

1 **Evaluation of the Bioavailability and Metabolism of Nitroderivatives of**  
2 **Hydroxytyrosol Using Caco-2 and HepG2 Human Cell Models**

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12 hydroxytyrosol

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**Abstract**

28 Considering that nitrocatechols present putative effect against Parkinson Disease, the  
29 absorption and metabolism of nitroderivatives of hydroxytyrosol (HT) were assessed using  
30 human cell model systems. The test compounds nitrohydroxytyrosol (NO<sub>2</sub>HT),  
31 nitrohydroxytyrosyl acetate (NO<sub>2</sub>HT-A), and ethyl nitrohydroxytyrosyl ether (NO<sub>2</sub>HT-E) were  
32 efficiently transferred across human Caco-2 cell monolayers as an intestinal barrier model,  
33 being NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E better ( $p < 0.05$ ) absorbed (absorption rate (AR) =  $1.4 \pm 0.1$  and  $1.5$   
34  $\pm 0.2$ , respectively) than their precursor, NO<sub>2</sub>HT (AR =  $1.1 \pm 0.1$ ). A significant amount of the  
35 absorbed compounds remained unconjugated (81%, 70% and 33% for NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and  
36 NO<sub>2</sub>HT-E, respectively) after incubation in Caco-2 cells, being available for hepatic metabolism.  
37 Nitrocatechols were extensively uptaken and metabolized by human hepatoma HepG2 cells as  
38 a model of the human liver. Both studies revealed extensive hydrolysis of NO<sub>2</sub>HT-A into NO<sub>2</sub>HT,  
39 while NO<sub>2</sub>HT-E was not hydrolyzed. Glucuronide (75-55%), methylglucuronide (25-33%) and  
40 methyl derivatives (0-12%) were the main nitrocatechol metabolites detected after  
41 metabolism Caco-2 and HepG2 cells. In conclusion, NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E show high in  
42 vitro bioavailability and are extensively metabolized by hepatic cells.

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44 **Keywords:** nitrocatechols, hydroxytyrosol, bioavailability, metabolism, Parkinson Disease

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## 51 Introduction

52 Given the current ageing of the population in developed countries, the prevalence of dementia  
53 will approximately double every 20 years, reaching 115 million by 2050.<sup>1</sup> As part of the normal  
54 ageing process, cognitive function degenerates and the risk of suffering a range of  
55 inflammatory neurodegenerative conditions increases. Parkinson's disease (PD) is a  
56 multisystem neurodegenerative disorder which afflicts nearly 1% of the population above the  
57 age of 60. The main feature of PD is the progressive loss of midbrain dopamine (DA) neurons,  
58 with resulting dopaminergic deafferentiation of the basal ganglia, which gives rise to  
59 characteristic motor disturbances that include slowing of movement, muscular rigidity, and  
60 resting tremor. Recovery of DA levels has constituted the classical symptomatic treatment  
61 against PD. L-DOPA (3,4-dihydroxyphenyl-L-alanine) has been widely used as a drug for PD in  
62 combination with catechol *O*-methyltransferase (COMT) inhibitors, being COMT an enzyme  
63 involved in the degradation of dopamine.<sup>2,3</sup> During the 90s, two COMT inhibitors, entacapone  
64 and tolcapone, were developed and included into the clinical treatment of PD. Both  
65 compounds present a nitrocatechol moiety in their structures, which has shown to be essential  
66 for the inhibitory activity. However, the use of tolcapone has been restricted due to its  
67 hepatotoxicity,<sup>2</sup> whereas entacapone has shown to present a short lifetime requiring high  
68 doses to be effective; in addition, its hydrophilic nature may compromise its absorption through  
69 the blood brain barrier (BBB).<sup>4</sup> Therefore, some studies have focused on obtaining new COMT  
70 inhibitors.<sup>5</sup>

71 Based on the broad biological activity that natural olive oil phenols hydroxytyrosol (HT) and  
72 hydroxytyrosyl acetate (HT-A) have shown,<sup>6-8</sup> their nitroderivated compounds  
73 nitrohydroxytyrosol (NO<sub>2</sub>HT) and nitrohydroxytyrosyl acetate (NO<sub>2</sub>HT-A) (Figure 1), have been  
74 synthesized<sup>9</sup> in order to increase the assortment of compounds with potential therapeutic  
75 properties against PD. Additionally, another nitroderivative compound was also synthesized,<sup>10</sup>  
76 ethyl nitrohydroxytyrosyl ether (NO<sub>2</sub>HT-E) (Figure 1), due to the high bioavailability of its

77 precursor ethyl hydroxytyrosyl ether (HT-E) in Caco-2 cells<sup>11</sup> and HepG2 cells,<sup>12</sup> and its notable  
78 protective effects against oxidative stress.<sup>13</sup> Recent experiments have shown that NO<sub>2</sub>HT,  
79 NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E have remarkable inhibitory effects on COMT activity in the brain after  
80 both acute and chronic systemic treatments.<sup>14</sup> Furthermore, using a microdialysis intracerebral  
81 administration technique, an extended in vivo COMT inhibitory activity has been observed in  
82 keeping with the lipophilic nature of the tested nitroderivatives<sup>15</sup> and other novel COMT  
83 inhibitor compounds.<sup>5,16,17</sup> Likewise, nitroderivatives of HT are also able to protect against  
84 oxidative stress,<sup>18</sup> which is likely to be involved in neurodegenerative disorders such as PD.  
85 Since in vivo biological activity of polyphenols depends on their intestinal uptake and  
86 metabolism, the absorption of the nitrocatechols and the extent to which they are conjugated  
87 and metabolized has been investigated in Caco-2 cells, a cellular line recognized by the Food  
88 and Drug Administration<sup>19</sup> as the most suitable experimental model for intestinal permeability  
89 and transport studies, being widely utilized for toxicological and pharmacological studies.  
90 Moreover, in order to understand the biotransformation of these compounds and to evaluate  
91 the stability of the nitrocatechol moiety, which plays a key role in the inhibitory COMT activity,  
92 the metabolism of nitroderivatives of hydroxytyrosol has also been evaluated in human  
93 hepatoma cells (HepG2), considered one of the most reliable experimental models to study the  
94 metabolism of xenobiotics.<sup>20</sup>

95

## 96 **Materials and methods**

### 97 **Chemicals**

98 Acetonitrile, formic acid, sodium chloride, disodium hydrogen phosphate anhydrous,  
99 potassium dihydrogen phosphate, phenolsulfonphthalein (phenol red), and ascorbic acid were  
100 acquired from Panreac (Barcelona, Spain). Antibiotics (gentamicin, penicillin, and  
101 streptomycin), enzymes (catechol-*O*-methyltransferase,  $\beta$ -glucuronidase/sulfatase), *S*-  
102 adenosyl-*L*-methionine chloride, UDP-glucuronic acid, non-essential amino acids and dimethyl

103 sulfoxide were purchased from Sigma Chemical Co. (Madrid, Spain). Media, trypsin and fetal  
104 bovine serum (FBS) were from Biowhitaker (Innogenetics, Madrid, Spain). All reagents were of  
105 analytical or chromatographic grade. Hydroxytyrosol (HT) was recovered with 95% purity from  
106 olive oil wastewaters.<sup>21</sup> Nitrohydroxytyrosol (NO<sub>2</sub>HT), nitrohydroxytyrosyl acetate (NO<sub>2</sub>HT-A)  
107 and ethyl nitrohydroxytyrosyl ether (NO<sub>2</sub>HT-E) were prepared from free HT, isolated from olive  
108 oil wastewaters, as described elsewhere.<sup>9,10</sup>

109

### 110 **Cell cultures and treatments**

111 The human colon adenocarcinoma cell line Caco-2 was obtained from Scientific Instrument  
112 Center at University of Granada (Spain) and cultured between passages 48 and 52. Cells were  
113 grown in Dulbecco Modified Eagle's Medium (DMEM-21063) supplemented with 10% (v/v)  
114 fetal bovine serum (FBS), 1% (v/v) non-essential amino acids, 10.000 U/mL penicillin and 1000  
115 µg/mL streptomycin, in a humidified atmosphere of 5% CO<sub>2</sub> and 95% air at 37°C.

116 The human hepatoma cell line HepG2 cells was a kind gift from Dr Paloma Martin-Sanz  
117 (Instituto de Bioquímica, CSIC, Madrid, Spain). Cells were grown in DMEM-F12 medium  
118 supplemented with 2.5% (v/v) FBS and 50 mg/L of each gentamicin, penicillin and  
119 streptomycin in a humidified incubator containing 5% CO<sub>2</sub> and 95% air at 37°C.

120 To prevent any potential interference between serum components and the test phenolic  
121 compounds, Caco-2 and HepG2 cells were changed to serum-free medium before developing  
122 the metabolism experiments. A control without test compound was used in all experiments.  
123 After finishing the incubations, media and cells were processed as follows: media was collected  
124 and stored at -20°C until analysis. Cells were washed twice with PBS (0.01 M phosphate  
125 buffered saline solution, pH 7.4) and harvested by scraping. Cells from replicate plates or wells  
126 corresponding to a particular condition were combined in an eppendorf vial. After  
127 centrifugation at 1250 rpm for 5 min at 4°C, supernatants were removed and the cell pellets

128 resuspended in 200  $\mu$ L of PBS. Cells were sonicated for 10 min at room temperature and  
129 centrifuged at 7000 rpm for 10 min at 4°C. Supernatants were kept frozen at -20°C.

130

### 131 **Stability evaluation and cytotoxic effect of test solutions**

132 Standard stock solutions (10 mM) were prepared in 10% DMSO in deionized water, diluted  
133 with deionized water to get 1000  $\mu$ M (1% of DMSO) concentration, and ultimately diluted with  
134 serum-free medium supplemented with 500  $\mu$ M ascorbic acid to obtain 100  $\mu$ M (0.1% DMSO)  
135 test solutions. Stability of nitrocatechols dissolved in serum-free medium or in medium  
136 supplemented with 300, 500 and 1000  $\mu$ M ascorbic acid was monitored at 37°C for 24 h.

137 On the other hand, potential cellular damage induced by these phenolic compounds was  
138 assessed by the crystal violet assay as described by Sarria et al.<sup>18</sup> Test solutions (100  $\mu$ M) of  
139 each nitrocatechol were assessed in both cell lines (Caco-2 and HepG2) in comparison with a  
140 control consisting in serum-free medium supplemented with 500  $\mu$ M ascorbic acid and 0.1%  
141 DMSO.

142

### 143 **Transport and metabolism experiments in Caco-2 cells**

144 Caco-2 cells were grown in DMEM-21063 medium in Transwell inserts with semipermeable  
145 membrane coated with type I collagen (24 mm diameter, 4.67 cm<sup>2</sup> area and 0.4  $\mu$ m pore size,  
146 Corning Costar, NY). Cells were seeded at a density of 40 x 10<sup>4</sup> cells per cm<sup>2</sup> and the  
147 monolayers were formed after culturing for 21 days post confluence. The integrity of the cell  
148 monolayer was routinely evaluated by measuring transepithelial electrical resistance (TEER)  
149 and by quantifying the transfer of a 100  $\mu$ M solution of phenolsulfonphthalein (phenol red) as  
150 described by Pereira-Caro et al.<sup>11</sup> Monolayers with a TEER  $\geq$  500  $\Omega$ cm<sup>2</sup> and phenol red  
151 transport <0.1% of phenol were considered suitable for use.

152 Nitrocatechols metabolism experiments. Two mL of nitrocatechols test solutions (100  $\mu$ M;  
153 0.1% DMSO) were apically added to each well. Control and treated cells were incubated at

154 37°C for 1, 2 and 4 h. Media and cytoplasmatic contents were separately subjected to HPLC  
155 and LC-MS analyses. All metabolic experiments were repeated six times.

156 Nitrocatechols transport experiments. Two mL of the test solutions were added to the apical  
157 or basolateral side to measure the apical-to-basolateral or basolateral-to-apical permeability,  
158 respectively, while the contrary side was filled with the same volume of serum-free DMEM  
159 with 0.1% DMSO and 500 µM of ascorbic acid. Media was analysed by HPLC after incubation  
160 during 1, 2 and 4 h in a humidified atmosphere of 5% CO<sub>2</sub> at 37°C. The apparent permeability  
161 coefficient ( $P_{app}$ , cm/s) and absorption rate (AR) were determined as described by Pereira-Caro  
162 et al.<sup>11</sup> All transport experiments were repeated six times.

163

#### 164 **Metabolism experiments in HepG-2 cells**

165 HepG2 cells were seeded in 6 cm diameter plates at a density of 2.5-3.0 x 10<sup>6</sup> cells/plate. Three  
166 mL of nitrocatechols test solutions (100 µM; 0.1% DMSO) were added to each plate and  
167 incubated at 37°C for 2 h (short-term) or 18 h (long-term). Media and cytoplasmic contents  
168 were separately subjected to HPLC-DAD and LC-MS analyses. All metabolic experiments were  
169 repeated three times.

170

#### 171 **HPLC analysis**

172 All extracellular culture media and cell lysates from experiments carried out with Caco-2 and  
173 HepG2 cells were analyzed by HPLC using an Agilent 1200 and an Agilent 1100 Liquid  
174 Chromatographic System, respectively, both equipped with diode array UV-Vis detector and a  
175 thermostatic autosampler (4°C, 50 µL injection volume). For separation a 250 mm x 4.6 mm  
176 i.d., 4 µm particle size Superspher 100 RP18 column preceded by a Tracer C-160K1 holder with  
177 an ODS precolumn was used in both cases. Elution of samples was performed at a flow rate of  
178 1.0 mL/min at 37°C using as mobile phase 1% formic acid in deionized water (solvent A) and  
179 acetonitrile (solvent B). The solvent gradient changed according to the following conditions:

180 from 95 to 90% A in 5 min; 85% A in 15 min; 80% A in 5 min; 75% A in 5 min; 70% A in 5 min,  
181 returning to initial conditions in 5 min (95% A) and followed by 5 min of maintenance.  
182 Chromatograms were acquired at 280 nm. Standards of the parent compounds were prepared  
183 in serum-free culture media in a range of concentrations from 1.25  $\mu\text{M}$  to 100  $\mu\text{M}$  obtaining a  
184 linear response for all standard curves, as checked by linear regression analysis with  $R^2$  values  
185 greater than 0.99 ( $n=6$ ). Metabolites were quantified as equivalents of the respective parent  
186 molecules. The different equipments used to evaluate samples from experiments with Caco-2  
187 and HepG2 cells led to different retention times of common metabolites, in spite of conducting  
188 analysis with identical conditions (eluent, column, flow rate, gradient, etc.).

189

#### 190 **Mass spectrometry**

191 An Agilent 1100 series liquid chromatograph/mass selective detector equipped with a DAD  
192 detector and a quadrupole mass spectrometer (Agilent Technologies) was used.  
193 Chromatographic conditions (eluent, column, flow rate, gradient, etc.) were the same as  
194 described above. Eluent flow (1 mL/min) was split 8:1 between the DAD detector and the MS  
195 ion source. The MS was fitted to an atmospheric pressure electrospray ionization (ESI) source,  
196 operated in negative ion mode. The electrospray capillary voltage was set to 3000 V, with a  
197 nebulizing gas (nitrogen) flow rate of 12 L/h and a drying gas temperature of 300°C. Data were  
198 acquired in scan mode (mass range  $m/z$  100-900) at a scan rate of 1.5 s.

199

#### 200 **Identification of nitrohydroxytyrosyl conjugates**

201 In vitro conjugation of nitroderivatives of HT was carried out using pure enzyme (catechol-*O*-  
202 methyltransferase, COMT) or a rat liver microsomal fraction that contained UDP-  
203 glucuronosyltransferase,<sup>22</sup> providing methyl and glucuronidated derivatives of the three  
204 nitrocatechols. In parallel, to complete the identification of nitrohydroxytyrosyl conjugates,  
205 media and cell fractions after metabolism experiments with Caco-2 and HepG2 cells were



206 incubated with  $\beta$ -glucuronidase/sulfatase to hydrolyze conjugated metabolites (glucuronides  
207 and/or sulfates).<sup>22</sup> All samples from in vitro conjugation and hydrolysis reactions were analyzed  
208 by HPLC-DAD (Agilent 1200 and Agilent 1100) and LC-MS analyses.

209

### 210 **Statistical analysis**

211 Statistical analyses were carried out using the program SPSS (version 19.0, SPSS, Inc., IBM  
212 Company). Data were studied using a one-way analysis of variance (ANOVA). The significance  
213 level was set at  $p < 0.05$ . Data were given as mean  $\pm$  standard deviation.

214

## 215 **Results**

### 216 **Stability evaluation and cytotoxic effect of HT-nitroderivatives**

217 We tested the efficiency of different concentrations of ascorbic acid (300, 500 and 1000  $\mu$ M)  
218 protecting HT-nitroderivatives (100  $\mu$ M in serum-free culture medium) from oxidation after  
219 incubation for 24 h at 37 °C in the absence of cells. A 300  $\mu$ M ascorbic acid concentration did  
220 not confer full protection; however, NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E were stable when  
221 incubated with 500  $\mu$ M ascorbic acid (>98% recovery) (data not shown). This concentration of  
222 antioxidant was used in subsequent experiments (pH 7.2).

223 In addition, no cytotoxic effects were observed after incubation of 100  $\mu$ M of HT-  
224 nitroderivatives with Caco-2 and HepG2 cells (data not shown).

225

### 226 **Identification of metabolites of HT-nitroderivatives**

227 HPLC analysis of cell culture media collected after incubation of HT-nitroderivatives with Caco-  
228 2 and HepG2 cells showed in both cases a number of additional peaks with absorbance at 280  
229 nm that were not present in the culture medium, concomitant with a decrease of the parent  
230 compounds (Figures 2 and 3). These observations suggested that the additional peaks were

231 nitrocatechol metabolites. Subsequently, different approaches were used to determine the  
232 structure of these metabolites: analysis and confirmation of structures by electrospray  
233 ionization mass spectrometry in negative ion mode with selected ion monitoring (SIM);  
234 hydrolysis with  $\beta$ -glucuronidase/sulfatase; and in vitro conjugation of pure standards and  
235 comparison of retention times (RT) and spectral characteristics with test samples. It is worth  
236 noting that the different equipments used for experiments with Caco-2 and HepG2 cells,  
237 Agilent 1200 Series and Agilent 1100 Series Liquid Chromatographic System, respectively,  
238 resulted in slightly different retention times (RT) in common metabolites identified in both  
239 experiments.

240 Identification of nitrohydroxytyrosol (NO<sub>2</sub>HT) conjugates. After incubation of NO<sub>2</sub>HT with Caco-  
241 2 cells six new peaks were detected at RT of 9.1, 9.3, 10.0, 14.0, 21.0 and 24.4 min (labeled  
242 M1, M2, M3, M4, M5 and M6, respectively) (Figure 2). Peaks M1, M2, M3 and M4 exhibited a  
243 shift of the UV absorption maximum to shorter wavelengths (hypsochromic effect) (Table 1),  
244 while M5 and M6 hardly changed regarding NO<sub>2</sub>HT. Peaks M1, M2, M3 and M4 disappeared  
245 after  $\beta$ -glucuronidase/sulfatase treatment with the subsequent increase of compound NO<sub>2</sub>HT  
246 and peaks M5 and M6. In parallel, in vitro glucuronidation of NO<sub>2</sub>HT yielded two peaks  
247 coincident in RT and spectroscopic characteristics with M1 and M2. LC-MS analysis showed a  
248 quasimolecular ion  $[M-H]^-$  at  $m/z$  374 for both peaks and fragment ion at  $m/z$  198,  
249 corresponding to NO<sub>2</sub>HT (Table 1). Chromatographic peaks M3 and M4 provided an  $[M-H]^-$  ion  
250 at  $m/z$  388 plus fragment ions at  $m/z$  374, 212 and 198 corresponding to the loss of methyl,  
251 glucuronide and methylglucuronide moieties, respectively. All results allowed identifying M1  
252 and M2 as NO<sub>2</sub>HT monoglucuronides and M3 and M4 as NO<sub>2</sub>HT methylglucuronides.

253 Identification of M5 and M6 at 21.0 and 24.4 min, respectively, was assessed by comparing the  
254 spectral characteristics and RT with those obtained biosynthetically after incubation of NO<sub>2</sub>HT  
255 with COMT (data not shown), in addition to their LC-MS analysis ( $[M-H]^-$  at  $m/z$  212 plus

256 fragment ion at  $m/z$  198 corresponding to NO<sub>2</sub>HT). All these results allowed confirming the  
257 identity of M5 and M6 as methyl conjugates of NO<sub>2</sub>HT.  
258 These six metabolites (M1-M6) were also identified in samples generated after incubation of  
259 NO<sub>2</sub>HT with HepG2 cells (Figure 3), although slight differences in RT in comparison with Caco-2  
260 cells were observed as mentioned above (Table 1). Additionally, a chromatographic peak at 7.1  
261 min labeled as M12, was detected, which showed a UV spectrum with  $\lambda_{\text{max}}$  at 290, shifted to  
262 shorter wavelengths in line with NO<sub>2</sub>HT monoglucuronides, and disappeared completely after  
263  $\beta$ -glucuronidase/sulfatase treatment. This observation along with the peak's fragmentation  
264 pattern after LC-MS analysis ( $[M-H]^-$  at  $m/z$  550 and two fragment ions at  $m/z$  374 and 198  
265 corresponding to monoglucuronide of NO<sub>2</sub>HT and free NO<sub>2</sub>HT, respectively) allowed its  
266 unambiguous identification as the NO<sub>2</sub>HT diglucuronide.

267 Identification of nitrohydroxytyrosyl acetate (NO<sub>2</sub>HT-A) conjugates. Incubation of NO<sub>2</sub>HT-A  
268 with Caco-2 and HepG2 cells was almost coincident with that derived from NO<sub>2</sub>HT metabolism.  
269 Metabolites M1-M6 were present after incubation of NO<sub>2</sub>HT-A with Caco-2 cells (Figure 2), and  
270 metabolites M1-M6 and M12 were formed after incubation with HepG2 cells (Figure 3).  
271 Furthermore, a chromatographic peak at 26.6 min (labeled as M7) with a maximum at 292 nm  
272 and a shoulder at 342 nm was detected in the studies with Caco-2 cells (Figure 2). Its LC-MS  
273 analysis ( $[M-H]^-$  ion at  $m/z$  416 and fragment ion at  $m/z$  240 after the loss of a glucuronide  
274 moiety) was compatible with the enzymatic hydrolysis suffered after treatment with  $\beta$ -  
275 glucuronidase/sulfatase, permitting its identification as NO<sub>2</sub>HT-A monoglucuronide.

276 Identification of ethyl nitrohydroxytyrosyl ether (NO<sub>2</sub>HT-E) conjugates. Four new peaks (M8,  
277 M9, M10 and M11) were formed after incubation of the ethyl derivative (NO<sub>2</sub>HT-E) with Caco-  
278 2 and HepG2 cells (Figures 2 and 3). UV spectra of peaks M8, M9, M10 and M11 showed a  
279 hypsochromic effect in comparison with their precursor NO<sub>2</sub>HT-E. All metabolites disappeared  
280 after enzymatic hydrolysis with  $\beta$ -glucuronidase/sulfatase. LC-MS analysis provided, in addition  
281 with the corresponding quasimolecular ion, a fragment ion at  $m/z$  226 for peaks M8 and M9,

282 and  $m/z$  240 for peaks M10 and M11, generated after the loss of a glucuronide moiety (Table  
283 1). These results allowed identifying M8 and M9 as NO<sub>2</sub>HT-E monoglucuronides and M10 and  
284 M11 as methylglucuronide conjugates of NO<sub>2</sub>HT-E.

285 Additionally, a chromatographic peak at 19.2 min labeled as M13, was detected after  
286 incubation of NO<sub>2</sub>HT-E with HepG2 cells, which showed a UV spectrum with  $\lambda_{\max}$  at 288, shifted  
287 to shorter wavelengths in line with NO<sub>2</sub>HT-E monoglucuronides; this peak disappeared  
288 completely after  $\beta$ -glucuronidase/sulfatase treatment. These results, in addition to its  
289 fragmentation pattern after LC-MS analysis (Table 1) allowed its unambiguous identification as  
290 the NO<sub>2</sub>HT-E diglucuronide.

291

#### 292 **Transepithelial transport of nitrocatechols through differentiated Caco-2 cell monolayers**

293 Transport of nitrocatechols across Caco-2 monolayers was evaluated in independent  
294 experiments after calculating apical (AP) to basolateral (BL) and their BL to AP transport  
295 rates.<sup>20</sup> The apparent permeability coefficients ( $P_{app}$ , cm/s) were also calculated (Table 2). AP  
296 to BL transport was higher than BL to AP, resulting in absorption rate (AR) values higher than  
297 1.0 (Table 2). These results show a high permeability of nitrocatechols across Caco-2  
298 monolayers. In addition, the acetate and the ethyl ether derivatives showed higher AR values  
299 than their precursor, NO<sub>2</sub>HT. In this manner, a direct relationship was observed between the  
300 lipophilicity of the three compounds and their AR values.

301

#### 302 **Quantitative analyses of nitrocatechols and their metabolites in Caco-2 cells**

303 Time-dependent transport was observed up to 4 h (Figure 4). The percentage of compounds  
304 (metabolized plus non-metabolized) absorbed across Caco-2 monolayer after 4 h was higher  
305 for NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E (50.1% and 52.6% respectively, Figure 4B) than for their precursor  
306 NO<sub>2</sub>HT (30.0%), in agreement with the higher AR described for the more lipophilic compounds  
307 (NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E) compared to NO<sub>2</sub>HT.

308 Regarding the absorbed fraction of nitrocatechols, 80.6% of NO<sub>2</sub>HT remained unmetabolized  
309 after 2 h, whereas the most lipophilic compounds, acetate and ethyl ether, were more  
310 extensively metabolized and only 17.3 and 33.0%, respectively, remained unchanged. It is  
311 worth noting that the major metabolite of NO<sub>2</sub>HT-A, identified in the BL compartment  
312 corresponded to the product generated after its hydrolysis (NO<sub>2</sub>HT), which in turn mostly  
313 remained unconjugated by phase II enzymes. Adding the hydrolyzed, unmetabolized  
314 compounds by phase II enzymes action (NO<sub>2</sub>HT-A and NO<sub>2</sub>HT), the fraction absorbed by Caco-2  
315 cells which remained unmetabolized would reach 71.2% for NO<sub>2</sub>HT-A, which is in line with the  
316 80.6% quantified for NO<sub>2</sub>HT. Regarding the nature of the metabolites identified, most were  
317 glucuronides and to a lower extent methylglucuronides and methyl conjugates (Figures 4A and  
318 4B).

319

#### 320 **Quantitative analyses of nitrocatechols and their metabolites in HepG2 cells**

321 The amount of unmetabolized parent compounds and their metabolites in the extracellular  
322 culture medium was quantified after 2 and 18 h of incubation with HepG2 cells. Differences  
323 were observed due to the incubation time as well as the chemical structure of each assayed  
324 compound. Results are summarized in Figure 5.

325 The percentage of unmetabolized compounds detected in the culture medium after 2 h of  
326 incubation with nitrocatechols represented 100, 60.6 and 97.3% for NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and  
327 NO<sub>2</sub>HT-E, respectively. These results point to a low uptake and metabolization of these  
328 compounds by HepG2 cells at short incubation times. The relatively higher metabolization of  
329 NO<sub>2</sub>HT-A is a consequence of the hydrolysis that this molecule suffers to yield its precursor,  
330 since the remaining 39.4% corresponded to unconjugated NO<sub>2</sub>HT. However, phase II derived  
331 metabolites were not identified after incubation of NO<sub>2</sub>HT and NO<sub>2</sub>HT-A with phase II  
332 enzymes. On the contrary, NO<sub>2</sub>HT-E generated glucuronide conjugates, which represented  
333 2.7% of the total compound in the cell culture medium.

334 In contrast, after 18 h of incubation, the metabolism was substantially high so that only 11.9  
335 and 6.3% of the parent NO<sub>2</sub>HT, and NO<sub>2</sub>HT-E, respectively, were detected unmetabolized in  
336 the culture medium (Figure 5), while NO<sub>2</sub>HT-A was completely transformed by HepG2 cells.  
337 The three compounds (NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E) were transformed by phase II enzymes  
338 UDP-glucuronosyltransferase and COMT, generating mainly glucuronides, in addition to methyl  
339 and/or methylglucuronide conjugates. The extensive hydrolysis of the acetyl group present in  
340 NO<sub>2</sub>HT-A yielding NO<sub>2</sub>HT, unlike the ethyl ether derivative NO<sub>2</sub>HT-E, marked the main  
341 difference between both lipophilic compounds. NO<sub>2</sub>HT-E was extensively glucuronidated  
342 (72.0%,) while NO<sub>2</sub>HT and NO<sub>2</sub>HT-A were glucuronidated to a similar proportion (56.0% and  
343 57.1%, respectively). These two compounds showed identical percentage of methylation  
344 (1.5%), in contrast to NO<sub>2</sub>HT-E with no monomethyl conjugates detected (Figure 5).

345

#### 346 **Discussion**

347 Nitrocatechols are a new class of bioactive compounds that may play a role against Parkinson  
348 disease (PD) due to their capacity to inhibit COMT enzyme.<sup>2,3</sup> European Food Safety Authority  
349 (EFSA) has recently issued a claim on the beneficial health effects of the phenolic fraction in  
350 virgin and/or extra virgin olive oil in association with its capacity to protect low-density  
351 lipoproteins (LDL) from oxidation.<sup>23</sup> Therefore, phenols naturally present in olive oil have  
352 turned into interesting substrates and further applications with extended potential health  
353 benefits have been explored. Thus, nitroderivative forms of HT and hydroxytyrosyl acetate  
354 (HT-A), in addition to a synthetic hydrophobic derivative of HT, ethyl hydroxytyrosyl ether (HT-  
355 E), have been synthesized in order to obtain new compounds with a higher and safer  
356 therapeutic profile than that of the nitrocatechols currently used to treat PD.<sup>9,10</sup> To better  
357 understand the biological activity of NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E, it is essential to study their  
358 absorption and metabolism. This objective has been approached in the present study using  
359 human cell models.

360 Bioavailability of HT and its derivatives is high, with around 66% of the ingested dose absorbed  
361 from the small intestine,<sup>24</sup> and plasma concentrations as high as 40  $\mu\text{M}$  after the intake of  
362 polyphenol-rich olive oil (containing 366 mg/kg).<sup>25</sup> Considering these findings and the  
363 pharmacological approach of the present study, focused in offering new bioactive compounds  
364 to ameliorate neurodegenerative diseases, the doses of nitrocatechol tested in the present  
365 study (100  $\mu\text{M}$ ) can be considered almost physiological.

366 In the present study, human epithelial colorectal adenocarcinoma cells (Caco-2) were  
367 differentiated by the conventional symmetric protocol (serum-containing medium in both  
368 apical and basolateral compartments). Recently, an alternative method for differentiating  
369 Caco-2 cells into enterocytes has been proposed, requiring serum only in basolateral medium  
370 (asymmetric protocol).<sup>26</sup> This is a more ethically and economically friendly procedure that  
371 presents advantages to be considered in future studies. Incubation of the tested nitrocatechols  
372 in human Caco-2 cells monolayers as an intestinal barrier model showed that the three  
373 compounds were efficiently absorbed. In addition, there was a direct relationship between  
374 their lipophilicity and absorption rate (AR), showing the importance of the hydrocarbon chain  
375 on their bioavailability; however, it is interesting noting that no differences in AR values were  
376 observed between acyl ( $\text{NO}_2\text{HT-A}$ ) and alkyl ( $\text{NO}_2\text{HT-E}$ ) derivatives. This behavior is in line with  
377 that described for their respective precursors (HT, HT-A and HT-E).<sup>11,27</sup> HT showed the same AR  
378 ( $1.1 \pm 0.2$ ) than  $\text{NO}_2\text{HT}$ , pointing out that the nitro functional group does not modify the  
379 bioavailability of the compound at intestinal level. Furthermore, a higher absorption of  
380 hydroxytyrosyl alkyl ethers and HT-A compared to HT was observed (with AR values 1.1-1.7-  
381 fold higher), with no differences between acyl and alkyl derivatives with the same hydrocarbon  
382 chain length (ethyl hydroxytyrosyl ether vs hydroxytyrosyl acetate) in agreement with the  
383 results described for HT-nitroderivatives. Previous studies have shown the same correlation  
384 between intestinal absorption and lipophilicity. Tammela et al.<sup>28</sup> described the influence of the  
385 alkyl chain length on the uptake and transport of synthetic alkyl gallates, being medium chain

386 length gallates (*n*-propyl) more quickly absorbed than the shorter derivatives (methyl) in Caco-  
387 2 cells. In addition, Werdenberg et al.<sup>29</sup> described inverse absorption of fumaric acid esters  
388 (methyl, ethyl, *n*-propyl, and *n*-pentyl) across Caco-2 cell monolayers as the alkyl ester chain  
389 length increased.

390 After incubation with Caco-2 cells, the metabolites identified for nitroderivative compounds  
391 (NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E) were glucuronide, methylglucuronide and methyl derivatives.  
392 It is noteworthy that alkyl derivative (NO<sub>2</sub>HT-E) did not undergo hydrolysis to yield free NO<sub>2</sub>HT  
393 in contrast to the acyl one (NO<sub>2</sub>HT-A) that was almost completely hydrolyzed by cellular  
394 carboxylesterase to NO<sub>2</sub>HT, which was subsequently conjugated by phase II enzymes. Similar  
395 behavior has been observed for HT and its acyl and alkyl derivatives.<sup>11,27</sup>

396 The present work evidences that methylation was greatest for NO<sub>2</sub>HT whereas glucuronidation  
397 was greatest for NO<sub>2</sub>HT-E, the most lipophilic compound. This outcome may be associated  
398 with the fact that UDP-glucuronosyltransferases (UGTs) are a family of membrane-bound  
399 proteins in the endoplasmic reticulum<sup>30</sup> being more accessible for lipophilic compounds. In  
400 contrast, catechol-*O*-methyltransferase (COMT) is a cytosolic enzyme,<sup>31</sup> which would justify the  
401 higher yield of methyl derivatives from NO<sub>2</sub>HT, the most hydrophilic compound.

402 The proportions of unconjugated compounds reaching the basolateral compartment after 2 h  
403 of incubation were 81, 71 and 33% for NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E, respectively, considering  
404 for NO<sub>2</sub>HT-A the sum of unconjugated NO<sub>2</sub>HT-A and NO<sub>2</sub>HT. Since NO<sub>2</sub>HT-A had a higher AR  
405 value than NO<sub>2</sub>HT (1.4 ± 0.1 vs 1.1 ± 0.2, respectively), these results indicate that the  
406 transformation into the acetylated derivative is an interesting and easy way to improve the  
407 bioavailability of NO<sub>2</sub>HT. Furthermore, a significant proportion of nitrocatechols (NO<sub>2</sub>HT,  
408 NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E) may appear in non-conjugated forms in peripheral blood and reach  
409 organs such as the liver.

410 In an attempt to understand the complete biotransformation of the absorbed nitrocatechols,  
411 their uptake and metabolism was studied using HepG2 cells. The results revealed that after 18



412 h of incubation, extensive uptake and metabolism in HepG2 cells took place, thus supporting  
413 that most conjugation reactions occur in the liver.<sup>32</sup>

414 Certain similarities with the results obtained from the intestinal metabolism (experiments with  
415 Caco-2 cells) were observed after incubation with hepatic HepG2 cells. Glucuronidated  
416 derivatives, followed by methylglucuronides and methyl derivatives were identified after  
417 nitrocatechols (NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E) metabolism by HepG2, whereas no sulfated  
418 forms were detected. Ethyl ether derivative (NO<sub>2</sub>HT-E) was not hydrolyzed to free NO<sub>2</sub>HT, in  
419 contrast to the acetate (NO<sub>2</sub>HT-A), although NO<sub>2</sub>HT-E was extensively conjugated into  
420 glucuronide and methylglucuronide metabolites. These are more lipophilic compounds than  
421 those derived from NO<sub>2</sub>HT, and thus more likely to reach lipophilic targets. Attending to these  
422 results, the lipophilic nature of compounds determined the proportion of generated  
423 metabolites, the highest glucuronidated derivative contents corresponded to NO<sub>2</sub>HT-E and the  
424 highest methyl conjugates to NO<sub>2</sub>HT. These results are in agreement with the location of phase  
425 II enzymes in the cells as previously discussed.

426 Results on the bioavailability of HT nitroderivatives (NO<sub>2</sub>HT, NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E) in HepG2  
427 cells are in agreement with those of HT and its lipophilic derivatives, acyl and alkyl  
428 derivatives.<sup>12,22</sup> A high metabolization yield was described for these compounds, as well as the  
429 absence of hydrolysis of the alkyl derivatives (methyl, ethyl, propyl and butyl hydroxytyrosyl  
430 ether), in contrast to the acyl derivative (HT-A), together with extensive formation of phase II  
431 metabolites, except for sulfate derivatives.

432 All compounds detected in the cell lysates were below the limit of quantification, indicating  
433 that no intracellular accumulation of nitrocatechols in colonic and hepatic cells occurred.

434 Considering the extensive hepatic metabolism described for nitroderivatives of HT (NO<sub>2</sub>HT,  
435 NO<sub>2</sub>HT-A and NO<sub>2</sub>HT-E), in agreement with numerous publications on olive oil phenols and  
436 other flavonoids, the metabolic biotransformation may profoundly affect their biological  
437 activity.<sup>33,34</sup> In this sense, the in vitro antioxidant activity of HT and its major metabolites were

438 comparatively evaluated in red blood cells.<sup>35</sup> HT had a higher activity compared to the  
439 glucuronidated derivatives, which showed limited activity preventing hemolysis of the red  
440 blood cells. Likewise, other in vitro studies have shown higher activity for quercetin than its  
441 glucuronidated derivative, the most abundant metabolite identified in blood.<sup>36</sup> Although in  
442 vitro results may be far from what occurs in vivo, it has been postulated that the in vivo  
443 conjugation/deconjugation cycle is a reversible process that would explain the formation of  
444 the parent aglycon and the biological activity of some polyphenols.<sup>36</sup> Recently, Rubio et al.<sup>37</sup>  
445 described in rats a decreasing trend of the conjugated forms of HT, parallel to increasing free  
446 HT up to 6 h in red blood cells after the ingestion of a phenolic rich extract obtained from  
447 alperujo, suggesting intracellular hydrolysis of HT conjugates. Similarly, the extensive hepatic  
448 metabolism of the nitrocatechols generating glucuronide, methyl and methylglucuronide  
449 conjugates will partially or completely block the *ortho*-diphenolic group. Therefore, their  
450 remarkable COMT inhibitory effects in the brain,<sup>14,15</sup> should involve the hydrolysis of  
451 nitrocatechol conjugates, key for their activity as COMT inhibitors.

452 In summary, nitrocatechols are efficiently absorbed and partially metabolized in Caco-2 cells.  
453 Much of the absorbed compounds were not metabolized and could reach other organs such as  
454 the liver in the parent form. In HepG2 cells, nitrocatechols are extensively uptaken and  
455 metabolized. There is a direct relationship between the lipophilic nature of the compound and  
456 its uptake and biotransformation. NO<sub>2</sub>HT-A was extensively hydrolyzed into NO<sub>2</sub>HT, in contrast  
457 to NO<sub>2</sub>HT-E, which remained unhydrolyzed. The main metabolites detected after metabolism  
458 in Caco-2 and HepG2 cells were glucuronides, methyl derivatives and methylglucuronides, but  
459 not sulfated derivatives.

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**Table 1.** Chromatographic and spectroscopic characteristics of nitrohydroxytyrosol (NO<sub>2</sub>HT, **1**), nitrohydroxytyrosyl acetate (NO<sub>2</sub>HT-A, **2**) and ethyl nitrohydroxytyrosyl ether (NO<sub>2</sub>HT-E, **3**) and the metabolites formed after incubation with Caco-2 and HepG2 cells.

Compound	MW	RT(min) Caco-2	RT(min) HepG2	$\lambda_{\max}$ (nm)	[M-H] <sup>-</sup> (m/z)	Fragment ion (m/z)	Proposed structure
<b>1</b>	199	13.4	12.2	354, 308sh	198	150, 137	Nitrohydroxytyrosol ( <b>1</b> )
<b>2</b>	241	32.9	30.3	354, 306sh	240	150	Nitrohydroxytyrosyl acetate ( <b>2</b> )
<b>3</b>	227	36.2	33.8	354, 312sh	226	151	Ethyl nitrohydroxytyrosyl ether ( <b>3</b> )
<b>M1</b>	375	9.1	9.3	330	374	198	Monoglucuronide of <b>1</b>
<b>M2</b>	375	9.3	10.2	290, 344sh	374	198	Monoglucuronide of <b>1</b>
<b>M3</b>	389	10.0	10.6	282, 332sh	388	374, 212, 198	Methylglucuronide of <b>1</b>
<b>M4</b>	389	14.0	13.2	292, 342sh	388	374, 212, 198	Methylglucuronide of <b>1</b>
<b>M5</b>	213	21.0	18.8	352, 306sh	212	198	Methyl conjugate of <b>1</b>
<b>M6</b>	213	24.4	21.3	354, 306sh	212	198	Methyl conjugate of <b>1</b>
<b>M7</b>	417	26.6	-	292, 342sh	416	240	Monoglucuronide of <b>2</b>
<b>M8</b>	403	29.2	27.5	292, 340sh	402	226	Monoglucuronide of <b>3</b>
<b>M9</b>	403	29.9	28.3	292, 344sh	402	226	Monoglucuronide of <b>3</b>
<b>M10</b>	417	31.0	29.7	326	416	240	Methylglucuronide of <b>3</b>
<b>M11</b>	417	32.2	30.5	286, 342sh	416	240	Methylglucuronide of <b>3</b>
<b>M12</b>	551	-	7.1	290, 328sh	550	374, 198	Diglucuronide of <b>1</b>
<b>M13</b>	579	-	19.2	288	578	402, 226	Diglucuronide of <b>3</b>

MW: Molecular weight; RT: Retention time; [M-H]<sup>-</sup>: Quasimolecular ion



**Table 2.** Apparent permeability coefficient ( $P_{app}$ , cm/s) and absorption rate (AR) values for nitrocatechols (nitrohydroxytyrosol (1), nitrohydroxytyrosyl acetate (2) and ethyl nitrohydroxytyrosyl ether (3))<sup>a</sup>.

Compound	AP – BL ( $P_{app}$ , x $10^{-6}$ cm/s)	BL – AP ( $P_{app}$ , x $10^{-6}$ cm/s)	Absorption Rate (AR)
1	47.7 ± 0.8	44.1 ± 1.9	1.1 ± 0.1 <sup>a</sup>
2	75.3 ± 0.4	52.9 ± 0.1	1.4 ± 0.1 <sup>b</sup>
3	75.1 ± 0.3	48.8 ± 0.3	1.5 ± 0.2 <sup>b</sup>

<sup>a</sup> AP-BL indicates apical to basolateral transport; BL-AP indicates basolateral to apical transport; AR values are from  $P_{app}$  AP-BL/ $P_{app}$  BL-AP. Values are expressed as mean ± standard deviation of three determinations, where all values within a column with different letters are significantly different ( $p < 0.05$ ).

**Figure Captions**

**Figure 1.** Chemical structure of nitroderivatives of hydroxytyrosol: (1) Nitrohydroxytyrosol (NO<sub>2</sub>HT); (2) Nitrohydroxytyrosyl acetate (NO<sub>2</sub>HT-A); (3) Ethyl nitrohydroxytyrosyl ether (NO<sub>2</sub>HT-E).

**Figure 2.** Typical chromatographic profile at 280 nm of culture medium after incubation of nitrocatechols with Caco-2 cells for 4 h: NO<sub>2</sub>HT (1) in the apical (A) and basolateral (B) compartments; NO<sub>2</sub>HT-A (2) in the apical (C) and basolateral (D) compartments; and NO<sub>2</sub>HT-E (3) in the apical (E) and basolateral (F) compartments. For peak identification see Table 1.

**Figure 3.** Typical chromatographic profile at 280 nm of culture medium after incubation of nitrocatechols with HepG2 cells after 18 h incubation. NO<sub>2</sub>HT (1) (A); NO<sub>2</sub>HT-A (2) (B); NO<sub>2</sub>HT-E (3) (C). For peak identification see Table 1.

**Figure 4.** Percentage of nitrocatechols (1: NO<sub>2</sub>HT; 2: NO<sub>2</sub>HT-A; 3: NO<sub>2</sub>HT-E) and their metabolites found in the apical (AP) and basolateral (BL) compartments after 1, 2, and 4 h of incubation with Caco-2 cells after apical loading of the parent compound (100 μM). (A) Percentage of parent compounds and types of metabolites in the apical side. (B) Percentage of parent compounds and types of metabolites in the basolateral side. Results showed a standard deviation <10%.

**Figure 5.** Percentage of nitrocatechols (1: NO<sub>2</sub>HT; 2: NO<sub>2</sub>HT-A; 3: NO<sub>2</sub>HT-E) and their metabolites found in extracellular culture medium after 2 and 18 h of incubation of the parent compound (100 μM) with HepG2 cells.

Figure 1.

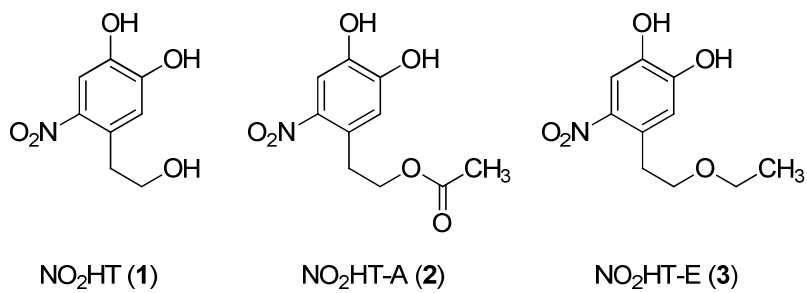


Figure 2

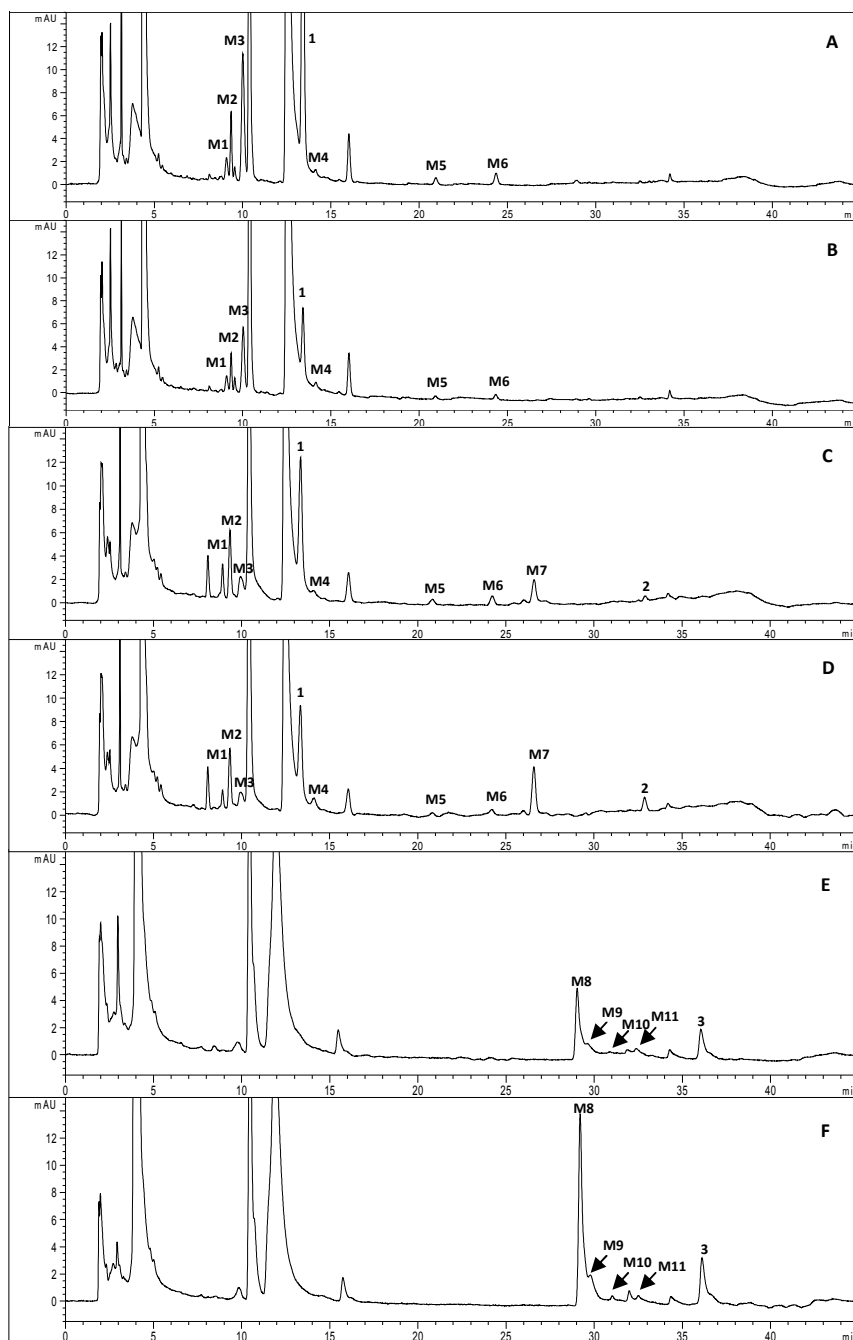


Figure 3

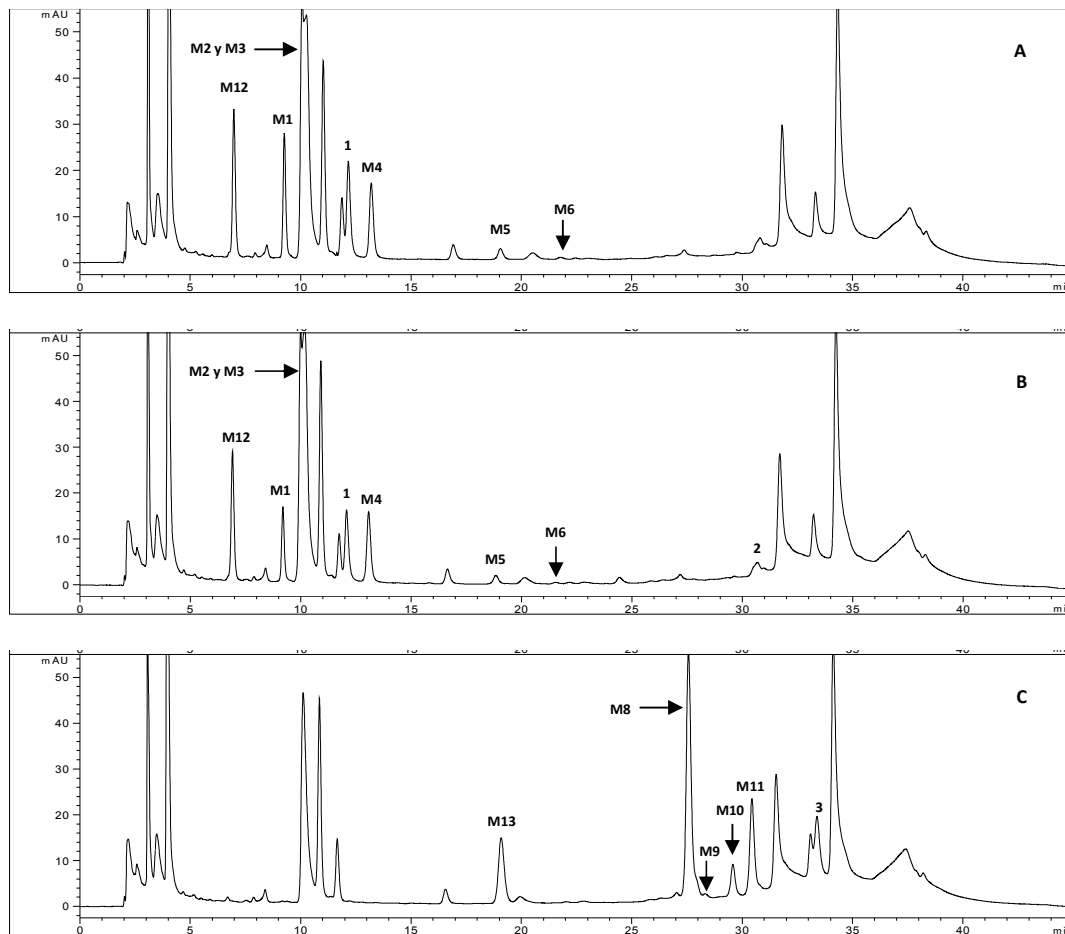
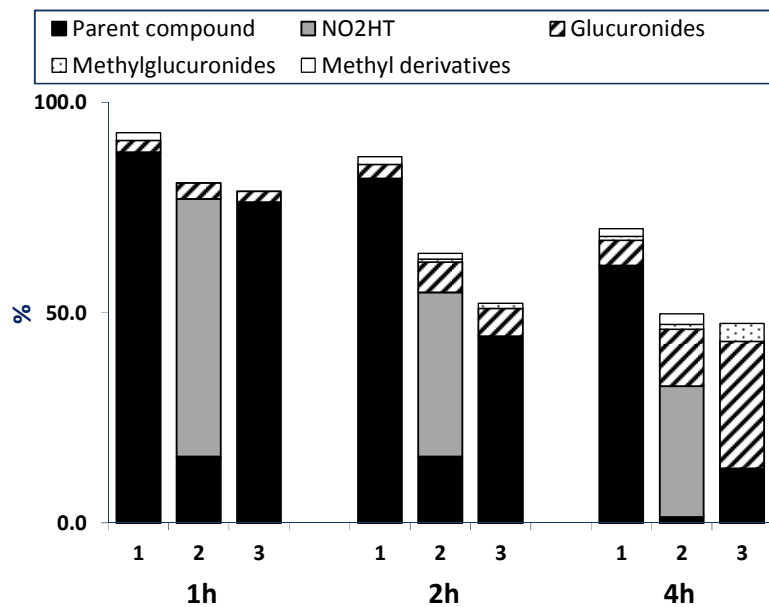


Figure 4.

## A) Apical Side



## B) Basolateral Side

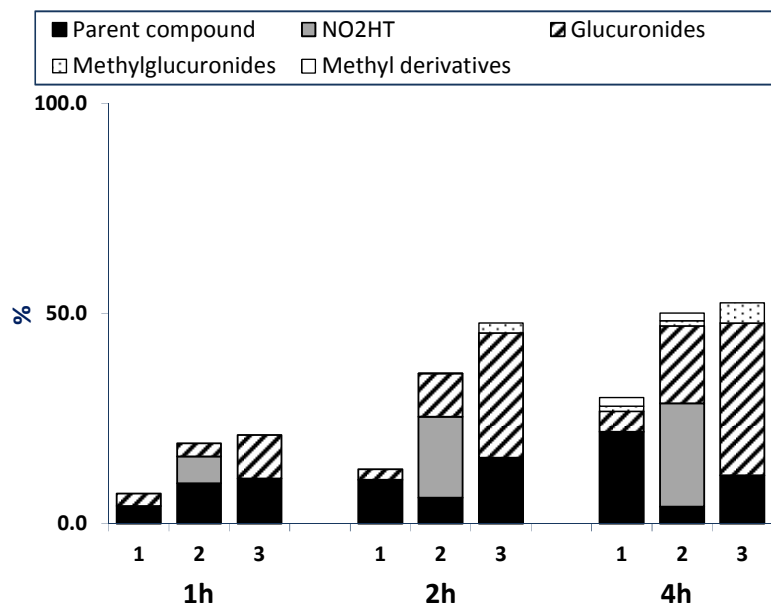
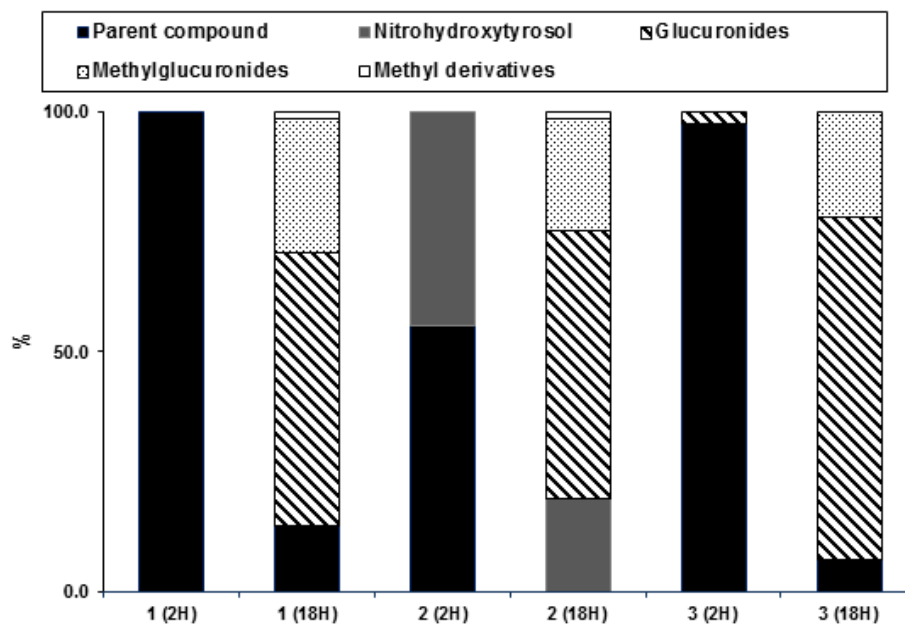


Figure 5.



## TOC

