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4	Version of Record is available online at: https://doi.org/10.1007/s00429-020-02057-y
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8	Sources and lesion-induced changes of VEGF expression in brainstem motoneurons
9 10	Silvia Silva-Hucha, Génova Carrero-Rojas, María Estrella Fernández de Sevilla, Beatriz Benítez-Temiño, María América Davis-López de Carrizosa, Angel M Pastor, Sara Morcuende*
11	Departamento de Fisiología, Facultad de Biología,
12	Universidad de Sevilla, Sevilla, Spain
13	
14	Correspondence:
15	Dr. Sara Morcuende
16	e-mail: smorcuende@us.es
17	Tel: +0034 954559549
18	
19	ORCID S.S.H. 0000-0002-8026-502X
20	ORCID G.C.R. 0000-0002-1984-3505
21	ORCID M.E.F.D.S. 0000-0002-2693-1861
22	ORCID B.B.T. 0000-0002-3852-1093
23	ORCID M.A.D.L.D.C. 0000-0002-2551-3115
24	ORCID A.M.P. 0000-0002-6213-7454
25	ORCID S.M. 0000-0003-1471-7005
26	Abstract
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Motoneurons of the oculomotor system show lesser vulnerability to neurodegeneration compared to other cranial motoneurons, as seen in amyotrophic lateral sclerosis (ALS). Overexpression of vascular endothelial growth factor (VEGF) is involved in motoneuronal protection. As previously shown, motoneurons innervating extraocular muscles present a higher amount of VEGF and its receptor Flk-1 compared to facial or hypoglossal motoneurons. Therefore, we aimed to study the possible sources of VEGF to brainstem motoneurons, such as glial cells and target muscles. We also studied the regulation of VEGF in response to axotomy in ocular, facial and hypoglossal motor nuclei.

Basal VEGF expression in astrocytes and microglial cells of the cranial motor nuclei was low.
 Although the presence of VEGF in the different target muscles for brainstem motoneurons was similar, the
 presynaptic element of the ocular neuromuscular junction showed higher amounts of Flk-1, which could
 result in greater efficiency in the capture of the factor by oculomotor neurons.

- Seven days after axotomy, a clear glial reaction was observed in all the brainstem nuclei, but levels of the neurotrophic factor remained low in glial cells. Only the injured motoneurons of the oculomotor system showed an increase in VEGF and Flk-1, such an increase was not detected in axotomized facial or hypoglossal motoneurons. Taken together, our findings suggest that the ocular motoneurons themselves upregulates VEGF expression in response to lesion.
- In conclusion, the low VEGF expression observed in glial cells suggests that these cells are not the main source of VEGF for brainstem motoneurons. Therefore, the higher VEGF expression observed in motoneurons innervating extraocular muscles is likely due either to the fact that this factor is more avidly taken up from the target muscles, in basal conditions, or is produced by these motoneurons themselves, and acts in an autocrine manner after axotomy.
- 48 Keywords: oculomotor system, VEGF, Flk-1, brainstem motoneurons, axotomy, amyotrophic lateral
   49 sclerosis.

# 50 Acknowledgments

51 Confocal microscopy imaging was performed in the central research services of the Universidad de Sevilla (CITIUS). This work was supported by the Ministerio de Economía y Competitividad (Grant reference: BFU2015-64515-P and PGC2018-094654-B-100), and Consejería de Economía, Innovación, Ciencia y Empleo, Junta de Andalucía, BIO-297, in Spain. Confocal images were performed in the Central Research Services of University of Sevilla (CITIUS). We acknowledge Dr. Paul J May from UMMC for helpful comments and editing the manuscript.

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#### 58 Author contributions

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S.M., S.S.H., A.M.P., M.A.D.L.C. and B.B.T. designed experiments. S.M., S.S.H., B.B.T., G.C.R.,
M.E.F.S. and A.M.P. performed experiments. S.M., S.S.H., B.B.T. analyzed and processed the data. S.M.
wrote the manuscript. S.M., A.M.P., M.A.D.L.C. and B.B.T. proofread and edited the manuscript. All
authors read and approved the final version of the manuscript.

# 65 Compliance with ethical standards

6667 This study was carried out in accordance with the recommendations of the University of Seville ethics68 committee

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# 70 Conflict of interest statement

71 The authors declare no conflict of interest.

#### 73 1. Introduction

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Brainstem motoneurons are differentially affected by degeneration, induced either by nerve insults
or by neurodegenerative diseases, such as amyotrophic lateral sclerosis (ALS) (Reiner et al., 1995;
Nimchinsky et al., 2000; Haenggeli and Kato, 2002). Specifically, motoneurons of the oculomotor system,
located in the oculomotor (III; OCM), trochlear (IV; TRO) and abducens (VI; ABD) nuclei, have less
vulnerability to neurodegeneration compared to other cranial motoneurons, as seen in ALS. Commonly,
facial (VII) and hypoglossal (XII; HYPO) motor nuclei are more affected in this disease.

81 Trophic factors are known to play a principal role in neuronal survival in adult motoneurons, 82 including motoneurons of the oculomotor system (for review see Benítez-Temiño et al., 2016). In recent 83 years, vascular endothelial growth factor (VEGF) has been included in the group of trophic factors acting 84 on motoneurons (Storkebaum et al., 2004; Bogaert et al., 2006; Lange et al., 2016; Calvo et al., 2018b). 85 VEGF was initially discovered as a vascular permeability factor (Senger et al., 1983) and considered an 86 endothelial specific growth factor. However, VEGF's role in neuroprotection became evident when mice 87 with reduced level of VEGF (VEGF<sup> $\delta/\delta$ </sup>) developed adult-onset progressive motoneuronal degeneration, resembling ALS (Oosthuyse et al., 2001). Recent discoveries prove that VEGF has direct effects on 88 89 neurons, stimulating axonal outgrowth and survival (Zheng et al., 2004; Storkebaum et al., 2005; Tolosa et 90 al., 2009; Tovar-y-Romo and Tapia, 2012; Lladó et al., 2013; Pronto-Laborinho et al., 2014). Accordingly, 91 VEGF upregulation plays a neuroprotective role in neurons (Lambrechts et al., 2003; Sun et al., 2003; Wang et al., 2007), while blockade of VEGF activity leads to neuronal degeneration (Oosthuyse et al., 2001; 92 93 Devos et al., 2004; Sathasivam, 2008).

94 VEGF binds the receptors tyrosine kinase VEGFR-1 (Flt-1) and VEGFR-2 (KDR/Flk-1), but
95 neuronal effects are mainly mediated by the latter (Sondell et al., 2000). Receptor activation leads to
96 PI3K/Akt signaling, inhibiting p38 MAP kinase phosphorylation. This lowered phosphorylation prevents
97 Bcl-2 downregulation and inhibits apoptosis (Li et al., 2003; Tolosa et al., 2009).

98 The effects of VEGF as a neuroprotective factor on motoneurons innervating extraocular muscles 99 was recently revealed (Acosta et al., 2018; Calvo et al., 2018a). Furthermore, we have previously 100 demonstrated that oculomotor motoneurons show a higher expression of the neurotrophic factor VEGF and 101 its main receptor Flk-1 than other brainstem motoneurons in adult rats (Silva-Hucha et al., 2017). It seems 102 reasonable to propose that since low VEGF levels lead to motoneuronal degeneration, higher VEGF 103 expression in ocular motoneurons could be related their resistance to degeneration.

104 VEGF has been demonstrated to access motoneurons somata via retrograde transport from the 105 target muscles (Azzouz et al., 2004; Krakora et al., 2013). In addition, VEGF can be produced by the motoneurons themselves (Ogunshola et al., 2002; Murakami et al., 2003; Croll et al., 2004; McCloskey et 106 107 al., 2008), and may act in an autocrine manner. Another possibility is that VEGF may be supplied to the 108 motoneurons from the surrounding glial cells, in a paracrine way (Ijichi et al., 1995; Krum and Rosenstein, 109 1998; Zhou et al., 2019). Therefore, we were interested in exploring whether differences exist in the sources 110 of VEGF for brainstem motoneurons, and whether astrocytes, microglial cells and target muscles provide 111 the motoneurons of ocular system higher baseline levels of VEGF than are available to more vulnerable 112 brainstem motoneurons. We examined the possible source of VEGF for motoneurons by means of 113 immunohistochemistry and Western blotting.

114 One common event that occurs after the induction of a variety of different types of brain insult, such as excitotoxicity, vessels occlusion or seizures, is an increase in VEGF of Flk-1 expression in 115 116 motoneurons or in glial cells (Lennmyr et al., 1998; Croll et al., 2004; McCloskey et al., 2008; Nicoletti et 117 al., 2008; Castañeda-Cabral et al., 2017). This upregulation has been related to neuroprotection, and avoidance of motoneurons degeneration. Therefore, we were also interested in studying the regulation of 118 119 VEGF and its receptor on neurons and glial cells of brainstem motor nuclei in response to injury. To this end, we used cranial nerve axotomy, a well characterized injury to brainstem motoneurons that interrupt 120 retrograde transport (Kobayashi et al., 1996; Morcuende et al., 2011), as our injury model. To determine 121 122 the variations in VEGF expression, we examined control and axotomized nuclei by immunohistochemistry 123 and quantitative PCR (qPCR).

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# 125 2. Material and methods

#### 127 2.1. Animals and tissue extraction

Adult Wistar rats were obtained from an authorized supplier (University of Seville). All experimental procedures were performed in accordance with the guidelines of the European Union (2010/63/EU) and Spanish legislation (R.D. 53/2013, BOE 34/11370-421) for the use and care of laboratory animals and were approved by the local committee for animal research. All efforts were made to minimize the number of animals used and their suffering in this study. A total of 44 animals were used in the present work: 18 were control non-operated animals, and 26 were axotomized.

Animals destined to immunohistochemistry were sacrificed by intracardiac perfusion. Under deep anesthesia (sodium pentobarbital, 50 mg/kg, i.p.) animals were perfused with 100 ml of physiological saline, followed by 250 ml of 4 % paraformaldehyde in 0.1 M sodium phosphate buffer, pH 7.4 (PB). The brainstem was removed and cryoprotected by immersion in a solution of 30 % sucrose in sodium phosphatebuffered saline (PBS) until they sink. Tissue was then cut into 40 µm-thick coronal sections using a cryostat (Leica CM1850, Wetzlar, Germany).

141 In control animals (n = 4), brainstem sections were divided in two series: one for GFAP 142 immunohistochemistry, and the other for Iba1. Alternate sections from each animal were used to perform 143 an analysis of VEGF expression in astrocytes and microglial cells, respectively. Cranial muscles from 144 control animals (n = 8) were processed for immunohistochemistry against VEGF or Flk-1.

145 Brainstems from axotomized animals (n = 4) were sectioned and separated in two series with the 146 following purposes. The first series served for analysis of possible changes in VEGF expression between different groups of lesioned motoneurons. This was done by double immunocytochemistry against ChAT 147 148 and VEGF. The other series was processed with double immunohistochemistry against ChAT and Flk-1, 149 to detect changes in the expression of the receptor after axotomy. Brainstems from a second set of 150 axotomized animals (n = 4) were sectioned and used in alternating order to stain either for astrocytes or 151 microglia. Antibodies against GFAP or Iba1, plus VEGF and ChAT, were used to study the expression of VEGF in these glial cells after lesion. 152

153 Animals destined to Western blot (n = 6) or qPCR (n = 18) techniques were sacrificed under deep 154 anesthesia (sodium pentobarbital, 50 mg/kg, i.p.), then the tissue was extracted and immediately frozen.

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#### 156 2.2. Surgical procedure: axotomy

158 Animals prepared for axotomy were operated on under general anesthesia (sodium pentobarbital, 159 35 mg/kg, i.p.). Axotomy of the oculomotor, facial and hypoglossal nerves was performed in different groups of adult rats. Surgery consisted in the enucleation of the left eye, as a method to axotomize 160 161 motoneurons innervating extraocular muscles, or the ligation and cutting of the left facial or left hypoglossal 162 nerve, leaving the corresponding motoneurons deprived from their target muscles. The procedure for 163 enucleation has been described in detail previously (Morcuende et al., 2005, 2011, 2013). Briefly, the left 164 eyeball was extirpated, intraorbital tissues removed and bleeding cauterized. Eyelids were then sutured 165 closed over the orbit. Animals were sacrificed 7 days after axotomy, since that survival time was found to 166 be the optimum for detecting changes in neurotrophic factor expression in previous studies (Navarro et al., 167 2007; Morcuende et al., 2011). As indicated above, tissue from axotomized animals was treated according 168 to the technique: immunohistochemistry or qPCR.

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#### 170 2.3. Immunohistochemistry

#### 172 2.3.1. Immunohistochemistry in brain tissue

To determine VEGF expression in motoneurons, astrocytes and microglial cells, in control or lesioned brainstem nuclei, double or triple confocal immunohistochemistry was performed. The following antibodies were used as markers of specific populations of brains cells: choline acetyl-transferase (ChAT) as a marker for motoneurons, glial fibrillary acidic protein (GFAP) as a marker for astrocytes, and Iba1 as a marker for microglial cells. Triple immunofluorescences were made using ChAT along with VEGF antibodies, and used another marker for glial cells, either GFAP or Iba1, to characterize, on one hand, the 180 presence of VEGF on glial cells, and on the other hand, possible differences in the expression of this protein 181 in response to lesion. Double immunohistochemistry, using ChAT along with either VEGF or Flk-1 182 antibodies, was used to visualize possible changes in VEGF of Flk-1 expression in axotomized 183 motoneurons. Rhodamine (TRICT), fluorescein (FITC) and cyanine-5 (Cy5) were used as fluorophores 184 coupled to the secondary antibodies.

186 For double ChAT-VEGF immunohistochemistry, nonspecific binding was blocked by incubation 187 for 45 minutes in a solution consisting of 10 % normal donkey serum in PBS with 0.01 % Triton X-100 188 (PBS-T). Sections were then incubated overnight at room temperature with the primary antibody solution 189 containing goat polyclonal anti-ChAT IgG from Millipore (Billerica, MA, USA; AB-144P, 1:500) and 190 rabbit polyclonal anti-VEGF IgG (Santa Cruz Biotechnology, Dallas, TX, USA; sc-507, 1:200) prepared in PBS-T with 5 % of normal donkey serum. After several rinses in PBS-T, sections were incubated for 2 191 192 hours in a solution with the secondary antibodies diluted in PBS-T: donkey anti-goat-TRITC IgG (Jackson 193 ImmunoResearch, West Grove, PA, USA; 705-025-003, 1:100) and donkey anti-rabbit-FITC IgG (Jackson 194 ImmunoResearch; 711-095-152, 1:50). 195

196 In alternate sections from the same animal, double immunohistochemistry against ChAT and Flk-197 1 was performed. After blocking the non-specific binding, sections were incubated overnight at room 198 temperature with goat polyclonal anti-ChAT IgG from Millipore (AB-144P, 1:500). The antibody binding 199 was visualized by incubating tissue with an anti-goat-TRITC IgG (Jackson ImmunoResearch; 705-025-200 003, 1:50). Then, sections underwent incubation with mouse monoclonal anti-Flk-1 IgG (Santa Cruz 201 Biotechnology; sc-6251, 1:500) prepared in PBS-T with 5 % of normal donkey serum overnight. After 202 several rinses in PBS-T, sections were incubated for 2 hours in the secondary antibody solution (donkey 203 anti-mouse-FITC IgG; Jackson ImmunoResearch; 715-095-150, 1:50). 204

For triple ChAT-VEGF-GFAP immunohistochemistry, the double protocol described above was followed, and afterwards, sections were rinsed in PBS-T and incubated overnight in a monoclonal antibody against GFAP (mouse anti-GFAP, Sigma-Aldrich, St. Louis, MO, USA; G3893, 1:300), followed by a secondary donkey Cy-5 anti-mouse (Jackson ImmunoResearch; 715-175-150, 1:100).

For triple ChAT-VEGF-Iba1 immunohistochemistry, a similar protocol as above was performed, but a mouse monoclonal anti-VEGF was used instead (Abcam, Cambridge, MA, USA; ab1316, 1:500), with a secondary anti-mouse coupled to FITC (Jackson ImmunoResearch; 715-095-150, 1:200). Microglial cells were labelled by using a polyclonal rabbit antibody anti-Iba1 (Wako; 019-19741, 1:1000), followed by incubation in donkey anti-rabbit Cy5 (Jackson ImmunoResearch; 711-175-152, 1:100)

Sections were then washed several times, mounted on gelatinized glass slides and coverslipped
with fluorescent mounting medium (DAKO, Glostrup, Denmark; S3023). Confocal microscopy images
were captured at 40X magnification with a confocal laser-scanning microscope (Zeiss LSM 7 DUO,
Oberkochen, Germany), and were later analyzed by using the program Image J (NIH, Bethesda, MD, USA).

To quantify the percentage of astrocytes and microglial cells which expressed VEGF in control conditions, mean gray value (optical density) inside the cell body and processes was measured. For background correction, five optical density readings of similar area to glial cells were taken per image in areas devoid of motoneurons. Then, the optical density value of every cell was divided by the mean background level determined for the same image. Glial cells were considered positive for VEGF when they showed an optical density value at least three times higher than the background level (Silva-Hucha et al., 2017).

In axotomized animals, due to the large glial reaction, a different technique was used to quantify VEGF expression by the glial cells. Optical density of VEGF, GFAP or Iba1 was measured in both sides, control and injured, using a grid consisting in squares of 10 x 10 µm spaced every 10 µm. Within each square where glial cells were present, we measured the optical density, excluding those containing motoneurons. In the case of motoneurons, VEGF or Flk-1 signal intensity was measured by outlining the soma, avoiding the cell nucleus. Data of lesioned side were expressed as percentage relative to the control side of the same histological section.

- 237 2.3.2. Immunohistochemistry in muscle tissue
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Immunohistochemistry was also performed to localize and analyze VEGF and its receptor, Flk-1,in the target muscles for the respective cranial nerves.

242 For VEGF immunohistochemistry, rats were perfused, as described above, and extraocular, 243 buccinator and tongue muscles were dissected and cryoprotected in 30% sucrose for 12 hours. Then, the 244 muscles were frozen in liquid nitrogen and sectioned into 18 µm-thick sections using a cryostat. These were mounted onto gelatinized slides to undergo immunohistochemistry. Triple labelling was performed 245 246 combining VEGF immunolabelling (mouse anti-VEGF, Abcam; ab1316, 1:500), with phalloidin-Atto 647 247 N (Sigma-Aldrich; 65906, 1:200), which labels actin filaments, and is used as a marker of muscle fibers, 248 and DAPI (Sigma-Aldrich; D9542, 1:10000), in order to identify the cell nuclei. After confocal capture of 249 images, the VEGF signal was measured inside the muscle fibers. 250

251 For Flk-1 immunohistochemistry on muscles, another group of rats were perfused and the muscles 252 extracted. Whole muscles were treated with  $4\%\beta$ -mercaptoethanol and 1% sodium dodecyl sulphate (SDS) 253 in PB for 10 minutes, to unmask antigens. Immunolabelling for Flk-1 (mouse anti-Flk-1 IgG; Santa Cruz Biotechnology; sc-6251, 1:500) was combined with antibody labelling for neurofilaments, to label axons 254 255 in the muscle (NeuM, rabbit polyclonal, Millipore; AB1987, 1:1000), and  $\alpha$ -bungarotoxin tetramethylrhodamine (Sigma-Aldrich; T0195, 1:500), to label the postsynaptic element by binding to the 256 257 nicotinic acetylcholine receptors. Confocal images of this material were analyzed to delimit the presynaptic 258 element of the neuromuscular junction and quantifying the Flk-1 signal present in it.

#### 2.4. Western blot

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262 Expression of VEGF and Flk-1 in target muscles was also analyzed by Western blotting. Under stereomicroscopic observation, the extraocular muscles, the buccinator and the tongue muscles were 263 264 dissected. In the case of the tongue, care was taken to remove the mucosa to avoid the presence of salivary 265 glands, which are rich in neurotrophic factors. The tissue was homogenized in cold lysis buffer containing 266 a cocktail of protease and phosphatase inhibitors (Morcuende et al., 2013), disrupted by sonication and 267 centrifuged at 13000 rpm for 30 minutes. The supernatants were isolated and total protein concentrations 268 were determined by the Bradford method, using BSA as a standard. Proteins were diluted in sample buffer 269 and denatured at 95°C for 6 minutes, and then were separated by 15 % (VEGF) or 7.5 % (Flk-1) SDS PAGE 270 (50 µg/lane), before been transferred to PVDF membrane by electroblotting. To reduce nonspecific binding, 271 the membranes were blocked for 1 hour with 10 % BSA, and then blots were incubated overnight at 4°C in 272 a solution containing anti-VEGF rabbit polyclonal antibody (Abcam; ab46154, 1:1000) or anti-Flk-1 rabbit polyclonal antibody (Abcam; ab11939, 1:1000), diluted in TBS-Tween 0.1 % supplied with 5 % BSA. 273 274 After washing three times with PBS-Tween buffer, the membranes were incubated with horseradish 275 peroxidase-conjugated anti-rabbit antibody (Vector Labs; PI-1000, 1:200) 1 hour at room temperature. The 276 immunoreaction was detected using the WesternBright Quantum kit (Advansta, Menlo Park, CA, USA; K-277 12042). The chemiluminiscence was visualized using a Luminescent Image Analyzer (LAS-3000, Fuji 278 Photo Film GmbH, Düsseldorf, Germany). After washing the membranes for 10 minutes with stripping 279 buffer, blots were re-probed with anti-glyceraldehyde 3-phosphate dehydrogenase (GAPDH) mouse 280 monoclonal antibody (Millipore; MAB374, 1:1000) to ensure equal loading. The density of the 281 immunoreactive bands was quantified by densitometry using the Multi Gauge software (Fuji Photo Film, 282 Japan). The data were normalized to the GAPDH level for each sample. VEGF or Flk-1 expression in 283 buccinator and tongue muscles was expressed relative to that found in the oculomotor muscles for each 284 Western blot.

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#### 286 2.5. Quantitative PCR

287 The effect of axotomy on the expression of VEGF and Flk-1 in the oculomotor, facial and hypoglossal nuclei was assessed by qPCR. For this purpose, brains were dissected and 150 µm-thick 288 289 brainstem sections were obtained using a cryostat. The area occupied by the nuclei of interest in each section 290 was then isolated, with special care to separately collect the control and affected sides. For the motoneurons 291 of the oculomotor system, the oculomotor complex (OCM) was isolated, containing motoneurons of the oculomotor and trochlear nuclei (Haenggeli and Kato, 2002; Silva-Hucha et al., 2017). Tissue was placed 292 293 in an Eppendorf containing RNAprotect Cell Reagent (Qiagen, USA), and a mechanical dissociation was 294 performed using a p1000, and then a p100 micropipette. Total mRNA was then extracted following the 295 protocol of the RNAeasy Plus Micro kit (Qiagen), and cDNA was synthesized using the QuantiTect Reverse 296 Transcription Kit (Qiagen). The amount of cDNA was measured using a NanoDrop2000 (ThermoFischer 297 Scientific; USA), and samples were stored at 100 ng/ $\mu$ l in water. Specific cDNA of VEGF and Flk-1, as

298 well as the housekeeping genes actine-b (Act) and phosphoglycerate kinase-1 (PGK-1), were amplified 299 according to the kit guidelines (SensiFAST SYBR; Bioline, UK). Reactions were run in triplicates using 300 LightCycler 480 equipment (Roche Molecular Systems, USA). The qPCR protocol started with a 301 predenaturation step (95 °C for 2 min) followed by 40 reaction cycles including three sequential periods: denaturation (95 °C for 5 s), annealing (60 °C for 13 s) and extension (72 °C for 7 s). The specificity of the 302 303 amplification protocol was assessed by a melt curve analysis using LightCycler 480 software. Threshold 304 cycles (Ct) were determined by the second derivative of the fluorescence curve. Relative quantification 305 using  $\Delta\Delta$ Ct was carried out and data were relativized to EOM results.

Predesigned Act and PGK-1 primers were obtained from PrimePCR Assays and Controls (BioRad,
Act: qRnoCID0056984; PGK-1: qRnoCED0002588). VEGF and Flk-1 primers were custom-designed
using sequence databases (NCBI, USA) and free software (OligoCalc, Biotools, USA; VEGF Fwd: 5' TGCACTGGACCCTGGCTTTA - 3'; VEGF Rv: 5' - CACACAGGACGGCTTGAAGA - 3'; NCBI
Reference Sequence: AF062644; Flk-1 Fwd: 5' - GTTGGTGGAGCACTTGGGAA - 3'; Flk-1 Rv: 5' TAGGCAGGGAGAGTCCAGAA - 3', NCBI Reference Sequence: NM\_013062.1).

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# 313 2.6. Statistics

Data were represented as the mean and standard error of the mean (SEM). Western blot data of facial and hypoglossal nuclei are presented as relative measures compared to data obtained in oculomotor nuclei (= 1). Immunohistochemistry and qPCR data of axotomized animals are presented as percentage of expression of VEGF, Flk-1, GFAP or Iba1 in lesioned nuclei with respect to their control sides values (= 100 %).

To detect differences between groups, the one-way ANOVA test was used at an overall level of
significance of 0.05 followed by the post hoc Holm-Sidak method for all pairwise multiple comparisons.
When required, we also used the paired t-test for comparisons between the nuclei of the control and the
axotomized sides. Statistics was performed by using the program SigmaPlot 11 (Systat Software, Inc.,
Chicago, IL, USA).

# 326 3. Results

327 One of the two main objectives of this work was to study the possible sources of the neurotrophic 328 factor VEGF for motoneurons located in the motor nuclei of the brainstem. For that purpose, we first 329 analyzed VEGF expression in cells which could be contributing this factor through paracrine mechanisms. 330 The glial cells surrounding motoneurons (astrocytes and microglial cells) in the brainstem motor nuclei 331 represent one such source. VEGF could also be reaching the soma of motoneurons through retrograde 332 transport from the target muscles, so the second possibility we explored was the muscle as a possible source 333 of VEGF. Even the motoneurons themselves could produce the trophic factor VEGF and regulate its 334 production. The third possibility we explored was self-production of VEGF by the motoneurons, through 335 the use of qPCR.

For the second main objective, we undertook a series of experiments in which we investigated whether VEGF and Flk-1 receptor could vary their presence in the brainstem nuclei in response to injury. Towards this end, we selectively axotomized the extraocular (III, IV and VI), or the facial (VII), or the hypoglossal nerve (XII) to study the response of axotomized motoneurons and surrounding glial cells with respect to the expression of VEGF and its receptor Flk-1.

341

# 342 3.1. Basal expression of VEGF in astrocytes of brainstem motor nuclei.

Immunohistochemistry was performed on control brainstem tissue to determine the basal VEGF
 expression by astrocytes of the five motor nuclei. For that purpose, sections that had undergone triple
 immunohistochemistry using antibodies against ChAT, VEGF and GFAP were analyzed by confocal
 microscopy.

In normal material, only a small number of GFAP-positive astrocytes were present in oculomotor
nuclei, mainly located at the edges of the nuclei, and near the midline (Fig. 1a-b). As can be seen in Fig.
VEGF-labelling was faint in the neuropil surrounding ocular motoneurons. On the other hand, the

motoneuron somata showed intense VEGF-labelling in their cytoplasm. As the results were very similar in
 each of the three motor nuclei of the ocular system, only images of the oculomotor nucleus are shown in
 the figures.

The number and distribution of GFAP-labelled astrocytes in facial and hypoglossal nuclei (Fig. 1d-e, g-h, respectively) was similar to that seen in the ocular motor nuclei. In the latter nucleus, greater number of astrocytes was found at the ventricular edge and in the midline. As was the case in the oculomotor nuclei, astrocytes at these two nuclei expressed low levels of VEGF (Fig. 1f and i).

The percentage of VEGF-positive astrocytes was evaluated by quantifying the VEGF optical density within astrocytes in every motor nucleus studied. When the percentage of astrocytes positive for VEGF labelling was compared between oculomotor nuclei (ABD:  $32.56 \pm 6.52$  %; TRO:  $18.23 \pm 8.69$  %; OCM:  $19.61 \pm 8.13$  %) and non-oculomotor nuclei (facial and hypoglossal:  $21.20 \pm 4.91$  % and  $36.61 \pm$ 7.85 %, respectively), no significant differences were obtained (one-way ANOVA, p > 0.05; *n* = 4; Fig. 1j).

Therefore, these results show that the astrocytes of the motor nuclei located in the brainstem donot exhibit high amounts of VEGF, and show no differences in VEGF expression between them.

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#### 365 3.2. Basal expression of VEGF in microglial cells surrounding brainstem motoneurons.

We also aimed to determine the basal expression of VEGF in the microglial cells present in the
brainstem motor nuclei. To this end, an antibody against Iba1 was used as a marker for microglial cells,
together with markers for VEGF and ChAT, the latter allowing the identification of the motoneurons and
to recognize the motor nuclei.

370 Iba1-positive microglial cells were observed intermingled with motoneurons in the five studied
 371 nuclei (Fig. 2). Their general appearance resembled resting microglial cells. In none of the motor nuclei
 372 was a high intensity of VEGF expression by microglial cells observed in normal animals.

373Confocal analysis was performed to quantify VEGF labelling inside the microglial cells, and no374differences were obtained when the percentage of VEGF positive microglial cells was compared between375any of the five analyzes nuclei (ABD:  $46.65 \pm 2.88$  %; TRO:  $27.28 \pm 5.17$  %, OCM:  $38.04 \pm 5.6$  %, facial:376 $34.09 \pm 4.52$  %, hypoglossal:  $32.83 \pm 4.29$  %; one-way ANOVA test; p > 0.05; n = 4; Fig. 2j).

Taken together, these results of VEGF expression by glial cells in basal conditions suggest that the
 differences observed in VEGF levels in brainstem motoneurons are not due to differences in VEGF supply
 from their surrounding glial cells.

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# 381 **3.3.** Expression of VEGF and Flk-1 by target muscles to brainstem motoneurons.

Another possible source of VEGF for motoneurons is target muscle. Extraocular, buccinator and tongue muscles were analyzed, as targets of oculomotor, facial and hypoglossal motoneurons, respectively. Western blot analysis was performed to quantify the amount of VEGF and Flk-1 protein present in the target muscles. In addition, we studied VEGF location inside these muscles by means of immunohistochemistry, in order to see whether that trophic factor was present in the muscle fibers, and the location of its Flk-1 receptor in the axon terminals of the projecting motoneurons.

388 Data of VEGF or Flk-1 expression obtained from extraocular muscles were considered as 1, and 389 data obtained from buccinator or tongue muscles were relativized to those. For VEGF, the band of 45 kDa 390 corresponding to the molecular size of the neurotrophic factor was analyzed and protein expression was 391 compared between muscles (Fig. 3a). The analysis showed that all of the studied muscles contained VEGF 392 protein. Despite optical density of VEGF protein measured in the buccinator  $(1.90 \pm 0.39)$  and tongue (1.48 393  $\pm$  0.26) muscles, innervated by facial and hypoglossal nerves, respectively, were apparently higher than 394 that measured in the extraocular muscles (relativized to 1), no significant differences were detected between 395 them (one-way ANOVA test; p > 0.05; n = 6; Fig. 3b).

For Flk-1, a band corresponding to a molecular weight of 150 kDa was analyzed (Fig. 3c). In this
 case, the analyses yielded the following result: the presence of the Flk-1 protein was significantly higher in

the extraocular muscles (relativized to 1), than in buccinator  $(0.45 \pm 0.05)$  or tongue muscles  $(0.53 \pm 0.07)$ (\*: one-way ANOVA test; p < 0.001; n = 6; Fig. 3d).

Therefore, all the analyzed muscles contained VEGF and consequently could act as sources of the
 trophic factor for the motoneurons that innervate them. On the other hand, the extraocular muscle showed
 a higher concentration of the Flk-1 receptor than the other two cranial muscles.

403 To study the distribution of VEGF in the target muscles, extraocular, buccinator and tongue 404 muscles were dissected and sectioned after perfusion. An antibody against VEGF was used, together with 405 phalloidin, to label the muscle fibers, and DAPI, to label the cellular nuclei. As it can be seen in Fig. 4a, b, 406 f, g, k, l, VEGF was present in the muscle fibers of every of the muscles analyzed. Optical density of VEGF 407 immunolabelling was measured in extraocular muscles ( $21.98 \pm 2.72$ ), buccinator ( $21.65 \pm 5.08$ ) and tongue 408 muscle ( $15.55 \pm 2.07$ ). When optical density of VEGF signal was compared between muscles, no significant 409 differences were obtained (one-way ANOVA test; p > 0.05; n = 4; Fig. 4p).

410 In another set of muscle tissues, immunohistochemistry was performed to detect expression of Flk-1 in axon terminal. The presynaptic terminal of the neuromuscular junction was identified by the 411 412 labelling with anti-NeuM, and the postsynaptic portion by labelling with α-bungarotoxin. As shown in Fig. 413 4c-e, h-j and m-o, the Flk-1 signal (pseudocolored in white) was located between the axon (identified by 414 NeuM, in green) and the postsynaptic element (stained with  $\alpha$ -bungarotoxin, in red). After confocal and 415 quantitative analysis of Flk-1 at the presynaptic elements, a greater quantity of the receptor was located in 416 the axon terminals of ocular motoneurons (mean optical density:  $2.78 \pm 0.53$ ), compared to facial (1.22 ± 0.25) or hypoglossal (0.88  $\pm$  0.20) motoneurons (\*: one-way ANOVA test; p < 0.05; n = 4; Fig. 4q). 417

418 Thus, although VEGF expression was similar in the three muscles, the amounts of Flk-1 were 419 higher at the extraocular muscles compared to the buccinator and tongue muscles, and the receptor was 420 located preferentially in the axon terminal. The fact that the terminals of the nerves innervating extraocular muscles showed more Flk-1 suggests that these terminals had a greater sensitivity to VEGF and would be 421 422 capable of binding and internalizing greater quantities of VEGF, even though the VEGF concentration in 423 the extraocular muscles was no greater than in the buccinator or tongue. Taken together, these results 424 indicate that VEGF could be acting as a retrograde neurotrophic factor for brainstem motoneurons in 425 general, but its importance is more notable for motoneurons of the ocular motor system in particular.

426

#### 427 **3.4. VEGF and Flk-1 expression in cells of the brainstem motor nuclei after axotomy**

We aimed to study how VEGF and its Flk-1 receptor were regulated in cells located in the brainstem nuclei, i.e., motoneurons, astrocytes and microglial cells, in response to lesion. Axotomy of cranial nerves was performed as a method to deprive motoneurons from target muscles, and it also interrupted the VEGF retrograde supply from muscles cells. In order to induce the axotomy and its consequent glial reaction, the nerves innervating extraocular, facial and tongue muscles were sectioned in separated groups of animals. One week after the axotomy, tissue was analyzed by means of qPCR and immunohistochemistry.

435

#### 436 **3.4.1.** Change in VEGF and Flk-1 mRNA expression in the brainstem motor nuclei after axotomy.

437 After axotomy, we quantified the expression of VEGF in the axotomized nuclei, and made 438 comparisons with their respective control sides by means of qPCR. mRNA was extracted from control and 439 lesioned oculomotor complex, as well as facial and hypoglossal nuclei. VEGF mRNA expression of the 440 control side was considered as 100 %, and expression in the axotomized side was compared to this value. 441 There was a significant decrease in VEGF mRNA in the facial ( $62.43 \pm 9.24$  %) and hypoglossal ( $62.79 \pm$ 442 3.99 %) nuclei in response to motoneuron axotomy (\*: paired t-tests control vs. lesioned side; p < 0.05). 443 However, this reduction was not observed in the oculomotor complex ( $103.95 \pm 11.85$  %; paired t-test; p > 444 0.05). The effect of axotomy in VEGF mRNA expression was also compared between nuclei. Significant 445 differences were observed between oculomotor complex and facial and hypoglossal nuclei (#: one-way 446 ANOVA test; p < 0.005; n = 6; Fig. 5a).

447 Similarly, we also compared the expression of Flk-1 mRNA between intact and lesioned 448 oculomotor complex, facial and hypoglossal nuclei. Flk-1 mRNA in the control nuclei was considered as 449100 %, and expression in injured nuclei was expressed related to their respective control sides. Again, one450week after motoneuron axotomy, there was a significant reduction in the expression of Flk-1 in both the451facial  $(58.28 \pm 6.29 \%)$  and hypoglossal  $(67.95 \pm 4.89 \%)$  nuclei (\*: paired t-tests; p < 0.05). In contrast to</th>452these results, Flk-1 expression did not change in the oculomotor complex  $(107.83 \pm 10.12 \%)$ ; paired t-test;453p > 0.05). The percentage of change as a result of the axotomy was significantly different between facial454and hypoglossal nuclei compared to oculomotor nucleus (#: one-way ANOVA test; p < 0.001; n = 6; Fig.</th>4555b).

456 Therefore, these qPCR results showed that, although no higher expression of VEGF mRNA or 457 Flk-1 mRNA was observed on the injured side of the oculomotor complex, a decrease in the expression of 458 VEGF or Flk-1 mRNA in the facial and hypoglossal nuclei in response to injury was quantified. These 459 results imply that facial and hypoglossal motoneurons are less exposed to the beneficial effect of internally 460 generated VEGF after axotomy. Changes observed in the expression of VEGF and its receptor after lesion 461 could be due to variations in the trophic factor expression by motoneurons or by glial cells, astrocytes or 462 microglial cells. We performed immunohistochemistry to examine the cellular changes in those three types 463 of cells located in the brainstem nuclei.

464

# 3.4.2. Variations in VEGF and Flk-1 protein expression in motoneurons of brainstem motor nuclei in response to axotomy.

467 Double immunohistochemical labelling was used to detect variations in VEGF and Flk-1 protein 468 expression in the brainstem motoneurons after axotomy. As it can be appreciated in Fig. 6, VEGF 469 immunostaining was higher on the control side in ocular motoneurons (Fig. 6a-b) compared to control side 470 facial and hypoglossal motoneurons (Fig. 6e-f and 6i-j, respectively), as we have previously described 471 (Silva-Hucha et al., 2017). After motoneuronal axotomy, a significant increase in VEGF presence could be 472 observed in axotomized motoneurons of the ocular motor system compared to control neurons (ABD: 473  $183.36 \pm 21.65$  %, TRO;  $213.29 \pm 17.31$  % and OCM:  $197.39 \pm 15.89$  %; \*: paired t-tests; p < 0.05; Fig. 474 6b vs. 6d). However, in facial and hypoglossal motoneurons no increase in the trophic factor expression 475 was found after axotomy ( $79.25 \pm 6.57$  % and  $97.70 \pm 6.68$  %, respectively; paired t-tests; p > 0.05; Fig. 6f 476 vs. 6h, and 6j vs. 6l). Thus, there was a significantly different response in ocular motoneurons compared to 477 the other brainstem nuclei studied with respect to VEGF expression following axotomy (#: one-way 478 ANOVA test; p < 0.001; n = 4; Fig. 6m). Note the reduction in ChAT expression displayed by the 479 axotomized motoneurons seven days after axotomy (Fig. 6c, g and k).

480 Flk-1 expression was also studied after axotomy in motoneurons, in order to see if those cells 481 experienced a change in the expression of the VEGF receptor in response to injury (Fig. 7 a-l). When the intensity of Flk-1 immunolabelling was compared between control and lesioned motoneurons, a significant 482 483 increase was observed in ocular injured motoneurons (ABD:  $149.47 \pm 7.90$  %, TRO;  $238.36 \pm 22.08$  % and 484 OCM: 181.67 ± 7.55 %; \*: paired t-tests; p < 0.05; Fig. 7b vs. 7d). Again, no differences were obtained 485 when Flk-1 expression was compared between facial and hypoglossal motoneurons of injured versus 486 control side (96.13  $\pm$  12.57 % and 70.82  $\pm$  12.38 %, respectively; paired t-tests; p > 0.05; Fig. 7f vs. 7h, and 487 7j vs. 7l). Hence, the motoneurons of the ocular motor system responded to injury by significantly 488 increasing Flk-1 expression, but facial and hypoglossal motoneurons did not (#: one-way ANOVA test; p 489 < 0.001: n = 4: Fig. 7m).

490

# 491 3.4.3. Variations in VEGF protein expression in astrocytes and microglial cells of brainstem motor 492 nuclei in response to axotomy.

493 Triple immunohistochemistry labelling was employed to detect variations in VEGF protein 494 expression in the glial cells inside the brainstem nuclei after axotomy. A large astroglial reaction was 495 observed in the five motor nuclei, compared to their respective control nucleus (Fig. 8, GFAP in white; a 496 vs. c, e vs. g and i vs. k). In every studied nucleus, GFAP signal was significantly higher in the lesioned 497 side with respect to control side nucleus (ABD:  $537.33 \pm 229.84$  %, TRO:  $375.77 \pm 42.88$  %, OCM: 986.42498  $\pm$  66.19 %, facial: 2976.12  $\pm$  139.38 % and hypoglossal: 545.83  $\pm$  133.09 %; \*: paired t-tests; p < 0.05; Fig. 499 8m). However, when VEGF immunostaining was quantified in reactive astrocytes (Fig. 8, VEGF in green; 500 b vs. d, f vs. h, and j vs. l), no significant differences were observed in any of the motor nuclei compared to their control side (ABD: 82.91 ± 32.21 %, TRO: 135.62 ± 53.38 %, OCM: 84.95 ± 11.58 %, facial: 88.36 501

502  $\pm 6.64$  % and hypoglossal: 115.63  $\pm 6.74$  %; paired t-tests; p > 0.05; Fig. 8m), nor were differences observed 503 between nuclei (one-way ANOVA test; p > 0.05; n = 4; Fig. 8m).

504 Microglial cells were also analyzed after axotomy. When Iba1 was used as a marker of microglial 505 cells, an increase in this marker was observed in every nucleus after axotomy (Fig. 9, Iba1 in white; a vs. 506 c, e vs. g and i vs. k). Fig. 9m represents those differences between axotomized nuclei with respect to their 507 respective control side (ABD: 504.81 ± 167.02 %, TRO: 253.31 ± 24.16 %, OCM: 162.35 ± 23.33 %, facial:  $1119.39 \pm 127.44$  % and hypoglossal: 656.67  $\pm 193.84$  %; \*: paired t-tests; p < 0.05). VEGF expression in 508 509 this cellular type was low in the nuclei studied, even after axotomy (Fig. 9, VEGF in green; d vs. b, h vs. f 510 and l vs. j). Moreover, no significant differences were found in any of the brainstem nuclei between control 511 and lesioned side (ABD: 119.29 ± 19.96 %, TRO: 77.95 ± 10.64 %, OCM: 74.30 ± 13.28 %, facial: 37.51 512  $\pm$  13.96 % and hypoglossal: 58.54 $\pm$  18.16 %; paired t-tests; p > 0.05; Fig. 9 m). When VEGF expression in 513 the microglial cells of the five nuclei were compared with each other, no differences were observed (one-514 way ANOVA test; p > 0.05; n = 4; Fig. 9m).

515 Therefore, only the motoneurons of oculomotor nuclei modified their expression of VEGF and 516 Flk-1 in response to axotomy. Thus, the motoneurons of the ocular motor system have the ability to modify 517 their VEFG and Flk-1 level in an adverse situation. No change was produced in VEGF expression by glial cells after axotomy, suggesting once again that these types of cells (astrocytes or microglial cells) do not 518 seem to be the main source of the VEGF for brainstem motoneurons. So they are unlikely to be key actors 519 520 in modulating motoneuron resistance to injury through this mechanism or to be responsible for differences 521 in injury response between different populations of brainstem motoneurons. Table 1 is included 522 summarizing the intensity of VEGF labelling detected in the different cell types in a control situation and 523 after axotomy.

524

#### 525 4. Discussion

The central purpose of this work has been to evaluate the main sources of VEGF which could
contribute to the greater amount of the trophic factor found in the soma of motoneurons innervating
extraocular muscles, since this protein seems to be contributing to their higher resistance to degeneration.
Our results show that the target muscles and the motoneurons themselves appear to be the main sources of
VEGF for brainstem motoneurons, rather than glial cells.

531 Our second objective has been to uncover how cells in different brainstem motor nuclei responds 532 to injury, in relation to their expression of VEGF. In this study, we have found a strong upregulation of 533 VEGF in motoneurons of the ocular motor system, which was not present in the motoneurons of facial and 534 hypoglossal nuclei. This modulation was not apparent in the glia of any of the nuclei examined.

535

#### 536 4.1. Glial cells are not the main source of VEGF for brainstem motoneurons

537 We have observed that under normal conditions, the presence of VEGF in the astrocytes and in 538 the microglia cells of brainstem motor nuclei is very weak (McCloskey et al., 2008; Silva-Hucha et al., 539 2017). Previous studies have also indicated that glial cells in the intact central nervous system do not express 540 large amounts of VEGF (Krum and Rosenstein, 1998), apart from astrocytes of the subventricular zone 541 (Tonchev et al., 2007). This strongly suggests that the VEGF present in intact brainstem motoneurons is 542 not supplied by glial cells. Therefore, a paracrine relationship between glia and motoneurons does not seem 543 to be contributing to the different levels of VEGF observed in the distinct pool of cranial motoneurons. 544 However, glial cells can modify their VEGF expression in adverse conditions (Bartholdi et al., 1997; Lennmyr et al., 1998; Sköld et al., 2000; Argaw et al., 2012), thus, we aimed to uncover if the expression 545 546 of the trophic factor is altered in astrocytes or microglial cells in our model of axotomy of cranial nerves.

547

# 548 4.2. VEGF is presents in all the cranial muscles studied, acting as a retrograde source for brainstem 549 motoneurons

Target muscles are classically considered a trophic factor source for motoneurons. Motoneurons
 are dependent on neurotrophic factors derived from their target muscles for survival and for the maintenance

of their synaptic and molecular characteristics (Purves, 1990; Gould and Oppenheim, 2011; Morcuende et
al., 2013), particularly during development. By contract, adult motoneurons survive in a great proportion
after the deprivation of the target muscles, but they experience changes in their physiology, such as a
decrease in the expression of ChAT or alterations in their electrophysiological properties (Navarro et al.,
2007; Morcuende et al., 2013). It is noteworthy that these characteristics are largely recovered after
neurotrophic factor administration (Davis-López de Carrizosa et al., 2009, 2010).

558 All the cranial muscles analyzed in this work express a high amount of VEGF. Thus, these muscles 559 are good candidates to be acting as a retrograde source of VEGF for motoneurons. Muscle fibers in other muscles have been previously reported to be enriched with VEGF (Hoier and Hellsten, 2014), and it has 560 561 been proven that this neurotrophic factor can be retrogradely transported from muscle to motoneuron 562 somata (Storkebaum et al., 2005), retarding spinal cord motoneuronal death after its administration in muscle (Azzouz et al., 2000). Therefore, our results strongly suggest that the VEGF observed in cranial 563 564 muscles is acting as a source of support for brainstem motoneurons following receptor mediated uptake and 565 transport.

566

# 567 4.3. Synaptic terminals of motoneurons innervating extraocular muscles are enriched in Flk-1 568 receptor

569 Despite of being positive for VEGF, not all the target muscles seem to be as effective as a source 570 of VEGF for supporting the motoneurons that innervate them. Specifically, this study suggests that 571 particular motoneuron populations may receive a different trophic contribution depending on the 572 concentration of VEGF receptors they have at their synaptic terminals. We have quantified a higher density 573 of Flk-1 in the synaptic terminal of the motoneurons that innervate the extraocular muscles. This may 574 provide a mechanism for increasing the amount of retrogradely transported VEGF in this population, 575 despite the fact that the extraocular muscles themselves do not contain significantly greater levels of VEGF. 576 In this way, extraocular muscles may have a greater influence on ocular motoneurons that the muscles 577 targeted by other cranial motoneurons have on these motor nuclei.

578 Indeed, previous reports have shown the presence of the Flk-1 receptor at the neuromuscular
579 junction level of the abducens axons (Calvo et al., 2018a). Recently, intramuscular injection of VEGF has
580 been reported to increase regeneration after nerve crush (Guy et al., 2019). Therefore, differences in Flk-1
581 presence in the neuromuscular junction may help explain differences in response to neurodegeneration
582 among brainstem motor nuclei.

583

#### 584 4.4. VEGF and Flk-1 increase in motoneurons of the ocular motor system in response to axotomy

585 One of the most important observations of this study is that axotomy produced a significant 586 increase in the presence of VEGF in the soma of the motoneurons innervating extraocular muscles, but not 587 in facial or hypoglossal motoneurons. Previous studies have shown modifications in trophic factor and its 588 receptors in motoneurons after axotomy (Koliatsos et al., 1991; Kobayashi et al., 1996; Morcuende et al., 589 2011).

590 The upregulation of VEGF, and also Flk-1, described in ocular motoneurons has been detected by 591 immunohistochemistry. However, data from qPCR experiments showed no significant increase in mRNA 592 VEGF expression in nuclei of the oculomotor system. At this point, it should be noted that the tissue extracted from the nuclei to be analyzed by qPCR includes both the motoneurons and the glial cells 593 594 contained in the nuclei. Since an upregulation in VEGF in glial cells was not observed, that could partially 595 mask the results observed only in motoneurons by immunohistochemistry. Given that axotomized motoneurons have not got a retrograde source of trophic factors, and surrounding glia does not seem to be 596 597 the VEGF source either, the most likely explanation for the raise in VEGF protein content could be 598 existence of changes in post-transcriptional regulation. The same logic could be applied in the case of facial 599 and hypoglossal motoneurons, where a drop in VEGF mRNA content does not coincide with a reduction 600 in VEGF protein. Post-transcriptional regulation of protein synthesis has received increasing interest in the 601 last years, since this regulation may affect each step from mRNA transcription to the final protein 602 translation, including mRNA splicing, poliadenylation, transport outside the nucleus, cytoplasm storage vs. rapid degradation and the initial point of translation (Vlasova-St. Louis and Bohjanen, 2017). Cytokine and 603 604 trophic factor expression is typically regulated at posttranscriptional stages. More precisely, VEGF has

been demonstrated to be regulated at each of these possible steps (Arcondéguy et al., 2013) and thus, in the
 case of the oculomotor nucleus, it could be possible that the same amount of mRNA molecules could be
 translated into a greater number of protein molecules.

608 In addition, it is worth noting several considerations: i) to study the response of VEGF or Flk-1 609 mRNA in brainstem motor nuclei, we extracted tissue from the oculomotor complex, that apart from the oculomotor nucleus, also include the trochlear (Haenggeli and Kato, 2002); ii) enucleation, i.e., axotomy 610 611 of extraocular nerves, was performed unilaterally; iii) the oculomotor nucleus contains four subnuclei of motoneurons, three ipsilateral and one contralateral for a given eye (Büttner and Büttner-Ennever, 2006; 612 613 Morcuende et al., 2011), meanwhile motoneurons of the trochlear nucleus project to the contralateral target 614 muscle. As a result, two of the five subpopulations of extraocular motoneurons of the control side are 615 affected by axotomy, meanwhile two subpopulations of the lesioned side remain intact. That situation 616 would contribute again to minimize the changes in VEGF or Flk-1 mRNA quantified in the oculomotor complex between control and axotomized sides, which are clearly observed at cellular level by 617 618 immunohistochemistry.

619 Upregulation of VEGF seems to be a common phenomenon observed in a diverse type of neural 620 tissues after insults, and it has been linked to neuroprotection (McCloskey et al., 2008; Nicoletti et al., 2008; 621 Castañeda-Cabral et al., 2017). Thus, the ability of motoneurons to produce endogenous VEGF is important in maintaining the health of brainstem and spinal motoneurons (McCloskey et al., 2008). Motoneurons are 622 623 especially vulnerable to degeneration due to several factors, such as their high sensibility to glutamate 624 excitotoxicity, because of their low expression of the GluA2 AMPA (formerly GluR2) receptor subunit 625 (Medina et al., 1996; Van Den Bosch et al., 2000; Bogaert et al., 2010), which makes these receptors 626 permeable to calcium. However, motoneurons of the oculomotor system show a greater buffering capacity 627 because they are enriched in the Ca<sup>2+</sup> buffering proteins parvalbumin and calbindin D-28K (Alexianu et al., 1994; Reiner et al., 1995; von Lewinski and Keller, 2005), which could contribute, along with other factors, 628 629 to their greater resistance. High levels of VEGF induce an increase in GluA2 levels on motoneurons 630 (Bogaert et al., 2010). Therefore the capacity of these motoneurons to respond to damage increasing VEGF, 631 together with the fact that this particular motoneuronal population exhibits a high calcium buffering capacity (Alexianu et al., 1994; Vanselow and Keller, 2000; Brockington et al., 2013), could lead to a 632 633 neuroprotective effect.

Axotomy of the facial and hypoglossal nerves are classic and well characterized models of nerve
injury (Olmstead et al., 2015). Eye enucleation has also been widely used as an axotomy model for
extraocular nerves (Morcuende et al., 2005). One might think that this approach is more invasive than facial
and hypoglossal axotomy, but in turn, the cutting of the nerve occurs more distally, near the target muscle,
thus minimizing damage to the motor neuron, constituting a model of injury of comparable severity.

Most survival effects of VEGF on motoneurons are mediated by Flk-1 (Sköld et al., 2000;
Storkebaum et al., 2004; Pronto-Laborinho et al., 2014), and the expression of this receptor is decreased in
spinal motoneurons of G93A-SOD1 ALS mice (Lunn et al., 2009), causing neurodegeneration. In line with
this, a reduction in other neurotrophic receptors has been described in the neuromuscular junction of limb
muscles in ALS transgenic mice, which was not observed in extraocular muscles (Harandi et al., 2016).
Therefore, the increase of Flk-1 expression seen after axotomy in motoneurons innervating
extraocular muscles, which is known to decrease apoptosis by activation of PI3K/Akt signaling via that

extraocular muscles, which is known to decrease apoptosis by activation of PI3K/Akt signaling via that
receptor (Sondell et al., 2000), could make those neurons more receptive for VEGF and increase their
resistance to degeneration.

648

#### 649 4.5. No changes are induced in VEGF expression by glial cells after axotomy

650 Despite the induction of an axotomy, which may cause a change in the expression pattern of trophic factors in the nerve cells of the injured motor nuclei, no significant increase in VEGF production was 651 652 observed in the glia cells of brainstem nuclei after axotomy. Increase in VEGF in astrocytes after different models of brain injury has been previously described. This response was produced by exposition to 653 654 radiation (Bartholdi et al., 1997; Zhou et al., 2019), freeze lesions (Papavassiliou et al., 1997) or after brain 655 trauma (Sköld et al., 2005). It peaks between 3 and 6 days after injury. Most brain injuries produce hypoxia 656 as a secondary effect, and therefore, the upregulation of VEGF expression is preceded by an increased production of the hypoxia inducible factor 1 (HIF1a). A high VEGF induction in the neuropil surrounding 657 658 damage could also be followed by an increase in vascular permeability, exacerbating blood-brain barrier disruption, due to the role of VEGF in vascular permeability, increasing brain damage and compromising
central nervous system homeostasis (Nordal et al., 2004; Ruiz de Almodovar et al., 2009; Li et al., 2014;
Lange et al., 2016; Cárdenas-Rivera et al., 2019). Thus, a large induction on VEGF in the glial scar might
not be beneficial for recovery after brain insults.

In other injury models, such as the model for stroke using occlusion of the middle cerebral artery,
VEGF does not increase in astrocytes, but it does increase in microglial cells (Plate et al., 1999). That
upregulation was observed shortly after injury, that is, in hours, returning to basal levels by one week. It is
worthy to indicate that VEGF interact with microglial cells preferentially via Flt-1, instead of Flk-1
(Cárdenas-Rivera et al., 2019). Such an early, temporary change would not have been seen in our study.

We had expected to find an increase in VEGF expression in both astrocytes and microglia cells,
since both cell types multiplied in response to the axotomy and presented a reactive phenotype. The fact
that we did not observe that modification could be due to either the survival time, with ours being longer
than many other experiments (Papavassiliou et al., 1997; Sköld et al., 2000) or to our lesion model, that
induces a more localized lesion.

673

#### 674 5. CONCLUSIONS

Our data suggest that the higher level of VEGF observed in motoneurons innervating extraocular
muscles is mainly due to two sources: 1. the higher contribution of muscles as a retrograde source, caused
by higher levels of Flk-1 in ocular motoneuron terminals, and 2. to higher levels the self-production by
motoneurons, themselves. The low basal VEGF expression observed in astrocytes and microglial cells
suggests that these cell types do not act as an important source of VEGF for brainstem motoneurons.

After axotomy, when the retrograde supply form the muscle is absent, motoneurons of the oculomotor system nevertheless respond by increasing VEGF and Flk-1 levels. This response is not seen in facial and hypoglossal motoneurons. Furthermore, even after the injury, the astrocytes and microglial cells of the affected nuclei do not increase their VEGF expression in this lesion model. Therefore, the upregulation of VEGF described in motoneurons of the oculomotor system appears to be an important factor for the survival of that pool of motoneurons under adverse conditions.

# 686

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915 Fig. 1

916 VEGF immunoreactivity in astrocytes of cranial motor nuclei. In control situation, expression of VEGF (in 917 green) was low in astrocytes (labelled with an antibody against GFAP, pseudocolored in white). The 918 confocal images show the oculomotor nucleus (OCM; a-c), the facial nucleus (d-f), and the hypoglossal 919 nucleus (HYPO; g-i). Scale bars = 100  $\mu$ m (in C for a-c; in f for d-f; in i for g-i). Arrows in b and c point 920 some examples of VEGF-positive astrocytes. (j) Quantification of the percentage of VEGF-positive 921 astrocytes in cranial motor nuclei showed no significant differences between them (one-way ANOVA test; 922 p > 0.05; n = 4 animals).



#### 926 Fig. 2

927VEGF immunoreactivity in microglial cells of cranial motor nuclei. In control situation, expression of928VEGF (in green) was low in microglial cells (identified with an antibody against Iba1, pseudocolored in929white). The confocal images show the oculomotor nucleus (OCM; a-c), the facial nucleus (d-f), and the930hypoglossal nucleus (HYPO; g-i). Scale bars = 100  $\mu$ m (in c for a-c; in f for d-f; in i for g-i). Arrows point931some examples of VEGF-positive microglial cells. (j) Quantification of the percentage of VEGF-positive932microglial cells in cranial motor nuclei showed no significant differences between them (one-way ANOVA933test; p > 0.05; n = 4 animals).





# 942 Fig. 3

Western blot analysis of VEGF and Flk-1 proteins in cranial muscles. (a) The protein band for VEGF is shown for the extraocular (EOM), buccinator and tongue muscles, target muscles for extraocular, facial and hypoglossal motoneurons, respectively. GAPDH immunoblotting was used as load control. (b) Densitometry data showed no significant differences in the amount of VEGF protein between the studied muscles (one-way ANOVA test followed by Holm-Sidak method for multiple pairwise comparisons; p > 0.05; n = 6 animals). (c) The band for Flk-1 protein is shown for the extraocular (EOM), buccinator and tongue muscles. GAPDH immunoblotting was used as load control. (d) Densitometry data showed a significantly higher amount of Flk-1 protein in extraocular muscles as compared to the buccinator and tongue muscles (\*: significant differences with EOM; one-way ANOVA test; p < 0.001; n = 6 animals).



#### 962 Fig. 4

963 Presence of VEGF and Flk-1 in cranial muscles. The confocal images show the presence of VEGF (in 964 green; a-b, f-g and k-l) in target muscle cells (identified with an antibody against phalloidin, PHALL, blue; 965 a, f and k). Cellular nuclei of muscle cells are labelled with DAPI (in white; a, f and k). In all the studied 966 muscles VEGF was present. c-e, h-j and m-o images show end plate terminals of motoneurons. The 967 postsynaptic element was identified by  $\alpha$ -bungarotoxin ( $\alpha$ -BTX, red; c, h and m), the axon of projecting 968 motoneurons was labelled with an antibody against neurofilaments (NeuM, in green; c-d, h-i and m-n). 969 Note the higher presence of the Flk-1 (pseudocolored in white) in the presynaptic terminal of the 970 motoneurons innervating extraocular muscles (d-e) compared to facial and hypoglossal motoneurons (i-j 971 and n-o, respectively). Arrows in D point to some examples of Flk-1 receptor labelling within the axon. 972 Scale bars = 100 µm (in b for a-b; in e for c-e; in g for f-g; in j for h-j; in l for k-l; in o for m-o). VEGF and 973 Flk-1 immunosignal was analyzed in the muscle cells and in presynaptic terminals, respectively. (p) No 974 differences were observed in the VEGF immunolabelling between the different muscles (one-way ANOVA 975 test, p > 0.05; n = 4 animals). (q) Histogram showing higher Flk-1 labelling in terminals of motoneurons of 976 the oculomotor system than in facial and hypoglossal presynaptic terminals (\*: significant differences with 977 EOM; one-way ANOVA test; p < 0.05; n = 4 animals).

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#### 986 Fig. 5

VEGF and Flk-1 mRNA expression in cranial nuclei in response to axotomy. (a) Quantification of VEGF mRNA expression in lesioned nuclei compared to control side. No differences were obtained in oculomotor complex (OCM), but a significant decrease in VEGF mRNA expression was found in lesioned facial and hypoglossal nuclei compared to their respective control sides (\*: significant differences to control side; paired t-tests; p < 0.05; #: significant differences to OCM; one-way ANOVA test; p < 0.01; n = 6 animals). (b) Quantification of Flk-1 mRNA expression in lesioned nuclei compared to control side. No differences were obtained in oculomotor complex (OCM), but again a significant decrease in Flk-1 mRNA expression was found in lesioned facial and hypoglossal nuclei compared to their respective control sides (\*: significant differences to control side; paired t-tests; p < 0.05; #: significant differences to OCM; one-way ANOVA test; p < 0.001; n = 6 animals).



# 1011 Fig. 6

1012 VEGF immunoreactivity in brainstem motoneurons in response to axotomy. (a-d) VEGF (in green) 1013 increased in the soma of lesioned motoneurons of the oculomotor system (c-d) compared to control 1014 motoneurons (a-b). However, no increase was observed in lesioned facial (g-h) or hypoglossal (k-l) 1015 motoneurons compared to their control (e-f and i-j, respectively). Scale bars =  $100 \mu m$  (in a for a-b; in c for 1016 c-d; in e for e-f; in g for g-h; in i for i-j; in k for k-l). Motoneurons were identified by ChAT antibody (in 1017 red). (m) Histogram showing higher VEGF labelling in the soma of lesioned motoneurons innervating 1018 extraocular muscles compared to their respective control motoneurons (\*: paired t-tests; p < 0.05; n = 4 1019 animals; significant differences to control side (= 100 %)). When the increase of VEGF in response to injury was compared between motoneurons of the different nuclei, significant differences were observed 1020 1021 (#: one-way ANOVA test; p < 0.001; n = 4 animals).





1024 Fig. 7

1025 Flk-1 immunoreactivity in brainstem motoneurons in response to axotomy. Expression of Flk-1 (in green) 1026 increased in motoneurons of the oculomotor system after lesion (c-d) with respect to control ones (a-b). No 1027 increase was observed in either the facial (g-h) or hypoglossal motoneurons on the injured side (k-l) 1028 compared to those on the control side (e-f and i-j, respectively). Scale bars =  $100 \mu m$  (in a for a-b; in c for 1029 c-d; in e for e-f; in g for g-h; in i for i-j; in k for k-l). Motoneurons were identified by ChAT antibody (in 1030 red). (m) The histogram shows the increase of Flk-1 VEGF labelling in the lesioned motoneurons compared 1031 to control motoneurons (= 100 %) (\*: paired t-test; p < 0.05; n = 4 animals) of the oculomotor system, but 1032 no differences were obtained between control and injured motoneurons of the facial and hypoglossal nuclei. 1033 When the increase of Flk-1 in response to injury was compared between motoneurons of the different 1034 nuclei, significant differences were observed between oculomotor and not oculomotor neurons (#: one-way 1035 ANOVA test; p < 0.001; n = 4 animals).



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#### 1039 Fig. 8

VEGF immunoreactivity in astrocytes of the brainstem motor nuclei in response to axotomy. Expression 1040 1041 of VEGF (in green) in astrocytes (in white) was low in the studied motor nuclei, even after lesion. The 1042 confocal images show the expression of VEGF in control OCM nucleus (a-b), and the glial reaction seven 1043 days after axotomy (c), producing no increase in VEGF immunolabelling in the reactive astrocytes (c-d). 1044 No increase in VEGF was observed either in the astrocytes located in lesioned facial (g-h) and hypoglossal 1045 nuclei (k-l), compared to control side (e-f and i-j, respectively). Scale bars =  $100 \mu m$  (in b for a-b; in d for 1046 c-d; in f for e-f; in h for g-h; in j for i-j; in l for k-l). (m) Quantification of GFAP expression in the lesioned 1047 nuclei showed a significant increase in all the nuclei (\*: paired t-tests; p < 0.05; n = 4 animals). That glial 1048 reaction was significantly higher in facial nucleus compared to the rest of the nuclei (#: significant 1049 differences to facial nuclei; one-way ANOVA test; p < 0.05; n = 4 animals). However, VEGF expression 1050 did not increase in astrocytes of any cranial motor nuclei after axotomy. No significant differences were 1051 observed when the percentage of VEGF in astrocytes of the lesioned sides with respect to their control sides 1052 were compared between nuclei (one-way ANOVA test; p > 0.05; n = 4 animals).



#### 1055 Fig. 9

1056 VEGF immunoreactivity in microglial cells of the brainstem motor nuclei in response to axotomy. 1057 Expression of VEGF (in green) in microglial cells (in white) located in the studied motor nuclei was low 1058 in all of them, even after lesion. The confocal images show the expression of VEGF in control OCM nucleus 1059 (a-b), and the microglial reaction seven days after axotomy (c), producing no increase in vegf 1060 immunolabelling in the reactive microglial cells (c-d). There was also no increase in VEGF in the microglial 1061 cells located in lesioned facial (g-h) and hypoglossal nuclei (k-l), compared to control side (e-f and i-j, 1062 respectively). Scale bars = 100 µm (in b for a-b; in d for c-d; in f for e-f; in h for g-h; in j for i-j; in l for k-1063 1). (m) Quantification of Iba1 expression in the lesioned nuclei showed a significant increase in all the nuclei 1064 compared to the respective control nuclei (\*: paired t-tests; p < 0.05; n = 4 animals). That microglial reaction 1065 was significantly higher in facial nucleus compared to the ocular motor nuclei (#: significant differences to 1066 facial nuclei; one-way ANOVA test; p < 0.05; n = 4 animals). However, VEGF expression did not increase 1067 in microglial cells of cranial motor nuclei after axotomy. No significant differences were observed when 1068 the percentage of VEGF in microglial cells of the lesioned sides with respect to their control sides were 1069 compared between nuclei (one-way ANOVA test; p > 0.05; n = 4 animals).

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	Control	Axotomy
Ocular motoneurons	++	+++
Facial motoneurons	+	+
Hypogglosal motoneurons	+	+
Astrocytes	+	+
Microglial cells	+	+
Extraocular muscles	+	
Buccinator muscle	+	
Tongue muscles	+	

# 1076 Table 1

1077	Intensity of VE	EGF signals in	the cytoplasm of	of diverse cell types	located in brainstem m	otor nuclei in control
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1078 situation and after axotomy. +: low intensity; ++: high intensity; ++: very high intensity.