# 1 Viewing emerging human infectious epidemics through the lens of invasion biology

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- 42

## 43 Abstract

- 44 Invasion biology examines species originated elsewhere and moved with the help of humans, and
- 45 their impacts on biodiversity, ecosystem services, and human well-being. In a globalized world, the
- 46 emergence and spread of many human infectious pathogens are quintessential biological invasion
- 47 events. Some macroscopic invasive species themselves contribute to the emergence and
- 48 transmission of human infectious agents. We review conceptual parallels and differences between
- 49 human epidemics and biological invasions by animals and plants. Fundamental concepts in
- invasion biology regarding the interplay of propagule pressure, species traits, biotic interactions,
   eco-evolutionary experience, and ecosystem disturbances can help to explain transitions between
- 52 stages of epidemic spread. As a result, many forecasting and management tools used to address
- 53 epidemics could be applied to biological invasions and *vice versa*. Thus, we advocate for increasing
- 54 cross-fertilization between both disciplines to improve prediction, prevention, treatment, and
- 55 mitigation of invasive species and infectious disease outbreaks, including pandemics.

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57 Keywords: biosecurity, immunology, introduced species, One Health, SARS-CoV-2

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#### 59 Introduction

60 Invasive species – i.e. non-native (alien, exotic) species that have been introduced to new regions 61 by humans, form self-sustaining populations and spread rapidly from the sites of introduction 62 (Blackburn et al. 2011, Essl et al. 2018) - can have enormous impacts on the environment, the 63 economy and human well-being (Vilà and Hulme 2016, Pyšek et al. 2020). Invasion biology, a 64 discipline examining the ecological, evolutionary and anthropogenic processes involved in the 65 spread and impact of non-native species, has mostly focused on free-living, conspicuous 66 macroscopic species, which spread is observable and easy to track. In contrast, the invasion 67 dynamics of parasites and pathogens have received less attention, except for those causing 68 damage to agriculture, forestry and livestock (but see Mallon et al. 2015, Thakur et al. 2019, Pyšek 69 et al. 2020). More recently, the focus has expanded to include pathogens that affect wildlife 70 (Hatcher et al. 2012, Dunn and Hatcher 2015, Roy et al. 2017). The emergence and spread of 71 human infectious agents that rapidly increase in incidence and geographic area can also be viewed 72 as a biological invasion, but have rarely been treated as such (Hatcher et al. 2012, Nuñez et al. 73 2020) - although many studies have described the direct and indirect human health impacts of 74 biological invasions, including those involving the introduction of human pathogens (Hatcher et al.

75 2012, Rabitsch et al. 2017).

76 A human pathogen can spread beyond its historical range and become invasive, usually as a result 77 of the movement of infected human hosts. In addition to humans assisting the spread of invasive 78 animal and plant species, invasive species themselves can facilitate the large-scale propagation of 79 human pathogens and epidemics by acting as vectors or reservoir hosts of emerging human 80 pathogens, or by providing habitat for them (Fig. 1). Indeed, 16 % of the IUCN list of 100 of the 81 World's Worst Invasive Alien Species (Lowe et al. 2000) promote the spread and impact of human 82 pathogens (Table 1). Invasive insects are the most frequent vectors of pathogens causing human 83 diseases (Lounibos 2002). For example, the tiger mosquito (Aedes albopictus) has spread to all 84 inhabited continents through trade and is a vector of several infectious pathogens including those 85 causing dengue fever, yellow fever, West Nile Virus (WNV) and Chikungunya (Gratz 2004, Enserink 86 2008). Another group of invasive mosquitoes are some Anopheles spp., the most important 87 vectors of *Plasmodium* spp., the blood parasites that cause malaria (Lounibos 2002, Takken and 88 Lindsay 2019). Invasive vertebrates such as rodents are frequent reservoirs or intermediate hosts 89 of human pathogens (Hatcher et al. 2012, Hulme 2014a). Finally, invasive species, particularly 90 plants, can create habitat conditions conducive to local proliferation of vector or reservoir hosts 91 (Mack and Smith 2011, Rai and Singh 2020). For example, the invasive bush Lantana camara 92 attracts and provides refuge for tsetse flies away from river courses and close to villages, 93 promoting sleeping sickness epidemics (Syed and Guerin 2004). Similarly, water hyacinth, 94 Eichhornia crassipes, forms dense mats that provide breeding habitat for mosquitoes that transmit 95 Plasmodium (causative agent of malaria), Filofilaria immitis (filariasis) or Flaviviruses (dengue 96 fever) (Mack and Smith 2011). These cases exemplify the enormous diversity of combinations of 97 native-invasive pathogen, host and reservoir that are possible (Fig. 2), suggesting myriad potential 98 roles of invasive species in the ecology and global spread of pathogens (Rabitsch et al. 2017).

Both biological invasions and infectious diseases are becoming more prevalent and widespread with globalization. Both phenomena share common drivers of introduction and spread (Mack et al. 2000, Jeschke et al. 2013). In biological invasions, there has been a substantial amount of research on species traits conferring invasion potential (i.e. invasiveness), on the vulnerability of the ecosystems to be invaded (i.e. invasibility), and on the role of environmental conditions facilitating

104 or preventing spread (Pyšek et al. 2012). Similarly, research on infectious diseases mainly focuses

105 on understanding factors influencing the ability to establish persistent infections and cause

106 disease (i.e. virulence) and on the transmission from host to host (i.e. transmission), why some

107 microorganisms and specific strains cause disease, which individuals and human populations are

- 108 more susceptible to infection, and how/which environmental conditions affect pathogen spread
- 109 (Horrocks et al. 2011). However, because research on invasions and epidemics are approached by
- different disciplines, the bodies of literature and terminology are usually separated (Box 1). An
- exchange and cross-fertilization between both research domains is needed to advance the
- 112 prevention, treatment and adaptation of their impacts (Conn 2009, Ogden et al. 2019, Hulme et al. 2020, Nuñoz et al. 2020)
- 113 2020, Nuñez et al. 2020).

114 The introductions of invasive species and human pathogens have been described as co-occurring

phenomena caused by the transport of species, including people, during early European

116 colonization of the Americas, and some African and Asian territories during the XV-XVII centuries

117 (Crosby 2004, Spinage 2012). There are historical descriptions, for instance, of how these human

migration patterns led to disease outbreaks in the new territories (e.g. influenza, smallpox, and

measles). However, despite epidemiology having acknowledged the ecological aspects of
 infectious diseases since its start, and invasion biology having some of its foundations in the

- spread and impacts of pathogens e.g. Elton (1958) highlighted several examples of plant, animal
- and human pathogens as biological invasions, the formal interaction between both disciplines is
- 123 quite recent and currently limited: the number of publications bridging the two disciplines is
- several orders of magnitude lower than in each field separately (Fig. 3).

125 Approaches such as One Health, EcoHealth, Planetary Health and One Biosecurity emphasizes the 126 links between human health, environmental health, and the health of plants and animals (Ogden 127 et al. 2019, Hulme 2021). Following this principle, there have been recent attempts to cross-128 fertilize research on biological invasions and human infectious diseases both from conceptual and 129 methodological perspectives. While marked differences do exist in the ecology and evolution of 130 human pathogens and free-living macroscopic invasive species, including issues of host specificity, 131 immunity as well as the temporal and spatial scales of interactions, opportunities exist to bring 132 these disciplines together under a common framework (Lewis et al. 2016, Hulme et al. 2020). 133 Previous reviews have mostly focused on the stages of invasions and emerging infectious 134 pathogens, especially those that also affect wildlife (Hatcher et al. 2012, Jeschke et al. 2013, Dunn 135 and Hatcher 2015, Roy et al. 2017); on the role of invasive species as vectors and/or reservoirs of 136 pathogens worldwide (Hulme 2014a, Rabitsch et al. 2017); or on spatial dynamics (Hulme et al. 137 2020). Most of these interdisciplinary approaches have been on particular taxa, habitats or regions 138 (Crowl et al. 2008, Medlock et al. 2012, Conn). Yet, a detailed review of the parallels between 139 scientific approaches to invasions and human epidemics is still missing. 140

141 Given increasing rates of emerging infectious pathogens and biological invasions worldwide, and 142 the on-going global health griefs as used by the payed service SARS Call 2, the payed for

142 the on-going global health crisis caused by the novel coronavirus SARS-CoV-2, the need for

143 integrative and interdisciplinary approaches to biosecurity has never been greater (Nuñez et al.

- 144 2020, Pyšek et al. 2020, Hulme 2021). Here, we provide a holistic review of key parallels in the 145 conceptual foundations in invasion biology and human infectious epidemics. Specifically, we (1)
- 146 describe approaches to the study of the pathways of introduction of invasive species and human
- pathogens; (2) compare the stages and dynamics of the invasion process with those of epidemics;
- 148 (3) outline well-established hypotheses on the performance and impacts of invasive species, and
- show their analogues in human pathogens; (4) summarize the usefulness and limitations of
- 150 forecasting tools; and finally (5) discuss the implications for biosecurity.

#### 151 Pathways of introduction of invasive species and transmission of pathogens

152 With globalization, the numbers of invasive species and human pathogens has increased

- 153 exponentially in the 20<sup>th</sup> century, with no sign of saturation (Jones et al. 2008, Seebens et al.
- 154 2017). Invasive species including pathogens are rapidly transported by the same global networks
- 155 that move products and people to distant regions, where they are likely to encounter naïve
- 156 ecological and human communities that have not interacted with them before. For example,
- 157 dengue virus, the causative agent of dengue fever, is expanding its distribution range and it is now
- reported in 128 countries. The main factor of its spread is related to climatic change that benefits
- the *Aedes aegypti* mosquito, the main vector of the virus, and increased human movements
   between populations; even sporadic indigenous virus transmissions have occurred in previously
- 161 dengue-free countries (Chomicz et al. 2016). Managing the pathways of introduction of invasive
- 162 species and infectious pathogens is a prerequisite to implementing effective surveillance, early
- 163 response and mitigation policies (Essl et al. 2015, Ogden et al. 2019).
- 164 The Convention on Biological Diversity (CBD) provides a global standard terminology for species 165 introduction pathways that can be classified by six mechanisms: release, escape, transported as 166 contaminant, transported as stowaway, corridors and unaided (Saul et al. 2017). These can be 167 further classified in 44 subcategories that identify their socioeconomic use and purpose of 168 introduction (e.g. horticulture, pet trade, fisheries, game, etc.). Recently, this classification has 169 been applied to thousands of non-native species introduced to Europe and worldwide (Pergl et al. 170 2020). Range-expansion of native species that track environmental changes is an ecological 171 phenomenon that gets often confounded with biological invasions. However, there are major 172 functional, phylogenetic, physiological, behavioural and phenology feature differences separating 173 range-expanding from non-native species (Essl et al. 2019); accordingly, both groups of species 174 deserve to be treated as distinct biogeographic entities (Essl et al. 2020). Range-expanding species 175 (i.e. neonatives) can also cause environmental and health impacts (Wallingford et al. 2020). 176 However, to not increase the complexity of our review, we do not include range-expanding species
- 177 in this study.

178 In human epidemiology, besides the dichotomy between active and passive introduction of

179 pathogens (Mallon et al. 2015), a classification of pathways to such detail as in biological invasions

- 180 is currently not available. The term 'pathways of introduction' refers to the movement of the
- 181 pathogen either as a free-living stages (environmental contamination), or via the original
- 182 (reservoir) host, the vector or by human hosts. Infected hosts that travel with their newly acquired
- 183 pathogens to distant places contribute to their geographical spread. Phylogenetic and genomic
- analyses are important tools used to reconstruct epidemiological origin, history and links among
- 185 infectious hosts. Genomic surveillance is not routinely used in biological invasions to identify the
- 186 geographic origin and pathways of introduction of non-native macroorganisms (but see Hamelin 187 and Roe 2020).
- Transmission of emerging infectious pathogens can also be classified as zoonotic or non-zoonotic. A global analysis suggests that more than 60 % of human emerging infectious pathogens are zoonotic, with 70 % of these originating in wildlife (Jones et al. 2008). The IUCN list 100 of the World's Worst Invasive Alien Species contains twelve species that are reservoirs of pathogens that infect humans (Table 1). The most well-known historical example is the house mouse (*Mus musculus*) and the black rat (*Rattus rattus*) as hosts of *Yersinia pestis* causing bubonic plague. Other invasive species include the small Indian mongoose, *Herpestes javaricus*, and the crab eating
- 195 macaque (*Macaca fascicularis*) as reservoirs for rabies. Zoonoses, by definition, involve pathogen

- 196 spillover from a vertebrate host to humans, although subsequent human-to-human transmission
- 197 is sometimes possible. These host-switching events from wildlife reservoir to human can be
- 198 preceded by an invasion event, e.g., when the reservoir host enters a previously unoccupied area
- 199 (e.g., wildlife transported to an urban market), or followed by an invasion event, e.g. when
- infected people travel, with their newly acquired pathogens, to distant places. Zoonotic spillover is
- seen for multiple pathogens including *Plasmodium* spp. (causative agent of malaria), *Trypanosoma brucei* (trypanosomiasis), *Leishmania* sp. (leishmaniasis), influenza A (flu), Human Immune
- 203 Deficiency Virus (AIDS), Ebolavirus (Ebola haemorrhagic disease) as well as the new coronavirus
- 204 related to MERS-CoV and SARS-CoV (Karesh et al. 2012).
- 205 In invasion biology, prevention requires an analysis of how the invasive species likely will arrive to
- a new region (primary introduction) and how it spreads subsequently in the surrounding region
- 207 (secondary spread). This dual pathway classification has seldom been applied in emerging
- 208 infectious pathogens despite that it is well known that socioeconomic variables (e.g. behavior,
- 209 income, tourism, military deployment, trade, etc.) can highly influence transmission. An improved
- 210 understanding of mechanisms that link long- and short-distance pathogen spread with the
- 211 socioeconomic characteristics of the hosts is essential to prevent and manage epidemics.

# 212 Stages and dynamics of invasions and epidemics

- 213 There are several distinct terms used to describe processes of invasion and those of an epidemic;
- but conceptually, the invasion of ecosystems and the infection process at the individual and
- 215 population level follow essentially the same basic series of stages, i.e. transport/exposure,
- 216 introduction/infection, establishment/transmission and spread/epidemics, respectively (Jeschke et
- al. 2013, Dunn and Hatcher 2015, Plowright et al. 2017, Hulme et al. 2020, Nuñez et al. 2020). In
- both cases, whether a particular invasive species or pathogen is able to pass on to the next stage
- and has consequences for the receiving ecosystem or host depends on many filters and can be
- substantially influenced by human interventions (Fig. 4). These stages have used different
- 221 terminology for invasions and infections, respectively, as indicated below.
- **Transport/exposure**. International transport of the non-native species by human agency is the first stage of the biological invasion process. Similarly, in emerging infectious pathogens, international movement of hosts (e.g. planes or boats) represents the first contact (or exposure) of humans with infected human hosts. The pathogen may originate in wildlife or domestic vertebrates and apillouer to humans either through a pathogen (e.g. planes).
- spillover to humans either through a vector (e.g. insects) or through direct contact (i.e zoonosis).
- 227 Introduction/Infection. Following transport, some non-native species are released directly into the 228 wild (e.g. for fishing or hunting purposes) escape from captivity (e.g. pets) or cultivation (e.g. 229 ornamental plants), or move unaided utilizing artificial corridors (e.g. waterways). A pathogen can 230 also be introduced through released and escaped reservoirs or move unaided through air (e.g. air-231 conditioning) or water (e.g. sewage) infrastructures. For a pathogen, at the individual host level, 232 this is the infection stage where it enters the host body, circumventing behavioral, physical and 233 physiological barriers. Many human infectious pathogens such as Hendra virus, WNV or the strain 234 of Influenza A causing avian flu result from independent spillover from reservoirs with little 235 human-to-human transmission. These outbreaks tend to be short-lived, but nonetheless can have 236 high impact in humans (e.g. the case fatality rate for some avian flu is 60%, Greger 2007).
- *Establishment/Transmission*. Establishment of an invasive species is the process by which a
   founding non-native population reproduces, increases in size and becomes self-sustaining in the
   new range. Invasive species introduced to a new region have to overcome several biotic and

240 environmental barriers imposed by the recipient region and its biota (Blackburn et al. 2011). For a 241 pathogen, at the level of the individual host, this is equivalent to overcoming immunological 242 barriers that allow within-host persistence, its multiplication and transmission to new hosts. 243 Widespread transmission and establishment within a new host population occurs when the basic 244 rate of reproduction (R<sub>0</sub>, the number of secondary cases resulting from each primary case) 245 exceeds 1. The likelihood of the pathogen evolving to become self-sustaining in the human 246 population increases with the spillover rate, the current  $R_0$  and the mutation rate (Antia et al. 247 2003). For example, during the 2013-2016 Ebola virus outbreak, three adaptive mutations in the 248 virus genome occurred that affected the functional activity of various viral proteins increasing its 249 ability to enter human cells, grow and be transmitted (Urbanowicz et al. 2016).

250 Spread. Finally, spread is the process by which an invasive species expands its range in the

251 introduced region beyond the area or host population in which it was first established. This

matches with the definition of epidemics as the spread of the pathogen to many persons in a

locality during a short period. Such an expansion of a pathogen in a human population can occur
 through increased animal-to-human contacts (spillover) or through human-to-human

through increased animal-to-human contacts (spillover) or through human-to-human
 transmission. For human infectious pathogens, spread can occur anywhere along a gradient from

transmission between individuals in a local population, to global transport of infections between

257 populations. Like biological invasions in general, the large scale spread of pathogens follows hub-

and-spoke network dynamics, and does not occur homogeneously but rather in discrete,

sometimes lengthy jumps, facilitated by human transportation systems such as air travel

260 (Strickland et al. 2015). The most serious outcome of an emerging pathogen is a pandemic – an

261 epidemic occurring worldwide, or over a very wide area, crossing international boundaries and

262 usually affecting a large number of people.

263 Unprecedented opportunities for pathogen spread and transmission are generated by (1) 264 technological advances and social activities driving human mobility, as evident in the movement of 265 millions of humans between continents on a daily basis (Tatem et al. 2006), and (2) with 266 increasingly crowded living conditions and inadequate access to water, sanitation, and health care, 267 in many areas of the world. For example, the first cases of Sars-CoV2 in many countries were 268 associated to business and tourism, whereas subsequent local spread was mainly related to 269 factors such as housing density and occupational exposure (Bassino and Ladmiral 2020). Owing to 270 global transportation networks, introduced organisms - both pathogens and free-living 271 macroscopic species - create satellite outbreaks in distant regions that contribute to exponential 272 rates of spatial expansion.

273 Rate of spread. There are temporal and spatial differences in the dynamics of epidemics and 274 invasions. In an epidemic, the speed by which the pathogen can spread is usually faster than the 275 invasion of a free-living macroscopic species (Peterson 2008). The spread of human epidemic 276 pathogens can be explosive. It is generally one to three orders of magnitude faster than for 277 invasive species and plant pathogens (Fig. 5). This is due to their short generation times, high 278 mutational rate and by orders of magnitude higher effective population sizes. Rates of spread of 279 terrestrial flora and fauna are typically in the range of 0.1-100 km/yr (Hulme 2014b, Horvitz et al. 280 2017) with mobile species such as many invertebrates (e.g. forest pest insects) being faster 281 (Roques et al. 2016). In contrast, human epidemic viruses such as Zika, Ebola and West Nile Virus, 282 can spread at rates of 10<sup>3</sup>–10<sup>4</sup> km/year (Zinszer et al. 2015, 2017, Hadfield et al. 2019), a velocity 283 only reached in some pathogens of marine wildlife (McCallum et al. 2003).

These differences in spread velocity matter because they influence the response of the recipient 284 285 systems in many ways. For instance, rapid range expansion could render phenotypic or genotypic 286 adjustments in recipient populations and communities less likely. Moreover, success in the control 287 of invasive species and infectious pathogen spread is highly dependent on the spatial distribution 288 of introductions (Hulme et al. 2020). Scattered nascent foci of invasive species or infested hosts 289 have the potential to spread more rapidly than one large continuous focus (Moody and Mack 290 1988). The recommendation to detect, isolate and trace every contact of the SARS-CoV-2 infected 291 individual follows this principle (e.g. Pagliari 2020).

292 Lag times. This phenomenon has received a fair amount of attention in invasion biology to define 293 the duration between invasion stages, and also between the introduction and the onset of rapid 294 range expansion (Crooks 2005, Rouget et al. 2016, Spear et al. 2021). Lag times are particularly 295 evident in ornamental plant species that only start to spread after several decades of being 296 introduced (Kowarik 1995). Many populations of non-native plants are dependent on repeated 297 introductions and need a long residence time before they form self-sustaining, viable populations 298 (Dlugosch and Parker 2008). Small populations are very sensitive to environmental stochasticity 299 that might limit their survival, reproduction and dispersal during early stages of invasion (Mack 300 2000). There are many cases of non-native species that were unnoticed for a long time and only

301 became invasive as a response to environmental changes.

302 Lag times are also identified in emerging human pathogens, owing to the latency period between 303 infection and disease symptoms that can range from a few days (e.g. SARS-CoV) to years (e.g. HIV). 304 More precise time intervals than for invasions are defined for pathogens in terms of stages of the 305 pathogen life-cycle and disease symptoms (Bar-On et al. 2020). For example, in virus infections, 306 time lags within an individual host are decomposed into (1) the eclipse period as the time to make 307 intracellular virions; (2) the latent period as the time from cell entry until the appearance of the 308 first extracellular viruses; (3) the infectious period (from infection to transmission) and (4) the 309 incubation period (from infection to the emergence of symptoms). The length of these four 310 periods are of paramount importance to slow down and deter the transmission stage to an 311 epidemic spread by establishing quarantine and confinement periods.

312 Many invasive species that are vectors of human parasites are increasing their ranges induced by 313 global warming (Medlock and Leach 2015). Similarly, many infectious diseases are increasing with 314 climate change e.g. by speeding up the life cycle of the pathogens. For example, human and dog 315 infections by Dirofilaria nematodes are becoming more frequent in Northern Europe with 316 increasing summer warming that facilitates parasite incubation (Genchi et al. 2011). Recognition of 317 long lag times and the role of environmental changes in invader and parasite dynamics suggests 318 that we need to endorse the precautionary principle: one should assume that any invader and 319 pathogen has the potential for undesirable effects and that lengthy periods of seemingly 320 innocuous behaviour can be a poor predictor of how these organisms will behave in the future 321 (Crooks 2005).

322

#### 323 Hypotheses explaining biological invasions and analogues to epidemics

324 Invasion biology has formulated and tested several hypotheses on why some non-native species

325 go through the stages of the invasion process, whereas others do not (e.g. Catford et al. 2009,

326 Jeschke and Heger 2018). Invasions are influenced by many factors, and these can be grouped into

327 five categories related to propagule pressure, organism traits, biotic interactions, eco-evolutionary

328 experience and recipient system characteristics (Enders et al. 2020). Each of these five categories

- 329 encapsulates several hypotheses reviewed by Jeschke et al. (2020) and provides a different
- perspective on the causes of invasion. Here, we explore the potential parallels between biological
- invasions and human epidemics across the five categories of hypotheses. A detailed dissection of
- them is presented in the Supplementary Material.

333 Propagule pressure. Propagule pressure refers to the frequency and size (i.e. numbers of 334 propagules introduced) of introduction events (Lockwood et al. 2005). A non-native species is 335 more likely to become invasive in a given region if it is introduced multiple times and with higher 336 numbers of individuals. This hypothesis is also applicable to human pathogens both from an 337 individual and a population perspective and at all stages of the infection process. Pathogen 338 pressure is defined as the abundance of pathogens exposed to the human host at a given point in 339 space and time. With increasing pathogen pressure, there is an increasing likelihood that the 340 pathogen will establish and undergo exponential growth within an individual host, reflecting the 341 well-known dose-response curve (Horrocks et al. 2011). The same idea applies to the population 342 level; it is well known that the number of infected individuals entering a population can strongly 343 influence pathogen dynamics (Ostfeld et al. 2008), as can the heterogeneity of pathogen 344 transmission by individuals (Woolhouse et al. 1997) such as the presence of 'superspreaders' 345 (Lloyd-Smith et al. 2005). That is, the greater the number of infectious (reservoir or human) hosts 346 to arrive in a given locality, the higher the likelihood that the pathogen will establish and spread in 347 the population (Correa-Martínez et al. 2020). This concept of pathogen pressure is also useful to 348 understand the spillover stage in zoonotic diseases. Pathogen pressure depends on the pathogen 349 dynamics in reservoir hosts, pathogen release from reservoir hosts, and pathogen survival or 350 dispersal outside of reservoir hosts (Plowright et al. 2017).

351 Organism traits. Some traits – mainly related to growth, reproduction and dispersal rates – 352 explain why some non-native species have higher invasiveness (i.e. intrinsic potential to become 353 invasive). For example, pine species with small seeds and short generation time have higher 354 potential to invade (Richardson and Rejmánek 2011). Likewise, animals such as rats and pigeons 355 are notorious invasive species worldwide, and have key characteristics that form the basis of their 356 establishment to new areas (e.g., they are generalists, have high plasticity to cope with different 357 environmental conditions, and have adapted to urban environments). Some invasive species that 358 are reservoirs or vectors of human parasites also share some of these traits: young age at 359 maturity, large and frequent broods, explosive rate of replication, tolerance to harsh 360 environmental conditions including disturbances, high mobility of at least one life stage and high 361 dispersal strategies (Ostfeld et al. 2014).

362 Similarly to those of invasive species, different life-history traits of human pathogens appear 363 related to their ability to establish persistent infections within individual hosts and their 364 transmission from host to host. Two key traits that affect pathogen fitness are virulence and 365 transmissibility. They are related, among others, to their capacity to invade cells by adhering to 366 specific receptors, the production of exoenzymes and toxins that allow them to colonize specific 367 tissues of the hosts, and their capability to evade the immune system by self-protecting from 368 phagocytosis, exploiting molecules produced by the host or by antigenic variation (Alcami and 369 Koszinowski 2000). Antigenic variation, the production of different variants of a protein implicated 370 in the interactions with the host cells (Palmer et al. 2016) is a similar strategy as the phenotypic 371 variation of invasive species to cope with different environmental conditions (Davidson et al. 372 2011). Host-specificity is another trait that influences pathogen fitness and epidemics. Generalist 373 pathogens, those that can survive in different hosts, are more likely to cause zoonotic spillover

374 (Woolhouse 2002). These pathogens tend to use cell receptors, which are conserved across375 different host species (Parrish et al. 2008).

376 Rapid evolution can lead to increased invasiveness of non-native species and to higher virulence 377 and transmissibility of pathogens, either native or non-native. Evolutionary changes during the 378 time span of a few centuries can allow plant physiology to adapt to the new climatic conditions of 379 the introduced range (Maron et al. 2007). Similarly, evolved resistance to pesticides also explains 380 high infestation levels of weeds and pests in crops. In humans, the massive use of antibiotic 381 treatments is causing the emergence of novel, resistant bacteria strains. For example, antibiotic 382 resistance is increasing sexually transmitted diseases such as Neisseria gonorrhoeae and 383 Haemophilus ducreyi, causative agents of gonorrhea and chancroid, respectively (Ison et al. 1998, 384 González-Candelas et al. 2019).

385 Biotic interactions. Interactions between non-native and native species are crucial for 386 understanding invasions. A key point here is that the same non-native species can establish in one 387 ecosystem and not in another, depending on local biotic interactions (Zenni and Nuñez 2013). 388 Probably the most popular example on how biotic interactions shape the invasion process is the 389 enemy release hypothesis, which posits that the absence of enemies in the introduced range is a 390 cause of invasion because introduced species left their pathogens, parasites and predators behind 391 when colonizing a new ecosystem (Maron and Vilà 2001, Keane and Crawley 2002). The natural 392 enemies for pathogens are virophages and bacteriophages of the human microbiota (Dalmasso et 393 al. 2014). Most probably, in zoonoses, when pathogens jump from their original animal host to a 394 human host, virophages and bacteriophages in humans do not identify and act against the new 395 pathogen.

396 Mutualistic interactions between invasive and native species - e.g. animal mediated pollination, 397 seed dispersal and symbioses between plant roots and microbiota- can be disruptive for the 398 native species but highly beneficial to the integration of the invasive species in the recipient 399 ecosystem (Richardson et al. 2000). A similar situation in emerging pathogens is the case of co-400 infections among pathogen or parasite species or strains/clones of the same species. A clear case 401 is HIV which makes the host susceptible to a range of other pathogens. The outcome of biotic 402 interactions can be antagonistic (competition and superparasitism), neutral but also mutualistic 403 (Griffiths et al. 2011). These interactions have significant epidemiological clinical and evolutionary 404 implications since they affect the susceptibility of the host to subsequent infections as well as 405 pathogen virulence and transmissibility. For example, given the tradeoff between Type 1 and Type 406 2 immune responses induced by micro and macroparasites, co-infection with endemic helminth 407 infections has been predicted to increase the severity of SARS-CoV-2 (Bradbury et al. 2020). Even if 408 pathogens do not interact, death of co-infected hosts can decrease the fitness of individual 409 pathogens (Hamelin et al. 2019).

410 Eco-evolutionary experience. A long-standing hypothesis explaining the impact of biological 411 invasions is that species introduced to ecosystems lacking functionally or phylogenetically similar 412 natives are more likely to disrupt communities, because these communities lack effective 413 physiological, morphological, or behavioral adaptations – that is, they are naïve to such invasive 414 species (Diamond 1986, Ricciardi and Atkinson 2004). Eco-evolutionary naïveté explains why 415 native prey populations typically suffer greater damage from introduced consumers than from 416 native consumers (Salo et al. 2007, Paolucci et al. 2013, Saul and Jeschke 2015, Anton et al. 2020). 417 The hypothesis also predicts heightened sensitivity of insular ecosystems, such as islands and 418 lakes, to the effects of invasions. For example, oceanic island endemisms have been devastated by 419 non-native mammalian predators and herbivores, largely because most island biota evolved in the

420 absence of such species (Russell et al. 2017). The eco-evolutionary experience hypothesis also 421 applies to sessile organisms such as plants (Mack 2003). A novel plant life form in a new range can 422 affect its invasiveness as well as the magnitude of its impact on native vegetation. For example, 423 pines originated in the Northern hemisphere, and their impacts are larger when introduced in the 424 Southern hemisphere where not only the taxon, but also the life form, is completely new in many 425 communities it invades. Differences in the mechanisms of pine impacts among regions are not well 426 known, but might be related to different biogeochemical effects on the soil to which the native 427 plants are not adapted (Davis et al. 2019).

428 Analogously, immunological naïveté to infectious agents contributes to a large public health toll. 429 Historical exposure and co-evolution between hosts and pathogens, typically lowers its severity 430 within a population or region. In the case of malaria, for example, human populations at higher 431 altitudes in the East African highlands are more susceptible to infection and suffer more severe 432 symptoms compared to populations in lower-latitude areas, where they have had greater and 433 longer exposure to the parasite (Pascual et al. 2008). Paralleling invader-community interactions, 434 the more experienced hosts within pathogen-host interactions offer resistance to infection and 435 experience less harm (Domínguez-Andrés and Netea 2019). Influenza pandemics, for example, 436 cause lower mortality in populations that have had some evolutionary exposure (immunological 437 memory) from previous pandemics (Horimoto and Kawaoka 2005). However, pandemics typically 438 involve novel viruses arising from antigenic shift or zoonotic spillover, which preclude human 439 populations from having immunity. For example, the emergence of swine flu in 2009 resulted from 440 recombination of segments of influenza A from pigs, birds and human hosts, creating a strain with 441 the ability to target human respiratory receptors, but with a novel antigenic profile (Smith et al. 442 2009). Within a human population, naïveté decreases as more people are infected. Once some 443 immunity develops within the host population, the R<sub>eff</sub> (effective reproduction number) will 444 decline, a phenomenon that is exploited in the use of vaccination programs.

445 **Recipient system characteristics.** Pristine native ecosystems with high biodiversity often resist 446 invasion via a process termed biotic resistance (Levine and D'Antonio 1999). Similarly, ecosystems 447 with high animal and plant diversity has consistently been shown to reduce the transmission of 448 infectious pathogens due to reduced chances to encounter hosts (Keesing et al. 2010, Myers et al. 449 2013, Johnson et al. 2015). In the case of pathogens, the limitation in the establishment of a new 450 microorganism when the invaded community has high species diversity is rooted on the 451 microbiostasis concept (Mallon et al. 2015). Plant and microbe experiments using synthetic 452 communities from low to high diversity species assemblages have shown that invader 453 establishment and abundance increase in depauperate communities (Zavaleta and Hulvey 2004, 454 Eisenhauer et al. 2013). In humans, the microbiome is a barrier to pathogens (Penders et al. 2013). 455 The relationship between alterations of the microbiome composition and diversity with 456 antimicrobial resistance is a topic of major research interest in biomedicine.

457 The diversity-invasion relationship can be uncoupled with increased availability of resources. 458 Disturbances offer windows of opportunity for invasive species by disrupting biotic resistance and 459 thus freeing resources (Hobbs and Huenneke 1992, Jeschke and Heger 2018). Disturbances can 460 also pre-adapt plants and animals for colonization of human-dominated ecosystems (Hufbauer et 461 al. 2012). The same appears to be true for epidemics. After natural disasters there are numerous 462 opportunities for pathogen outbreaks driven by people crowding, poor sanitation leading to 463 increased exposure to pathogens and malnutrition increasing susceptibility to disease (Watson et 464 al. 2007). Altered ecosystems by deforestation, agricultural expansion, harvesting of bush meat, 465 and other anthropogenic disturbances can facilitate the emergence of zoonotic pathogens

- 466 (Keesing et al. 2010) and create opportunities for spillover (Jones et al. 2013). For example, in
- 467 Australia and Asia, changes in land use and habitat loss have changed the ecology and behavior of
- 468 fruit bats that are natural reservoirs of Nipah and Hendra viruses increasing spillover chances to
- 469 humans (Kessler et al. 2018). At the level of the individual host, altered immunological or
- 470 physiological conditions affect susceptibility to infection and the severity of the disease (Plowright
- 471 et al. 2017). For example, certain medicines, immunosuppression caused by co-infections or
- 472 medical/surgical procedures, nutrition, and autoimmune diseases offer windows of opportunity473 for infection.
- 474 In sum, the invasions and epidemics are driven by historical, intrinsic and extrinsic characteristics
- 475 of the species/pathogens such as the abundance of propagules, frequency of the introduction
- 476 events, attributes of interacting species/strains, and characteristics of the invaded or host system.
- 477 The interplay and importance of these factors are highly context-specific and highly dependent on
- 478 the spatial scale of analysis (von Holle and Simberloff 2005, DeVincenzo et al. 2010).

# 479 Forecasting biological invasions and human epidemics

480 Forecasting the occurrence and timing of future invasions is challenging owing to the high intrinsic 481 uncertainty associated with many potential origins, trends and pathways of introduction, 482 particularly for new invasive species that have not been previously recorded as problematic 483 (Seebens et al. 2018). Similar challenges apply to emerging human pathogens. The analysis of past 484 events has facilitated the identification of potential spatio-temporal patterns of invasion and 485 pathogen emergence, which allows prioritizing surveillance efforts on the most likely threats and 486 vulnerable areas. For instance, invasive species are dominated by plants (e.g., lantana, kudzu, 487 water hyacinth), are dispersed by human activities that involve transportation and commerce, 488 their global spread is largely driven by climate, land use and environmental degradation (Pyšek et 489 al. 2020). Likewise, most pandemics—e.g., HIV, severe acute respiratory syndrome, Covid19— 490 appear to have originated in animals, are caused by viruses, and their emergence is driven by 491 ecological, behavioral, or socioeconomic changes (Morse et al. 2012). For example, a study in 2013 492 reported the presence of a large reservoir of SARS-like coronaviruses in horseshoe bats which, 493 together with the custom of eating non-native mammals in southern China, was already alerting 494 epidemiologists to the risk of a human epidemic (Ge et al. 2013). Some of the differences and 495 common challenges shared between the study of biological invasions and emerging pathogens are 496 outlined below and summarized in Table 2.

- 497 **Data**. Problems of low data quality and uneven sampling effort are common for both fields. Data 498 on species occurrence, used in invasion studies, is strongly biased geographically and 499 taxonomically (Pyšek et al. 2008), with invasive pathogens being specially understudied (Roy et al. 500 2017). Similarly, in an epidemic the quality of data on the number of infections, deaths, tests, and 501 other factors needed for robust modelling is often limited by under-detection, reporting delays, 502 and poor documentation (Jewell et al. 2020). Recent methods for estimating occupancy dynamics 503 under imperfect detection are promising to reduce the uncertainty of predictions, particularly for 504 host-pathogen systems (Bailey et al. 2014). Both fields would benefit from common monitoring 505 systems and open data platforms to facilitate standardization and data sharing.
- 506 **Indicators**. The focus of invasive species forecasts is usually the likelihood of species 507 presence/absence, and therefore the total number of invasive species that could invade an area, 508 rather than their potential abundance or impacts. In contrast, the most important indicator used 509 to assess the spread rate of an epidemic is  $R_0$ . The larger the value of  $R_0$ , the harder it is to control 510 an epidemic. The demographic analogue for invasive species is lambda ( $\lambda$ ), the population rate of

511 change (Caswell 2000). When applied to population dynamics, a value of  $\lambda < 1$  will similarly lead to 512 population decline and ultimately extinction. In both cases, however, any value that is even only 513 slightly above 1 will lead to population growth of the invasive species or pathogen, until other 514 limiting factors set in. Calculating  $\lambda$  for invasive species is knowledge and data intensive and 515 becomes complicated because individuals can reproduce and disperse for many years, and survival 516 depends on multiple factors that can be deeply affected by environmental gradients (Krkosek and 517 Lewis 2010). This has limited the use of population models to rather few invasive species with 518 enough information, frequently plants and invertebrates (Buchadas et al. 2017). Considering the 519 close relationship between biological invasions and epidemics, the use of common spatio-520 temporal indicators of risk would provide insights into their inter-relationship and common 521 underlying drivers (Allen et al. 2017, Hulme et al. 2020).

522 Models. Among the multiple modelling techniques employed in invasion studies, Species 523 Distribution Models (SDM) have become the gold standard method to identify the habitats or 524 geographical areas most prone to be invaded under current and future climate change scenarios 525 (e.g. Thuiller et al. 2005, Bradley 2010). In contrast, from the 174 infectious pathogens with 526 comprehensive geographical information, only 7 (4%) had been comprehensively mapped 527 including Dengue, Lassa, Mayaro, Monkey pox viruses, and the malaria parasites Plasmodium 528 falciparum and P. vivax (see Hay et al. 2013). This is likely because of the complex characteristics 529 of the host-pathogen system, which requires a re-evaluation of the traditional biogeography 530 framework (sensu "pathogeography", Murray et al. 2018). In this sense, a key difference between 531 invasive species and epidemics originated by pathogens with complex life-cycles is that the 532 distribution of the pathogen is defined by the joint distributions of all species involved in its 533 transmission cycle as dictated by the suitable ecological conditions and dispersal limitations for 534 each. Consequently, models should integrate the large biogeographic factors that condition the 535 presence of vectors, hosts and reservoirs, with the microscale characteristics of hosts that allow 536 the survival, reproduction and transmission of pathogens (Johnson et al. 2019). Multi-species joint 537 distribution modelling (Pollock et al. 2014) could be thus interesting for infectious diseases, 538 particularly for multi-host pathogens or to investigate the interaction among pathogens.

539 Furthermore, a better understanding the global distribution of mammal zoonotic hosts could help

540 predict future hotspots of zoonotic pathogen emergence (Han et al. 2016).

541 However, not all pathogens are appropriate for SDM modelling depending on their life cycle, 542 host(s) and spread mode. Instead, dynamic models explicitly represent the key population groups 543 and central processes of epidemic spread. Dynamic models can be used to predict future trends of 544 pathogen spread, although the uncertainty of exponential processes such as epidemics is 545 considerable. Dynamic models have been increasingly used for invasive species since the late 546 1990s, mostly focused on plants such as the blue-leafed wattle (Acacia saligna), and invertebrates 547 like the zebra mussel (Dreissena polymorpha) (see Buchadas et al. 2017 for a review). Dynamic 548 models are especially useful to support local management of invasions and yet they are not 549 routinely implemented, probably because of the high data demand, complex model procedures 550 and detailed parameterization needed to understand, analyze and forecast biological invasions 551 (Gallien et al. 2010). Hybrid models that combine the low data requirements of statistical models 552 (such as SDMs) with the ability of dynamic models to describe underlying processes are promising 553 to improve the reliability of forecasts and facilitate the optimization of management and 554 governance (Gallien et al. 2010). In the fundamental susceptible infected-recovered (SIR) model, 555 groups of individuals within the host population are classified as "susceptible" to infection, 556 "infectious" and able to transmit the pathogen, or "recovered" and immune to reinfection (Lloyd-557 Smith et al. 2009). Recently, the Epidemiological Framework for Biological Invasions (EFBI) has

- 558 adapted SIR compartment models to characterize biological invasions by treating ecosystems as
- 559 hosts and has allowed generalizations from epidemiology, such as the force of infection, the basic
- 560 reproductive ratio R0, super-spreaders, herd immunity, cordon sanitaire and ring vaccination, to
- 561 be discussed in the novel context of non-native species (Hulme et al. 2020).

562 Factors. Environmental conditions, including climate, set the minimum requirements necessary for 563 survival but rarely prevent the distribution of either invasive species or human pathogens (Ibáñez 564 et al. 2006). Beyond climate, invasive species modelling has demonstrated that accounting for 565 human related factors associated with the pathways of introduction and propagule pressure, such 566 as human population density, transportation networks and anthropogenic degradation, is critical 567 to increase the reliability of predictions (Gallardo et al. 2015). The same can be expected for the 568 modelling of infectious pathogens that use information on human population density and 569 movement to improve forecasts (e.g. Colizza et al. 2006, Tatem et al. 2006). Incorporating human 570 behavior, education and culture into models remains challenging for both disciplines, but could be 571 facilitated by non-traditional sources of information, such as mobile apps, news media, citizen 572 science, social media or syndromic surveillance.

- 573 Approaches. Studies of biological invasions are often used to anticipate the number and spatial
- 574 coverage of invasions under current and future scenarios. In contrast, epidemiologic models are
- 575 frequently used to estimate the relative effect of medical (e.g. vaccination) and non-medical (e.g.
- 576 social distancing, use of masks) interventions in reducing risk. For instance, the University of 577 Oxford and Imperial College both provided intervention scenarios for Sars-CoV-2 pandemic that
- 578
- allowed the calculation of the estimated effect of various combinations of COVID19
- 579 countermeasures on R<sub>0</sub> (<u>https://bit.ly/3ezKciZ</u>) (Ferguson et al. 2020). Intervention scenarios on
- 580 the impact of biological invasions are less developed (but see Lenzner et al. 2019, Roura-Pascual et
- 581 al. 2021) and could greatly benefit from this approach.

#### 582 **Biosecurity**

583 Although based on quite different disciplines, the fields of public health and invasion biology share 584 similar goals in terms of having to deliver procedures and policies that lead to the exclusion, 585 eradication or effective management of biological risks. Biosecurity policies should, by definition, 586 encompass both the risk to human health and to the environment arising from the emergence of 587 pathogens and invasive species. However, in practice nation states and multilateral conventions 588 address these risk through quite different mechanisms (Hulme 2011). Nevertheless, many 589 biosecurity risks transcend the traditional boundaries of human health and the environment and 590 call for a unified framework to reduce these risks (Hulme 2020). For example, the two most 591 common invasive non-native rats worldwide are the black rat Rattus rattus and the brown rat R. 592 norvegicus. Rat-borne pathogens have claimed more human lives than all the wars in history 593 combined (Hulme 2014b). The omnivorous feeding habits of rats are also implicated in crop losses 594 as well as causing the decline of many small mammals, birds, reptiles and invertebrates. Their 595 effect has been particularly severe on islands where rats have had more impact on endemic 596 biodiversity than any other factor (Towns et al. 2006). Furthermore, the global drivers of future 597 risks to public health and the environment from emerging human pathogens and invasive species 598 share many parallels. For example, climate change is likely to facilitate the poleward expansion of 599 human pathogens and non-native species; greater urbanization will lead to new hotspots for novel 600 human pathogens and invasive species; the growth in international travel has been a major 601 pathway for infectious diseases and non-native species; and increased intensification of

agriculture has facilitated the emergence of zoonotic agents and the spread of non-native pests(Hulme 2020).

604 Unfortunately, whereas some aspects of public health ensuing from the introduction of human 605 pathogens and vector mosquitoes are managed, others, including potential vertebrate hosts and 606 ectoparasites, are less effectively addressed. Thus, an integrated approach to biosecurity that 607 addresses both species invasions and emerging infectious pathogens appears necessary. The 608 research, stakeholder and policymaker communities are rapidly beginning to understand the need 609 for better integration between disciplines. This includes initiatives such as One Health, which has a 610 goal to achieve optimal public health outcomes by monitoring and managing the interactions 611 between humans, animals, and their environment. Likewise, the Planetary Health Alliance seeks to 612 determine the human health consequences of human-caused disruptions of Earth's natural 613 systems (Myers 2017). Nevertheless, neither One Health nor Planetary Health adequately captures 614 the underlying nature of invasions by human pathogens and their relationship with invasive non-615 native species. A more robust framework can be provided by the concept of One Biosecurity that, 616 in addition to increasing the synergies between human health and invasion science, aims to 617 refocus discussions towards practical tools and policies for preventing, eradicating and containing 618 biosecurity risks (Hulme 2020). The possibility of implementing the One Biosecurity concept has 619 been further elaborated to highlight how international public health policy can be adapted to 620 address much wider biosecurity risks stemming from invasive non-native pathogens, plants and 621 animals through developing new risk assessment tools that look beyond national borders towards 622 biosecurity risks of international concern; a stronger regulatory instrument to address biosecurity 623 threats at a worldwide scale; and the establishment of an international biosecurity convention

624 responsible for biosecurity governance (Hulme 2021).

625 Management actions. Management actions against epidemics follow the same steps as in 626 invasions: prevention, early detection, containment, control and eradication, and long-term 627 management (Dunn and Hatcher 2015, Robertson et al. 2020). Many countries have in place early 628 detection and rapid response systems, but the administrations in charge are usually not the same, 629 with public health institutions to prevent epidemics, separated from environmental bodies to 630 avert invasions. Successful management prospects decrease with time elapsed since the onset of 631 the invasion or pathogen emergence (Fig. 4). Due to the rapid range expansion of many invasive 632 species and pathogens, the window of opportunity for early detection and response is often very 633 short. Control is usually the action that takes most of the time and effort. Eradication is difficult to 634 achieve except in small areas or remote areas and if actions start at early stages of invasion (Pluess 635 et al. 2012). Prompt detection and control of emerging pathogens requires proper tracing of 636 infected hosts independently of whether they are symptomatic or not. Eradication is very difficult 637 when infected hosts are widespread, and often requires vaccination of 50-90 % of the population 638 depending on how contagious the pathogen might be to achieve herd immunity. A major 639 difference between an epidemic and an invasion is that when an epidemic takes place at a given 640 locality, all of these management strategies might need to be set up simultaneously. That is, within 641 a human population, different groups of people need to take different precautions or treatment 642 measures, depending on their exposure to the pathogen. In a pandemic, all management practices 643 need to be scaled up at once, both within and among populations of different regions. Conversely, 644 since the rate of expansion of an invader follows a slower pace than that of a pathogen (Fig. 5), its 645 management is more aligned with the stage of invasion than in epidemics.

- 646
- 647 *Risk assessments.* To inform managers and policy makers, research on biological invasions

648 provides semi-quantitative risk assessment tools to identify and prioritize species likely to become 649 invasive and cause damage. Risk assessments also seek to identify the most susceptible habitats to 650 invasion by a particular, or several, invasive species, through consideration of both species traits 651 and recipient ecosystem characteristics. In human epidemics, the focus of the risk analysis is 652 primarily on a particular pathogen, albeit multiple hosts, and the risk of contagion and spread, is 653 based on the traits of the pathogen and the demographic characteristics (e.g. gender, age, activity) 654 of the receptive human host population. Spatially explicit risk assessments of invasion are very 655 common and mainly rely on land-use and climate correlates between the native and the 656 introduced area. These risk analyses have been implemented in vector-borne pathogens but could 657 also be conducted for emerging pathogens albeit human population density and movement 658 patterns seem to be better predictors of disease vulnerability than environmental characteristics 659 (Jones et al. 2008). Models such as EFBI, that view ecosystems as hosts that differ in exposure, 660 susceptibility, infectivity and rates of recovery could potentially be a basis for parallel risks 661 assessments for invasive species and human pathogens since they explicitly link the transmission 662 of invasive species between ecosystems and rather than derive an arbitrary score or probability on 663 invasion likelihood, risk assessment tools could be designed to estimate R<sub>0</sub> (Hulme et al. 2020).

664 The evaluation of the impacts caused by epidemics focuses on the rates of infected people and 665 fatalities, which are used to compare pathogens, regions, and management responses. However, 666 as in invasions, which consequences extend beyond environmental impacts, the consequences of 667 epidemics extend beyond health, both having socioeconomic impacts (Dobson et al. 2020). 668 Attempts to quantify socioeconomic impacts in monetary terms are unlikely to provide a useful 669 basis for evaluating and comparing impacts of invasive species and pathogens, because they are 670 extremely difficult to estimate and may neglect important aspects of human well-being. In 671 invasions, there are many standardized impact assessment protocols that allow objective and 672 transparent ways to rank and identify the worst invasive species. Notably, the Socio-Economic 673 Impact Classification of Alien Taxa (SEICAT, Bacher et al. 2017) classifies invasive species based on 674 the magnitude of their impacts on human well-being, based on the capability approach from 675 welfare economics (Robeyns 2011). In SEICAT, impacts are assigned to one of five levels - from 676 minimal concern to massive – according to semi-quantitative scenarios that describe the severity 677 of the impacts on security, material and non-material assets, health, freedom of choice and action, 678 and social, spiritual and cultural relations. All these impacts apply to any epidemic and thus SEICAT 679 could be used to summarize and compare their impacts at national, regional or global scales.

#### 680 Conclusions

681 In recent decades, we have witnessed how human activities that are poorly regulated can drive 682 harmful invasive species and pathogen outbreaks (Perrings et al. 2002, Stein 2020). The 683 epidemiology of human pathogens and invasion biology share many of the same mechanisms, 684 phenomena and challenges, but also potential solutions (Table 3). Global trade and travel are 685 prime causes for the introduction of invasive species and pathogens, for invasive vertebrate 686 reservoirs and for invasive insect vectors. Even the patterns and dynamics of spread of re-687 emerging "native" diseases, such as Ebola in West Africa and dengue in Southeast Asia, share 688 similarities to those of invasive species. Many of the pathogens that cause these diseases can 689 quickly become pandemics and then go through the same stages as invasive species. Much theory 690 and empirical insights gained in invasion biology can be extended to the study of emerging 691 pathogens; similarly, invasion biology can immensely benefit from insights gained on the study of 692 emerging human infectious pathogens. The amount and quality of the data collected on human

- 693 infectious pathogens is undoubtedly much more refined than that available for other invasive
- 694 species, as has been shown for SARS-CoV-2 (Bertelsmeier and Ollier 2020).
- 695 A cross-disciplinary perspective on infectious diseases and invasion biology could advance both
- 696 fields. We advocate for an One Biosecurity (sensu Hulme 2020, 2021) approach to: (1) develop a
- 697 unified frameworks for studying the pathways of introduction and the consequences of eco-
- 698 evolutionary novelty; (2) compile and harmonize databases and information systems on major
- invasions and epidemics; (3) share predictive modelling skills of the spread and impacts of invasive
- species based not only on species traits but also on environmental characteristics; and (4) discuss
- institutional approaches and protocols in horizon scanning, risk assessments, systematic
- 702 surveillance and monitoring of invasions and epidemics.
- Undoubtedly, globalization and the movement of organisms across biogeographic barriers is not
   only threatening biodiversity but also directly affecting human well-being through an array of new
   emerging infectious threats. Invasion biology has accumulated over recent decades many insights
   that could help improve the way we deal with these pathogens and the diseases they cause, but
   crossing this disciplinary bridge requires more tangible collaborations and concrete policy
   initiatives. Scientists, governments and institutions should promote the cross-disciplinary
- approach to further advance in understanding the increasing threats of these novel entities and
- 710 improve prevention and response measurements.

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- 1190

1191

#### 1192 Figure legends

- 1193 Figure 1. Human emerging diseases can be caused directly by invasive pathogens, by pathogens
- 1194 transported by invasive vectors or reservoirs, or facilitated by invasive species not directly involved
- 1195 in the life cycle or transportation of the pathogen, but rather promoting the presence and
- 1196 abundance of its vectors and reservoirs. See examples in Table 1.
- 1197 Figure 2. Interplay between biological invasions and human emerging infectious diseases.
- 1198 Pathogen transmission can be within invasive species (left), within native or livestock species (right)
- 1199 and across invasive and native species. Dashed arrows indicate pathogen transmission to humans
- 1200 within a population (small circle) or globally (large circle).
- Figure 3. Cumulative number of publications on biological invasions, human epidemics and the combination of both topics according to the Web of Science from 1800 until 2020. Notice that the y-axis is in log scale. The search term for human epidemics was "human epidemics" whereas for biological invasions, the search term was "ecological invasions". This term was more specific to retrieve all studies on that topic, while excluding non-topic studies (e.g. cancer research, pharmacology and biomaterial science).
- 1207 *Figure 4. Comparing the stages of biological invasions and human epidemic* (adapted from
- 1208 Woolhouse and Gaunt 2007, Blackburn et al. 2011, Hatcher et al. 2012, Jeschke et al. 2013), and
- 1209 possible management actions at these stages (adapted from Dunn and Hatcher 2015, Robertson et
- 1210 al. 2020). Pathogens that emerge and cause an epidemic anywhere on the globe can be
- 1211 transported and spread globally leading to a pandemic in the worst case (dotted arrow). Bent
- 1212 arrows indicate potential positions of zoonotic pathogen interspecific spillover.
- 1213 Figure 5. Density plot showing the frequency of observed radial spread rates (log scale) for
- 1214 different pathogens and invasive taxonomic groups. The height of each density curve indicates the
- 1215 relative number of data points, normalized to 1. Numbers at the right indicate the median rate of
- 1216 spread for the group. Figure created with packages ggplot2 and ggridges in R v. 4.0.0. Raw data
- 1217 extracted from: (Smal and Fairley 1984, van den Bosch et al. 1992, Holmes 1993, Teangana et al.
- 1218 2000, McCallum et al. 2003, Phillips et al. 2007, Pioz et al. 2011, Fraser et al. 2015, Zinszer et al.
- 1219 2015, 2017, Evans 2016, Roques et al. 2016, Horvitz et al. 2017, Hadfield et al. 2019).

1220

1221 Box 1. Definitions of terms and concepts as used in this paper

1222	Emerging infectious disease: an infectious disease that appears in a human population for the first
1223	time or has existed previously but is rapidly increasing in incidence, impact or geographic range
1224	(http://www.emro.who.int/health-topics/emerging-diseases/index.html).
1225 1226	<b>Epidemic:</b> a disease event affecting many persons at the same time, and spreading from person to person in a locality or region during a specific period of time
1220	(https://www.who.int/csr/disease/swineflu/frequently_asked_questions/pandemic/en/).
1228 1229	<b>Invasive species:</b> a non-native introduced species that form self-sustaining populations and spread rapidly from the sites of introduction (Blackburn et al. 2011).
1230	<b>Invasiveness:</b> intrinsic characteristics of a non-native species to invade outside its region of origin
1231	(Lonsdale 1999).
1232 1233	<b>Invasibility:</b> susceptibility of an ecosystem to be invaded. It depends on the biotic and abiotic characteristics of the recipient ecosystem (Lonsdale 1999).
1234 1235	<b>Non-native species:</b> an introduced species transported intentionally or unintentionally to a new region by humans (Blackburn et al. 2011).
1236 1237 1238	<b>One Biosecurity:</b> an interdisciplinary approach to biosecurity policy and research that builds on the interconnections between human, animal, plant, and environmental health to effectively prevent and mitigate the impacts of invasive alien species (Hulme 2021).
1220	One lighth, many sectoral commonth to achieve antimal mublic health sutcomes hy manitoring
1239 1240 1241	<b>One Health</b> : cross-sectoral approach to achieve optimal public health outcomes by monitoring, managing and investigating the interactions between humans, animals, and their environments (Ogden et al. 2019).
1242	<b>Outbreak</b> : the occurrence of more infection cases than expected in a particular population, in a
1243 1244	specific geographical area and in a specified period (http://www.emro.who.int/health- topics/disease-outbreaks/index.html).
1245	Pandemic: an epidemic occurring worldwide, or over a very wide area, crossing international
1246	boundaries and usually affecting a large number of people
1247	(https://www.who.int/csr/disease/swineflu/frequently_asked_questions/pandemic/en/)
1248 1249	<b>Pathogen pressure</b> : amount of pathogen available to the human host at a given point in space and time (Plowright et al. 2017).
1250 1251	<b>Reservoir:</b> an animal species that hosts a pathogen, typically without being harmed, and is the source of infection to other host species (Rabitsch et al. 2017).
1252 1253	<b>Spillover:</b> transmission of a pathogen from a reservoir to a novel susceptible host (Rabitsch et al. 2017).
1254 1255 1256	<b>Time lag:</b> period between the introduction of a non-native species and its establishment in the new range. In the broad sense, it can be applied to the time required to overcome any phase of the invasion process (Crooks 2005).

- 1257 Vector: a species, typically but not always an arthropod, that carries and transmits a pathogen to1258 another species (Rabitsch et al. 2017).
- 1259 Virulence: ability of a microorganism to cause disease. It depends on characteristics of the1260 pathogen and the host (Horrocks et al. 2011).
- **Zoonosis:** a disease causing pathogen that is transmitted between vertebrate animals (wildlife,
   livestock or domestic animals) and humans (Rabitsch et al. 2017).

1263

## 1264 **Tables**

1265 Table 1. Species from the IUCN list "100 of the World's Worst Invasive Alien Species" (Lowe et al.

1266 2000) that can transmit pathogens to humans or are themselves pathogens. The introduction

1267 pathways (according to the Convention of Biological Diversity) and impact types (A: damage

1268 human activities such as to agriculture, forestry, livestock or infrastructures; B: biodiversity; H:

1269 *human health) are indicated.* 

Invasive species	Pathogens (diseases)	Transmission	Pathways	Impacts
Acridotheres	Ornithonyssus bursa and Dermanyssus gallinae (dermatitis, skin inflammation, severe irritation and rashes, asthma) Their droppings can spread		Intentional/ Escape from confinement: Zoo, Pet trade Intentional/ Release in nature:	
<i>tristis,</i> common myna	psittacosis, ornithosis, salmonelosis and arboviruses.	Reservoir	Fauna "improvement"	А, В, Н
<i>Aedes albopictus,</i> tiger mosquito	<i>Flavivirus</i> spp. (e.g. West Nile, dengue fever), <i>Dilofilaria</i> <i>immitis</i> (filariasis)	Vector	Unintentional/ Transport- stowaway: Vehicles	н
<i>Achatina fulica,</i> Giant African Iand snail	Metastrongylus spp., Angiostrongulus cantonensis and A. costaricensis (pulmonary metastrongylosis and eosinophilic meningoencephalitis)	Reservoir	Intentional/Escape from confinement: Pet, Aquarium and terrarium species, Research, Horticulture, Live food	Н, А
Anopheles quadrimaculatu, mosquito	Plasmodium spp. (malaria), West Nile virus (meningoencephalitis)	Vector	Unintentional/ Transport- stowaway: Vehicles	Н
<i>Eichhornia crassipes,</i> water hyacinth	<i>Plasmodium</i> spp. (malaria) transmitted by Annopheline mosquitoes	Invasive facilitator (habitat for vector)	Intentional/ Escape from confinement: Aquarium species	А, В, Н
<i>Eriocheir</i> <i>sinensis,</i> Chinese mitten crab	Paragonimus westermanii (human lung fluke parasite),	Reservoir	Intentional/ Escape from confinement: Aquaculture, Aquarium species.	А, В, Н

			Unintentional/ Transport- stowaway: Ship- boat ballast water, Ship-boat hull fouling	
<i>Euglandina rosea,</i> rosy wolf snail	Angiostrongylus cantonensis (pulmonary metastrongylosis and eosinophilic meningoencephalitis)	Reservoir	Intentional/ Release in nature: Biological control	В, Н
<i>Herpestes javanicus,</i> small Indian mongoose	<i>Leptospira interrogans</i> (Weil's disease), Lyssavirus (rabies)	Reservoir	Intentional/ Release in nature: Biological control	В, Н
<i>Lantana camara,</i> lantana shrub	<i>Tripanosoma</i> spp. (sleeping sickness) transmited by <i>Glossina</i> spp., tse tse fly	Invasive facilitator (habitat for vector)	Intentional/Escape from confinement: Horticulture	А, В, Н
Macaca fascicularis, crab-eating macaca	Macacine herpesvirus 1 (herpes B), Lyssavirus (rabies)	Reservoir	Intentional/ Escape from confinement: Live food, Research	А, В, Н
<i>Mus musculus,</i> house mouse	<i>Yersinia pestis</i> (bubonic plague) <i>, Salmonella</i> spp. (salmonelosis)	Reservoir	Unintentional/ Transport- stowaway: Container, bulk	А, В, Н
<i>Rattus rattus,</i> black rat	<i>Leptospira interrogans</i> (Weil's disease), <i>Yersinia pestis</i> (bubonic plague)	Reservoir	Unintentional/ Transport- stowaway: Container, bulk	А, В, Н
<i>Sturnus vulgaris,</i> starling	<i>Chlamydophila psittaci</i> (psittacosis)	Reservoir	Intentional/ Release in nature: Biological control, Hunting, Fauna "improvement"	А, Н

<i>Sus scrofa</i> , feral pig	<i>Leptospira interrogans</i> (Weil's disease)	Reservoir	Intentional/ Release in nature: Hunting	А, В, Н
<i>Trachemys</i> <i>scripta elegans,</i> red eared slider turtle	<i>Salmonella</i> spp. (salmonelosis)	Reservoir	Intentional/ Escape from confinement: Aquarium and terrarium species	А, В, Н
<i>Vulpes vulpes,</i> red fox	Possible role in Lyssavirus (rabies) transmision	Reservoir	Intentional/ Release in nature: Hunting	А, В, Н

- 1273 Table 2. Differences and common challenges associated with the forecasting of biological invasions
- 1274 and human epidemics with indications of the potential for collaboration and cross-fertilization
- 1275 across disciplines.

	Biological invasions	Human epidemics	Potential cross- fertilization across disciplines
Data used	Geo-referenced species occurrence Rarely, abundance data	Number of infected individuals Information rarely geo- referenced	Common monitoring systems and data platforms
Indicators (developed to follow an outbreak)	Likelihood of species presence (suitability) Number of non-native species	R <sub>0</sub> , likelihood of exponential spread	Correlation between disease and invasion indicators
Models	Mostly spatially, niche- based, e.g. Species Distribution Models (SDMs)	Dynamic, biology- based e.g. Susceptible Immune Recovered (SIR)	Sharing modelling tools and advances to reduce uncertainty
Scales	Regional to global Years/decades	Local to regional Rarely global Weeks/months	Automatically updated platforms to follow an outbreak
Critical factors (ordered)	Climate Environmental conditions Human activities (e.g. transport, land-use) Biological (e.g. dispersal)	Biological (e.g. transmissibility) Human activities (e.g. transport) Human behavior (e.g. sociability) Management (e.g. medical and non- medical actions)	Share environmental and human data for modelling New sources of human-related data (e.g. mobile phones, trade flows)
Approaches	Exploratory Climate change scenarios Management scenarios	Intervention scenarios	Common scenario frameworks and workflows
Common challenges	Data quality and quantity Modelling of complex systems under imperfect detection Incorporating human activities and behaviors Anticipating alternative policy and management scenarios High intrinsic uncertainty associated to exponential processes Traceability of origin and expansion of pathogen/invader Lag phases (e.g. between introduction and impact, between management and effective mitigation)		

Anticipating the next biological threat based on transmissibility/spread and potential impacts

1277 Table 3. Comparison of main features and established concepts of biological invasions with human1278 epidemics.

Feature	<b>Biological invasions</b>	Human epidemics	References
Biogeograph ic and evolutionary origin	Non-native species from a region where they could not be dispersed without human agency	Non-native pathogens dispersed directly or indirectly by humans or emerging native pathogens. Crossing a species barrier rather than a biogeographic barrier	(Jones et al. 2008, Pyšek et al. 2017)
Routes of dispersal	Pathways Intentional: release and escape Unintentional: contaminant, stowaway, corridor and unaided	Routes of infection Unintentional: vector borne, zoonotic, human contact, indirect contact by ingestion or the environment Also intentional: historical cases during colonization of new territories, bioterrorism and anthrax mailing	(Wolfe et al. 2007, Hulme et al. 2008, Saul et al. 2017)
Founder populations	Repeated introductions from several populations, genetically diverse (admixtures)	Few introductions from a single or few populations	
Stages	Transport, introduction, establishment, spread	Exposure, infection, transmission, epidemic spread; zoonotic spillover	(Woolhouse and Gaunt 2007, Blackburn et al. 2011, Jeschke et al. 2013)
Spread rates and time lags	0.1-10 <sup>2</sup> km/yr Years-decades	10 <sup>3</sup> -10 <sup>4</sup> km/yr Days-decades	(Kowarik 1995, McCallum et al. 2003) See Figure 4

Main studied causes of non-native species performance and impact	Traits of the organism (invasiveness), biotic and abiotic characteristics of the recipient ecosystem (invasibility) and the intensity and frequency of introduced individuals (propagule pressure)	Traits of the organism (pathogenicity), host age, genetics, physiology, immunity and people behavior	(Lonsdale 1999, Mack et al. 2000, Enders et al. 2020)
Forecasting models' focus and explanatory variables	On the invasive species. Environmental and proxies for propagule pressure as explanatory variables	On infected people (not the pathogen). Human demographics including movement and pathogen transmission as explanatory variables	See Table 2
Traditional impact focus	Biodiversity, environment, agriculture and farming	Medical, public health	(Jeschke 2014, Vilà and Hulme 2016)
Traditionally involved managemen t sectors	Environment, agriculture and farming, veterinary, water resources, trading	Public health, food, foreign affairs, traveling, veterinary, water resources	(Ogden et al. 2019)

# **BIOLOGICAL INVASIONS**

# **& HUMAN EPIDEMICS**



# INVASIVE PATHOGEN

The invasive pathogen is directly transported with its human host outside its historical range e.g. influenza, HIV

#### **INVASIVE VECTOR**

The invasive species transmits a pathogen that causes the disease e.g. Tiger mosquito (dengue fever, malaria, WNV, zika, filariasis), ticks, lice, fleas





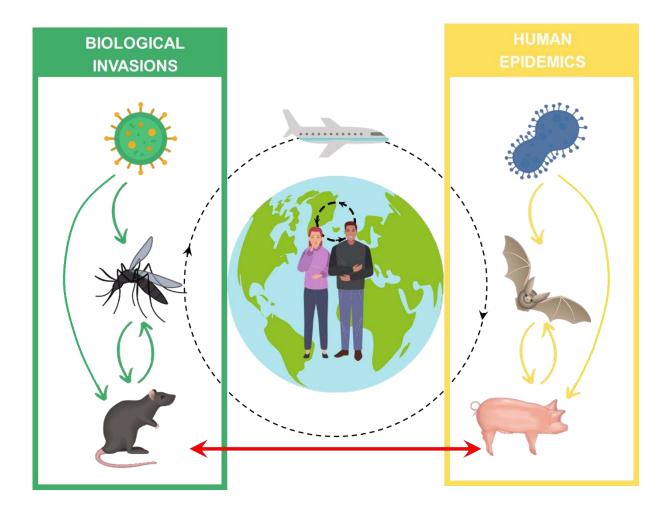
#### INVASIVE RESERVOIR

The invasive species is a reservoir of pathogens that can be transmitted to humans e.g. rosy wolf snail (meningitis), mongoose (Weil's disease, rabies), rat (bubonic plague), starling (psittacosis)

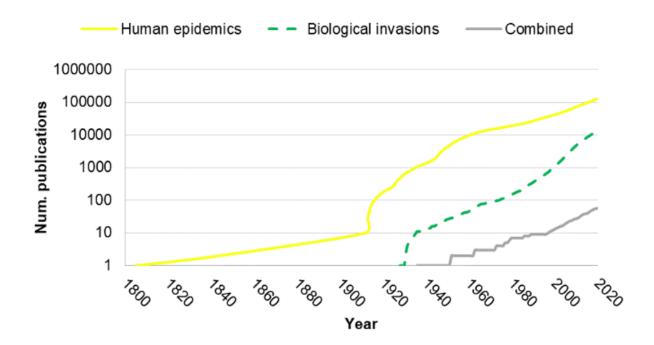
#### INVASIVE FACILITATOR

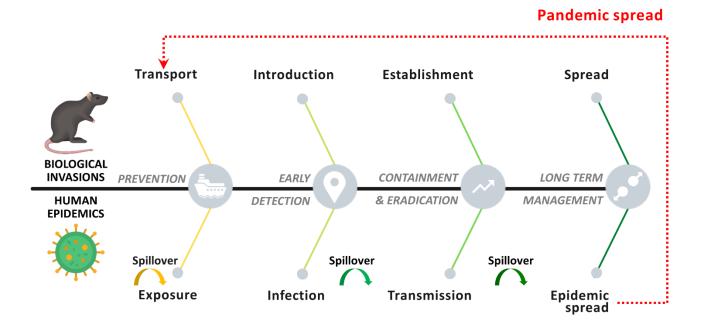
The invasive species indirectly facilitates the spread of the pathogen or its vectors e.g. lantana shrub (sleeping sickness)





# Figure 3





# Figure 5

