



# Hydroxytyrosol and dopamine metabolites: Anti-aggregative effect and neuroprotective activity against $\alpha$ -synuclein-induced toxicity

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## ABSTRACT

The abnormal aggregation of the  $\alpha$ -synuclein ( $\alpha$ syn) protein is involved in the formation of Lewy bodies in the brain of patients suffering from Parkinson disease (PD). Hydroxytyrosol (HT), a polyphenolic compound present in olives, olive oil, and wine, has been shown to inhibit aggregation and destabilise the  $\alpha$ syn aggregates, preventing neuronal cell death. However, very limited data have been published on the study of its metabolites. Therefore, this study investigated the capacity of the metabolites 3,4-dihydroxyphenylacetaldehyde (DOPAL), 4-hydroxy-3-methoxyphenylethanol (MOPET), and 3-methoxy-4-hydroxyphenylacetaldehyde (MOPAL) to prevent the aggregation and toxic effects of  $\alpha$ syn fibrils. *In vitro* techniques, such as Thioflavin T (ThT), Transmission Electronic Microscopy (TEM), electrophoresis, thiazolyl blue tetrazolium bromide (MTT), and Real-Time PCR (RT-PCR) were used. Our results show that among these three metabolites, DOPAL exerts the greatest effect, preventing aggregation and  $\alpha$ syn-induced neurotoxicity. In fact, DOPAL has the ability to completely inhibit  $\alpha$ syn fibril formation at low doses. Moreover, this metabolite has a potent destabilising effect on the  $\alpha$ syn fibrils. Concerning neuroprotection, DOPAL can counteract the toxicity induced by  $\alpha$ syn. The vitagene expression results show a possible relationship between the neuroprotection mechanism exhibited by DOPAL and the modulation of SIRT-2 and Hsp70.

## 1. Introduction

Parkinson's Disease (PD) represents a devastating public health problem, being the second most common neurodegenerative disorder after Alzheimer's disease (AD) (Twelves et al., 2003). Indeed, more than 10 million people worldwide live with this disorder. The incidence of PD increases with age, but the number of people under 50 years of age who are diagnosed is currently increasing. The motor and neurological symptoms associated to this disorder dramatically reduce the quality of life and cause substantial cost to health systems, including drugs, rehabilitation, and caregiving (Dowding et al., 2006).

The histopathological hallmark of PD is the presence of intraneuronal deposits containing fibrillar aggregates of the presynaptic protein  $\alpha$ syn, called Lewy bodies and Lewy neurites (Goedert, 2001). Although  $\alpha$ syn is expressed throughout the brain, the formation of these neurotoxic protein deposits causes the death of dopaminergic neurons in the *substantia nigra* (SN), suggesting a connection between  $\alpha$ syn fibril

formation and dopamine (DA) metabolism (Xu et al., 2002; Mencacci et al., 2014). Thus, the search for molecules that may interfere with  $\alpha$ syn to inhibit fibril formation, and consequently neurotoxicity, represents one of the most promising preventive strategies for combating PD.

Another emerging approach to protecting brain cells from  $\alpha$ syn-induced neurotoxicity is to control the associated cellular stress (Calabrese et al., 2004, 2009; Trovato Salinaro et al., 2014). In this context, vitagenes refer to a group of genes that are strictly involved in preserving cellular homeostasis during stressful conditions. Vitagenes include sirtuins (SIRT), heat shock proteins (Hsp), and heme oxygenase-1 (HO-1), among others (Calabrese et al., 2010; Srivastava and Haigis, 2011).

*In vitro* and *in vivo* data support the fact that an increase in SIRT-1 activity protects against amyloid- $\beta$  toxicity, preventing neurodegeneration (Kim et al., 2007). Furthermore, SIRT-1 can inhibit the phosphorylation of  $\alpha$ syn, reducing the formation of aggregates. In fact, a low level of accumulation of basal phosphorylated- $\alpha$ syn was observed when SIRT-1 is overexpressed (Singh et al., 2017). On the other hand,

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## Abbreviations

|       |                                    |        |   |
|-------|------------------------------------|--------|---|
| AD    | Alzheimer's disease                | MAO    | monoamine oxidase                           |
| ADH   | alcoholdehydrogenase               | MOPAL  | 3-methoxy-4-hydroxyphenylacetaldehyde       |
| ALP   | autophagy-lysosomal pathway        | MOPET  | 4-hydroxy-3-methoxyphenylethanol            |
| ALR   | aldehyde/aldehyde reductase        | MTT    | thiazolyl blue tetrazolium bromide          |
| A.U.  | arbitrary units                    | Nrf2   | nuclear factor erythroid 2-related factor 2 |
| BBB   | Blood Barrier Brain                | PBS    | phosphate-buffered saline                   |
| COMT  | catechol-O- methyl transferase     | PD     | Parkinson disease                           |
| DA    | dopamine                           | RT-PCR | Real-Time PCR                               |
| DMEM  | Dulbecco's modified Eagle's medium | SD     | standard deviation                          |
| DMSO  | dimethyl sulfoxide                 | SIRT   | sirtuins                                    |
| DOPAL | 3,4-dihydroxyphenylacetaldehyde    | SN     | substantia nigra                            |
| HO-1  | heme oxygenase-1                   | TEM    | Transmission Electronic Microscopy          |
| Hsp   | heat shock proteins                | ThT    | Thioflavin T                                |
| HT    | hydroxytyrosol                     | UPS    | ubiquitin-proteasome system                 |
|       |                                    | αsyn   | α-synuclein                                 |

Outeiro et al. (2007) reported that the inhibition of SIRT-2 gene expression could be useful for therapeutic intervention in PD since αsyn-mediated toxicity was observed only in cells with increasing levels of SIRT-2. In addition, the HO-1 enzyme exerts a neuroprotective role by degrading the intracellular levels of the pro-oxidant heme and by producing biliverdin, the precursor of bilirubin, the latter being an endogenous molecule with powerful antioxidant characteristics (Mancuso, 2004). Finally, the expression of Hsp, including protein chaperones, is essential for the folding and repair of damaged proteins, thus serving to promote cell survival conditions that would otherwise result in apoptosis (Morimoto, 2014). Hsp are classified according to their molecular weight, the 70 kDa family of stress proteins being one of the most extensively studied (Macario and De Macario, 2007). Specifically, their overexpression suppresses the toxicity of aberrantly folded αsyn protein (Jones et al., 2014).

A lower incidence of PD was observed in Mediterranean countries, this being related to a close adherence to their dietary pattern characterised by a high consumption of fruit and vegetables rich in polyphenolic compounds (Giovanni et al., 2009; Scarmeas et al., 2009; Alcalay et al., 2012). Among these bioactives, hydroxytyrosol (HT) is one of the main characteristic compounds present in olive oil, olives, and wine (Vissers et al., 2002). The mean free HT content in these foodstuffs is 5.2 µg/g in olive oils (Othman et al., 2009; Cioffi et al., 2010; Kesen et al., 2013), 629.1 µg/g in olives (Pereira et al., 2006; Ambra et al., 2017; Johnson et al., 2018), and 2.1 µg/mL in wine (Di Tommaso et al., 1998; Proestos et al., 2005; Boselli et al., 2006; Piñero et al., 2011).

In addition, HT is also a DA metabolite, being endogenously synthesised in humans (Meiser et al., 2013; Rodríguez-Morató et al., 2016). Therefore, the biological effect of HT largely depends on its bioavailability and metabolization, similar to many polyphenol metabolites, presenting a different activity than their parent compounds (Lambert et al., 2007; Larrosa et al., 2009; Hornedo-Ortega et al., 2022). The low concentration of circulating HT is likely due to phase I and II metabolism in the gut and liver (Rodríguez-Morató et al., 2016). The enzymes involved in HT phase I metabolism are mostly present in the intestinal wall. They are non-microsomal alcohol and aldehyde dehydrogenases, both located in the cytosol, which synthesise metabolites such as 3,4-dihydroxyphenylacetaldehyde (DOPAL). DOPAL is also a DA metabolite by deamination (Rodríguez-Morató et al., 2016). Furthermore, 4-hydroxy-3-methoxyphenylethanol (MOPET) and 3-methoxy-4-hydroxyphenylacetaldehyde (MOPAL) are two other compounds related to the metabolism of HT and DA, respectively (Muñoz et al., 2012; Monzani et al., 2019). MOPET is a methylated metabolite of HT (also identified in olive oil) (Rodríguez-Morató et al., 2016) and MOPAL is the methylated metabolite of DOPAL. Therefore, although DOPAL, MOPET, and MOPAL can be considered dietary metabolites of HT, their plasmatic

levels are not specific to HT, since they are also produced from DA metabolism.

Wu et al. (2009) have demonstrated that HT can cross the blood-brain barrier (BBB), being 13.6 µM the maximum concentration in the brain after an intravenous administration of 100 mg/kg weight in rats. The physiological concentrations of DA in the nervous system and bodily fluids are lower, ranging between 0.1 and 1.5 µM (Burke et al., 1999). Very few studies have been published on HT and DA metabolites. Physiological HT phase II metabolite concentration (sulphates) is estimated at 10 µM (López de las Hazas et al., 2016). Regarding DOPAL, the human brain tissue concentration was previously reported at 2.3 µM (Burke et al., 1999). Nevertheless, physiological concentrations of MOPET and MOPAL have not been described in the literature as far as we know.

Recently, it has been shown that HT can interact with the αsyn protein, inhibiting its aggregation and protecting neuronal PC12 cells (Hornedo-Ortega et al., 2018; Palazzi et al., 2020) by increasing the expression of some vitagenes (SIRT-2, HO-1, Hsp70) (Gallardo-Fernández et al., 2019). Furthermore, *in vivo* studies have demonstrated that HT exerts a potent antioxidant effect in the 1-methyl-4-phenylpyridinium-induced oxidative stress model of PD in rats, preserving striatal cells from DA depletion and attenuating the behavioural alterations (Pérez-Barrón et al., 2021). Moreover, using a rotenone-stressed PD-model of *Caenorhabditis elegans*, HT prevented neurodegeneration and improved locomotor behaviour (Brunetti et al., 2020). However, very few studies have been published regarding the neuroprotective activity of HT metabolites. Very recently, DOPAL (0.5 or 1 mM) was shown to stabilize toxic oligomers of αsyn through the oxidation of methionine residues of αsyn, thus playing an important role in preventing the progression of PD (Carmo et al., 2018). Indeed, other authors showed that DOPAL is a potent toxic compound for neurons (Kristal et al., 2001). However, Goldstein (2020) very recently highlighted that the interactions between DOPAL and αsyn are not well understood, revealing the need for further research.

DOPAL, MOPET, and MOPAL could contribute to modulation of the activity of HT. Therefore, knowledge of their effects could contribute to a better understanding of the bioactivity of HT. Thus, this study focuses on the properties of these three metabolites against αsyn aggregation and toxicity in PC12 cells. Additionally, the effect of these compounds on the vitagen system was evaluated.

## 2. Materials and methods

### 2.1. Chemicals and reagents

DOPAL (Purity: ≥90%) was acquired from Cayman Chemical (Ann Arbor, MI, USA). MOPAL (99%), MOPET (99%), Thioflavin T (ThT),

SIRT-1, SIRT-2, Hsp70, HO-1, and  $\beta$ -actin primers (Table 1), trypsin-EDTA, Dulbecco's modified Eagle's medium (DMEM)-high glucose, thiazolyl blue tetrazolium bromide (MTT), phosphate-buffered saline (PBS), L-glutamine, foetal horse serum, foetal bovine serum, penicillin/streptomycin, and dimethyl sulfoxide (DMSO) were obtained from Sigma-Aldrich (St. Louis, MO, USA).

PC12 cells were obtained from the American Type Culture Collection (ATCC) (Manassas, VA, USA). Human recombinant  $\alpha$ syn protein was purchased from Alexotech (Umeå, Sweden). 2-mercaptoethanol, 10X Tris/glycine/SDS (10X premixed electrophoresis buffer containing 25 mM Tris, 192 mM glycine, 0.1% SDS, at pH 8.3), 10X Tris/glycine (10X premixed electrophoresis buffer, pH 8.3), 4–20% Mini-PROTEAN TGX Stain-Free polyacrylamide gel, Immun-Blot PVDF membrane, and Coomassie Blue were bought from Bio Rad (Munich, Germany). Carbon-coated grids (300 mesh, copper) were supplied by EMS (Hatfield, PA, USA) and Revert Aid First Strand cDNA Synthesis Kit was obtained from Thermo Fisher Scientific (Waltham, MA, USA). TRIsure reagent and SensiFAST TM SYBR R® No-ROX Kit were purchased from Biorline (Swedesboro, NJ, USA).

## 2.2. ThT assay: Measurement of $\alpha$ syn fibril formation and destabilisation

The method is based on the work published by Ono et al. (2012), adapted by our research group, using ThT as a fluorescent marker that binds to  $\alpha$ syn fibrils to monitor  $\alpha$ syn aggregation (Hornedo-Ortega et al., 2016, 2018a, 2018b; Gallardo-Fernández et al., 2019). For the inhibition assay, the  $\alpha$ syn monomers were prepared at 70  $\mu$ M in  $\text{Na}_2\text{HPO}_4/\text{NaH}_2\text{PO}_4$  (25 mM)/NaCl buffer (pH 7.4). On the other hand, for the destabilisation assay, first, the  $\alpha$ syn monomers (70  $\mu$ M) were incubated for 6 days on a thermoblock at 37 °C, under continuous agitation (1000 rpm) to form the  $\alpha$ syn fibrils. For both the inhibition and destabilisation assays, ThT (25  $\mu$ M final concentration) was incubated with the  $\alpha$ syn monomers or pre-formed fibrils (70  $\mu$ M) in the presence or absence of the individual compounds under study: DOPAL, MOPAL and MOPET at 20, 50, 100, and 150  $\mu$ M in  $\text{Na}_2\text{HPO}_4/\text{NaH}_2\text{PO}_4$  (25 mM)/NaCl buffer at 37 °C for 6 days (Hornedo-Ortega et al., 2018a, 2018b; Gallardo-Fernández et al., 2019) in black clear-bottomed 96-well plates. As for the incubation conditions, we used continuous agitation (1000 rpm) for the inhibition assay, whereas the destabilisation assay was performed without agitation.

Fluorescence emission data were recorded for 6 days using a multi-detector microplate reader fluorescence spectrophotometer (Synergy HT, Biotek) set at 450 nm for excitation and 485 nm for emission wavelengths. Samples were stored at –80 °C for the subsequent acquisition of Transmission Electronic Microscopy (TEM) images and electrophoresis experiments.

## 2.3. TEM images

To obtain images of the state of aggregation of  $\alpha$ syn fibrils exposed to DOPAL, MOPAL, and MOPET, 10  $\mu$ L of samples from each condition obtained in ThT assay were placed on a 300-mesh carbon-coated Formvar grid and incubated for 20 min. Afterwards, 5  $\mu$ L of 2.5% (v/v) glutaraldehyde was placed on the grid and incubated for 5 min. Next, the grids were negatively stained for 1 min with 5  $\mu$ L of 0.5% uranyl acetate solution. The excess fluid was removed, and the samples were viewed using a Zeiss Libra 120 TEM, operating at 80 kV.

## 2.4. Electrophoresis

To confirm the effect of the compounds under study on the inhibition of  $\alpha$ syn fibril formation and their disaggregation capacity, a total of 15  $\mu$ L of the ThT samples was diluted with 5  $\mu$ L of loading buffer. Then, the samples were heated at 50 °C for 3 min and loaded onto 4–20% Tris–glycine gel for 1 h at 100 V. Afterwards, the gels were stained with Coomassie Blue (0.1% Coomassie R250, 10% acetic acid, and 40%

methanol).

## 2.5. PC12 cell culture

PC12 is an immortalised cell line derived from rat adrenal gland pheochromocytoma. The cells were grown in DMEM supplemented with 15% (v/v) foetal horse serum and 2.5% (v/v) foetal bovine serum, 100  $\mu$ g/mL of penicillin and 100  $\mu$ g/mL of streptomycin at 37 °C in an atmosphere of 5% carbon dioxide. The cells were detached with 1  $\times$  trypsin–EDTA every 3–4 days, the time needed to reach between 80 and 100% confluence.

## 2.6. MTT assay: cell cytotoxicity

To perform this assay, 30000 cells per well in 200  $\mu$ L DMEM were cultured in 96-well plates for 24 h. Afterwards, the cells were exposed to DOPAL, MOPAL, or MOPET at 5, 10, 20, 50, 100, and 150  $\mu$ M final concentrations dissolved in DMEM. These concentrations were selected in agreement with the HT concentrations used in our previous works for the sake of comparison (Hornedo-Ortega et al., 2018; Gallardo-Fernández et al., 2019). Furthermore, DMEM at 0.01% DMSO was used as a negative control. The cells were incubated with the individual compounds for 24 h at 37 °C. Later, the cells were treated with 200  $\mu$ L MTT solution per well (final concentration, 0.5 mg/mL in DMEM) for 3 h at 37 °C with 5%  $\text{CO}_2$ . The purple crystals formed were solubilised with 100  $\mu$ L of DMSO per well for 30 min in the dark. Finally, the absorbance was measured at 540 and 570 nm with a microplate reader (Synergy HT, Biotek) using a reference wavelength of 630 nm.

## 2.7. Neuroprotective assays

DOPAL (20, 50, 100, and 150  $\mu$ M) and MOPET (100 and 150  $\mu$ M) were mixed in individual tubes with  $\alpha$ syn monomers (70  $\mu$ M) in phosphate buffer. Similarly, the  $\alpha$ syn monomers (70  $\mu$ M) were incubated alone (positive control). Then, they were incubated for 6 days on a thermoblock at 37 °C under continuous agitation (1000 rpm). Afterwards, these solutions were diluted with DMEM to reach a final concentration of 7  $\mu$ M for the  $\alpha$ syn fibrils in accordance with previous studies (Hornedo-Ortega et al., 2018a, 2018b), and mixed with DOPAL (2, 5, 10, and 15  $\mu$ M) or MOPET (10 and 15  $\mu$ M final concentration). These solutions were placed in contact with PC12 cells and incubated for 24 h. Afterwards, MTT was carried out as was explained above.

## 2.8. RT-PCR analysis

PC12 cells were seeded in 24-well plates for 24 h at 37 °C, and then treated with and without  $\alpha$ syn fibrils (7  $\mu$ M) plus DOPAL and MOPET (0.5, 2, 5, 10, and 15  $\mu$ M), and MOPAL (0.5  $\mu$ M). Total RNA after the different treatments was extracted from the PC12 cells using TRIsure reagent. Next, 1  $\mu$ g of total RNA was transformed into cDNA using the RevertAid First Strand cDNA Synthesis Kit as described by the manufacturer. RT-PCR was performed using 5  $\mu$ L of the SensiFAST TM SYBR NO-ROX KIT, 0.4  $\mu$ L of each primer, and 4.2  $\mu$ L cDNA for a final reaction volume of 10  $\mu$ L in 384-well plates. Amplification was run in a Lightcycler® 480 Instrument II (Roche, Rotkreuz, Switzerland): thermal cycler at 95 °C for 2 min, followed by 40 cycles consisting of a denaturation phase for 5 s at 95 °C, a second hybridization phase at 65 °C for 10 s, and a final elongation phase at 72 °C for 20 s. The process was completed by a final step consisting of 7 min at 72 °C. Analysis confirmed a single PCR product.  $\beta$ -actin served as reference gene and was used for sample normalization. The primer sequences for SIRT-1, SIRT-2, Hsp70, and HO-1 are shown in Table 1 (supplementary materials).

## 2.9. Statistical analysis

Statistical analysis was performed using Graphpad Prism 6.01 software (GraphPad Software, Inc., San Diego, CA, USA), using one-way analysis of variance (ANOVA test) to explore significant differences ( $p < 0.05$ ). Data are displayed as mean  $\pm$  standard deviation (SD).

## 3. Results

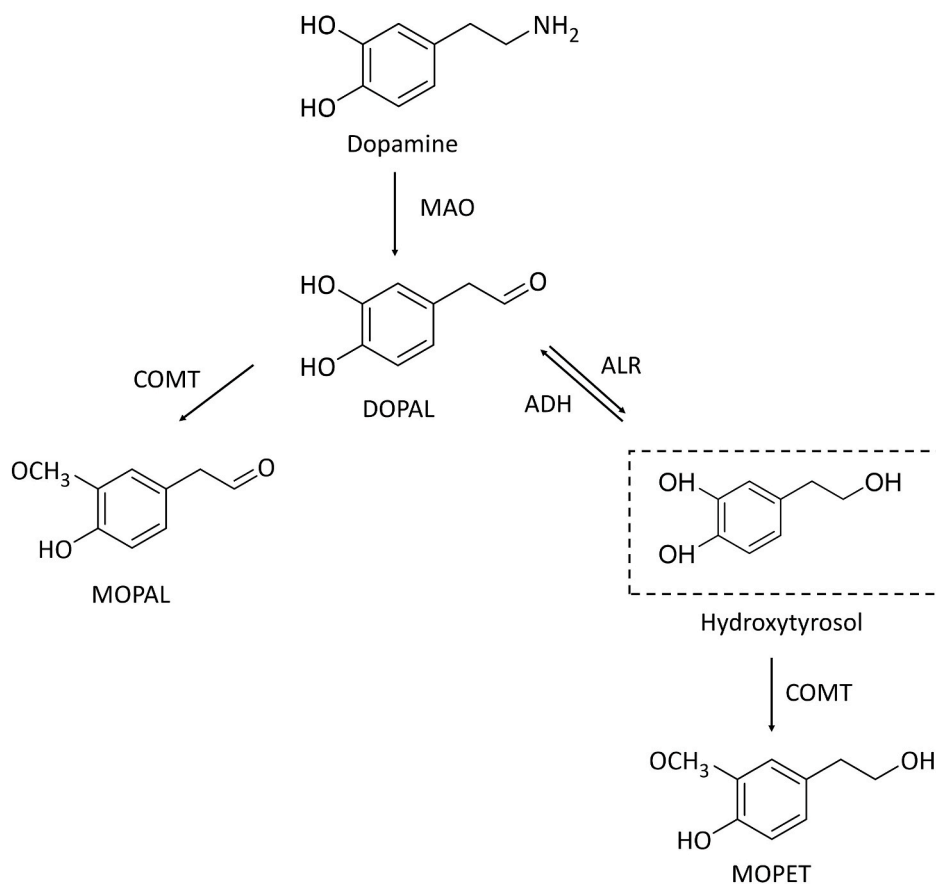
### 3.1. MOPET, MOPAL and DOPAL's effect on $\alpha$ syn fibril formation

The ThT fluorescence assay was used to evaluate whether MOPET, MOPAL, and DOPAL (Fig. 1) could inhibit  $\alpha$ syn fibril formation. This assay was selected because fluorescence increases in the presence of misfolded fibrils. Fig. 2A shows the kinetics of  $\alpha$ syn aggregation with and without MOPET, MOPAL, and DOPAL for 6 days. When  $\alpha$ syn was incubated alone, the fluorescence signal progressively increased with time until it reached the maximum fluorescence on days 5 and 6 (Fig. 2A). However, when  $\alpha$ syn was incubated with MOPET at 100 and 150  $\mu$ M or with DOPAL at 20, 50, 100, and 150  $\mu$ M, ThT fluorescence diminished significantly indicating lower  $\alpha$ syn fibril formation (Fig. 2A–C). On the other hand, no effect against  $\alpha$ syn fibril formation was observed for MOPAL at any concentration tested. It is worth mentioning that complete inhibition of fibril formation ( $102\% \pm 13$ ) was reached by DOPAL at 20  $\mu$ M, which was the lowest concentration tested (Table 1). Conversely, for concentrations greater than 100  $\mu$ M, the percentage of inhibition was lower, thus demonstrating a non-dose-dependent effect that was inversely proportional to the dose. In the case of MOPET, we could only see a significant effect at 100 and 150  $\mu$ M, showing very similar inhibition percentages (45 and 55%, respectively)

(Table 1).

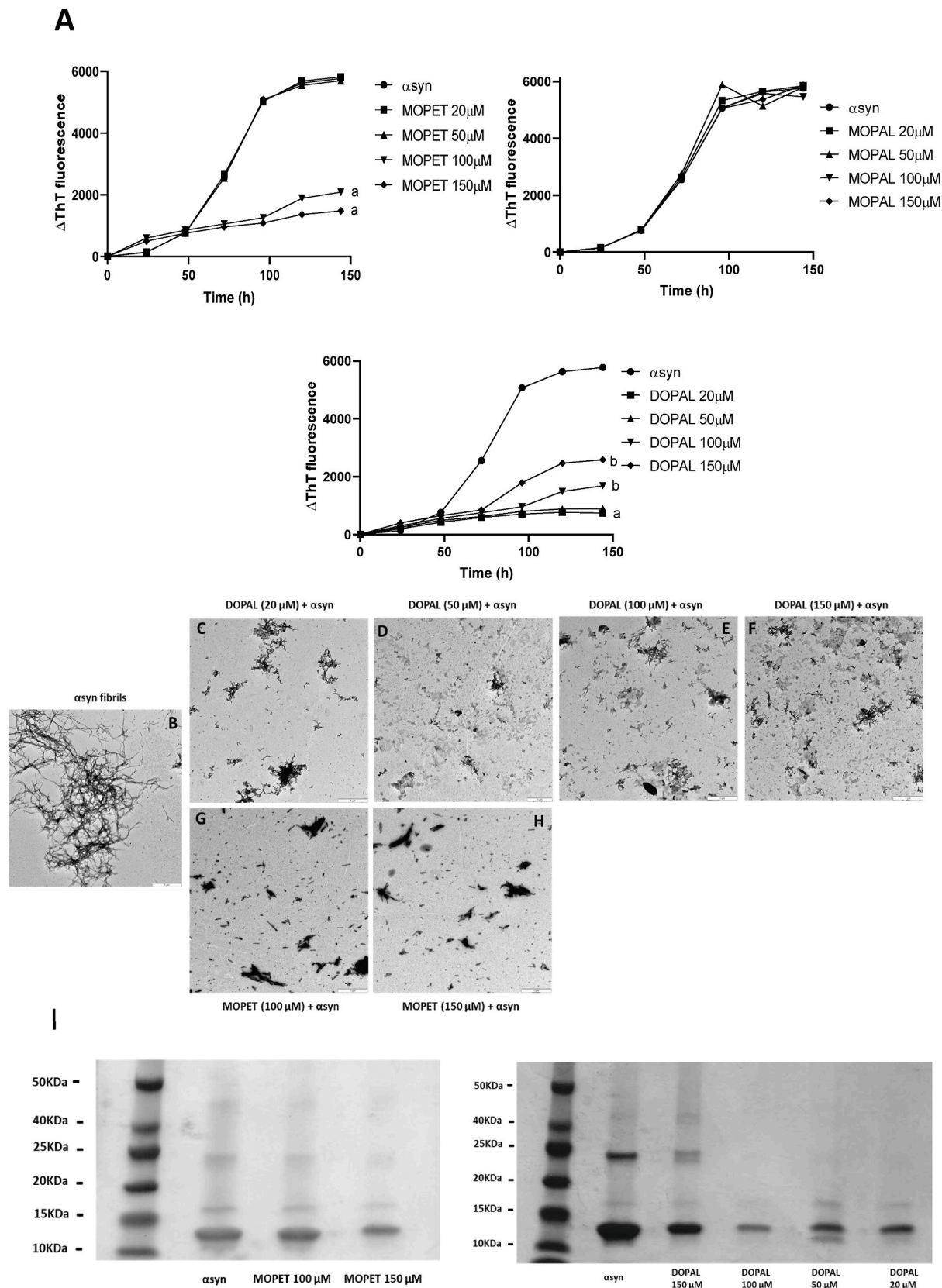
TEM images confirmed the inhibitory effect of DOPAL and MOPET (Fig. 2B–H). Fig. 2B shows that when  $\alpha$ syn was incubated alone,  $\alpha$ syn fibrils were formed (Fig. 2B). The co-incubation of DOPAL with  $\alpha$ syn showed that the amount of  $\alpha$ syn aggregates increases as DOPAL concentration increases (Fig. 2C–F). Only small amorphous aggregates were observed in Fig. 2C corresponding to DOPAL at 20  $\mu$ M. In the case of MOPET, the number of aggregates clearly diminished at 100 and 150  $\mu$ M (Fig. 2G–H).

The separation of proteins by electrophoresis allowed us to verify the previous findings, based on the protein size of  $\alpha$ syn after 6 days of incubation with and without the compounds under study (Fig. 2I). The reported molecular weights corresponding to the monomers and dimers are 14.5 and between 24 and 63 kDa, respectively (Winner et al., 2011; Ardah et al., 2014). Therefore, the bands corresponding to  $\alpha$ syn alone appeared at 14.5 kDa (monomers), 25, and 40 kDa (dimers) (Fig. 2I). In the presence of MOPET (100 and 150  $\mu$ M) and DOPAL (20, 50, 100, and 150  $\mu$ M), the bands corresponding to the  $\alpha$ syn dimers and trimers presented a weaker intensity than the band for  $\alpha$ syn alone, proving the inhibitory effect of both compounds on  $\alpha$ syn fibril formation. As previously showed by the ThT assay, the electrophoresis images also prove that DOPAL affects  $\alpha$ syn formation differently according to its concentration. Thus, we can observe the presence of bands corresponding to monomers, dimers, and trimers (15, 25, and 40 kDa, respectively) when DOPAL at 150  $\mu$ M was co-incubated with  $\alpha$ syn. Conversely, when DOPAL was present at 20, 50, and 100  $\mu$ M, we observed an absence of the bands corresponding to the dimers and trimers (Fig. 2I).



**Fig. 1.** Dopamine and hydroxytyrosol metabolic pathways in humans. MAO: monoamine oxidase; COMT: catechol-O-methyl transferase; ALR: aldehyde/aldehyde reductase; ADH: alcohol dehydrogenase.





**Fig. 2.** A: Effects of MOPET, MOPAL, and DOPAL (20, 50, 100, and 150  $\mu$ M) on inhibition of  $\alpha$ syn fibril formation measured by ThT fluorescence at  $\lambda$ Ex 450 and  $\lambda$ Em 485. a:  $p < 0.0005$  versus  $\alpha$ syn; b:  $p < 0.005$  versus  $\alpha$ syn. TEM images. B:  $\alpha$ syn fibrils; C: DOPAL (20  $\mu$ M) +  $\alpha$ syn fibrils; D: DOPAL (50  $\mu$ M) +  $\alpha$ syn fibrils; E: DOPAL (100  $\mu$ M) +  $\alpha$ syn fibrils; F: DOPAL (150  $\mu$ M) +  $\alpha$ syn fibrils; G: MOPET (100  $\mu$ M) +  $\alpha$ syn fibrils; H: MOPET (150  $\mu$ M) +  $\alpha$ syn fibrils. Electrophoresis. I: Samples from the ThT assay (inhibition) were separated with 4–20% Tris–glycine SDS gel and stained with Coomassie Blue. All experiments were performed in triplicate ( $n = 3$ ).

**Table 1**

Inhibition and destabilisation percentages for MOPET, MOPAL, and DOPAL against  $\alpha$ syn fibrils ( $n = 3$ ).

| Compound             | Concentration ( $\mu$ M) | % Inhibition<br>$\pm$ SD | % Destabilisation<br>$\pm$ SD |
|----------------------|--------------------------|--------------------------|-------------------------------|
| DOPAL + $\alpha$ syn | 20                       | 102 $\pm$ 13             | 43 $\pm$ 8                    |
|                      | 50                       | 93 $\pm$ 5               | 71 $\pm$ 6                    |
|                      | 100                      | 70 $\pm$ 3               | 78 $\pm$ 5                    |
|                      | 150                      | 67 $\pm$ 9               | 80 $\pm$ 3                    |
| MOPAL + $\alpha$ syn | 20                       | n.d.                     | n.d.                          |
|                      | 50                       | n.d.                     | n.d.                          |
|                      | 100                      | n.d.                     | n.d.                          |
|                      | 150                      | n.d.                     | n.d.                          |
| MOPET + $\alpha$ syn | 20                       | n.d.                     | n.d.                          |
|                      | 50                       | n.d.                     | n.d.                          |
|                      | 100                      | 45 $\pm$ 7               | n.d.                          |
|                      | 150                      | 55 $\pm$ 2               | 3 $\pm$ 2                     |

n.d. not detected, SD standard deviation.

### 3.2. MOPET, MOPAL, and DOPAL's effect on the destabilisation of pre-formed $\alpha$ syn fibrils

The objective of the ThT assay was to evaluate whether these metabolites could destabilise the pre-formed  $\alpha$ syn fibrils. As shown in Fig. 3A, DOPAL was the only compound which reduced the level of  $\alpha$ syn fibrils in a dose-dependent manner. Indeed, we obtained the following destabilisation percentages: 43, 71, 78, and 80% for 20, 50, 100, and 150  $\mu$ M, respectively (Table 1). Additionally, the TEM images displayed in Fig. 3C–F shows a decrease in the number of  $\alpha$ syn fibrils as the DOPAL concentration increases. On the other hand, Fig. 3G reveals that MOPET (150  $\mu$ M) does not present a destabilising effect since we can observe a high number of  $\alpha$ syn fibrils. Furthermore, all these results are supported by the electrophoresis assay (Fig. 3H). Both the monomer and dimer bands were present in the MOPET samples as well as in the control containing  $\alpha$ syn alone, confirming that this metabolite does not present any destabilising effect. Regarding DOPAL, the bands corresponding to the  $\alpha$ syn dimers and trimers were only present in  $\alpha$ syn alone and DOPAL samples at 20  $\mu$ M. On the contrary, higher DOPAL concentrations (50–150  $\mu$ M) showed an absence of bands for  $\alpha$ syn dimers and trimers, which proves the destabilising potential of DOPAL from concentrations of 50  $\mu$ M (Fig. 3H).

### 3.3. MOPET and DOPAL maintain the viability of PC12 cells

The cytotoxicity of DOPAL, MOPAL, and MOPET at different concentrations (5, 10, 20, 50, 100, and 150  $\mu$ M) was studied to select the non-cytotoxic concentration for the subsequent experiments (Fig. 4). Fig. 2 displays the percentage of cell viability for each compound, showing no toxic effect for DOPAL and MOPET at every tested concentration. However, MOPAL was significantly toxic for PC12 cells from 20  $\mu$ M.

### 3.4. MOPET and DOPAL reduce $\alpha$ syn toxicity on PC12 cells

The neuroprotective effect of MOPET and DOPAL in PC12 cells against the toxicity induced by  $\alpha$ syn fibrils was evaluated by the MTT assay (Fig. 5A–B). The concentrations tested were selected according to the ThT results. In this case the concentrations of both  $\alpha$ syn and compounds were reduced ten-fold in order to compare the results obtained by both assays. After treating PC12 cells with MOPET at 15  $\mu$ M, we can see a significant increase of 30% in cell viability compared with cells incubated only with  $\alpha$ syn. In the same way, cell viability was significantly enhanced in the presence of DOPAL (2, 5, 10, and 15  $\mu$ M), being 2 and 5  $\mu$ M the most effective concentrations since they increase cell viability by 45%.

### 3.5. Effects of MOPET, MOPAL, and DOPAL on SIRT-1, SIRT-2, Hsp 70, and HO-1 gene expression

For a more complete picture of the activity of MOPET and DOPAL, we considered the gene expression of SIRT-1, SIRT-2, Hsp70, and HO-1, which are genes involved in the vitagene system (Fig. 6A–H). Furthermore, the effect of MOPAL on vitagene expression was also assayed. For every gene under study, two controls were performed (untreated cells and cells incubated with  $\alpha$ syn alone). As can be observed in Fig. 6A, DOPAL (0.5, 5, and 15  $\mu$ M) and MOPET (0.5, 5, 10, and 15  $\mu$ M) in the absence of  $\alpha$ syn fibrils significantly increased SIRT-1 gene expression. However, when the compounds were incubated with  $\alpha$ syn fibrils (7  $\mu$ M), the expression of this gene was maintained and significant differences were only observed when the cells were incubated with DOPAL at 15  $\mu$ M (Fig. 6B). In addition, in the case of SIRT-2 and HO-1 gene expression, a significant decrease occurred in all the conditions tested with and without  $\alpha$ syn fibrils (Fig. 6C–F). It is remarkable that the condition that produced the greatest decrease in SIRT-2 gene expression was MOPAL at 0.5  $\mu$ M, incubated in the absence of  $\alpha$ syn fibrils, with an expression value that was 98 times less than the control (Fig. 6C). Conversely, DOPAL at 5  $\mu$ M produced the most significant decrease in HO-1 gene expression (Fig. 6E). All the conditions tested in the absence of  $\alpha$ syn fibrils produced a significant increase of up to 500 times greater as compared to the control for Hsp70 gene expression (Fig. 6G). Finally, a significant increase in Hsp70 expression was also observed for DOPAL at 5  $\mu$ M and for MOPET at 10  $\mu$ M in the presence of  $\alpha$ syn fibrils but to a lower extent (Fig. 6H).

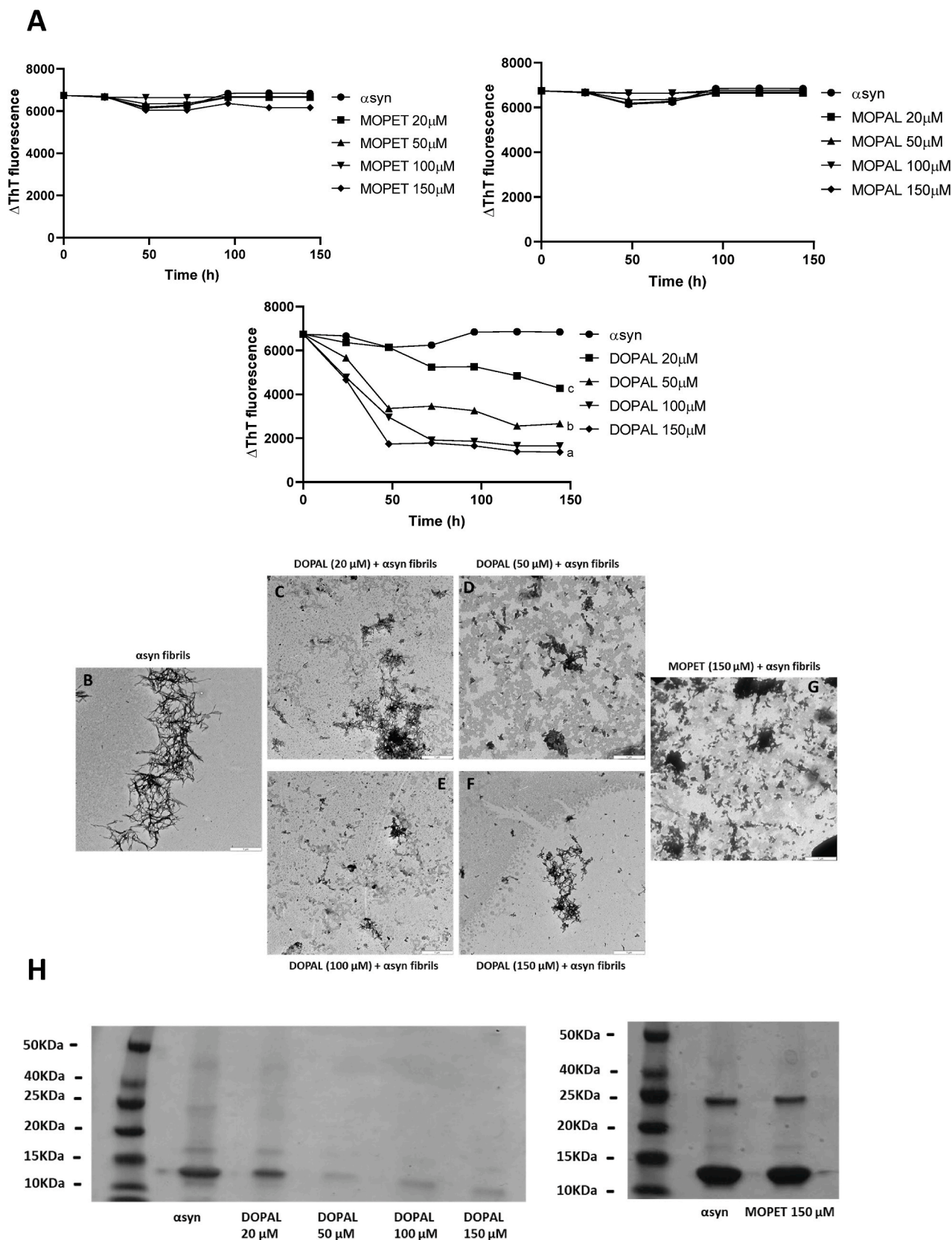
## 4. Discussion

Neurodegeneration is considered one of the reasons for disease progression in PD. The factors that initiate neurodegeneration are oxidative stress, neuroinflammation, and abnormal  $\alpha$ syn aggregations among others (Fahn and Sulzer, 2004; Aaseth et al., 2018). A substantial body of evidence suggests that the modulation of  $\alpha$ syn aggregation by small molecules such as polyphenolic compounds can be a potential strategy against the development and progress of PD (Bieschke et al., 2010; Hornedo-Ortega et al., 2018a, 2018b; Palazzi et al., 2018; Gallardo-Fernández et al., 2019).

In this work, we studied the effects of HT and DA metabolites, namely MOPET, MOPAL, and DOPAL against the aggregation and disaggregation of  $\alpha$ syn fibrils and the study of vitagene expression as part of their potential mechanism of action.

We present evidence that the HT metabolite MOPET at concentrations of 100 and 150  $\mu$ M is effective at inhibiting  $\alpha$ syn fibril formation by 45 and 55%, respectively (Fig. 2A, Table 1). However, when  $\alpha$ syn fibrils are already being formed, MOPET has no effect on the destabilisation of the  $\alpha$ syn fibrils (Fig. 3A). On the other hand, MOPAL has no effect against  $\alpha$ syn fibril formation and destabilisation (Figs. 2A and 3A, respectively). This difference in activity for MOPET and MOPAL may be explained by their different chemical structures. In fact, another study carried out in our laboratory demonstrated that HT presented a more potent inhibitory (81%, 100  $\mu$ M) and destabilising (63%, 100  $\mu$ M) activity against  $\alpha$ syn fibril formation (Hornedo-Ortega et al. 2018) than MOPET (100  $\mu$ M). MOPET differs from HT in the methylation of one of the hydroxyl groups attached to the benzene ring. Regarding MOPAL, it presents two modifications with respect to HT: it contains one methoxy group instead of one of the hydroxyl groups and an aldehyde instead of an –OH group in the chain attached to the benzene ring, which decreases the electron density of the ring and, in turn, its activity (Fig. 1).

Additionally, we tested DOPAL. The concentration at which this metabolite has an effect against  $\alpha$ syn fibril aggregation depends on the state of the protein. Interestingly, we observed that the results obtained for DOPAL follow an uncommon pattern. In fact, when DOPAL was incubated with  $\alpha$ syn monomers, we observed that its inhibitory effect against  $\alpha$ syn fibril formation depended inversely on the DOPAL



**Fig. 3.** A: Effects of MOPET, MOPAL, and DOPAL (20, 50, 100, and 150  $\mu\text{M}$ ) on destabilisation of  $\alpha\text{syn}$  fibrils measured by ThT fluorescence at  $\lambda\text{Ex}$  450 and  $\lambda\text{Em}$  485. a:  $p < 0.0005$  versus  $\alpha\text{syn}$ ; b:  $p < 0.005$  versus  $\alpha\text{syn}$ ; c:  $p < 0.05$  versus  $\alpha\text{syn}$ . TEM images. B:  $\alpha\text{syn}$  fibrils; C: DOPAL (20  $\mu\text{M}$ ) +  $\alpha\text{syn}$  fibrils; D: DOPAL (50  $\mu\text{M}$ ) +  $\alpha\text{syn}$  fibrils; E: DOPAL (100  $\mu\text{M}$ ) +  $\alpha\text{syn}$  fibrils; F: DOPAL (150  $\mu\text{M}$ ) +  $\alpha\text{syn}$  fibrils; G: MOPET (150  $\mu\text{M}$ ) +  $\alpha\text{syn}$  fibrils. Electrophoresis. H: Samples from the ThT assay (destabilisation) were separated with 4–20% Tris–glycine SDS gel and stained with Coomassie Blue. All experiments were performed in triplicate ( $n = 3$ ).



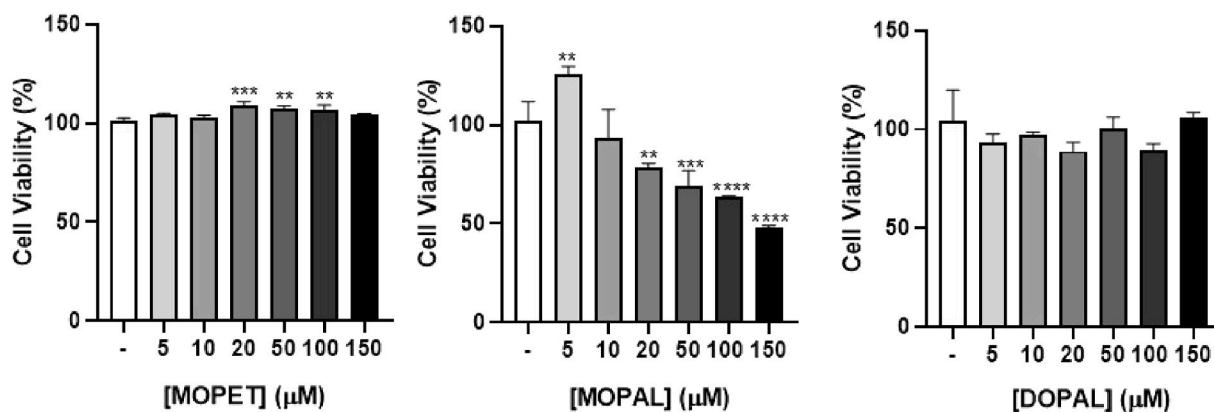


Fig. 4. Cell viability (%) (MTT assay) in PC12 cells for MOPET, MOPAL, and DOPAL (5, 10, 20, 50, 100, 150  $\mu\text{M}$ ). Results are expressed as mean  $\pm$  standard deviation (SD) of three analytical replicates ( $n = 3$ ). \*\* $p < 0.005$ , \*\*\* $p < 0.0005$ , \*\*\*\* $p < 0.00005$  compounds versus control (-).

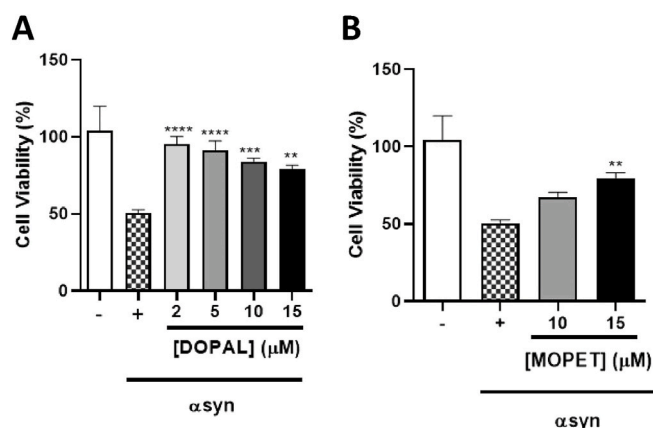


Fig. 5. Cell viability (%) (MTT assay) of PC12 cells in presence of A: DOPAL (2, 5, 10, and 15  $\mu\text{M}$ ) and B: MOPET (10 and 15  $\mu\text{M}$ ) with 24h pre-treatment against  $\alpha\text{-syn}$  toxicity (7  $\mu\text{M}$ ). Data are expressed as mean  $\pm$  standard deviation (SD) of three analytical replicates ( $n = 3$ ). \*\* $p < 0.005$ , \*\*\* $p < 0.0005$ , \*\*\*\* $p < 0.00005$  compounds versus  $\alpha\text{-syn}$  control (-).

concentrations. At lower concentrations, a greater inhibitory effect was observed (Fig. 2A and Table 1). TEM images confirm the presence of  $\alpha\text{-syn}$  fibrils in the case of DOPAL at 150  $\mu\text{M}$  (Fig. 3F) and  $\alpha\text{-syn}$  monomers at 20  $\mu\text{M}$  (Fig. 2C). In accordance with our results, Burke et al. (2008) observed that as DOPAL concentration increases, the molecular weight of the  $\alpha\text{-syn}$  aggregates also increases. It is worth highlighting the conditions used in both papers. In fact, Burke et al. (2008) tested DOPAL concentrations ranging between 1.5 and 1000  $\mu\text{M}$  and used an  $\alpha\text{-syn}$  concentration of 2  $\mu\text{M}$ , which is remarkably higher than our DOPAL- $\alpha\text{-syn}$  ratio (150-70  $\mu\text{M}$ ). Another difference was the incubation time; in our case we incubated the DOPAL- $\alpha\text{-syn}$  mix for 6 days. However, in the Burke et al. (2008) study, this period was only 4 h. All in all, the differences observed can be explained by the difference in study conditions. Moreover, more recently Plotegher et al. (2017) used ThT and TEM to demonstrate that the incubation of  $\alpha\text{-syn}$  with DOPAL (1:16) inhibits  $\alpha\text{-syn}$  fibril formation, which is closely related with our results obtained for a 1:2 ratio ( $\alpha\text{-syn}$ : DOPAL). This inhibitory effect on  $\alpha\text{-syn}$  fibril formation can be explained by the formation of covalent adducts between DOPAL and the N-terminal lysine residues of  $\alpha\text{-syn}$ . This interaction has been associated with the stabilisation of  $\alpha\text{-syn}$  oligomers by DOPAL, avoiding the formation of future  $\alpha\text{-syn}$  fibrils (Follmer et al., 2015; Werner-Allen et al., 2016; Plotegher et al., 2017; Lima et al., 2018). Furthermore, Carmo-Gonçalves et al. (2018) demonstrated that the oxidation of all four methionine residues of the  $\alpha\text{-syn}$  monomer reduces the protein's ability to form large oligomers in the presence of

DOPAL (Carmo-Gonçalves et al., 2018). In summary, these findings indicate that lysine and methionine residues may have an important role in driving the oligomerisation of DOPAL-derived  $\alpha\text{-syn}$  species (Follmer et al., 2015; Werner-Allen et al., 2016; Plotegher et al., 2017; Carmo-Gonçalves et al., 2018).

Regarding destabilisation, our results prove that DOPAL follows a dose-dependent effect when this compound is incubated with preformed  $\alpha\text{-syn}$  fibrils and can destabilise the  $\alpha\text{-syn}$  fibrils by 80% at the maximum DOPAL concentration tested (150  $\mu\text{M}$ ) (Fig. 3A, Table 1). Previous studies by our group have shown that HT exerts a significant destabilising effect (around 65%) (Hornedo-Ortega et al., 2018a), similar to those reported here for DOPAL.

Our work was undertaken to compare the effects of different molecules with previously published data under identical conditions (Hornedo-Ortega et al., 2018a). The selected metabolites are related via DA metabolism and some of them are present in dietary sources. Little is known about the enzymes that interconvert these metabolites, which might have a role in the extent they are present *in vivo*. These enzymes may transform HT into DOPAL or the other way round.

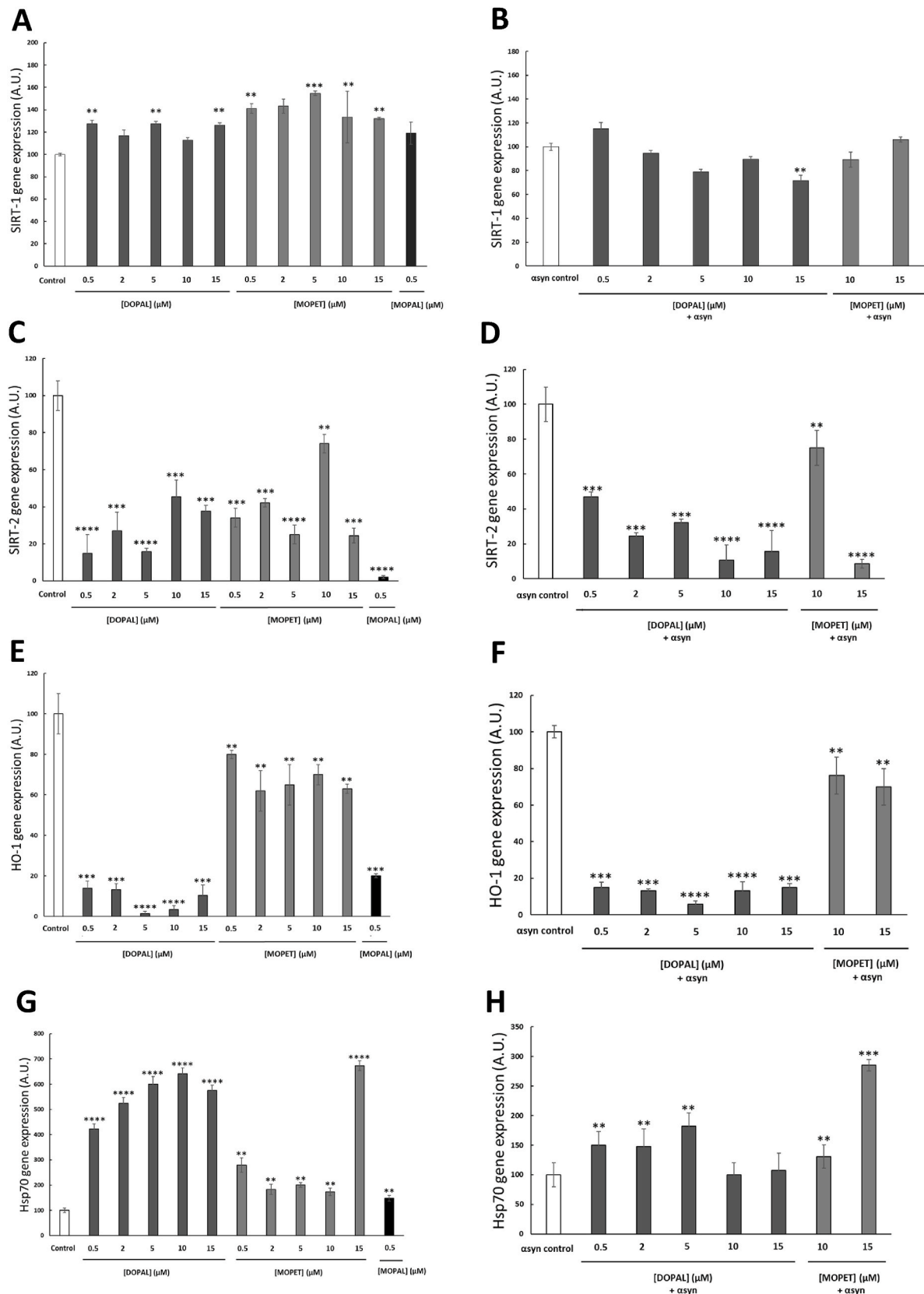
The most relevant biological consequence of misfolded  $\alpha\text{-syn}$  association is the production of neurotoxic structures that finally cause cell death (Ardah et al., 2014; Steiner et al., 2011). Considering its extensive metabolism (Rodríguez-Morató et al., 2016), our objective was to evaluate the toxicity of HT metabolites in plasma and brain at physiological concentrations (0.1–10  $\mu\text{M}$ ) (Burke et al., 1999; Kristal et al., 2001; López de las Hazas et al., 2016; 2018).

Regarding the neuroprotective effect of the evaluated metabolites, our results show that DOPAL (2, 5, 10, and 15  $\mu\text{M}$ ) and MOPET (10 and 15  $\mu\text{M}$ ) are effective against  $\alpha\text{-syn}$ -induced toxicity (Fig. 5A–B), preventing PC12 cell death in part due to their ability to inhibit the formation of  $\alpha\text{-syn}$  fibrils in the same way as the inhibition assay. In this context, Carmo-Gonçalves et al. (2020) reported that DOPAL-derived  $\alpha\text{-syn}$  adducts exhibited lower toxicity compared with DOPAL itself, raising the question of whether the generation of these adducts could be part of or a collateral effect of  $\alpha\text{-syn}$ -mediated protection in neurons exposed to DOPAL (Carmo-Gonçalves et al., 2020).

Previous studies have shown that HT, the precursor of the metabolites DOPAL and MOPET, at 10  $\mu\text{M}$  reverses (15–20%) the toxic effect produced by  $\alpha\text{-syn}$  to an extent similar to that of MOPET (Hornedo-Ortega et al., 2018a). However, our results prove that DOPAL (10  $\mu\text{M}$ ) is more effective than MOPET and HT, increasing viability by around 40% (Fig. 5).

Furthermore, modulation of endogenous cellular defence mechanisms represents an innovative approach to therapeutic intervention in diseases causing chronic tissue damage, such as neurodegeneration (Calabrese et al., 2010). To elucidate the possible mechanism of action of MOPET and DOPAL, we proved their effect on SIRT-1, SIRT-2, Hsp70,





**Fig. 6.** DOPAL (0.5, 2, 5, 10, and 15 μM), MOPET (0.5, 2, 5, 10, and 15 μM) and MOPAL (0.5 μM) effect on vitagene expression. A: SIRT-1 gene expression (A.U.) without asyn; B: SIRT-1 gene expression (A.U.) with asyn (7 μM); C: SIRT-2 gene expression (A.U.) without asyn; D: SIRT-2 gene expression (A.U.) with asyn (7 μM); E) HO-1 gene expression (A.U.) without asyn; F: HO-1 gene expression (A.U.) with asyn (7 μM); G) Hsp 70 gene expression (A.U.) without asyn; H: Hsp 70 gene expression (A.U.) with asyn (7 μM). Data are expressed as mean ± standard deviation (SD) (n = 3). \*\*p < 0.005, \*\*\*p < 0.0005, \*\*\*\*p < 0.00005 compounds versus control.

and HO-1 gene expression in PC12 cells. Furthermore, we considered the effect of MOPAL on vitagene expression in PC12 cells.

Our results show that in the absence of  $\alpha$ syn fibrils, DOPAL at 0.5, 5, and 15  $\mu$ M and MOPET at 5, 10, and 15  $\mu$ M significantly increase SIRT-1 gene expression, with MOPET at 5  $\mu$ M exhibiting the highest SIRT-1 expression in the absence of  $\alpha$ syn fibrils (Fig. 6A). Indeed, increased SIRT1 levels and its activation have been reported as beneficial in acute neuronal injuries (Gao et al., 2012), and in a great range of neurodegenerative diseases (Srivastava and Haigis, 2011; Ng et al., 2015). On the other hand, in the presence of  $\alpha$ syn fibrils, DOPAL at 15  $\mu$ M cannot counteract the significant decrease in SIRT-1 gene expression produced in the presence of  $\alpha$ syn fibrils alone (Fig. 6B).

In addition, our results showed that for all the conditions tested DOPAL and MOPET produced a potent inhibition of SIRT-2 gene expression (Fig. 6C–D). Interestingly, the inhibition of SIRT-2 gene expression rescues cells from  $\alpha$ syn-mediated toxicity in PD (Garske et al., 2007; Outeiro et al., 2007). This result is of particular relevance since several studies based on *in vivo* and *in vitro* experiments have demonstrated that the deletion of SIRT-2 presents a neuroprotective effect. From a mechanistic point of view, SIRT-2 interacts and removes acetyl groups from  $\alpha$ -syn, increasing its aggregation and cytotoxicity (de Oliveira et al., 2017; Singh et al., 2021).

Furthermore, HO-1 serves as a defence system against oxidative stress (Yang et al., 2009). Transcription of HO-1 is activated by nuclear factor erythroid 2-related factor 2 (Nrf2) which has a neuroprotective role in Nrf2 signalling in mouse PD models, and an increase in HO-1 protein leads to degradation of heme molecules, producing biliverdin and bilirubin (Motterlini et al., 2002; Jain and Jaiswal, 2006). The accumulation of bilirubin, a potent antioxidant molecule, is responsible, at least partly, for the neuroprotective effects of HO-1. In these regards, DOPAL, MOPET, and MOPAL significantly decrease HO-1 expression (Fig. 6E–F) and therefore, these compounds do not prevent oxidative stress via this gene.

Molecular chaperones are implicated in the refolding of misfolding proteins or their targeting for degradation via the ubiquitin-proteasome system (UPS) or the autophagy-lysosomal pathway (ALP) (Wickner et al., 1999). Under stress conditions, these roles are carried out by Hsps. In fact, Hsp70 may have a role both in refolding and in degrading misfolded  $\alpha$ syn aggregates, by interfering in their oligomerisation, thus providing protection against  $\alpha$ syn toxicity (Klucken et al., 2006; Ebrahimi-Fakhari et al., 2012). Hsp70 possesses an intrinsically higher binding affinity with  $\alpha$ syn which explains its capacity to reduce oligomer formation and to disassemble  $\alpha$ -syn fibrils (Aprile et al., 2017; Tao et al., 2021; Schneider et al., 2021). Accordingly, we have demonstrated that DOPAL and MOPET (0.5, 2, 5, 10, and 15  $\mu$ M) in the absence of  $\alpha$ syn fibrils (Fig. 6G), and DOPAL (0.5, 2, and 5  $\mu$ M) and MOPET (10 and 15  $\mu$ M) in their presence (Fig. 6H) significantly increase Hsp70 gene expression (Fig. 6G–H). This fact could be related to the protective effect observed for both compounds. Our data show that DOPAL exerts a protective effect through the vitagene system that has not been previously reported.

In summary, this work revealed that DOPAL is the most potent metabolite, among the studied compounds, for preventing  $\alpha$ syn-fibril formation and destabilising  $\alpha$ syn fibrils. Based on these findings, we can state that the inhibitory and destabilising effect of DOPAL against  $\alpha$ syn fibrils depends not only on DOPAL concentration, but also on the level of protein aggregation.

In addition, our results show that DOPAL, at near physiological concentrations, can protect neurons from  $\alpha$ syn-induced toxicity. Furthermore, the role of DOPAL, MOPET, and MOPAL in the expression of vitagenes has been studied. Thus, the present results show that several mechanisms may be involved in the action of these metabolites against  $\alpha$ syn toxicity. These data contribute to a better understanding of the role of HT and DA metabolites against some of the most important physiopathological processes involved in PD. However, more *in vivo* studies are necessary to sufficiently explore their concentrations in the brain after

dietary intervention and their interconversion with DA and HT metabolites.

## CRediT authorship contribution statement

**Marta Gallardo-Fernández:** Investigation, Methodology, Data curation, Formal analysis, Visualization, Writing – original draft, preparation, Methodology, Data curation, Formal analysis, Supervision, Visualization, Writing – original draft, preparation and Review, Writing – review & editing. **Ana B. Cerezo:** Conceptualization, Investigation, Data curation, Formal analysis, Supervision, Visualization, Writing – original draft, preparation and Review, Writing – review & editing. **Ana M. Troncoso:** Conceptualization, Investigation, Formal analysis, Project administration, Resources, Supervision, Visualization, Writing – original draft, preparation and Review, Writing – review & editing. **M. Carmen Garcia-Parrilla:** Conceptualization, Investigation, Formal analysis, Project administration, Resources, Supervision, Visualization, Writing – original draft, preparation and Review, Writing – review & editing.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.fct.2022.113542>.

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## Further reading

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