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# MODELLING GROWTH AND CO<sub>2</sub> FIXATION BY SCENEDESMUS VACUOLATUS IN CONTINUOUS CULTURE

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# 8 1. HIGHLIGHTS

- 9 A screening of eight species of microalgae and cyanobacteria in continuous culture
  10 was performed
- Scenedesmus vacuolatus was selected for modelling its growth and CO<sub>2</sub> fixation
- The potential of this microalga for carbon abatement was tested using simulated flue
- 13 gas as the source of  $CO_2$
- 14 2. ABSTRACT

A promising approach to  $CO_2$  abatement is the use of photosynthetic microorganisms. 15 Different microalgae (Chloroccocum sp., Porphyridium purpureum, Scenedesmus 16 vacuolatus) and cyanobacteria (Anabaena PCC7119, Anabaena PCC7120, Anabaena PCC 17 7937, Nostoc PCC 9202, Nostoc punctiforme) were cultivated in photobioreactors operated 18 19 as chemostat, simulating light conditions analogous to those prevailing outdoors and compared on their ability to fix CO<sub>2</sub> efficiently. Due to its high biomass productivity and 20 21 CO<sub>2</sub> fixation rate, Scenedesmus vacuolatus was selected and the effect of different culture parameters (i.e. dilution rate, temperature, pH and impinging irradiance on surface's 22

photobioreactor) on its biomass productivity and  $CO_2$  fixation was further investigated. In optimal culture conditions, S.vacuolatus rendered 0.63 g biomass L<sup>-1</sup> d<sup>-1</sup>, resulting 1.15 gCO<sub>2</sub> assimilated L<sup>-1</sup> d<sup>-1</sup>. Based on the data obtained in this study, a mathematical model was developed to describe growth and CO<sub>2</sub> bio-fixation by *S.vacuolatus*. Finally, the potential of this microalga for carbon capture was further tested using synthetic flue gases as the source of CO<sub>2</sub>.

#### 29 3. INTRODUCTION

Carbon dioxide has been regarded as one of the most important greenhouse gases. Anthropogenic CO<sub>2</sub> emissions contribute significantly to global warming [1]. The amount of CO<sub>2</sub> in the atmosphere was 390.9 ppm in 2011, increasing on average 2 ppm/year for the past 10 years and reaching 140% of the pre-industrial level (280 ppm) (WMO 2012). According to Chiu et al (2008) [2],  $2.6 \times 10^{10}$  CO<sub>2</sub> tons will be released into the atmosphere in the year 2100. Flue gases from power plants are mostly responsible for these global CO<sub>2</sub> emissions in the world [3].

CO<sub>2</sub> fixation using terrestrial plants and photosynthetic microorganisms represents a 37 sustainable approach to transform CO<sub>2</sub> into organic matter [4] and [5]. Plant contribution to 38 CO<sub>2</sub> capture has been estimated to account for only 3-6% of emissions [6]. Microalgae and 39 cyanobacteria have received much attention because of their wide distribution, high 40 biomass productivity, fast CO<sub>2</sub> uptake and utilization [7]. They can grow on a simple 41 42 culture medium containing inorganic salts, tolerate adverse climate conditions and do not compete with agriculture for arable land [8]. Furthermore, microalgal biomass accumulates 43 significant amounts of lipids, carbohydrates, proteins and other valuable compounds, such 44 45 as pigments and vitamins, which can be used as active ingredients in pharmacy, food

additives, feed supplements and biofuels production (biodiesel, bioethanol, biohydrogen or
biomethane) [9], [10] and [11].

48 The selection of suitable microalgal strains for CO<sub>2</sub> bio-mitigation has significant effect on efficiency, costs and competitiveness of the process. The desirable traits include: high 49 growth and CO<sub>2</sub> utilization rates, tolerance to trace components of flue gases, such as SO<sub>x</sub> 50 and NOx, valuable compounds as biomass constituents, easiness of harvesting, wide 51 tolerance to pH and temperature variations [12]. Most common microalgae and 52 cyanobacteria species used for CO<sub>2</sub> mitigation include *Botryococcus braunii* [13], 53 Chlorella vulgaris [14], Chlorella kessleri [15], Chlorococcum littorale [16], Scenedesmus 54 sp [17], Chlamydomonas reinhardtii [18] and Spirulina sp [19]. 55

In the present study, a screening for CO<sub>2</sub> abatement of eight cyanobacteria and microalgae 56 species was performed in continuous culture conditions. The latter offer many advantages 57 over the batch mode for assessing real biomass productivity and CO<sub>2</sub> fixation [20]. Among 58 the tested species, S. vacuolatus was selected as the most promising microorganism for CO<sub>2</sub> 59 removal. An analysis of the influence of different values of irradiance, pH, temperature and 60 dilution rate was performed and its growth and CO<sub>2</sub> assimilation was described through 61 mathematical modellings as function of the aforementioned parameters. Furthermore, S. 62 vacuolatus was cultivated using a gas mixture of analogous composition to that of the flue 63 64 gas from a power plant demonstrating the feasibility of this specie to be used in flue gas 65 bioremediation process.

#### 66 4. MATERIALS AND METHODS

• Microorganisms and culture conditions

68 The microalgae and cyanobacteria studied in this work were selected upon a literature 69 survey based on their growth rate: *Chloroccocum* sp [21], *Porphyridium purpureum* [22],

70 Scenedesmus vacuolatus [23], Anabaena PCC7119 [24], Anabaena PCC7120 [25],

Anabaena PCC7937 [26], Nostoc PCC9202 [27], Nostoc punctiforme [28].

*Chlorococcum* sp. and *Scenedesmus vacuolatus* were grown photo-autotrophically on the medium described by Arnon [29], supplied with NaNO<sub>3</sub> as to reach 20 mM. *Porphyridium purpureum* was grown on f/2 medium [30]. *Anabaena* and *Nostoc* species were grown under diazotrophic conditions in BG<sub>11</sub> medium [31].

Continuous cultivations were performed in 2.0 L capacity in a jacketed sterilized bubble 76 column photo-bioreactor (0.07 m diameter, 0.50 m height), containing 1.8 L of cell 77 suspension, continuously sparged with air (33 L (L culture<sup>-1</sup>)  $h^{-1}$ ). Temperature was 78 maintained at 25 or 30° C for microalgae and cyanobacteria, respectively, and pH at 7.5 or 79 8 for microalgae and cyanobacteria, respectively by injection on demand of CO<sub>2</sub> into the 80 air stream. The photo-bioreactor was illuminated by using six Phillips PL-32 W/840/4p 81 white-light lamps. Light intensity followed a sine cycle of 12 h light/12 h dark, providing 82 3000  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> as maximal incident irradiance on the photo-bioreactor' surface. Initially, 83 the photo-bioreactors were inoculated with batch-grown cells and operated on batch mode 84 until stationary phase was attained. Then, it was switched to operate on continuous mode; 85 fresh medium was continuously fed during the light period (12 hours) at a flow rate of 45 86 mL h<sup>-1</sup> or 90 mL h<sup>-1</sup> (dilution rate, D; 0.26 d<sup>-1</sup> or 0.66 d<sup>-1</sup>) for microalgae and 87 cyanobacteria, respectively with withdrawal of culture at the same rate. Once steady state 88 was achieved, analytical determinations were performed. It was assumed that cultures 89 achieved a steady state ( $\mu$ =D) after harvesting 5 times the volume of the reactor (10L) 90

91 followed by 4-8 constant determinations of dry weight. All experiments were done in92 triplicate.

**93** • Analytical procedures

Biomass was harvested by centrifugation for 10 min 1500xg, lyophilized and stored at 20°C for future analysis. All the analytical measurements were made in triplicate.

Microalgae biomass concentration was determined simultaneously by dry weight (DW) 96 measurement and by total organic carbon (TOC) concentration in culture samples [32]. For 97 DW measures, aliquots of the culture suspensions were filtered through pre-weighted glass 98 microfiber filters (Whatman, 0.45 µm pore diameter). Filters were washed up with a 99 solution of ammonium formiate (1%) in order to remove all the salts. The filters containing 100 the washed cells were dried in an oven (80° C, 24h) before weighed on a precision scale. 101 Biomass was determined by the difference between the weights of pre-weighted filters and 102 those containing the dried cells. For biomass determinations based on TOC, part of the 103 volume of the culture sample was centrifuged (1500g; 10min) in order to discriminate the 104 carbon present in the excreted compounds. Thus, the concentration of the total organic 105 106 carbon in the culture sample (the remaining part not centrifuged or broth) and in the supernatant was determined by the use of a TOC analyzer (Shimadzu V-CPH). The organic 107 carbon in the biomass was calculated according to Equation 2. TOC-estimated biomass was 108 determined according to the percentage of carbon present in the biomass determined 109 110 previously by an elemental analyzer (CHNS-O THERMO, FLASH-EA 1112, Series) and 111 the TOC concentration already measured in the biomass (Equation 3), The amount of fixed CO<sub>2</sub> was calculated from TOC values, taking into account that one gram of total organic 112 carbon corresponds to 3.66 g of fixed CO<sub>2</sub> [32]. Protein content was measured using the 113

114	Lowry method [33]. The lipid content was determined as described by Kochert [34]. The
115	carbohydrate content was measured using the Dubois method [35]. The calorific value of
116	lyophilized biomass (20mg) was measured in a Compensated Jacket Calorimeter Parr 6100
117	(Parr Instrument Company).

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# Measurements and calculations of Irradiance

a) Maximum incident PAR Irradiance, I<sub>max</sub> (μE m<sup>-2</sup> s<sup>-1</sup>): The measurement of
photosynthetically active irradiance (PAR) directly emitted by the lamps was carried
out using a 4π quantum scalar irradiance sensor QSL-100 (Biospherical Instrument, San
Diego, CA), inside the photo-bioreactor (without cells).

b) Average PAR irradiance, I<sub>av</sub> (µE m<sup>-2</sup> s<sup>-1</sup>) defines the actual PAR irradiance available
for each cell inside the broth once a steady state is achieved (that means the remaining
light available after reflection by diffusion and shading). Thus, average PAR irradiance
was calculated as a function of I<sub>max</sub>, the light path (p), the biomass concentration (C<sub>b</sub>)
and the extinction coefficient of the biomass (K<sub>a</sub>) as described by Molina-Grima [36]:

$$I_{av} = \frac{I_{max}}{p \times C_b \times K_a} \left( 1 - e^{(-p \times C_b \times K_a)} \right)$$
(Eq. 1)

Where  $I_{max}$  was different according to the light intensity used in each experiment (3000/2; 2000/2 or 1000/2  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>), light path (p) of the photo-bioreactor was 0.07 m, C<sub>b</sub> was the biomass concentration in each steady state, and the extinction coefficient (K<sub>a</sub>) calculated experimentally for *Scenedesmus vacuolatus* was 0.17 m<sup>2</sup> g<sup>-1</sup>.

- Numerical methods
- 134 The organic carbon in the biomass was determined according to eq. 2:

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$$C_{\text{organic biomass}} (g L^{-1}) = \text{TOC}_{\text{culture}} (g L^{-1}) - \text{TOC}_{\text{supernatant}} (g L^{-1})$$
 (Eq. 2)

- 136 Biomass (TOC-estimated) concentration was determined according to eq. 3:
- 137 Biomass concentration  $(g L^{-1}) = C_{\text{organic biomass}} (g L^{-1}) \times \% C_{\text{elemental analysis}}$  (Eq. 3)

138 Biomass productivity (once a steady state was achieved) was calculated according to eq. 4:

- 139 Biomass productivity (g  $L^{-1}d^{-1}$ ) = Biomass concentration (g  $L^{-1}$ ) ×dilution rate (d<sup>-1</sup>) (Eq.4)
- 140  $CO_2$  fixation rate was calculated according to eq. 5:

141 CO<sub>2</sub> fixation rate (g L<sup>-1</sup> d<sup>-1</sup>) =  $\Delta C_{\text{organic biomass}}$  (g L<sup>-1</sup> d<sup>-1</sup>)  $\times \frac{44}{12}$ 

• Synthetic flue gases

The composition of the synthetic flue gases used to simulate an exhausted stream of a
450MW power plant is described as follows: 12% (v/v) CO<sub>2</sub>, 0.06% (v/v) SO<sub>2</sub>, 0.08%
(v/v) NO<sub>2</sub>, 5% (v/v) O<sub>2</sub> and the rest N<sub>2</sub>.

# • Modelling growth and CO<sub>2</sub> fixation by S. vacuolatus

In order to formulate a mathematical model for growth and  $CO_2$  fixation by *S. vacuolatus*, a single-parameter optimization approach was used. Thus, different culture variables (i.e. pH, temperature, dilution rate and light) were performed and studied their influence on the yield of *S. vacuolatus* cultures. Sequentially, each optimal value for growth and  $CO_2$ fixation of each variable was set as a constant in the successive experiments, creating a matrix of data that allowed developing a model that describes growth and  $CO_2$ assimilation.

• Statistical analysis

Statistical analysis of data, variance (ANOVA factorial) and correlation between
parameters was performed using the 7.0 version of Statgraphics software.

#### 157 5. RESULTS AND DISCUSSION

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#### Microalgae and cyanobacteria selection in continuous conditions

Biomass productivity and CO<sub>2</sub> assimilation capability in continuous regime was evaluated
for the different microalgae and cyanobacteria preselected.

In the cultures condition used in this work, the highest CO<sub>2</sub> fixation rates were recorded for 161 S. vacuolatus and N. punctiforme (0.88 and 0.86 g CO<sub>2</sub>  $L^{-1} d^{-1}$  respectively) (Table 1). In 162 this selection, a well-known species used for CO<sub>2</sub> mitigation as Anabaena showed also 163 noteworthy CO<sub>2</sub> fixation values but lower than the two above-mentioned species. 164 Nevertheless, our results for Anabaena are higher than others reported in literature by 165 Clares et al. [32] for Anabaena sp. ATCC 33047 P. purpureum, Anabaena PCC7119 or 166 Anabaena PCC7120 cultures were not able to achieve a steady state in the operational 167 conditions performed and discarded for the final selection. 168

Although the main scope of this initial screening was to test the CO<sub>2</sub> assimilation capability 169 of the different species, it is important to couple the CO<sub>2</sub> abatement to the production of 170 biomass with a useful biochemical profile for biorefinery process in order to evaluate later 171 the economic feasibility of CO<sub>2</sub> removal processes [18]. Thus, cellular composition was 172 determined when cultures reached a steady state (Table 2). All species exhibited high 173 174 protein contents, in most cases over 40% of dry biomass. Microalgae showed higher lipid levels than cyanobacteria, which, in their turn, exhibited higher carbohydrate content than 175 microalgae. The higher lipid content of microalgae determined the higher calorific values 176 of microalgae (17-19 kJ g<sup>-1</sup>) compared to cyanobacteria (12-17 kJ g<sup>-1</sup>). 177

Among the two most promising species assayed (*S. vacuolatus* and *N. punctiforme*), the cyanobacterium presented some advantages such as the ability to grow diazotrophically and an easier harvest. However, their cultures presented high viscosity (data not shown) hindering significantly further downstream processes. High viscosity cultures leads to increase the pumping power requirements and therefore the production costs [37]. Owing to this and the fact that their cultures presented lower calorific values and a biochemical profile with lower lipid contents, *N. punctiforme* was discarded for the final selection.

S. vacuolatus showed better  $CO_2$  fixation than the others species. In addition, its continuous 185 cultures displayed high cell density in the assayed conditions, 1.88 g  $L^{-1}$  (result obtained by 186 dividing biomass productivity by dilution rate) and therefore less volume of culture must be 187 processed in downstream stages. In addition, its cells settle easily, allowing a faster auto-188 flocculation [38]. Furthermore, when considering the possibility of using the generated 189 biomass for further products, S.vacuolatus presented an attractive biomass composition rich 190 in lipids ( $\approx 30\%$ ) and proteins ( $\approx 45\%$ ) (Table 2) that also favored the final selection of this 191 specie. 192

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# • Culture conditions to maximize growth and CO<sub>2</sub> bio-fixation by S.vacuolatus

To have a better understanding of the ability of *S. vacuolatus* to fix  $CO_2$ , different operating conditions were established. Hence, tolerance limits to pH, temperature, dilution rate and light intensity were determined as cornerstones for outdoor cultures. Latter, these results allowed developing a mathematical model for growth and  $CO_2$  assimilation by this microalga.

The influence of pH (ranging from 6 to 9) on biomass productivity and CO<sub>2</sub> assimilation 199 was assayed. S. vacuolatus presented a wide tolerance to pH variation (Figure 1A). The 200 201 statistical analysis (ANOVA, p < 0.05) proved an influence of pH on both biomass productivity and CO<sub>2</sub> fixation rate. Both parameters (biomass productivity and CO<sub>2</sub> fixation 202 203 rate) were found to be maximum when pH 7.5, although no statistically significant difference existed among this value and pH 7.0. When more extreme pH values tested (6.0 204 and 9.0), biomass productivity and CO<sub>2</sub> fixation rate slightly reduced to 20-25% of the 205 maximum value obtained when pH was 7.5. Since the dissolved inorganic carbon depends 206 on different factors such as pH, microalgae have developed different specie-dependent 207 carbon-uptake mechanisms [39]. Specifically, Scenedesmus increases the absorption when 208 bicarbonate (HCO<sub>3</sub><sup>-</sup>) is the predominant form of inorganic carbon. The pH tolerance 209 showed by S. vacuolatus was in line with previous results reported for different 210 211 Scenedesmus species [40].

The optimum temperature found for biomass productivity and CO<sub>2</sub> fixation was 30° C 212 (Figure 1B). When temperature was either 25 or 35° C, 12% reduction in biomass 213 productivity was displayed. At 40° C, cultures did not achieve a steady state, whereas at 214 15° C productivity dropped by 63%. The analysis of variance (ANOVA, p < 0.05) indicated 215 a statistically significant influence of temperature on growth and CO<sub>2</sub> assimilation.Likewise 216 pH, temperature displays and effect on the carbon-uptake mechanisms and therefore on the 217 growht under phototrophic conditions [39]. Our results agreed with the range of optimal 218 219 temperatures (from 10 to 48°C) reported for different Scendesmus species [17, 41-43].Six dilution rates, ranging from 0.13 to 0.80 d<sup>-1</sup>, were tested (Figure 1C). The highest values for 220  $CO_2$  fixation and biomass productivity were achieved when the dilution rate was 0.6 d<sup>-1</sup>. 221

However, no statistically significant differences were found in the range 0.4 -0.6 d<sup>-1</sup> for both parameters. At dilution rates lower than 0.4 d<sup>-1</sup> and higher than 0.6 d<sup>-1</sup>, biomass productivities were reduced by 30%. Analysis of variance proved the relevance of this variable in culture performance (ANOVA, p < 0.05). Although it has been reported that Scenedesmus might thrive at dilution rates of even 2 d<sup>-1</sup> [44], it shows better performance at dilutions in the range of 0.3-0.6 d<sup>-1</sup> in photo-chemostat operations [43] in line with our results.

Three maximum incident PAR irradiance (1000, 2000 and 3000  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>) were assayed 229 (Figure 1D). In all the cases, a steady state was achieved. At high irradiance (3000  $\mu$ E m<sup>-2</sup> 230 s<sup>-1</sup>), highest biomass productivity and CO<sub>2</sub> fixation were found (0.63 and 1.15 g  $L^{-1} d^{-1}$ 231 respectively). When low and mid irradiances (1000 and 2000  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>), biomass and CO<sub>2</sub> 232 fixation dropped by 30% and 15% respectively. Analysis of variance proved the relevance 233 of this variable in culture rendering (ANOVA, p < 0.05). The bibliography regarding to light 234 flux intensity used for Scendesmus cultivations is quite extended and diverse, varying from 235 60 to 3900 $\mu$ E m<sup>-2</sup> s<sup>-1</sup> [41, 45]. Similar to our results, Scenedesmus species did not show 236 photo-inhibition despite of the high light flux intensities. Overall, these results indicate that 237 the optimal growth conditions and thus CO<sub>2</sub> assimilation for *S. vacuolatus* are as follows: 238 pH, 7.5; maximum PAR light intensity (I<sub>max</sub>), 3000  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>; temperature, 30° C and 239 dilution rate, 0.4 - 0.6 d<sup>-1</sup>. In these conditions, cultures rendered 0.63 g biomass  $L^{-1}$  d<sup>-1</sup>, 240 meaning 1.15 g CO<sub>2</sub> assimilated  $L^{-1} d^{-1}$ . 241

• Modelling growth and CO<sub>2</sub> bio-fixation by *S.vacuolatus* 

Modelling growth is an interesting tool for the design and operation of an efficient process 243 244 for CO<sub>2</sub> removal based on phototrophic microorganisms. A statistical analysis (ANOVA 245 multifactorial, p < 0.05) of the influence of different culture parameters (i.e. pH, 246 temperature, dilution rate and light intensity) on S. vacuolatus growth rate ( $\mu$ ) was 247 performed. In continuous cultures at steady state, growth rate ( $\mu$ ) equals the dilution rate (D) [20]. Hence in the proposed model, the dilution rate is identified as the growth rate. The 248 formulated model (Eq 6) is based on light and temperature, the two most influential 249 parameters for growth and CO<sub>2</sub> assimilation. The first element of the equation references to 250 the effect of light on growth rate and it was calculated as average irradiance  $(I_{av})$  according 251 to the mathematical model proposed for light-limited chemostat cultures by Molina-Grima 252 [36] (Eq 1),  $\mu_{max}$  (d<sup>-1</sup>) as the maximum specific growth rate of S. vacuolatus and I<sub>k</sub> (light 253 saturation constant,  $\mu E m^{-2} s^{-1}$ ) that represents the affinity of this microalga by light and 254 corresponds to the I<sub>av</sub> value where  $\mu = \mu_{max}/2$ . The factor *n* is a shape parameter for the  $\mu$ -I<sub>av</sub> 255 curve and describes the abruptness of the transition from weakly to strongly-illuminated 256 situations. Our results showed that the growth rate increases hyperbolically along with I<sub>av</sub>, 257 typical characteristic of photo-limited cultures (Figure 1A, supplementary data). 258

The second element of the model represents the effect of temperature (T) on growth rate. The Arrhenius equation was used to fit experimental growth data to a temperaturedepending function. Here,  $A_1$  and  $A_2$  are parameters related to the effect of temperature on the microorganism growth; the first describes the positive effect on growth till 30 °C (as optimal temperature experimentally determined) and the second defines the negative effect of higher temperatures on microalgal growth.  $E_{a1}$  and  $E_{a2}$  describe the activation energy for both parameters,  $A_1$  and  $A_2$ . R is the universal constant gas used in the Arrhenius equation. Fitting the experimental data to equation 6 by a non-linear regression analysis, the following characteristic parameters for *Scenedesmus vacuolatus* cultures were obtained:  $\mu_{max} = 0.88 \text{ d}^{-1}$ ; n = 2.5;  $I_k = 87.5 \ \mu\text{E} \text{ m}^{-2} \text{ s}^{-1}$ ,  $A_1 = 2 \cdot 10^7 \text{ d}^{-1}$ ;  $E_{a1} = 4,09 \cdot 10^4 \text{ J} \text{ mol}^{-1}$ ;  $A_2 = 7,56 \cdot 10^{13} \text{ d}^{-1}$ ;  $E_{a2} = 8,05 \cdot 10^4 \text{ J} \text{ mol}^{-1}$ ;  $R = 8,31 \text{ J} \text{ K}^{-1} \text{ mol}^{-1}$ . There was a good adjustment between experimental and predicted values for the model proposed ( $R^2 = 0.9$ ) (Figure 1B, supplementary data).

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$$\mu(I_{av}, \mathbf{T}) = \left(\frac{\mu_{max} \times I_{av}^{n}}{I_{k}^{n} + I_{av}^{n}}\right) \times \left(A_{1} \times e^{\left(\frac{E_{a1}}{RT}\right)} - A_{2} \times e^{\left(\frac{E_{a2}}{RT}\right)}\right) \qquad \text{Eq 6}$$

273 This result indicates that *S. vacuolatus* is a fast growing microorganism with a high 274 photosynthetic efficiency ( $I_k < 100 \ \mu E \ m^{-2} \ s^{-1}$ ) in comparison to other *Scenedesmus* species 275 [43].

From equation 6 it is possible to predict the biomass concentration, and therefore biomass 276 productivity and CO<sub>2</sub> fixation rate, that a continuous culture can express once a steady state 277 is achieved at different light intensity ( $I_{max}$ ), temperature (T) and dilution rate (as  $\mu(I_{av}, T)$  is 278 equal to D in steady state situations). Thus, for every possible combination of these triplet 279 of culture variables, it is possible to obtain the theoretical biomass concentration to 280 determine then biomass productivity and CO<sub>2</sub> fixation values. The correlation between 281 independent process variables (I<sub>max</sub> and T) and biomass productivity and CO<sub>2</sub> fixation rate 282 283 can be visualized by examining the surface plot presented in Figure 2. It clearly shows that biomass productivity (A) or CO<sub>2</sub> fixation rate (B) are not linearly increased when the 284 process variables do. However, there is an optimal combination of light (3000  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>) 285 and temperature (30 °C) where biomass productivity and CO<sub>2</sub> fixation rate are maxima. In 286 these conditions, the model predicts a biomass productivity of 0.61 g  $L^{-1} d^{-1}$  (Figure 2A) 287

and maximum CO<sub>2</sub> fixation rate of 1.1 g L<sup>-1</sup> d<sup>-1</sup> (Figure 2B) when D = 0.5 d<sup>-1</sup> (D =  $\mu(I_{av}, T)$ in steady state). These assumptions were validated by experimental data (0.63 g biomass L<sup>-1</sup> 1 d<sup>-1</sup> and 1.15 g CO<sub>2</sub> assimilated L<sup>-1</sup> d<sup>-1</sup>). Additionally, the model allows the simulation of *S*. *vacuolatus* cultures in different combination of temperature, irradiance and dilution rate, predicting outdoor yields. The results achieved in this study are in line with the productivity data reported in the literature [46], [2] and [47].

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# • Potential use of *S. vacuolatus* cultures for CO<sub>2</sub> assimilation from flue gases

Finally, the potential of *S. vacuolatus* for biological carbon capture from flue gas emissions was investigated. For this purpose, photobioreactors were operated at the conditions for  $CO_2$  assimilation previously established as optimal but initially with no pH- control system. To determine the optimal ratio of air:flue gas mix, the synthetic mixture of flue gases as described in material and methods was injected into the airstream either pure (resulting in a constant supply of 12%  $CO_2$ ) or diluted with air (two, four and twelve times, resulting in a constant supply of 6%, 3% and 1%  $CO_2$  respectively).

A steady state was achieved only when the cultures were supplied with the 12 times diluted 302 flue gas (constant supply of 1% CO<sub>2</sub>). In these conditions, 0.67 g biomass  $L^{-1} d^{-1}$  and 1.22 g 303 assimilated  $CO_2 L^{-1} d^{-1}$  were obtained respectively (Table 3). Control cultures (i.e. same 304 305 culture conditions with a constant supply of 1% pure CO<sub>2</sub>) achieved the same results (Table 3). When the simulated flue gas was not diluted or when it was diluted only two or four 306 307 times, pH decreased dramatically and the cultures did not achieve a steady state. Anjo et al, 2013 and Kao et al, 2014 reported similar results for *Chlorella* cultivated using industrial 308 flue gas. 309

Next, a set of experiments was performed to assess whether it was possible to use non-310 diluted flue gas (12% CO<sub>2</sub>) to control the culture pH by flue gas injection on pH-demand. 311 In this condition, S. vacuolatus showed a similar CO<sub>2</sub> fixation rate (1.17 g  $L^{-1} d^{-1}$ ) 312 compared to those observed when a fix amount of 12 times diluted flue gas was supplied or 313 when pure CO<sub>2</sub> was used for pH control (1.15 g  $L^{-1} d^{-1}$ , this considered as the optimal value 314 previously determined in this work) (Table 3). The statistical analysis of the data (ANOVA, 315 p < 0.05) indicated that there is no significant difference among these results and it is 316 possible thus to conclude that S. vacuolatus exhibits the same biomass productivity 317 regardless of the source of CO<sub>2</sub> for pH control. The use of flue gases for pH-control was 318 tested by Lara-Gil et al with Desmodesmus abundands, obtaining similar outcomes [48]. 319 In addition, when flue gas was used (on demand or diluted twelve times), the biomass 320 presented the same biochemical composition, mainly rich in proteins and lipids, as the one 321 obtained when pure  $CO_2$  was used either constantly or on demand (Figure 3). This fact 322

highlights the potential of this microalga to transform carbon dioxide from flue gases into

valuable biomass and supports its possible use in  $CO_2$  capture systems.

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#### 325 6. CONCLUSIONS

326 Among eight microalgae and cyanobacteria, Scenedesmus vacuolatus was considered the best candidate for CO<sub>2</sub> mitigation. This microalga showed a high growth rate, ease for 327 harvesting, high heat value and a biomass composition rich in proteins and lipids. 328 Moreover, it displayed a good tolerance to variation in pH, temperature and irradiance. The 329 330 data were used to develop a model that describes growth and CO<sub>2</sub> bio-fixation, representing a helpful tool for predicting also the performance of outdoors cultivations. In addition, this 331 study shows that it is possible to grow S. vacuolatus using simulated flue gases from power 332 plant, rendering a similar CO<sub>2</sub> fixation rate and biochemical composition than using 333 commercial CO<sub>2</sub>. Our results suggest that S. vacuolatus can be considered as a good 334 candidate for a large-scale biomass production. 335

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342

#### 343 8. LEGENDS FOR FIGURES

Figure 1. Influence of pH (A) (Operational conditions: temperature 25 °C; dilution rate 0.4 d<sup>-1</sup> and I<sub>max</sub> 3000  $\mu$ E m<sup>-2</sup> d<sup>-1</sup>), temperature (B) (Operational conditions: pH 7.5; dilution rate 0.4 d<sup>-1</sup> and I<sub>max</sub> 3000  $\mu$ E m<sup>-2</sup> d<sup>-1</sup>), dilution rate (C) (Operational conditions: pH 7.5; temperature 30 °C and I<sub>max</sub> 3000  $\mu$ E m<sup>-2</sup> d<sup>-1</sup>) and light intensity (D) (Operational conditions: pH 7.5; temperature 30 °C and dilution rate 0.5 d<sup>-1</sup>) on biomass productivity and CO<sub>2</sub> fixation rate in *S. vacuolatus* continuous cultures.

Figure 2. Response surface of biomass productivity (A) and CO<sub>2</sub> fixation rate (B) by *S. vacuolatus* continuous culture as a function of maximal incident PAR irradiance ( $I_{max}$ ) and temperature, when dilution rate = 0.5 d<sup>-1</sup>.

Figure 3. Biochemical composition once a steady state was achieved when *S. vacuolatus* continuous cultures were aerated with a source of pure  $CO_2$  (on demand or 1%) and flue gas (on demand or diluted twelve times). Culture conditions operated were those determined as optimal in this work: D, 0.5 d<sup>-1</sup>; temperature, 30 °C; I<sub>max</sub> 3000 µE m<sup>-2</sup> d<sup>-1</sup>.

357

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