

1 Thermal imaging at plant level to assess the crop-water status in almond trees (cv. 2 Guara) under deficit irrigation strategies

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14 Abstract

15 Almond (*Prunus dulcis* Mill.) has been traditionally associated to marginal land cultivation and rain-fed
16 agriculture in South Spain. However, in the last years, this crop is being progressively introduced in more
17 productive agricultural areas within the Guadalquivir river basin, where the available water resources are
18 not enough to satisfy the adequate crop-water requirements. Considering this limitation, a more precise
19 irrigation scheduling to maximize the yield is required. Infrared thermal imaging emerges as alternative to
20 other traditional methodologies to assess the crop-water status, especially when deficit irrigation (DI)
21 strategies are being applied. The aim of this study was to define the methodology to assess the almond
22 water status by means of thermal information. The trial was conducted during 2014, during the kernel-filling
23 period, in an almond experimental orchard (SW Spain), with 5-year-old trees, subjected to three irrigation
24 regimes: i) a full-irrigation treatment (C-100), which received 100% of ET_C ; ii) a regulated deficit irrigation
25 (RDI-50), which received 100% of ET_C except during the kernel filling period, when this treatment was
26 irrigated with 50% of ET_C ; iii) and a low-frequency deficit irrigation treatment (LFDI), which received 100%
27 of ET_C except during the kernel filling period, when it was subjected to continuous periods of irrigation-
28 restriction, defined in terms of the threshold values of shaded leaf water potential (Ψ_{leaf}). Three daily curves
29 of canopy temperature (T_C), stomatal conductance to water vapour (g_s) and Ψ_{leaf} with measurements at 8:00,
30 11:00, 14:00, 17:00 and 20:00 were developed. Additionally, Crop Water Stress Index (CWSI), temperature
31 difference between canopy and the surrounding air ($\Delta T_{canopy-air}$), and the relative index to stomatal
32 conductance (I_G) obtained at different scales (canopy and row) were estimated. Significant correlations of
33 infrared thermal information vs. Ψ_{leaf} and g_s were obtained ($p \leq 0.05$ and $p \leq 0.01$), in particular, by using
34 the thermal readings taken at 11:30, 14:30 at 17:30 h, especially robust were the relationships obtained
35 between T_C and CWSI with Ψ_{leaf} at 11:30 h; and between T_C and CWSI with g_s , and Ψ_{leaf} at 14:30 h. Finally,

36 considering the infrared thermal monitoring procedure (readings at tree and row level), similar values of T_c
37 were obtained, and therefore, the images taken at row level offered a better information with a higher
38 feasibility in terms of image processing.

39 **Keywords:** Thermography, thermal indexes, water stress, leaf gas exchange and leaf water potential.

40 1.- Introduction

41 Irrigated agriculture in the South of Europe, and more concretely in semi-arid areas such as Andalusia (S
42 Spain), is crucial for their development, especially in those rural regions with a lower economic potential. In
43 this line, for the case of Andalusia, irrigated agriculture generates more than 60% of rural employments, and
44 represents 64% of agricultural production. Currently, 1,176,000 ha are devoted to irrigated agriculture,
45 corresponding to 24% of total Andalusian agricultural surface, and this being 33% of the irrigated agriculture
46 in Spain (ARA, 2011).

47 Climatic conditions in this area are characterized by the scarcity and irregularity of rainfall, coinciding the
48 dry period with the season of highest evapotranspiration. Moreover, the last forecast predictions argue
49 significant water resources depletions; with an important declining in the soil water reserves, more accused
50 periods of rainfall restrictions and increasing in the average temperatures (IPCC, 2014). In this agreement,
51 it is expected that this situation promotes an imbalance between the irrigation demand and the available
52 water resources in the Mediterranean agriculture (Daccache et al. 2012, Olesen et al. 2011). This fact will
53 suppose an important constraint for the competitiveness between agriculture and other more productive
54 sectors such as the industry or tourism. In addition, the introduction of alternative crops in order to maximize
55 the profitability of agroecosystems will be required, together with different strategies to improve the
56 agricultural water management (García-Tejero et al. 2014a).

57 In this context, almond (*Prunus dulcis* Mill.) is the third crop in terms of surface in Spain, representing globally
58 almost 40%, and 84% within the EU. However, only 5% of the global production is developed in Spain
59 (FAOSTAT, 2016). Concretely, the surface of almond in Andalusia is about 152,000 ha, and within them,
60 95% are associated to marginal and rain-fed agriculture because of the climate limitations, where annual
61 rainfalls does not exceed of 300 mm with low nut yields (CAPDR, 2016). However, in the last few years, the
62 agricultural surface devoted to almond crop has significant increased, specially, in areas where this crop
63 was not traditionally cultivated, these new orchards being cultivated under intensive and irrigation practices.
64 Thus, almond can be found under very different agricultural systems from the most marginal situations to
65 the most intensive orchards, which promotes a wide range of yields (from 150 to 2,600 kg ha⁻¹) (CAPDR,
66 2016).

67 According to Goldhamer and Fereres (2016), irrigation is the most limiting factor for this crop, with crop
68 water-requirements oscillating between 900 and 1,350 mm (Goldhamer and Girona, 2012). In this
69 agreement, Goldhamer and Fereres (2016) reported values close to 4,000 kg ha⁻¹ (depending on the
70 cultivar) for irrigation doses around 1,250 mm, with yield reductions close to 14% when the irrigation doses

71 were close to 1,000 mm. More recently, López-López et al. (2018) in a long-term experience developed in
72 the province of Córdoba (Andalusia, South Spain), reported maximum yield values ($> 2,500 \text{ kg ha}^{-1}$) in
73 mature almond trees (cv. Guara), when these trees were irrigated receiving the maximum crop water
74 requirements (close to $10,000 \text{ m}^3 \text{ ha}^{-1}$).

75 In spite of this, almond is considered a drought-resistant crop because of its xeromorphic properties
76 (Torrecillas et al. 1996), and many authors have reported different results related to the effects of deficit
77 irrigation (DI) strategies (Puerto et al., 2013; Phogat et al., 2013; 2018; Spinelli et al., 2016; among others).
78 More recently, López-López et al. (2018) discussed the effects of water deficits in almond trees in terms of
79 water use, evaluating different deficit irrigation (DI) strategies during three consecutive years. These authors
80 found that almond trees under different moderate DI strategies were able of keeping canopy volumes similar
81 to those trees that were fully irrigated, these being directly related with the almond capability to obtain yield
82 values under moderate deficit irrigation similar to those reported by fully irrigated trees; this fact being
83 accompanied with similar soil water depletions and transpiration level.

84 Taking into account the maximum crop-water demand, the water scarcity in semi-arid areas, and the proper
85 response of this crop to moderate water stress, DI would be a suitable alternative to reach equilibrium
86 between the available water resources and a proper crop development with final yields able to ensure the
87 competitiveness and feasibility of this crop (García-Tejero et al., 2016a). However, the application of DI
88 strategies requires a proper knowledge about the crop physiological status, with the aim of ensuring the
89 correct crop development without significant compromising the yield and fruit-quality, especially when water-
90 stress is applied in different crop stages (Spinelli et al., 2016). In this sense, according to Puerto et al. (2013),
91 when a DI strategy is applied in fruit trees, this is mainly developed supplying a specific water withholding,
92 taken as reference the crop water requirements by means of the crop evapotranspiration (ET_c), without
93 taking into account the effects of canopy architecture, the degree of canopy cover or the soil management
94 (among others); or without considering the crop physiological status when this water stress is applied. In
95 this regard, the most proper irrigation scheduling should consider the whole of soil-plant-atmosphere system;
96 although in terms or representativeness, the live component (plant) would be offering the most valuable
97 information, inasmuch as this reflects the most integrative information, mainly in terms of final yield.

98 Traditionally, crop water monitoring has been developed by using punctual measurements of stem (Ψ_{stem})
99 or leaf (Ψ_{leaf}) water potential at midday or pre-dawn (Ψ_{pd}) (Shackel, 2011; Nortes et al., 2005) or monitoring
100 the gas-exchange parameters such as transpiration (E), stomatal conductance (g_s) or net photosynthetic
101 rate (A) (Gomes-Laranjo et al., 2006).

102 According to Remorini and Massai (2003), Ψ_{stem} is not only a proper indicator of plant-water status as well
103 as the crop productivity. In the same vein, Mirás-Avalos et al. (2016) reported that water potential is a
104 suitable indicator of almond water status, although its usefulness is reduced, because of a minimum number
105 of replications are required, and the representativeness in the whole plant is reduced.

106 In the last years, the use of remote sensing in agriculture, and more concretely, infrared thermal imaging to
107 monitor the crop water status has been progressively introduced (Costa et al., 2013). This technique has

108 been properly described as a good methodology for crop-water monitoring in different woody crops such as
109 citrus (García-Tejero et al., 2011; González-Dugo et al., 2014); young almonds (García-Tejero et al., 2012),
110 vines (García-Tejero et al., 2016b) or olives (Egea et al., 2017). This technique is based on the leaf energy
111 balance. When a water stress situation is applied, plants responds with a partial stomatal closure, reducing
112 the stomatal conductance, limiting the leaf transpiration and promoting an attenuation of the evaporative
113 cooling process, resulting in higher leaf / canopy temperature values (Jones, 1999; 2004).
114 This technique can be applied at different monitoring scales, from “leaf or canopy” to “orchard or basin” level
115 (Poblete-Echeverría et al., 2014; 2016). The selection of the most proper methodology will be related with
116 the desired goal and the economic availability (Costa et al., 2013). In this sense, the use of thermography
117 at orchard scale by using satellites images, allows to take decisions related to crop variability or irrigation
118 scheduling, but some constraints must be taken into account. On one hand, thermal images taking by
119 satellites have the difficulty of depending of the moment in which the satellite passes above the orchard;
120 and on the other hand, the spatial and spectral resolution is not proper. These constraints could be solved
121 by using of unmanned aerial vehicles (UAVs), despite its economically restrictions. In this sense, the use of
122 thermal images at orchard scale, taken by means of UAVs, requires having the proper technology; and this
123 fact can increase the cost of this tool, becoming less accessible the use of this technology. By the contrast,
124 these sensors can be used at plant level, with thermal cameras much more profitable, easing the
125 accessibility to this technique by the irrigation communities or technicians.
126 Likewise, the main constraints of this technique are focused in the image processing (many times requiring
127 high time consuming), and the correct interpretation of the infrared thermal information (García-Tejero et al.,
128 2015a). Because of this, many times different relationships between infrared thermal information and other
129 physiological parameters such as g_s , A , E , or Ψ_{stem} are required (Jones 2004; Jones et al., 2009), although
130 these relationships are not always enough robust because of the high dependence of the meteorological
131 conditions (Jones, 1999; 2004), the monitoring proceedings (Costa et al., 2013), the cultivar (Costa et al.,
132 2012; García-Tejero et al., 2016b) or even, the crop phenological stage (Cohen et al., 2015).
133 Up to day, several authors have developed strategies to optimize this technique, developing different
134 protocols and strategies to take thermal readings under field conditions (Jones et al., 2009; Pou et al., 2014;
135 Poblete-Echeverría et al., 2014, 2016, García-Tejero et al., 2012, 2016b) and describing different
136 relationships between infrared thermal information and physiological parameters.
137 We hypothesize that thermography could be a suitable technique to monitor almond water status, especially
138 when this is subjected to DI programs. The aim of the present work was to evaluate the performance of
139 thermography under field conditions at two monitoring levels (plant and row) to assess the crop water status
140 in almond trees (cv. Guara), determining the best moment of the day to obtain the thermal information and
141 the most robust thermal index to interpret properly the crop-water status.

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145 **2. Material and methods**

146 2.1. Experimental site

147 The trial was conducted during 2014 in an experimental orchard of almonds (*Prunus dulcis* Mill. D.A. Webb
148 cv. Guara, grafted onto GF677), located in the Guadalquivir river basin (37° 30' 47" N; 5° 58' 2" O) (Seville,
149 SW Spain). Planted in 2009, the trees were spaced 6 x 7 m, and drip irrigated using two pipe lines with
150 emitters of 2.3 L h⁻¹, and 14 emitters per tree. The soil is silty loam, typical Fluvisol (USDA, 2010), 2.5 m
151 deep, fertile, and low inorganic matter content (< 15.0 g kg⁻¹). The roots are located predominately in the
152 first 50 cm of soil, corresponding to the intended wetting depth, although these exceed more than one meter
153 in depth. Soil-water content values at field capacity (-0.033 MPa) and wilting point (-1.5 MPa) were 0.35
154 and 0.12 m³ m⁻³ respectively, with an allowable soil-water depletion level of 0.27 m³ m⁻³.

155 The climatology in the study area is attenuated meso-Mediterranean, with an annual ET₀ rate of 1,400 mm
156 and accumulated rainfall of 540 mm, mainly distributed from October to April.

157

158 2.2. Irrigation treatments

159 Three irrigation treatments were applied: i) a full irrigated treatment (C-100), which received 100% of the
160 crop evapotranspiration (ET_c) during the irrigation period (60 – 304 day of the year, DOY), ii) a regulated
161 deficit irrigation (RDI-50), which received 100% of ET_c except during the kernel filling period and pre-harvest;
162 when this treatment was irrigated at 50% of ET_c . According to this, the kernel-filling period took place from
163 171 to 227 DOY and pre-harvest from 228 to 243 DOY; this period coinciding with the time in which the
164 kernel has finished its growth and the nut split period begins, just before the irrigation withholding (250 DOY)
165 seven days before the harvesting (257 DOY). iii) and a low-frequency deficit irrigation (LFDI) which received
166 the 100% ET_c during the irrigation period, except during the kernel-filling stage and pre-harvest; when this
167 treatment was irrigated according the registered values of Ψ_{leaf} measured in shaded leaves. In this sense,
168 during the kernel-filling period (from 171 to 227 DOY) this treatment was subjected to irrigation-restriction
169 cycles with the following irrigation dynamic: Once started the kernel-filling period, irrigation was suppressed,
170 till reaching values of Ψ_{leaf} close to -2.0 MPa. Then, trees were re-watered with the same periodicity and
171 amount of water as C-100 (approximately during 5 - 7 days) till reaching similar values of Ψ_{leaf} to those
172 registered in C-100. Once this threshold value was reached, this treatment was subjected to a new restriction
173 period until the threshold of Ψ_{leaf} (~ -2.0 MPa) was again surpassed. This dynamic of irrigation-restriction
174 cycles was maintained during whole stage of kernel filling period until harvesting.

175 Irrigation doses were calculated according to the methodology proposed by Allen et al. (1998), obtaining the
176 values of reference evapotranspiration according to the Penman-Monteith equation; by using a weather
177 station installed in the same experimental orchard; and using the crop coefficients obtained by García-Tejero
178 et al. (2015b), which ranged between 0.6 and 1.2. According to this, irrigation doses applied to C-100, RDI-
179 50 and LFDI were 6,850, 4,400 and 4,180 m³ ha⁻¹, respectively (Table 1).

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181

182 2.3. Plant measurements

183 During the experimental period, three daily curves of canopy temperature (T_c), stomatal conductance to
184 water vapour (g_s) and leaf water potential (Ψ_{leaf}) were obtained during the kernel filling and pre-harvest
185 period. These readings were taken at 08:30, 11:30, 14:30, 17:30 and 20:00 h local time, during the days
186 29th July (Curve 1) (210 DOY); 5th August (Curve 2) (217 DOY) and 27th August (Curve 3) (239 DOY). These
187 days coincided with the irrigation restriction periods of LFDI, with the aim of registering the crop physiological
188 status during periods of maximum water stress in this treatment. In this sense, Curve 2 was developed a
189 week after Curve 1. The reason was that, when Curve 1 was developed, LFDI has been subjected to seven
190 days of irrigation restriction. Taking into account the obtained results during this curve, it was decided to
191 extend this period once more week, in order to register the crop physiological response under a situation of
192 maximum stress. Finally, between Curve 2 and 3, there was a recovery period (from 218 to 225 DOY), being
193 the Curve 3 developed after 14 days without irrigation (in similar conditions at Curve 2).

194 Table 2 shows the values of air temperature (T_{air}), relative humidity (RH), and vapour pressure deficit (VPD)
195 registered during the sampling days and for each monitoring hour.

196 Measurements of Ψ_{leaf} were conducted by using a pressure chamber (Soil Moisture Equipment Corp., Sta.
197 Barbara, CA, USA), monitoring 12 trees per irrigation treatment (one leaf per tree), located in the north side
198 of the tree and being totally mature, fresh and shaded, at 1.5 m of height, approximately. Additionally, the
199 stomatal conductance to water vapor (g_s), was measured in these same trees, by using a porometer SC-1
200 (Decagon Devices, INC, WA, USA), on one leaf completely exposed to the sun per monitored tree, and at
201 1.5 m of height.

202 T_c was measured by using a ThermaCam (Flir SC660, Flir Systems, USA, 7-13 μm , 640x480 pixels)
203 throughout the day (8:30h, 11:30h, 14:30h, 17:30h, and 20:00h local time), with emissivity (ϵ) set at 0.96.
204 Each pixel corresponds to an effective temperature reading (Jones, 2004). Two methodologies were tested
205 to monitor the canopy temperature: i) 12 images were taken at tree level (one image per tree assessed,
206 thee being the same trees in which the measurements of Ψ_{leaf} and g_s were developed), for each daily curve,
207 treatment and moment of the day), and ii) during Curves 1 and 2, thermal images were taken at row level,
208 so that, the trees monitored in the same image were subjected to the same irrigation treatment (Fig. 1).

209 These images at tree level were taken in the sunlit side of the trees, with the imager placed at 2 m of the
210 canopy (Fig. 1). Background temperature was determined by measuring the temperature of a crumpled
211 sheet of aluminium foil placed close to the leaves of interest using $\epsilon = 1$ (Jones et al. 2002). To facilitate the
212 further analysis of these images, a cooled white screen was used as background, this being placed behind
213 of each monitored tree to simplify the isolation of the canopy surface through image processing.

214 Thermal images at tree level were analysed with the software developed by García-Tejero et al. (2012). This
215 software allows to remove those areas or pixels considered stem and the background (Fig. 2).

216 For the case of the images taken at row level, these were analysed using the software ThermaCam
217 Research Pro (Flir Systems, USA), selecting a specific area on the left and on the right and obtaining the
218 average value of T_c for each area (Fig. 3). This methodology is much faster than the previous described by

219 García-Tejero et al. (2012), although it does not discriminates the representative areas with the same
220 feasibility, and the areas selection is done according to the visual perspective of the operator.

221 Considering the T_C values obtained at tree level, three different thermal indicators were calculated: the
222 difference between canopy and the surrounding air ($\Delta T_{\text{canopy-air}}$), the crop water stress index (CWSI), and the
223 index of the relative stomatal conductance these being calculated as follows (Costa et al., 2013):
224

$$225 \quad \Delta T_{\text{canopy-air}} = T_C - T_{\text{air}} \quad (1)$$

226

$$227 \quad CWSI = \frac{\Delta T_{\text{canopy-air}} - \Delta T_{\text{wet}}}{\Delta T_{\text{dry}} - \Delta T_{\text{wet}}} \quad (2)$$

228

$$229 \quad I_G = \frac{\Delta T_{\text{dry}} - \Delta T_{\text{canopy-air}}}{\Delta T_{\text{canopy-air}} - \Delta T_{\text{wet}}} \quad (3)$$

230 where $\Delta T_{\text{canopy-air}}$, ΔT_{dry} and ΔT_{wet} are the differences between canopy and air temperature for the crop in
231 the moment of the measurement, when the crop has the stomata fully closed and when it is fully transpiring,
232 respectively. T_C is the canopy temperature and T_{air} the temperature of the surrounding air.

233 To obtain the reference values of ΔT_{wet} , there was estimated the non-water stress baseline ($\Delta T_{\text{canopy-air}} = a$
234 $+ b \cdot \text{VPD}$) according to Idso et al. (1981), using a ΔT_{dry} value equal to 5 °C, as it was proposed by Jackson
235 et al. (1981). Non-water stress baseline was estimated using the canopy temperature readings obtained
236 from full irrigated trees (C-100).
237

238 2.4. Experimental design and statistical analysis

239 The experimental design was of randomized blocks, with four replications per irrigation treatment. Each
240 replication had 15 trees (3 rows and 5 trees per row), being monitored the three central rows for each
241 replication (n=12).

242 For each measurement day, an exploratory descriptive analysis of data (Ψ_{leaf} , g_s and T_C) was conducted by
243 applying a Levene's test to check the variance homogeneity of the studied variables. Significant differences
244 between irrigation treatments ($p \leq 0.05$) in the studied variables were identified by applying a one-way
245 ANOVA and a Tukey's test for treatment separation, with the SPSS statistical software (SPSS Inc., 15.0
246 Statistical package; Chicago, IL, USA).

247 To evaluate the non-water stress baselines, a linear correlation analysis was made ($n = 15$). To evaluate
248 the relationships between variables, a linear correlation analysis between the values of thermal indicators
249 (T_C , $\Delta T_{\text{canopy-air}}$, CWSI and I_G) and the crop physiological variables (Ψ_{leaf} and g_s) was made, by using the
250 average values for each treatment and sampling time ($n = 9$). The obtained correlation coefficients were

251 used to identify which would be the best time to carry out T_C readings and the most representative thermal
252 index as a proxy for crop physiology traits.

253 Finally, comparative study between the T_C readings taken at tree and row level was conducted by means of
254 a linear correlation analysis between these values, using the average values for each treatment and the
255 whole data obtained during the two first daily curves (n=30).

256

257 **3. Results and discussion**

258 3.1. Daily evolution of crop physiological status

259 Figure 4 shows the evolution of Ψ_{leaf} , g_s , and T_C measured at tree level during the three daily curves
260 developed during the irrigation period in which the water stress regimes were imposed. On overall, as the
261 climatic conditions along the day became more adverse, Ψ_{leaf} reached more negative values, with a final
262 recovery at the end of the day. By contrast, g_s increased during the first readings until reaching a maximum
263 point in which a significant decrease was observed, this coinciding with the moment of the day in which the
264 climatic conditions were more extreme. After this point a slight recovery of g_s was found with the last
265 measurements of the day. In relation to T_C this variable showed a more dependent trend on the climatic
266 conditions along the day, reaching the maximum values in those moments in which the T_{air} values were the
267 highest. During curves 1 and 2, the lowest values of Ψ_{leaf} were reached at 17:30 h, coinciding with the highest
268 VPD values registered during these days; and with the moments in which the T_C values were maximum.
269 Considering the obtained values for each treatment, no differences were observed at 8:30 h, but these were
270 appearing along the day without observing a total recovery between the DI treatments and C-100 at 20:00
271 h. It is remarkable that the observed differences in terms of Ψ_{leaf} were higher during the Curve 2, this being
272 associated with the more severe climatic conditions detected and the imposed water restriction period for
273 LFDI in this curve, which had been prolonged for a further seven days, in comparison to Curve 1.

274 Regarding to g_s , during Curve 1, all the treatments showed a growing tendency, reaching the maximum
275 values at 14:30 h (VPD = 2.61 kPa). However, during the Curve 2, the maximum values were observed at
276 11:30 h (VPD = 1.82), from which g_s decreased, showing a partial recovering in C-100 at the end of the day.
277 This difference observed for the case of g_s could be associated with the more severe climatic conditions
278 registered during the Curve 2, in comparison to the previous one. Finally, it is noticeable that the depletion
279 in T_C was accompanied with a slight recovery of g_s and the slight recovery of Ψ_{leaf} and g_s during the readings
280 at 20:00 h.

281 Regarding to the values obtained during the Curve 3, it was obtained three weeks after Curve 2, when
282 climate conditions were similar to those observed in the previous one, and LFDI was subjected to 15 days
283 of irrigation restriction. In this sense, it was observed a similar trend to that detected in Curve 2, with the
284 highest values of g_s observed at 11:30 (VPD = 1.31 kPa), with a significant reduction in all the treatments at
285 14:30 h, followed by a partial recovery at 17:30 h, and a new descend at the end of the day. This decreasing
286 trend occurred at 14:30 h, being this response associated with a partial stomatal closure, when climatic
287 conditions, specially the VPD values are strongly elevated. Even more, this descend in the values of g_s

288 promoted that, the readings of Ψ_{leaf} between 11:30 and 14:30 were similar, and the partial recovery of g_s at
289 17:30 was accompanied with a significant less values of Ψ_{leaf} .

290 Relating to the T_c readings, these were highly determined by the climatic conditions. On overall, T_c readings
291 in the three studied treatments were below to air temperature (T_{air}), except the readings taken at 08:30 and
292 11:30 h for the Curves 2 and 3. The highest differences in T_c between treatments were detected specially
293 in the readings taken at 11:30, 14:30 and 17:00, although these were not as patent as for the case of Ψ_{leaf} .

294 On overall, and taking into account the monitored physiological variables, it can be assumed that Ψ_{leaf} was
295 the parameter that reflected the highest differences between treatments. In this sense, during the Curve 1,
296 significant differences were observed between C-100 and the remaining treatments at 11:30 and 14:30 h,
297 with an abrupt descend in the readings conducted at 17:30 (<-2.0 MPa), without differences between the
298 three irrigation treatments. During the Curve 2, the Ψ_{leaf} values registered in C-100 were significant different
299 than those registered in the remaining treatments during all day (except at 8:30 h), not being reached the
300 threshold value of -1.5 MPa in C-100. Finally, it also draws attention that, during Curve 3, C-100 reached
301 again Ψ_{leaf} values close to -2.0 MPa, as it was fitted for the Curve 1.

302 It is remarkable that, whereas Ψ_{leaf} was able to show significant differences between treatments, this fact
303 was not as patent in terms of g_s , because of the low capacity of almond to regulate the stomatal closure
304 under drought conditions. In this regard, almond trees present a fast recovery of water potential, but a delay
305 in the values of g_s as it has been stated by authors such as Torrecillas et al. (1996) or Romero et al. (2004).

306 In this line, in physiological terms, when almond is subjected to a mild-to-moderate water stress situation a
307 stomatal conductance reduction is not as patent as the effects in terms of water potential because of its low
308 capability of regulating the stomata when a water stress situation is applied, as it has been discussed by
309 some authors such as Wartinguer et al. (1990), Egea et al. (2011) or Eichi (2013). In this agreement,
310 previously to observe a significant reduction in g_s , almond responds with significant descends in terms of
311 leaf or stem water potential, (García-Tejero et al, 2012, 2015b). Consequently, almond would be able to
312 maintain acceptable levels of g_s (promoting significant descends in the crop-water potential) but, keeping
313 optimum values of carbon assimilation, photosynthetic rate, and hence increasing the intrinsic water-use
314 efficiency (McCutchan and Shackel, 1992; Rouhi et al., 2007).

315 Gomes-Laranjo et al. (2006) reported values of Ψ_{leaf} for different cultivars, which ranged between -1.72 and
316 -2.0 MPa in Glorieta; -1.71 and -2.40 MPa in Ferragnes; -1.91 and -2.34 MPa in Francoli; -1.97 and -2.26
317 MPa in Lauranne, and -1.88 and -1.92 MPa in Masbovera. In this line, these values correspond to
318 measurements done at midday in well-watered trees, which are in line with the threshold range between $-$
319 1.5 and -2.0 MPa considered and obtained in this work for C-100.

320 Obviously, this water potential depletion affects to leaf gas exchange. In this sense, for full irrigated
321 conditions, daily cycle of gas exchange is almost constant when no radiation limitation occur (Torrecillas et
322 al., 1988; Klein et al., 2001; Romero et al., 2006) and vapour pressure deficit (VPD) is not higher than 2 KPa
323 (Romero et al., 2006). However, in our case, the values of VPD were higher than this value during the three
324 curves when the readings were taken between 11:30 and 20:00 h, which would explain the daily variation

325 of g_s in this study. Nevertheless, Torrecillas et al. (1988) reported partial stomata closure in the daily cycle
326 this being higher at midday than in the morning (Klein et al 2001) and reduce the sensibility to evaporative
327 demand (Romero et al., 2006).

328 Regarding to the recovery capability of the DI treatments, the most noticeable was the absence of
329 differences between treatments at the beginning of the day (8:30 h), these values being around -0.5 MPa.
330 That is, although during the day the crop was subjected to water stress conditions, this showed an optimum
331 recovery capacity during the evening and night, although this fact occurred faster in C-100 (as was observed
332 in the readings taking at 20:00 h). This fact could be related to the experimental orchard location, very close
333 to the Guadalquivir river course (~ 100 m). This would explain this certain capability of recovering, being the
334 trees able to take water during the night from deeper soil layers.

335

336 3.2. Relationships between thermal parameters and physiological variables

337 With the aim of establishing the most appropriate moment to take the thermal readings and the best thermal
338 indicator in order to determine the plant water status, different relationships were obtained between the
339 thermal parameters and the related physiological variables, these relationships being obtained for each
340 monitoring time.

341 Previously, the non-water stress baseline was defined, which was calculated using the canopy temperature
342 readings obtained from full irrigated trees, and the values of air temperature and vapour pressure deficit in
343 each monitoring time (Figure 5).

344 We used the methodology proposed by Idso et al. (1981) and Jackson et al. (1981) to derive non-water
345 stressed baselines from the $\Delta T_{\text{canopy-air}}$ values obtained from the C-100 trees ($n = 15$) and VPD values
346 registered for each time and monitoring day (Table 2). It was noticeable that if these functions had been
347 defined separately for each curve, the slopes of them (-1.84, -1.88 and -1.85 for Curve 1, 2 and 3,
348 respectively) were very similar. According to Berni et al. (2009), for the case of olive, the slope values could
349 be affected by errors in the estimation of T_c and the measurement of T_{air} , although more interesting
350 conclusion was the one derived from the comparison between the effect of net radiation and wind speed in
351 the interception point, suggesting that the slopes obtained for different non-water stressed baselines
352 estimated from a theorist proposed model by them were very similar to the obtained from empirical
353 information; and the highest variations were observed in the interception point. Similar results were reported
354 by Testi et al. (2008) in pistachio trees, evidencing that daily variations in net radiation resulted in parallel
355 baselines, not being affected the slope of baseline. In our case, the non-water stress baselines obtained
356 independently for each curve showed very similar slopes, focusing the differences in the interception points
357 (1.25, 3.64 and 4.22 for Curves 1, 2 and 3, respectively).

358 Once defined the non-water stress baseline, the values of $CWSI$ and I_G were estimated in order to normalize
359 the T_c readings and to define the most advisable thermal index to assess the almond water status using
360 thermal information.

361 According to García-Tejero et al. (2016b), there are many variables such as the air temperature, vapour
362 pressure deficit, the radiation level, or its angle of incidence on the leaf surface that will influence decisively
363 on the absolute value of T_C and have to be considered. These indexes normalize the absolute values of
364 temperature, obtaining a second value in which the effects of this set of potentially influential variables are
365 partially minimized (García-Tejero et al., 2015a).

366 Once the T_C readings were normalized with the air temperature and $CWSI$ I_G calculated, the different
367 relationships with Ψ_{leaf} and g_s were defined for each monitoring time considered in the three daily curves
368 (Table 3). According to the results, the most significant relationships were for the readings taken at 11:30,
369 14:30 and 17:30 h, although some differences were found depending on the thermal indicator and the
370 physiological parameter considered. Thus, it is remarkable that at 11:30 h, the best relationships were fixed
371 between the thermal information and Ψ_{leaf} , whereas the relationships for g_s were not significant.

372 Therefore, at 11:30 h T_C as well as $CWSI$ showed the most significant relationships. When these
373 relationships were obtained for the readings taken at 14:30 h, T_C and $CWSI$ reported the most significant
374 relationships again, and in this case, these were noteworthy as for g_s as for Ψ_{leaf} , evidencing that the
375 measurements taken at 14:30 h would be more representative than those fixed at 11:30 h. The robustness
376 of these relationships decreased for the readings taken at 17:30 and 20:00, being not recommendable the
377 readings during the evening and at the end of the day.

378 Previous literature showed that infrared thermal imaging can be used to assess the crop water status under
379 field conditions (Jones et al., 2002; Möller et al., 2007; García-Tejero et al., 2016b). However, for a proper
380 management of deficit irrigation strategies it is essential to identify the most appropriate and robust thermal
381 index as well as the best time of the day to perform the infrared thermal readings. In our case, we
382 hypothesized that the most appropriate moment would be in those hours of the day at which the most
383 significant differences in terms of T_C and the physiological traits (Ψ_{leaf} and g_s) were detected. That is, the
384 most significant differences between treatments were detected for the readings taken at 11:30, 14:30 and
385 17:30 h, and especially in terms of Ψ_{leaf} , coinciding this period of the day with the moments under the highest
386 air evaporative demand. In this context, many authors have demonstrated that the best time of the day to
387 do more robust and physiologically meaningful temperature readings was at midday (González-Dugo et al.,
388 2013; Pou et al., 2014; Bellvert et al, 2014; García-Tejero et al., 2011, 2016b). Our findings show that thermal
389 information was highly correlated with Ψ_{leaf} and g_s at 14:30 h (Table 3), and 11:30 h exclusively for the case
390 of Ψ_{leaf} .

391 The different indicators studied have advantages and disadvantages that must be taken into account when
392 they are used for water-stress monitoring at field level. Regarding the simplicity and the time consuming
393 aspects, the absolute value of T_C and the $\Delta T_{canopy-air}$ would be more recommendable because they are easy
394 to calculate. Moreover, these have been successfully used in water stress monitoring of relevant woody
395 crops such as citrus (García-Tejero et al., 2011), almonds (García-Tejero et al. 2012), vines (García-Tejero
396 et al., 2016) or olives (García-Tejero et al., 2017), T_C would not be the best water stress indicator, because
397 of the high variability of this parameter in relation to the weather conditions. In this sense, $\Delta T_{canopy-air}$ would

398 be more representative, especially if this is used taking the derived information from the non-water stress
399 baselines. The simplicity of this indicator could favour its usage as a preliminary indicator of stress. However,
400 it is necessary to consider that this parameter is more influenced by weather conditions than *CWSI*, and
401 hence, it can have major limitations for remote sensing characterization for crop water status, whereas the
402 *CWSI* would be more robust especially under more variable environmental conditions along the day. In this
403 line, Figure 6 shows the relationships between T_C , $\Delta T_{\text{canopy-air}}$ and *CWSI* with Ψ_{leaf} by using the readings
404 taken at 11:30, 14:30 and 17:30 h. According to this, results showed the higher robustness of *CWSI* in
405 comparison to the absolute values of T_C , being a good thermal indicator to monitor the crop water status
406 and estimate the values of Ψ_{leaf} in almond, when these readings are taken within the range of 11:30 and
407 17:30 h. Similar results were obtained by Gonzalez-Dugo et al. (2013), when they suggested the advantages
408 of taking the thermal readings at midday in order to find the best results in terms of irrigation scheduling and
409 crop water monitoring; although in their case, thermal readings were taken by means of UAV, this strategy
410 being specially recommended to study the crop variability.

411 Regarding the range of values obtained for *CWSI*, it is remarkable that, in spite of these values should be
412 within the range of 0-1, in our case, some values were below to 0. This fact could be promoted by two
413 questions: the necessity of establishing references values of T_{wet} and T_{dry} , or maybe, by the fact of improving
414 the non-water stress baselines functions, by using different equations for different phenological stages,
415 different moments along the day. Similar situations have been reported by other authors such as Egea et
416 al. (2017) or García-Tejero et al. (2017) in olives, when these authors used non-water stress baselines
417 calculated by using thermal data from well irrigated trees, and taking as “reference value” of $T_{\text{dry}} = T_{\text{air}} + 5$
418 °C. These assumptions could promote little deviations of *CWSI* out of the range of [0 – 1].

419

420 3.3. Strategies to assess the canopy temperature: readings at two different levels

421 Finally, once determined the best moment along the day to assess the almond water status by means of
422 infrared thermal readings and the most robust thermal indexes, two different methodologies were assessed
423 to take the images; the first of them, at tree level, and the other at row level. For this, during the curves 1
424 and 2, together with the thermal readings taken at tree level, images at row level were taken to monitor the
425 canopy of consecutive trees subjected to the same irrigation strategy. Whereas, the first strategy allows to
426 monitor a representative area of one tree, being processed in order to delete those pixels that does not
427 correspond with the canopy; the second strategy allows to monitor a higher number of trees, but the further
428 discrimination to analyse the images is less precise than the previous one.

429 Tables 4 and 5 show examples of the images taken under these two procedures at different moments along
430 the days, and the average values of T_C obtained at tree and row level. These measurements were related,
431 with the aim of corroborate if the thermal information obtained at tree level, and requiring an image
432 processing, was similar to those obtained using the images taken at row level (with a processing of images
433 faster than that required when these are taken at tree level). As it can be observed, the relationships were

434 highly significant ($p < 0.01$) and very similar to the function $y = x$, evidencing that the obtained measurements
435 at row level were very similar than those obtained for each monitored tree (Figure 7). These results
436 demonstrate that the procedure of capturing images and their subsequent analysis could be done easier
437 without committing the quality and robustness of the provided information.

438 Although any crop has a set of inherent characteristics; when we want to use the infrared thermal imaging
439 for monitor the crop-water status, one of the most important characteristic to be taken into account will be
440 the crop morphology. In addition, there are some limitations usually associated with the procedure of
441 capturing and processing data, requiring in many cases the use of complex software, thus reducing the
442 operational and affordability of such these techniques. The aim of these methodologies is to exclude those
443 parts of the tree that not are susceptible of being monitored (branches, trunk, etc.) (García-Tejero et al.,
444 2012). Hand-operated cameras allow taking images of individual plants or portions of them, but during the
445 capturing process different elements (soil, shady areas, sky or portions of adjacent plants) can be reflected,
446 requiring a subsequent time-consuming processing images (García-Tejero et al., 2015a). This difficulty is
447 specially marked in woody crops, with discontinuous canopies and a ground cover less than 100% (Jiménez-
448 Bello et al., 2011). Some authors such as Zarco-Tejada et al. (2009), Wang and Gartung (2010), or García-
449 Tejero et al. (2012) have described different methods to overcome such limitations, although all of them
450 have previously required different images processing, either through editing software and image processing,
451 either through processes of classification of pixels, or through relatively laborious statistical analysis.
452 However, attempting to the obtained results in the present work, the thermal information provided by the
453 images taken at row level was very similar to that reported after processing the images taken at tree level.
454 This fact supposes an important consideration in order to standardize the methodology of this technique
455 when this is going to be used by field operators to assess the crop water status aiming to perform irrigation
456 scheduling.

457 **4.- Conclusions**

458 Considering the aims previously defined in the present work, CWSI would be the most appropriate thermal
459 index to monitor the almond water status. In this sense, the normalization achieved using the CWSI
460 significantly improved the possibility of estimating the values of leaf water status, especially when thermal
461 readings are taken between 11:30 and 17:30 h, these coinciding with daily period of maximum
462 evapotranspirative demand.

463 On the other hand, considering the different scales to take the thermal readings, the results allow us
464 concluding that the images taken at row level were enough robust to be used to estimate the water status,
465 being the canopy temperature values very similar to those obtained at tree level. Nevertheless, some
466 aspects should be considered in future works such as the estimation of different baselines for the different
467 phenological stages in almond, and the effect of the moment of the day in these types of functions.

468 Therefore, infrared thermal imaging supposes an alternative tool as a non-invasive technique in modern
469 agriculture, addressing in improvement in the water resources management, irrigation scheduling, and the
470 crop water monitoring. According to the findings of the present work, the infrared approach has a great
471 advantage due to the robustness of the provided information, the versatility of the measurements that are
472 taken, and the feasibility in developing experiments at different scales. Thus, infrared thermography is a
473 suitable technique to monitor the almond water status, especially when this is subjected to deficit irrigation
474 strategies.

475

476

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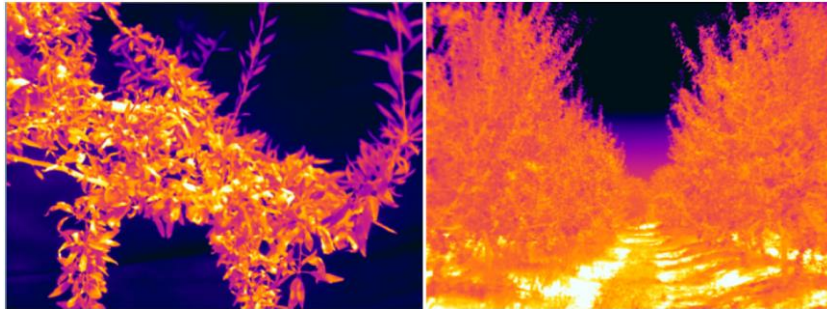
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641 **FIGURES**

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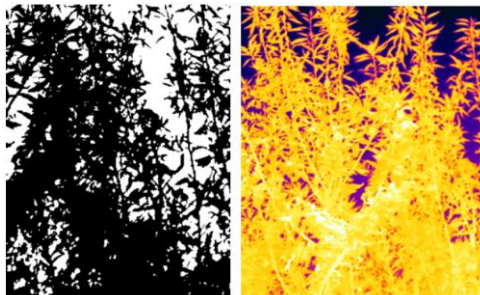
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Figure 1. Example of thermal images at plant (left) and row (right) level

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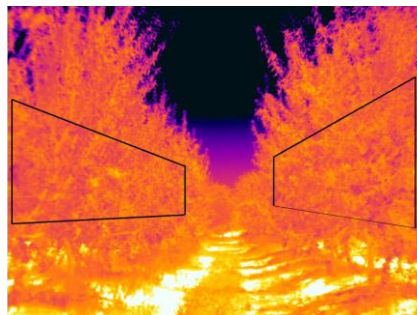
649 Figure 2. Example of image processing using the software developed by García-Tejero et al (2012).

650 On the right, the initial thermal imaging; on the left, a bitmap image, in which the black area

651 represents the pixels of the thermal image considered to calculate the canopy temperature.

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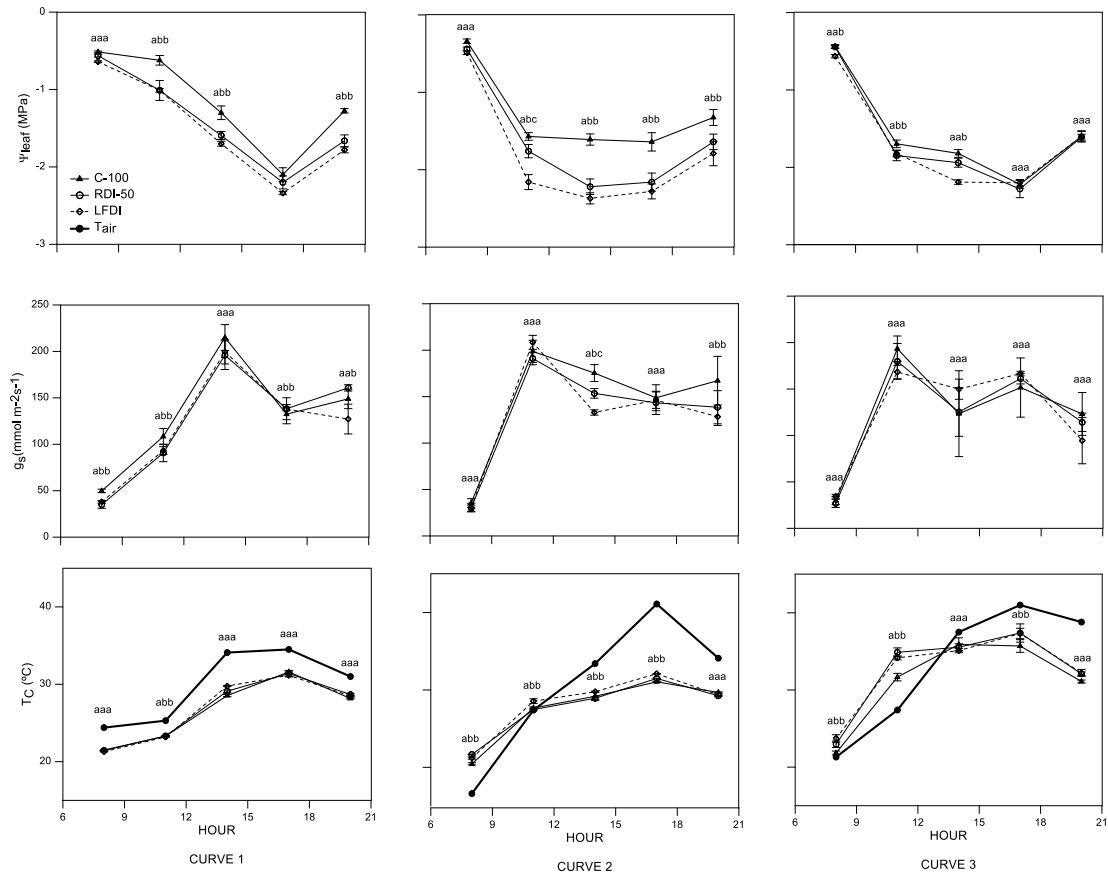


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655 Figure 3. Example of image processing at row level using the ThermaCam Research Pro (Flir

656 Systems, USA).

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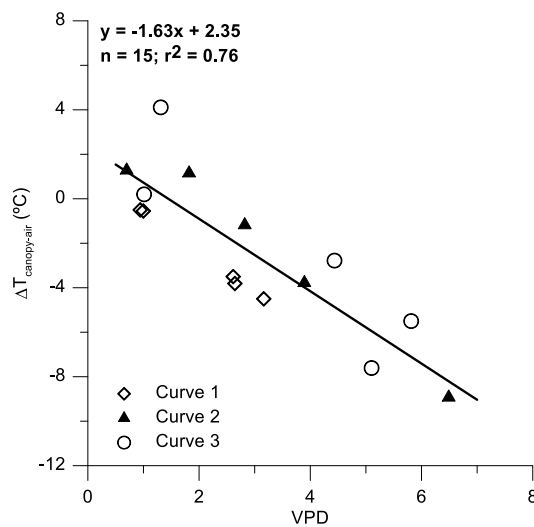
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Figure 4. Daily curves of leaf water potential (Ψ_{leaf}), stomatal conductance (g_s) canopy temperature (T_c) and air temperature (T_{air}) in almond trees subjected to different irrigation doses: C-100, full irrigated treatment; RDI-50, regulated deficit irrigation; LFDI, low-frequency deficit irrigation. Letters a, b, and c show significant differences between C-100, RDI-50 and LFDI treatments, respectively ($p < 0.05$).

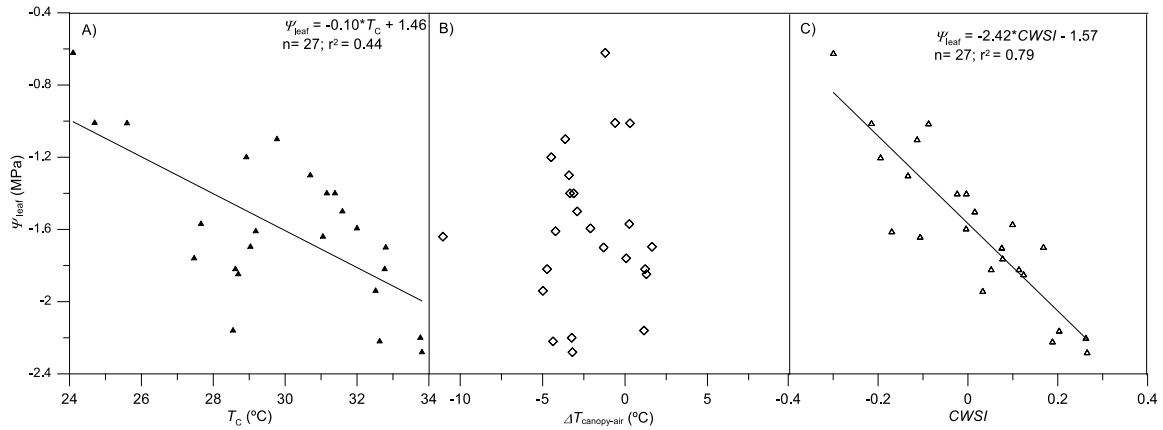
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667 **Figure 5. Non-water stress baseline ($\Delta T_{\text{canopy-air}} = a \cdot \text{VPD} + b$). Data obtained for the DOYs 210, 217**
 668 **and 239 and using the readings taken at 8:30, 11:30, 14:30, 15:30 and 20:00.**

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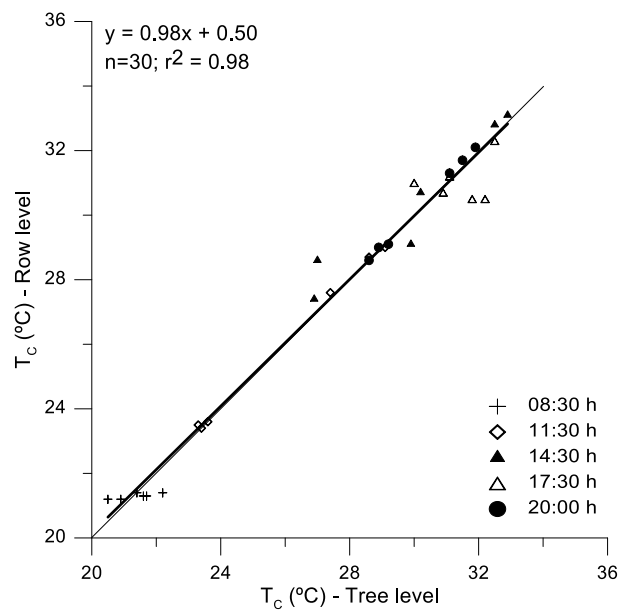
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672 **Figure 6. Relationships between canopy temperature readings (T_c), the difference between**
 673 **canopy and air temperature ($\Delta T_{\text{canopy-air}}$) and crop water stress index (CWSI) with leaf-water**
 674 **potential (Ψ_{leaf}).**

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677 **Figure 7. Relationships between canopy temperature readings (T_c) at tree and row level.**

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683 TABLES

684

685 Table 1. Climatic conditions, water requirements and irrigation doses applied during the season

Period (DOY)	T_{air} (°C)	RH (%)	Rainfall (mm)	ET_0 (mm)	K_C	ET_C (mm)	C-100 (mm)	RDI-50 (mm)	LFDI (mm)
60 to 90	13.53	70.18	55.6	92.11	0.3	27.63	0	0	0
91 to 120	17.95	72.96	35.6	114.84	0.55	34.74	8.03	8.03	8.031
121 to 151	21.42	54.06	12.6	175.79	0.9	118.66	109.21	109.21	109.21
152 to 181	23.40	58.88	7.4	176.94	1.05	167.21	161.66	108.32	107.88
182 to 212	25.24	58.81	0.2	184.24	1.15	190.69	190.54	91.46	77.85
213 to 243	26.05	54.06	0	173.82	1.15	179.90	179.90	88.15	79.56
244 to 273	22.66	78.06	175.8	104.99	0.8	75.59	23.02	23.02	23.02
274 to 304	19.93	77.67	73.2	79.86	0.7	50.31	12.5	12.5	12.5

686 DOY. day of the year; T_{air} . average air temperature; RH. average relative humidity. ET_0 . reference
 687 evapotranspiration; K_C . crop coefficient; ET_C . crop evapotranspiration; C-100. control treatment; SDI-50.
 688 regulated deficit irrigation at 50% of ET_C during the kernel filling period; LFDI. low-frequency deficit irrigation
 689 during the kernel filling period.

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692 Table 2. Average values of air temperature (T_{air}), relative humidity (RH) and vapour pressure deficit

693 (VPD) registered during the daily curves

Hour	Curve 1 (210 DOY)			Curve 2 (217 DOY)			Curve 3 (239 DOY)		
	T_{air} (°C)	RH (%)	VPD (kPa)	T_{air} (°C)	RH (%)	VPD (kPa)	T_{air} (°C)	RH (%)	VPD (kPa)
08:30	24.4	63	0.94	16.6	63	0.70	21.3	60	1.01
11:30	25.3	69	0.99	27.4	50	1.82	27.4	64	1.31
14:30	34.1	51	2.61	33.4	45	2.82	37.5	31	4.43
17:30	34.5	42	2.29	42.1	21	6.49	41.0	25	5.81
20:00	31	41	1.84	34.1	27	3.90	38.8	26	5.10

694 T_{air} . average air temperature; RH. average relative humidity; VPD. vapour pressure deficit

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704 **Table 3. Pearson's correlation coefficients between thermal information and the studied**
 705 **physiological variables**

Hour		T_c	$\Delta T_{\text{canopy-air}}$	<i>CWSI</i>	I_g
8:30	g_s	-0.32*	ns	-0.40*	ns
	ψ_{leaf}	ns	ns	ns	ns
11:30	g_s	ns	ns	ns	ns
	ψ_{leaf}	-0.85**	-0.69*	-0.85**	ns
14:30	g_s	-0.70*	ns	-0.82**	ns
	ψ_{leaf}	-0.39*	ns	-0.69*	ns
17:30	g_s	ns	-0.70*	-0.62*	ns
	ψ_{leaf}	-0.39*	ns	-0.34*	0.74**
20:00	g_s	-0.75*	ns	ns	ns
	ψ_{leaf}	ns	ns	ns	ns

706 T_c . canopy temperature; $\Delta T_{\text{canopy-air}}$. difference between canopy and air temperature; *CWSI*. crop-
 707 water stress index; I_g . relative index of stomatal conductance; g_s . stomatal conductance to water
 708 vapour; ψ_{leaf} . leaf-water potential in shaded leaves. * and ** show significant relationships at
 709 confidence level of 95 and 99%. respectively.

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Table 4. Example of false-coloured images taken at tree and row level during the Curve 1 in the different irrigation treatments and moment of the day. The values of canopy temperature (T_c) correspond to the average of five measurements taken for each treatment and moment of the day.

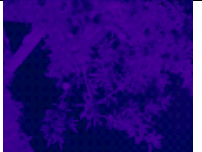
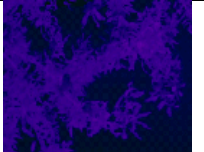
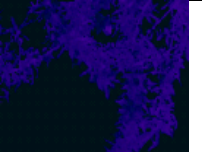
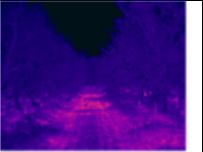
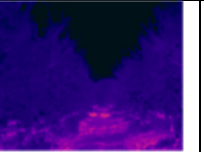
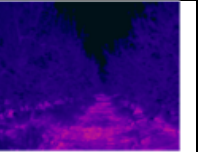
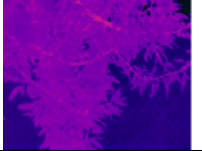
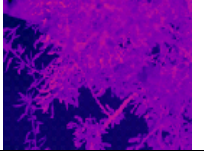
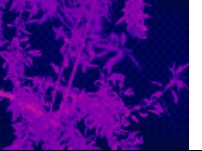
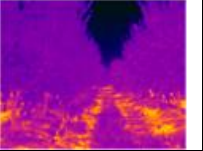
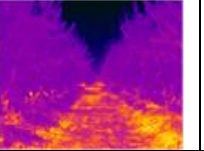
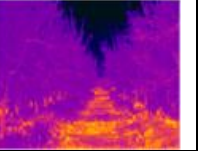
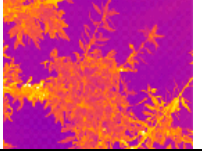
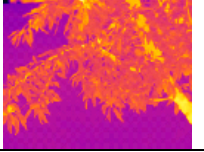
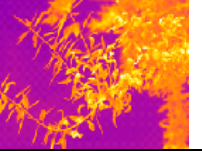
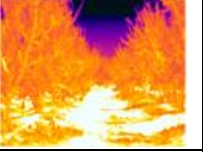
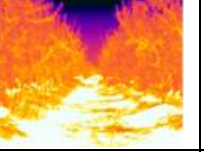
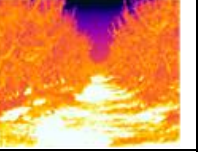
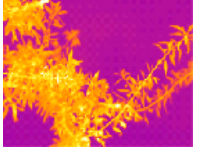
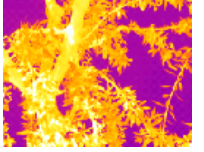
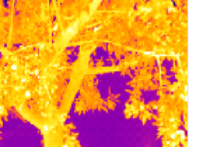
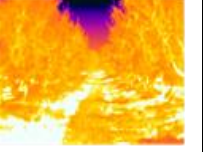
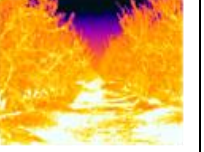
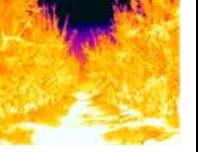
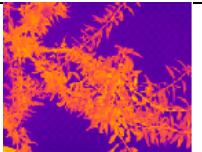
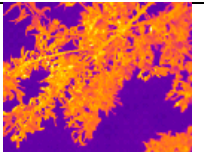
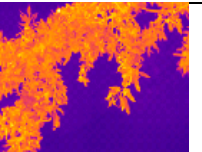
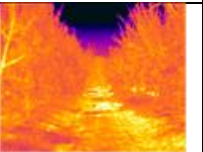
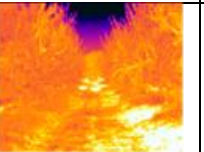
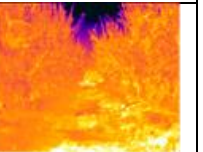
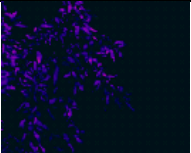
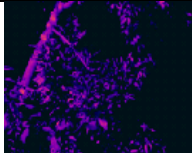
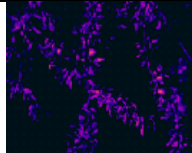
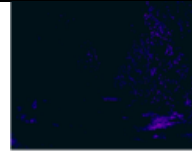
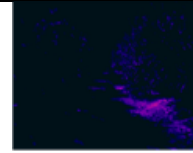
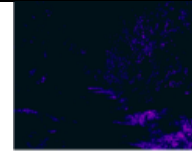
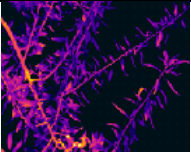
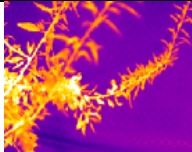
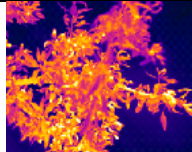
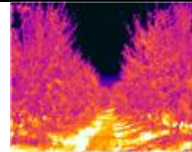
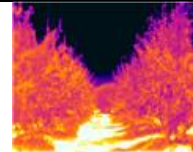
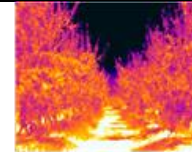
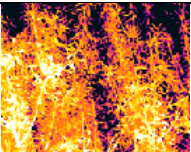
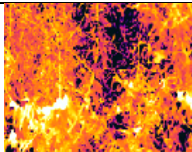
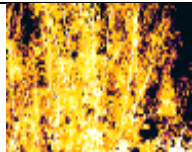
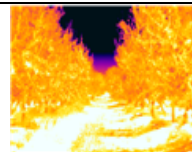
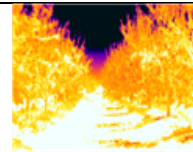
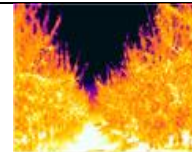
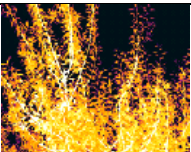
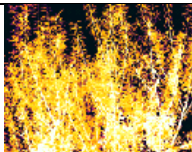
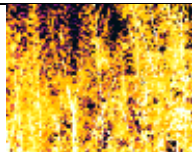
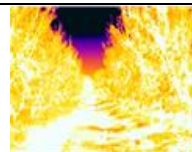
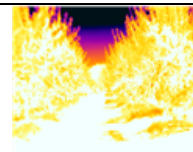
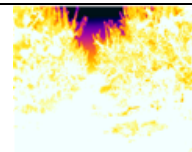
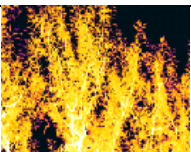
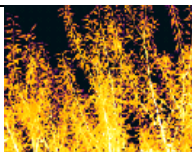
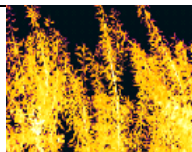
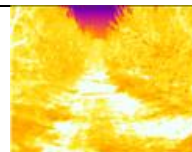
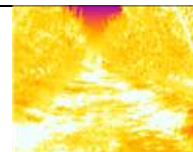
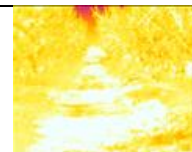
	Tree level			Row level		
	C-100	RDI-50	LFDI	C-100	RDI-50	LFDI
08:30						
T_c (°C)	21.7	21.6	21.4	21.3	21.3	21.4
11:30						
T_c (°C)	23.3	23.6	23.4	23.5	23.6	23.4
14:30						
T_c (°C)	26.9	27.0	29.9	27.4	28.6	29.1
17:30						
T_c (°C)	32.5	30.9	30.0	32.3	30.7	31.0
20:00						
T_c (°C)	29.2	28.6	28.9	29.1	28.6	29.0

Table 5. Example of false-coloured images taken at tree and row level during the Curve 2 in the different irrigation treatments and moment of the day. The values of canopy temperature (T_c) correspond to the average of five measurements taken for each treatment and moment of the day.

	Tree level			Row level		
	C-100	RDI-50	LFDI	C-100	RDI-50	LFDI
08:30						
T_c (°C)	20.9	20.5	22.2	21.2	21.2	21.4
11:30						
T_c (°C)	27.4	28.6	29.1	27.6	28.7	29.0
14:30						
T_c (°C)	30.2	32.5	32.9	30.7	32.8	33.1
17:30						
T_c (°C)	31.8	32.2	31.1	30.5	30.5	31.2
20:00						
T_c (°C)	31.1	31.5	31.9	31.3	31.7	32.2

