalent interaction ($\lambda_{\text{max}} = 623$ nm).

330

331 Assuming a pK_a value of 6.7 and according to the corresponding distribution function, in
 332 the worked solution of pH 6.3 there are approximately a 75% of positive charged groups
 333 on the polymeric chain, which are available to interact electrostatically with the negative
 334 charged AuNPs. Consequently, the corresponding band for the electrostatic interaction is
 335 found in the deconvoluted spectrum, (green solid line). Furthermore, it is important to
 336 point out that only the uncharged amine groups of the chitosan chain (approximately the
 337 25% at pH 6.3) are available for covalent attachment, according to the proposed
 338 methodology shown in Scheme 1, so a band with lower intensity should be obtained for
 339 the chemical interaction (blue solid line). Thus, the experimental conditions tested in this
 340 experiment should lead to the maximum proportion of covalent attachment between
 341 AuNPs and the biopolymer. To corroborate this assumption, a study of the interaction
 342 employing this methodology has been performed as a function of the solution pH, in the
 343 range from 3.5 to 6.3. Fig. 4 shows the obtained experimental spectra for pH 5.1 (left

344 panel) and pH 4.0 (right panel), as well as their corresponding simulated data by using
 345 the deconvolution analysis, chosen as representative cases.

346



347

348 **Fig. 4.** Deconvolution of the UV–Vis experimental spectra for the SPB in the presence of
 349 EDC/s-NHS for the AuNP–chitosan interaction at pH 5.1 (left panel) and pH 4.0 (right
 350 panel), respectively. Symbols have the same meaning than in Fig. 3.

351

352 As the solution pH is reduced, the band responsible for the covalent interaction (solid
 353 blue line) gradually decreases up to its almost total disappearance at a pH 3.5 in favor of
 354 the band responsible for the electrostatically interaction (solid green line), which becomes
 355 the more important contribution in the aggregation process of the AuNPS with the
 356 biopolymer. Table 1 gathers the parameters for the deconvolution analysis of the
 357 investigated solutions, where the relative absorbance is calculated as the quotient between
 358 the fraction of AuNPs that interact covalently (A_{REL}^{Cov}) and electrostatically (A_{REL}^{Elec}) respect
 359 to the total, according to:

360

$$A_{REL}^{Cov} = \frac{A_{REL}^{Cov}}{A_{REL}^{Elec} + A_{REL}^{Cov}} \quad , \quad A_{REL}^{Elec} = \frac{A_{REL}^{Elec}}{A_{REL}^{Elec} + A_{REL}^{Cov}} \quad (3)$$

361 while A_{REL}^{Free} stands for the fraction of free AuNPs present in solution which are not
 362 interacting, calculated as:

$$363 \quad A_{REL}^{Free} = \frac{A_{REL}^{Free}}{A_{REL}^{Free} + A_{REL}^{Elec} + A_{REL}^{Cov}} \quad (4)$$

364

365 **Table 1.**

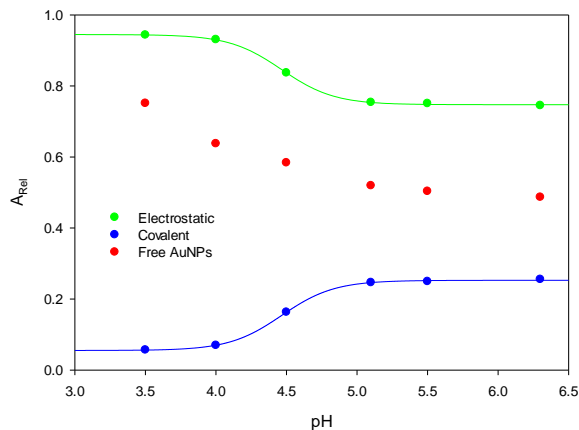
366 Influence of the pH solution on the deconvolution parameters for the experimental spectra
 367 of AuNP–chitosan in the presence of the crosslinking agents. The superscripts *Free*, *Elec*
 368 and *Cov* refer to free AuNPs, electrostatically and covalent interaction respectively and
 369 the absorbance's measurements refer to relative values of each population. The rest of
 370 parameters where: $\lambda_{max}^{Free} = 524$ nm, $w_v^{Free} = 2.7$ kK, $\lambda_{max}^{Elec} = 584$ nm, $w_v^{Elec} = 2.8$ kK,
 371 $\lambda_{max}^{Cov} = 622$ nm, $w_v^{Cov} = 3.0$ kK (Eq. 2).

Solution pH	A_{REL}^{Free} (au)	A_{REL}^{Elec} (au)	A_{REL}^{Cov} (au)
3.5	0.487	0.933	$6.63 \cdot 10^{-2}$
4.0	0.503	0.929	$7.10 \cdot 10^{-2}$
4.5	0.519	0.838	0.162
5.1	0.584	0.754	0.246
5.5	0.637	0.751	0.249
6.3	0.751	0.748	0.252

372

373

374 Fig. 5 shows the relative dependence of the free AuNPs fraction (red) as a function of the
 375 solution pH. As can be noted, there is a continuous depletion in the fraction of free
 376 nanoparticles as the solution pH is raised, verifying their ability to interact with the
 377 biopolymer. Furthermore, the relative dependences of the electrostatic (green) and
 378 covalent (blue) fractions are also represented in Fig. 5.



379

380 **Fig. 5.** Fractions of the free AuNPs (red) in solution, nanoparticles interacting
 381 electrostatically (green) and covalent linked nanoparticles (blue) as a function of the
 382 solution pH, obtained from the deconvolution analysis.

383

384 The maximum covalent attachment is obtained at pH 6.3, where $A_{REL}^{Elec} = 0.75$ and $A_{REL}^{Cov} =$

385 0.25 due to the maximum population of free amine groups on the chitosan structure, as

386 stated before. As the solution pH is reduced the amount of free amine groups is also

387 diminished, being the covalent bonding much more unfavorable, until pH 3.5 where it is

388 almost negligible ($A_{REL}^{Cov} = 0.05$). In these conditions, the only possible interaction between

389 AuNPs and the biopolymer is caused by the surface electrostatic charges of both

390 components. From these limit situations, the solution pH 4.5 represents the situation

391 where the 50% of free amine groups on the biopolymer are covalently attached to the

392 surface of the AuNPs.

393

394 **3.3 Zeta potential measurements**

395 In an applied electric field, charged species are attracted to the electrode of the opposite

396 polarity resulting in an electrostatic potential, called zeta potential. The system is more

397 stable as the Zeta potential is larger due to heavy coulomb repulsion forces between

398 particles of the colloid, which prevents their coagulation in solution. Table 2 shows Zeta

399 potential values obtained using a Malvern Zetasizer. Gold nanoparticles stabilized by
 400 citrate ions have a negative potential higher than -30 mV, being perfectly stable in
 401 solution. By adding the crosslinking agent EDC (cationic carrier) such potential
 402 decreases, reflecting EDC interaction with the surface of the nanoparticles. Furthermore,
 403 the addition of s-NHS agent also causes a reduction of the Zeta potential, but less marked.

404

405 **Table 2.**

406 Zeta potential measurements of free AuNPs and in the presence of crosslinking agents
 407 (EDC and s-NHS).

Solution composition	Zeta Potential (mV)		
	Run 1	Run 2	Run 3
Free AuNPs	-37	-37	-38
AuNPs + EDC	-26	-27	-24
AuNPs + s-NHS	-29	-30	-29
AuNPs + s-NHS + EDC	-24	-24	-22

408

409

410 The s-NHS, unlike the EDC, presents only a negative charge and therefore a clear
 411 decrease in the zeta potential would be expected. However, it should be taken into account
 412 the fact that a possible replacement of surficial citrate by the s-NHS would bring a load
 413 step from -3 to -1 negative charge, naturally from a global point of view and a complete
 414 replacement of the citrate ligand by s-NHS. From the zeta potential measurements, it can
 415 be concluded that AuNPs interacts with both EDC and s-NHS, increasing the zeta
 416 potential values. Although the presence of EDC and s-NHS partially reduces the
 417 measured potential, it remains to be a high enough negative value to maintain stable the
 418 colloid.

419

420

421 **4. Conclusions**

422 The proposed methodology by using crosslinking agents allows the covalent linkage of
423 the AuNPs with chitosan. The proportion of AuNPs covalently bonded to the polymer
424 can be quantified through the Zeta potential measurements and spectra deconvolution
425 technique. The maximum proportion obtained is found to be limited by the free amine
426 groups located in the biopolymer chain. For the system studied and taking into account
427 the size of the nanoparticle as well as the degree of acetylation of the polymer, covalent
428 interactions can increase up to 25% of the total interactions, which are mostly
429 electrostatic.

430 There are two main factors which could affect to the procedure efficiency. First, the
431 possibility of structural changes on the polymer when the pH tends to neutral media,
432 which can favor the formation of hydrogen bonds between the chitosan chains, limiting
433 the availability of the amine groups to react covalently. On the other hand, the amount of
434 crosslinking agents with respect to the chitosan concentration, could be also a limiting
435 factor if not all the amine groups in the chitosan chains are activated to form the amide
436 bond. This point is extraordinarily important and it opens up new avenues of future
437 research in relation to covalent immobilization on the surface of AuNPs using
438 crosslinking agents. The described strategy can be very useful for biomedical
439 applications, such as drug delivery, improving the encapsulation efficiency of therapeutic
440 drugs through the control of the chemical linkage.

441

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