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6 **EXTRACTION OF PHENOLIC COMPOUNDS AND PRODUCTION OF**
7 **BIOMETHANE FROM STRAWBERRY AND RASPBERRY EXTRUDATES**

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21
22 **Abstract**

23 This study proposes a biorefinery approach system to treat two berry extrudates
24 generated by the berry-tasted products industry. The berry extrudates studied were
25 strawberry extrudate (SE1 and SE2) and raspberry extrudate (RE), both of them
26 processed in the same industrial plant. The proposed biorefinery approach consists in
27 the extraction of bioactive compounds after hydrothermal pre-treatment followed by
28 anaerobic digestion of the remaining biomass after extraction. A high concentration of
29 valuable phenolic compounds was extracted from each extrudate through the
30 absorption-desorption processes, i.e. 876, 392 and 2,402 mg of gallic acid
31 equivalents/kg extrudate in SE1, SE2 and RE, respectively. Anaerobic digestion of the
32 remaining biomass after extraction led to high methane production, between 371 and
33 503 mL CH₄/g VS. The economical evaluation showed that the proposed biorefinery

34 approach would offer higher benefits than just anaerobic digestion of the untreated
35 extrudate, although this last option would be economically feasible as well.

36 **Keywords:**

37 Anaerobic digestion; economical assessment; hydrothermal pre-treatment; phenol
38 recovery; valorization.

39

40 **1. Introduction**

41 Strawberry and raspberry are a non-climacteric fruit with an attractive color and
42 a delicious taste, being among the most commonly consumed berries both as fresh
43 dessert fruit and processed [1,2]. During the 2016 season, more than 12 million tons of
44 berries were obtained in the world [3]. Currently, a large variety of berries is produced,
45 among which are: strawberries, raspberries, blueberries, cranberries, blackberries, etc.,
46 but the berries with the highest worldwide production are strawberries (9 million tons)
47 and raspberries (795,250 tons) [3]. Most of production of berries is sold in the fresh
48 market. Nevertheless, another use is the production of berry concentrates, used to
49 produce transformed products such as jam, juice or yogurt among others. The
50 production of these concentrates entails the generation of a residual fraction called berry
51 extrudates formed by the fibrous part and the achenes. Currently, 21 and 5% of
52 strawberries and raspberries production, respectively, are destined to the manufacture of
53 transformed products [4,5]. At present, strawberry and raspberry extrudates are dumped
54 in landfills.

55 Strawberry and raspberry extrudates still contain most of the compounds present
56 in the corresponding berry, many of which are bioactive compounds. These berries are
57 an important source of many nutrients, including essential minerals, vitamin C, fatty
58 acids, sugars, as well as a wide range of phenolic compounds [2,6,7]. The phenolic
59 anthocyanins and ellagitannins are the major antioxidant phytochemicals present in
60 strawberries and raspberries [7,8]. Other compounds present in these berries are uronic
61 acids, which indicates the existence of acidic carbohydrates or pectins [9]. Out of all
62 compounds contained in the berries, phenolic compounds are the most interesting due to
63 their strong antioxidant capacity [10]. Therefore, an interesting management option
64 might be the recovery of these phenolic bioactive compounds still present in the
65 strawberry and raspberry extrudates.

66 Solubilization of the phenolic compounds from the extrudates is necessary in
67 order to recover them. Several methods have been recently proposed to extract phenolic

68 compounds from strawberry such as high hydrostatic pressure extraction, microwave
69 hydro-diffusion and gravity, Pulsed Electric Field with solvent and hydrothermal
70 treatments [13, 15]. Hydrothermal pre-treatment has been previously proposed for
71 solubilization and extraction of phenols from other agro-industrial waste such as olive
72 mill solid waste [11], the bagasse of the wine [12] or strawberry extrudate [13]. It
73 should be kept in mind that most phenolic compounds present in strawberry and
74 raspberry extrudates are thermosensitive [14], therefore, after the hydrothermal pre-
75 treatment phenolic compounds might be degraded to other compounds of greater or
76 lesser interest. It is also important to mention that hydrothermal pre-treatment at high
77 temperatures could release soluble-sugar derived byproducts such as furfural or 5-
78 hydroxymethylfurfural (5-HMF), which can be inhibitory for the anaerobic digestion
79 processes at certain concentrations [11-13].

80 The phenolic compounds represent a minor percentage of the total volume of the
81 berry extrudate, therefore, a further stabilization of the remaining biomass would be still
82 required for a complete treatment. A very promising option is the combination of the
83 extraction of phenolic compounds with a further anaerobic digestion of the remaining
84 biomass. The stabilized digestate produced after anaerobic digestion might be used as
85 fertilizer component [15]. The biogas produced in the anaerobic digestion might supply
86 the energy needed to carry out the hydrothermal pre-treatment, thus closing the cycle of
87 use of this waste. The combination of the extraction of phenolic compounds followed
88 by anaerobic digestion of the remaining biomass can be considered as a very promising
89 biorefinery approach.

90 The aim of this study was to evaluate the valorization of one raspberry and two
91 different strawberry residual extrudates. These extrudates were very different among
92 them but generated in the same industrial plant. The proposed biorefinery approach
93 consisted in a hydrothermal pre-treatment, followed by extraction of phenolic
94 compounds and subsequent anaerobic digestion process of the remaining biomass.

95

96 **2. Materials and methods**

97 *2.1. Strawberry and raspberry extrudates*

98 The company supplying the strawberry and raspberry extrudates used in the
99 assays was “HUDISA S.A.” located in Lepe (Huelva, Spain). Strawberry extrudate was
100 obtained in two different campaigns. Strawberry Extrudate 1 (SE1) in 2016-2017 season
101 and Strawberry Extrudate 2 (SE2) in 2017-2018 season, while Raspberry Extrudate

102 (RE) was obtained in the 2017-2018 season. In the industrial process, SE1 was sieved
103 with a 1.5 mm sieve, while SE2 and RE with a 0.5 mm sieve, and SE2 was subjected to
104 pasteurization. Strawberry and raspberry extrudates were kept under freezing conditions
105 (-20 °C) before their use in order to prevent their self-fermentation and deterioration.

106 The different mesh size used and the occasional use of pasteurization was due to
107 the different requirements of the final products that the berry processing company was
108 producing at each moment. The present study used different berries with different mesh
109 size to have a broader screening of the potential of the proposed biorefinery approach
110 for different by-products derived from the same berry processing industry.

111 *2.2. Hydrothermal pre-treatment*

112 Hydrothermal pre-treatments were performed using a steam treatment batch
113 reactor (100 L) and can reach temperatures up to 190 °C and 1.2 MPa of maximum
114 pressure. Strawberry and raspberry extrudates were heated directly by steam injection
115 and indirectly by a heating jacket. Samples (12.59 kg) were treated at 150 °C in the
116 reactor for 60 min. After the pre-treatments, samples were cooled to 25 °C and then
117 centrifuged at 4700g/1450 rpm (Comteifa, S. L., Barcelona, Spain). After
118 centrifugation, a Solid Phase (SP) and a Liquid Phase (LP) were separated from each
119 pre-treated extrudate. Samples were stored at 4 °C before characterization.

120 *2.3. Extraction of phenolic compounds*

121 Phenolic compounds extraction from 2 liters of LP was carried out using a
122 column of 4.5 cm in diameter and 140 cm in height, filled with 100 mL of Amberlite
123 XAD16 adsorbent resin dissolved in water, with a bed of 12 cm. After extraction, a De-
124 phenolized Liquid Phase (DLP) was obtained. The compounds retained in the resin
125 were extracted with 200 mL ethanol 80% (v/v) and 40 mL ethanol 96%.

126 *2.4. Anaerobic inoculum*

127 Sludge from the anaerobic treatment of wastewater from “HEINEKEN SPAIN,
128 S. A.” (Seville, Spain) beer industry was used as an inoculum source. Two samples of
129 the same sludge were taken at different times, which were called Inoculum 1 and
130 Inoculum 2. The main anaerobic inoculum characteristics were for Inoculum 1: pH =
131 7.1 ± 0.1 ; alkalinity = 2,505 mg CaCO₃/L; VS = 55,585 ± 2,690 mg/kg; and for
132 Inoculum 2: pH = 7.8 ± 0.1 ; alkalinity = 2,490 mg CaCO₃/L; VS = 35,610 ± 280 mg/kg.
133 Inoculum 1 was used for the test with SE1, while Inoculum 2 was used in the tests with
134 SE2 and RE.

135 *2.5. Anaerobic digestion experimental procedure*

136 The anaerobic digestion of untreated strawberry and raspberry extrudates and the
 137 mixtures of the phases obtained after the pre-treatment and the extraction of phenolic
 138 compounds (SP+DLP) was evaluated by biochemical methane potential (BMP) tests.
 139 The mixtures SP+DLP were made in relation to the mass generated of each phase after
 140 the separation of solid and liquid phases, with a ratio of 64:36, 74:26 and 85:15 in
 141 volatile solids (VS) in SE1, SE2 and RE, respectively. BMP tests were carried out in
 142 250 mL Erlenmeyer flasks using a working volume of 240 mL. In all cases, an
 143 inoculum/substrate ratio of 2:1 based on VS was used. BMP reactors were sealed, and
 144 the headspace of each flask was flushed with nitrogen at the beginning of the assay. All
 145 reactors were submerged in a thermostated bath under mesophilic conditions (35 °C),
 146 and continuously stirred by magnetic bars to favor mass transfer between inoculum and
 147 substrate. All assays were carried out in triplicate. The produced biogas was passed
 148 through a 2 N NaOH solution to capture CO₂ and to let methane go through. The
 149 volume of methane was measured daily by liquid displacement. The BMP tests were
 150 carried out in the time interval required (c.a. 24-day period) to exhaust methane
 151 production.

152 2.6. Kinetic study

153 The kinetic parameters and the mathematical adjustment for the anaerobic
 154 processes were determined from the experimental data obtained, by means of a non-
 155 linear regression using the software SigmaPlot (version 11.0). Two kinetics models
 156 were used for the different substrates, the first of them is the model of the Transfer
 157 Function (TF) (eq. (1)), which has been applied by other authors [16–18] using the
 158 following expression:

$$159 \quad B = B_m * \left(1 - \exp \left[\frac{R_m(t-\Lambda)}{B_m} \right] \right) \quad \text{Equation (1)}$$

160 where B (mL CH₄/g VS) is the cumulative specific methane production, B_m (mL CH₄/g
 161 VS) is the ultimate methane production, R_m is the maximum methane production rate
 162 (mL CH₄/ (g VS/d)), t (d) is the time and Λ (d) is the lag time. The second kinetics
 163 model used is the Logistic model (Sigmoidal parameter 4) (eq. (2)), which has been
 164 applied by other authors [16,19,20] using the following expression:

$$165 \quad B_2 = B_0 + P / \left[1 + \exp \left(-4 \cdot R_m \cdot (t - \Lambda) / (P + 2) \right) \right] \quad \text{Equation (2)}$$

166 where B_2 is the cumulative methane production during the second stage (mL CH₄/g
 167 VS), B_0 is the cumulative methane production at the star-up of the second stage (mL

168 CH₄/g VS) and should approximately coincide with the value of B_m obtained at the end
169 of the first stage, P is the maximum methane production obtained in the second stage
170 (mL CH₄/g SV), R_m is the maximum methane production rate (mL CH₄/g SV d) and λ
171 (d) is the lag time. Additionally, r^2 , error (%) and standard error of estimate (σ_{est}) were
172 determined to evaluate the fit and precision of the results. Error was defined as the
173 difference in percentage between the experimental accumulated final methane
174 production and B_m (TF) or $P+B_0$ (logistic model). In this study, it is only possible to
175 compare the maximum methane production between the substrates and not the R_m
176 values, because of the experiments were not carried out at the same time and different
177 inoculums were used. R_m was used to compare the maximum methane production rate
178 between the untreated and pre-treated extrudates, as the BMP of each extrudate,
179 untreated and pre-treated, were carried out with the same inoculum at the same time.

180 2.7. Chemical analyses

181 The succeeding chemical analyses were used for the characterization of the
182 strawberry and raspberry extrudates and inoculum as well as for the effluents from each
183 BMP test at the end of the process. The concentration of total solids (TS), volatile solids
184 (VS) and mineral solids (MS), and pH, alkalinity and elemental C and N were
185 determined according to the recommendations of the Standard Methods of APHA [21].
186 pH was analyzed using a pH-meter model Crison 20 Basic. Alkalinity was determined
187 by titration to 4.3. C and N were determined through a LECO CHNS-932 (Leco
188 Corporation, St Joseph, MI, EEUU) elemental analyser. Chemical Oxygen Demand
189 (COD) was determined using the method described by Raposo et al [22], while soluble
190 COD (sCOD) was determined by the closed digestion and the colorimetric standard
191 method 5220D [21].

192 2.7.1. Total phenols content

193 Content of total phenols was determined by Folin-Ciocalteu spectrophotometric
194 method [23] after an extraction with methanol/water solution (80:20) at 70 °C. Samples
195 preparation included either centrifugation at 400g during 5 min and subsequent filtration
196 through 0,45 µm filters [24]. Results were expressed as milligrams of gallic acid
197 equivalents per kilogram of extrudate.

198 2.7.2. Total sugars and uronic acids

199 Antrone colorimetric method was used for determining total sugars [25] using a
200 spectrophotometer (Biorad iMark Microplate Reader, USA). Samples preparation

201 included either centrifugation at 400g during 5 min and subsequent filtration through
202 0,45 µm filters. Results were expressed as milligrams of glucose equivalents per
203 kilogram of extrudate.

204 M-Hydroxybiphenyl Chromogen Method, as described by Blumenkrantz and
205 Asboe-Hansen [26] was used for quantifying uronic acids. Results were expressed as
206 grams of galacturonic acid equivalents per kilogram of extrudate.

207 2.7.3. Individual neutral sugars

208 Using a method described by Lama-Muñoz, Rodríguez-Gutierrez, Rubio-Senent,
209 and Fernández Bolaños [27] individual neutral sugars were analyzed from duplicate
210 samples of solubilized fractions with and without initial trifluoroacetic acid (TFA)
211 hydrolysis before to reduction, acetylation, and analysis by gas chromatography (GC).

212 2.8. Antioxidant capacity

213 To determine the antioxidant capacity, the following tests were carried out:
214 antiradical activity (2,2-diphenyl-1-picrylhydrazyl (DPPH)) and reducing power (RP).
215 The antiradical activity shows the ability to scavenge the DPPH free radical. Antiradical
216 activity is expressed as the concentration of extract (in mg per mL) necessary to
217 decrease the initial concentration of DPPH by 50% (EC₅₀) [13]. Therefore, low EC₅₀
218 values represent high antioxidant capacity. Reducing power was expressed as milligram
219 of Trolox equivalents per mL, high reducing power indicating a high antioxidant
220 capacity.

221

222 2.9. Economic assessment

223 A preliminary economic assessment was carried out in order to estimate the
224 minimum sales price of the phenol extracted which allows a positive incoming costs
225 balance. Six different cases were included. Three of them corresponding to only
226 anaerobic digestion of the untreated extrudates. The other three include pre-treatments,
227 phenols extraction and anaerobic digestion of each of the extrudates. In all cases, the
228 generated biogas is used in a cogeneration system for simultaneous generation of heat
229 and electricity. The net benefit of the different options was defined as the economic
230 balance between operational costs and incomings from sales. Minimum sales price for
231 the phenol extracted (expressed as €/g gallic acid equivalents) has been calculated
232 imposing a value of zero to the net benefit. The following considerations were assumed
233 for the economic assessment:

- 234 - Anaerobic digester and co-generation. The energy production in the anaerobic
235 digester was obtained applying a scale-up factor of 0.85 to the experimental
236 methane production values obtained for each case [11]. Lower calorific power of the
237 methane was equal to 35,793 J/L [28]. The efficiency in the energy obtained through
238 a cogeneration biogas engine was considered as 33% and 55% for electricity and
239 thermal energy, respectively [29]. In order to reach the required operating
240 temperature (35 °C) a specific heat of 4.18 kJ/kg·°C was considered to obtain the
241 thermal energy requirement to increase the waste temperature from 20 to 35 °C,
242 including thermal loss of 10%. The electricity consumption was estimated
243 employing values of $1.8 \cdot 10^3$ kJ/m³ and $3.0 \cdot 10^2$ kJ/m³ of reactor for pumping and
244 stirring, respectively [30].
- 245 - Hydrothermal pretreatment. Enthalpy values of 104.9 (water 20 °C and 1 kg/cm²)
246 and 2,745.7 kJ/kg (steam 150 °C and 5 kg/cm²) were employed to calculate the
247 thermal energy requirement. The amount of steam was obtained experimentally for
248 each waste: 1.93 (SE1), 0.44 (SE2) and 2.93 (RE) kg of steam/kg of extrudate.
249 When the energy requirement is higher than the thermal energy generated in the
250 anaerobic digester, a methane supply is used to produce the amount of consumed
251 steam. A heat recovery system was included considering a thermal efficiency of
252 80% [29].
- 253 - Phenols extraction. The electricity consumption was calculated as the electricity
254 employed for pumping using the same approach described for anaerobic digestion.
255 Other costs for phenols extraction involve 0.50 €/kg of extrudate [11]. The phenol
256 extraction efficiency was obtained from the reduction of gallic acid observed during
257 the experiments in the liquid phase after the extraction process respect the original
258 extrudate without pre-treatment. Theses efficiencies were: 16% (SE1), 18% (SE2)
259 and 55% (RE).
- 260 - Prices. Electricity: 0.104 €/kWh [31]. Methane: 0.04 €/kW [15].

261

262 **3. Results and discussion**

263 *3.1. Characterization of the untreated extrudates.*

264 The physicochemical characterization of untreated strawberry and raspberry
265 extrudates is showed in Table 1. SE1 had more than double total phenols than SE2 and
266 RE. This fact may be due to the difference in the particles size caused by the larger
267 sieve used for SE1, in which some phenolic compounds might remain adherent to other

268 larger molecules or compounds. Based on the initial phenol concentration, SE1 might
269 be a better source for phenol recovery. However, a high concentration of phenols can be
270 inhibitory for the microorganisms of the subsequent anaerobic digestion [32–35].

271 The three extrudates had a pH value around 3 (Table 1), and this low pH could
272 affect the anaerobic digestion, causing an acidification. This effect was shown with
273 strawberry digestion in the study of Arhoun et al [36]. SE1 and SE2 had 85% moisture,
274 whereas the RE had 75% moisture. This moisture difference may be due to the fact that
275 raspberry has more fibrous than strawberry, which are compounds with lower moisture
276 [2]. It was also observed in three berry extrudates that around 96% of the TS were VS,
277 which correspond to the organic matter susceptible to be biodegraded during the
278 anaerobic digestion, and from which energy could be obtained. Also, in relation to the
279 biodegradable organic matter, the determined COD_S/COD ratio, i.e. 33 %, 24% and
280 10% for SE1, SE2 and RE, respectively, indicated that most of the organic matter was
281 not initially in soluble form, being SE 1 the substrate with the largest amount of soluble
282 organic matter. The soluble matter is usually more easily digestible by the
283 microorganisms during the anaerobic digestion. The C/N ratio values were 23, 24 and
284 28 for SE1, SE2 and RE, respectively (Table 1). C/N ratios varying between 10 and 30
285 are considered to be suitable for anaerobic digestion, with an optimum between 15 and
286 30, the C/N ratios of the three berry extrudates being in this optimal range [37]. SE1
287 contained a higher concentration of total sugars compared to SE2 and RE (Table 1). As
288 was described for total phenols, this behaviour may be due to the difference in the
289 particle sizes caused by the larger sieve used for SE1. Finally, Table 1 shows that RE
290 was the substrate with the least amount of uronic acids, while SE2, which was
291 pasteurized in the industrial process, contained the greatest quantity of uronic acids.
292 Uronic acids can be released from hemicellulose at high pressure and temperatures [38].
293 Uronic acids in SE2 were probably generated by oxidation of monosaccharides during
294 the pasteurization process.

295 *3.2. Hydrothermal pre-treatment of extrudates and phenol extraction.*

296 Hydrothermal pre-treatment of SE1, SE2 and RE allowed the separation by
297 centrifugation of two phases, i.e. SP (Solid Phase) and LP (Liquid Phase). Extraction of
298 phenols from LP was carried out by an adsorption-desorption column, resulting in a
299 DLP (De-phenolized Liquid Phase) and a phenolic extract.

300 *3.2.1. Effect of the hydrothermal pre-treatment on extrudates composition.*

301 Table 2 shows the physicochemical characteristics of the pre-treated extrudates
302 and each obtained phase. pH values in all cases but for DLP from SE2 increased with
303 respect to untreated berry extrudates after hydrothermal pre-treatment, pH in the case of
304 DLP from SE2 was similar to SE2. Moisture in SP from SE1 and SE2 was not modified
305 with respect to untreated berry extrudates, while in the case of SP from RE the moisture
306 decreased by approximately 20% with respect to untreated berry extrudate. The largest
307 amount of organic matter, expressed as VS, was retained in the SP for all extrudates, i.e.
308 57%, 66% and 71% in SE1, SE2 and RE, respectively (Table 2). Minor losses of VS
309 during the hydrothermal pre-treatment occurred, i.e. 9%, 10% and 15% with respect to
310 untreated SE1, SE2 and RE, respectively. COD values confirmed that the largest
311 amount of organic matter was retained in SP, except for SE1 where the largest amount
312 of COD was retained in LP.

313 It could be observed that after hydrothermal pre-treatment most of the total
314 sugars per kg of extrudate were mainly transferred to LP for all cases (Fig. 1). This was
315 expected as most of sugars are soluble in water [13]. After the hydrothermal pre-
316 treatment, total sugars markedly increased in SE2 and RE. By contrast, total sugars did
317 not increase in SE1. During the extraction of phenolic compounds by the adsorption-
318 desorption column, total sugars were not extracted, being concentrated in the DLP.
319 Total sugars contained in DLP could be used for example as a fermentable source for
320 the production of wine or vinegar [39] or as a biodegradable substrate in anaerobic
321 digestion as done in this study. The major sugar present in LP in all cases was glucose,
322 followed by mannose (Table 3). Sugars contained in cellulose and hemicellulose were
323 most likely produced and solubilized during the hydrothermal pre-treatment, as seen in
324 other studies [13,40,41]. As counterpart of the hydrothermal pre-treatment, part of the
325 sugars is known to form Hydroxymethylfurfural (HMF) (Table 3). This compound is
326 known to damage the microbial cells by selectively altering the permeability of the
327 membrane, which causes leakage of the intracellular components and the inactivation of
328 essential enzymatic systems [42,43]. It should be also noted that HMF has recently been
329 identified within natural extracts with high antioxidant properties that can be used to
330 prevent the oxidation of edible oils, enhancing the commercial life up to four times for
331 sunflower oils [13]. HMF was mainly detected in the LP. The maximum concentration
332 of HMF was obtained in the LP of SE2. The extraction by an adsorption-desorption
333 column resulted in the retention of 65%, 65% and 57% of HMF in SE1, SE2 and RE,
334 respectively. This retention should be beneficial of the further anaerobic digestion of the

335 remaining biomass. Uronic acids are released from hemicellulose at high pressure and
336 temperatures and, therefore, it is an indication of hemicellulose degradation [38]. Fig. 2
337 shows the uronic acids expressed as g galacturonic acid per kg extrudate. After the
338 application of the hydrothermal pre-treatment, it was observed that uronic acids
339 increased, being the majority retained in LP of SE1 and RE, while in SE2 were retained
340 in SP.

341 *3.2.2. Effect of the hydrothermal pre-treatment on phenolic compounds extraction.*

342 After hydrothermal pre-treatment, it was observed that in SE1 and RE the
343 greatest amount of total phenols per kg of berry extrudate was contained in LP
344 compared to SP and DLP, while in SE2 the greatest quantity was retained in SP (Fig. 3).
345 It was observed that LP from RE presented higher total phenols per kg of berry
346 extrudate than untreated RE, indicating that a certain production of phenols by the
347 hydrothermal pre-treatment occurred, this might be caused by the breakdown of achenes
348 in RE, which are known to have a high concentration of phenols inside their structure
349 [44]. The hydrothermal pre-treatment applied to SE1 and RE generated a LP with
350 significantly higher antiradical activity than the untreated extrudates, while when
351 applied to SE2 resulted in a LP with lower antiradical activity than the untreated
352 extrudate (Fig. 4A). Similarly, LP from SE1 and RE showed lower reducing power than
353 untreated extrudates, while LP from SE2 showed higher reducing power than the
354 untreated extrudate.

355 The extraction of phenols by an adsorption-desorption column resulted in the
356 recovery of a phenol extract that accounted for 876, 392 and 2,402 mg of gallic acid
357 equivalents/kg extrudate in SE1, SE2 and RE, respectively. Therefore, The recovery
358 efficiencies for phenols, expressed as the percentage of phenols recovered respect the
359 total phenol in the pretreated substrate, for SE1, SE2 and RE were 33%, 63% and 82%,
360 respectively. The antioxidant capacity related to the extracted phenols was evaluated
361 through the antiradical activity and reducing power. After the extraction of phenolic
362 compounds, antiradical activity increased in DLP, indicating a lower antioxidant
363 capacity compared to LP (Fig. 4A). Similarly, reducing power in DLP decreased
364 compared to LP (Fig. 4B). The difference between the antiradical activity and the
365 reducing power of LP and DLP in all cases indicate that the extracted phenols have a
366 significant antioxidant capacity.

367 *3.3. Assessment of digestion stability, methane yield and methane production rate after* 368 *hydrothermal pre-treatment and subsequent phenolic compounds extraction.*

369 This section evaluates the digestion stability, methane yield and methane
370 production rate of the remaining biomass after phenol extraction, i.e. the mixture of SP
371 and DLP from each extrudate. All these parameters were compared to the anaerobic
372 digestion of the untreated extrudates. Table 4 shows the analytical characterization of
373 the effluents obtained after the BMP tests of the untreated extrudates and the mixture of
374 SP and DLP from each extrudate. In all cases the pH values were kept within the
375 recommended range for an adequate methanogenic activity, i.e. 7.3-7.8 [45]. The high
376 alkalinity observed in all cases was sufficient to dampen possible pH variations. The
377 values of HMF in all BMP tests were always lower than 80 mg/L (Table 3). These
378 values were in all cases markedly lower than the reported inhibition concentration of
379 HMF for anaerobic digestion process, i.e. 800 mg/L [42].

380 The maximum methane productions for untreated SE1 and the mixture of SP and
381 DLP from SE1 were 391 ± 55 and 503 ± 20 mL CH₄/g VS, respectively (Fig. 5 A, B),
382 which entails an increase of 28.6% when the substrate is thermally pre-treated and the
383 phenolic compounds are extracted. The maximum methane productions for SE2 and the
384 mixture of SP and DLP from SE2 were 324 ± 6 and 386 ± 26 mL CH₄/g VS,
385 respectively (Fig. 5 A, B), which entails an increase of 19 % when the substrate is
386 thermally pre-treated, and the phenolic compounds are extracted. The maximum
387 methane productions for RE and the mixture of SP and DLP from RE were 334 ± 15
388 and 371 ± 0 mL CH₄/g VS, respectively (Fig. 5 A, B), which entails an increase of 11%
389 when the substrate is thermally pre-treated, and the phenolic compounds are extracted.
390 Table 5 shows the values of methane production rate, R_m , obtained by the transference
391 function model applied to the BMP test of untreated SE1 and the mixture of SP and
392 DLP from SE1 and by the logistic model (Sigmoidal 4 parameters) applied to the BMP
393 test of untreated SE2, RE, mixture of SP and DLP from SE2 and mixture of SP and
394 DLP from RE. The r^2 values were higher than 0.98 in all cases (Table 5). Likewise, the
395 low values of the errors and standard errors of estimates also indicated a good fit of the
396 experimental data to the proposed models in all cases tested (Table 5). R_m for the
397 untreated SE1 was 14.3% higher than that obtained for the mixture of SP and DLP from
398 SE1. Opposite to this, R_m for the mixture of SP and DLP from SE2 was 34.1% higher
399 than that obtained for untreated SE2 (Table 5), similar to the R_m for the mixture of SP
400 and DLP from RE, which was 7.8% higher than that obtained for untreated RE.

401 The sieving difference in the industrial process to obtain the extrudates, 1.5 mm
402 for SE1 and 0.5 mm for SE2 and RE, could have influence on the matter digestibility by

403 the microorganisms, since it was observed that the substrates that produced the greatest
404 amount of methane were those of larger particles (SE1 and the mixture of SP and DLP
405 from SE1, sieve 1.5 mm). Theoretically, the smaller the size of the particles, the greater
406 the degradability of the matter by the microorganisms [46], since, logically,
407 microorganisms can more easily degrade particles of smaller size and more hydrolyzed
408 than particles with a larger size, which they must break down and previously degrade.
409 The result obtained in this study was already described by other authors [47], who
410 pointed out that smallest particles contained a higher concentration of recalcitrant
411 compounds (compounds resistant to biodegradation) than particles with a larger size.

412 *3.4. Economic assessment.*

413 The minimum sales price of phenol extract for a zero net benefit, expressed as
414 €/g gallic acid equivalents, was calculated for each of the studied extrudates,
415 corresponding to cases 2, 4 and 6 of Table 6. The most economically favorable case
416 corresponded to the RE, from 0.203 €/g gallic acid equivalents, thus indicating that the
417 proposed biorefinery approach would be economically feasible. This was 63.5 % lower
418 than in the case of SE1, where the minimum phenol sales price for profitability was
419 0.556 €/g gallic acid equivalents. In the case of SE2, the minimum sales price of the
420 phenols was calculated to be 1.23 €/g gallic acid equivalents, several times higher than
421 the most favorable case of RE. This may be due to the lower initial amount of phenolic
422 compounds present in SE2, compared to the other two cases (Table 1).

423 Although the steam consumed in RE, 2.93 kg steam/kg extrudate, was much
424 higher than in the cases of SE1 and SE2, 1.93 and 0.44 kg steam/kg extrudate,
425 respectively, the extracted phenolic compounds are also much higher in RE, 2.40 g
426 gallic acid equivalents/kg extrudate versus 0.88 g gallic acid equivalents/kg extrudate in
427 SE1 and 0.39 g gallic acid equivalents/kg extrudate in SE2. In addition, the methane
428 yield of RE was higher than in SE1 and SE2 (Table 6). According to the above, the
429 higher steam consumption of the RE case was largely compensated by a higher methane
430 yield and a better phenol extraction.

431 The high methane production and phenol recovery of any of the three
432 substrates, make them viable substrates for the proposed biorefinery approach. So, in
433 the same industrial plant could be treated without the need to make changes in the
434 operational process. Likewise, although pre-treated extrudates generate greater methane
435 production than untreated extrudates, if the extraction would not be possible, either due
436 to a possible lowering of the value of the extracted compounds or to any technical

437 difficulty that would involve the implementation of the process, just the anaerobic
438 digestion of any of the extrudates without pre-treatment would also give a high methane
439 production and net benefit as seen in cases 1, 3 and 5 of Table 6.

440

441 **4. Conclusions**

442 Hydrothermal pre-treatment enhanced the subsequent extraction of valuable
443 phenolic compounds from the three studied extrudates, i.e. 876, 392 and 2,402 mg of
444 gallic acid equivalents/kg extrudate in SE1, SE2 and RE, respectively. Anaerobic
445 digestion of the remaining biomass after extraction showed high methane production,
446 ranging between 371-503 mL CH₄/g VS. The economical assessment showed that the
447 proposed biorefinery approach would offer higher benefits than just anaerobic digestion
448 of the untreated extrudate, although this last option would be economically feasible as
449 well.

450

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Table 1. Physicochemical characterization of untreated strawberry and raspberry extrudates.

		Strawberry Extrudate 1	Strawberry Extrudate 2	Raspberry Extrudate
		(SE1)	(SE2)	(RE)
pH		2.8 ± 0.1	3.7 ± 0.1	3.0 ± 0.1
Moisture	%	85.4 ± 0.7	85.5 ± 2.4	74.0 ± 1.7
TS	mg/kg	146,095 ± 1,210	144,680 ± 3,985	260,415 ± 5,895
MS	mg/kg	5,765 ± 260	5,345 ± 520	4,645 ± 200
VS	mg/kg	140,325 ± 955	139,335 ± 4,425	255,770 ± 5,925
COD	mg O₂/g VS	1,210 ± 45	1,440 ± 65	985 ± 45
sCOD	mg O₂/g VS	400 ± 5	340 ± 10	100 ± 5
(sCOD/COD) ratio	%	33	24	10
C/N ratio		22.6	24.2	27.8
Total sugars	mg Glucose/g VS	267.0 ± 10.9	14.5 ± 0.1	9.1 ± 0.4
Total phenols	mg Gallic Acid/g VS	40.2 ± 1.5	15.7 ± 0.7	16.9 ± 0.4
Uronic acids	mg Galacturonic Acid/g VS	0.0267 ± 0.0004	0.0451 ± 0.0017	0.0048 ± 0.0002

Table 2. Physicochemical characterization of untreated strawberry and raspberry extrudates and different fractions obtained after the hydrothermal pre-treatments and extraction of phenolic compounds.

Phases	pH	TS (mg/kg Ext.)	MS (mg/kg Ext.)	VS (mg/kg Ext.)	%Moist.	COD (mg O ₂ /kg Ext.)	sCOD (mg O ₂ /kg Ext.)
SE 1	2.8 ± 0.1	146,095 ± 1,210	5,765 ± 260	140,325 ± 955	85.4 ± 0.7	169,900 ± 6,230	56,030 ± 315
SP	3.0 ± 0.1	83,830 ± 488	2,410 ± 135	81,420 ± 510	81.9 ± 0.5	111,605 ± 4,755	9,475 ± 370
LP	3.3 ± 0.1	49,655 ± 1,150	3,145 ± 180	46,510 ± 1,325	98.0 ± 2.3	59,800 ± 1,555	50,370 ± 435
DLP	3.3 ± 0.1	40,730 ± 625	2,900 ± 125	37,825 ± 555	98.3 ± 1.5	50,200 ± 785	42,055 ± 615
SE 2	3.7 ± 0.1	144,680 ± 4,605	5,345 ± 600	139,335 ± 5,105	85.5 ± 2.7	200,365 ± 7,730	47,235 ± 390
SP	4.4 ± 0.1	95,695 ± 3,940	4,550 ± 2,025	91,145 ± 3,945	82.1 ± 3.4	142,305 ± 2,600	16,595 ± 350
LP	3.9 ± 0.1	34,295 ± 365	1,685 ± 825	32,610 ± 775	96.2 ± 1.0	43,815 ± 900	44,450 ± 1,510
DLP	3.6 ± 0.1	31,695 ± 465	2,275 ± 580	29,415 ± 665	96.5 ± 1.4	32,860 ± 125	39,100 ± 1,280
RE	3.0 ± 0.1	260,410 ± 5,895	4,645 ± 200	255,770 ± 5,925	74.0 ± 1.7	252,225 ± 9,365	26,205 ± 665
SP	3.7 ± 0.1	184,105 ± 7,390	1,585 ± 260	182,520 ± 7,155	50.9 ± 2.0	232,420 ± 8,725	2,635 ± 65
LP	3.9 ± 0.1	36,340 ± 1,075	3,080 ± 660	33,265 ± 435	99.0 ± 2.9	45,750 ± 485	43,135 ± 1,235
DLP	4.0 ± 0.1	29,845 ± 665	2,660 ± 485	27,190 ± 815	99.2 ± 2.2	34,540 ± 545	40,440 ± 165

Table 3. Glycoside composition (g sugar/kg extrudate), total monosaccharides (Total MS) and total oligosaccharides (Total OS) and hydroxymethylfurfural (HMF, mg/kg extrudate) of the strawberry and raspberry extrudates (SE 1; SE 2; RE), solid phase (SP), liquid phase (LP) and de-phenolized liquid phase (DLP). The analysed sugars are **Rhamnose (Rha)**, **Fucose (Fuc)**, **Arabinose (Ara)**, **Xylose (Xyl)**, **Mannose (Man)**, **Galactose (Gal)** and **Glucose (Glu)**. n.d.: non-detected.

Phases	Rha	Fuc	Ara	Xyl	Man	Gal	Glu	Total OS	Total MS	HMF
SE1	n.d	n.d	n.d	2.40 ± 0.07	9.48 ± 1.17	n.d	25.30 ± 2.82	2.47 ± 2.06	37.18 ± 3.06	n.d
SP	n.d	n.d	n.d	0.33 ± 0.02	1.57 ± 0.01	n.d	3.22 ± 0.70	0.45 ± 0.12	5.12 ± 0.70	611 ± 10
LP	n.d	n.d	0.31 ± 0.05	0.72 ± 0.09	7.20 ± 0.38	0.14 ± 0.03	22.28 ± 2.67	1.44 ± 0.33	30.65 ± 2.70	2,411 ± 10
DLP	n.d	n.d	0.21 ± 0.02	0.58 ± 0.07	5.88 ± 0.28	0.21 ± 0.18	18.20 ± 0.03	1.06 ± 0.31	25.08 ± 0.34	838 ± 10
SE2	0.11 ± 0.01	n.d	0.34 ± 0.01	0.80 ± 0.03	3.68 ± 0.07	n.d	0.22 ± 0.01	5.76 ± 0.47	5.14 ± 0.08	n.d
SP	0.07 ± 0.00	n.d	0.26 ± 0.00	0.36 ± 0.02	1.04 ± 0.12	n.d	0.20 ± 0.05	1.97 ± 0.13	1.93 ± 0.13	n.d
LP	n.d	n.d	0.14 ± 0.01	0.38 ± 0.04	3.89 ± 0.19	0.14 ± 0.12	12.04 ± 0.02	2.08 ± 0.14	16.6 ± 0.23	6,359 ± 10
DLP	0.06 ± 0.00	n.d	0.28 ± 0.01	0.50 ± 0.01	4.37 ± 0.18	n.d	8.09 ± 0.26	1.23 ± 0.20	13.35 ± 0.32	2,195 ± 10
RE	0.08 ± 0.00	n.d	0.31 ± 0.00	0.34 ± 0.01	0.28 ± 0.02	0.09 ± 0.00	0.41 ± 0.02	1.28 ± 0.10	1.52 ± 0.05	n.d
SP	0.02 ± 0.00	n.d	0.08 ± 0.00	0.06 ± 0.00	0.12 ± 0.03	0.01 ± 0.00	0.12 ± 0.01	0.08 ± 0.06	0.41 ± 0.03	315 ± 10
LP	0.11 ± 0.00	n.d	0.80 ± 0.00	0.42 ± 0.00	3.43 ± 0.27	0.14 ± 0.01	10.85 ± 0.01	1.95 ± 0.28	15.79 ± 0.27	3,269 ± 10
DLP	0.09 ± 0.00	n.d	0.68 ± 0.00	0.36 ± 0.01	2.47 ± 0.13	0.10 ± 0.01	8.17 ± 0.63	1.83 ± 0.18	11.88 ± 0.64	1,418 ± 10

Table 4. Physicochemical characterization of the effluents of the anaerobic digestion process at the end of the BMP tests.

		SE1	SE1 Mixture (SP and DLP)	SE2	SE2 Mixture (SP and DLP)	RE	RE Mixture (SP and DLP)
pH		7.4 ± 0.1	7.4 ± 0.1	7.7 ± 0.1	7.8 ± 0.1	7.6 ± 0.1	7.8 ± 0.1
Alkalinity	mg CaCO₃/L	6,979 ± 390	7,526 ± 391	7,468 ± 97	7,477 ± 137	6,072 ± 88	6,857 ± 28
TS	mg/kg	22,960 ± 625	23,760 ± 690	24,090 ± 1,140	24,690 ± 315	14,750 ± 560	18,015 ± 510
MS	mg/kg	8,095 ± 160	8,040 ± 445	10,420 ± 940	11,220 ± 290	6,445 ± 900	9,775 ± 440
VS	mg/kg	14,865 ± 655	15,720 ± 600	12,940 ± 295	13,470 ± 345	7,940 ± 490	8,460 ± 375
COD_s	mg O₂/L	1,775 ± 170	1,055 ± 190	700 ± 95	935 ± 45	525 ± 10	925 ± 180
Total Phenols	mg Gallic acid/g VS	42 ± 2	57 ± 3	96 ± 2	108 ± 6	38 ± 3	63 ± 2
Theoretical Production	mL CH₄/g VS	463	518	549	552	377	486
Experimental production	mL CH₄/g VS	391 ± 55	503 ± 20	324 ± 6	386 ± 26	334 ± 15	371 ± 0

Table 5. Values of the parameters obtained from the **Transference Function model and Logistic model (Sigmoidal 4 parameters)** for the different substrates studied.

		SE1	SE1 Mixture (SP and DLP)	SE2	SE2 Mixture (SP and DLP)	RE	RE Mixture (SP and DLP)
B_m	mL CH₄/g VS	376 ± 3	490 ± 5	-	-	-	-
P	mL CH₄/g VS	-	-	256 ± 4	311 ± 2	329 ± 3	349 ± 3
B_0	mL CH₄/g VS	-	-	70 ± 3	75 ± 1	10 ± 1	21 ± 2
R_m	mL CH₄/ (g VS · d)	144 ± 5	126 ± 5	41 ± 1	55 ± 2	38 ± 2	41 ± 1
λ	d	2.2·10 ⁻⁹	3.5·10 ⁻⁹	9.34 ± 0.06	9.44 ± 0.02	12.01 ± 0.06	9.78 ± 0.07
r^2		0.9884	0.9849	0.9981	0.9997	0.9983	0.9970
Error*	%	3.8	2.5	1.8	3.1	1.2	5.5
S.E.E**		11.27	17.57	4.62	2.15	5.28	7.78

*Error $((B_m \text{ experimental} - B_m \text{ model}) / B_m \text{ experimental}) \cdot 100$

**S.E.E.: Standard error of estimate

Table 6. Net benefits for the different cases.

Case	1	2	3	4	5	6	
Waste	SE1	SE1	SE2	SE2	RE	RE	
Pre-treatment	NO	YES	NO	YES	NO	YES	
Mass balance (per kg of extrudate)							
Methane yield (L)	46.64	50.98	38.37	39.56	72.61	66.13	
Initial phenols compounds (g gallic acid)	5.64	5.64	2.19	2.19	4.34	4.34	
Extracted phenols compounds (g gallic acid)	0.00	0.88	0.00	0.39	0.00	2.40	
Consumed steam (kg)	0.00	1.93	0.00	0.44	0.00	2.93	
Benefits (€/kg extrudate) ^{*1}							
Methane avoided	0.010	0.0	0.008	0.006	0.015	0.0	
Electricity	0.015	0.016	0.012	0.012	0.022	0.020	
Total	0.025	0.016	0.020	0.018	0.037	0.020	
Costs (€/kg extrudate)							
Methane Consumed	0.000	0.003	0.000	0.000	0.000	0.007	
Phenols Extraction	0.000	0.500	0.000	0.500	0.000	0.500	
Total	0.000	0.503	0.000	0.500	0.000	0.507	
Net Benefit (€/kg extrudate)	Total	0.025	- 0.487	0.020	-0.482	0.037	-0.487
Minimum prices for phenols extract							
(for positive Net Benefit) (€/g gallic acid)	-	0.556	-	1.23	-	0.203	

*¹ Excluding incoming from phenols extract sales

Figure Captions

Figure 1. Total sugars expressed as milligrams of glucose equivalents per kilogram of extrudate for untreated strawberry and raspberry extrudates and different fractions obtained after the hydrothermal pre-treatments and extraction of phenolic compounds.

Figure 2. Uronic acids expressed as grams of galacturonic acid per kg of extrudate for untreated strawberry and raspberry extrudates and different fractions obtained after the hydrothermal pre-treatments and extraction of phenolic compounds.

Figure 3. Total phenols expressed as milligrams of gallic acid equivalents per kg of extrudate for untreated strawberry and raspberry extrudates and different fractions obtained after the hydrothermal pre-treatments and extraction of phenolic compounds.

Figure 4. Antioxidant capacity determined by antiradical activity (DPPH) (**A**) and reducing power (**B**) methods of each LP obtained after hydrothermal pre-treatments and of each DLP obtained after extraction of phenolic compounds.

Figure 5. Methane production (mL CH₄/g VS) of untreated extrudates (**A**) and of pre-treated extrudates (**B**).

Figure 1.

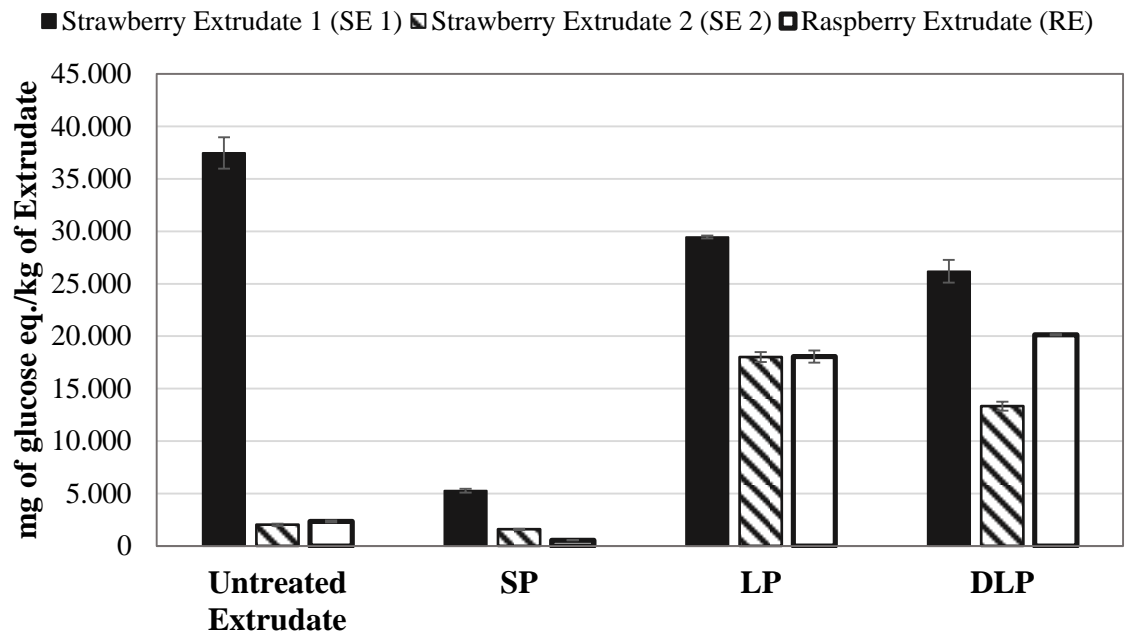


Figure 2.

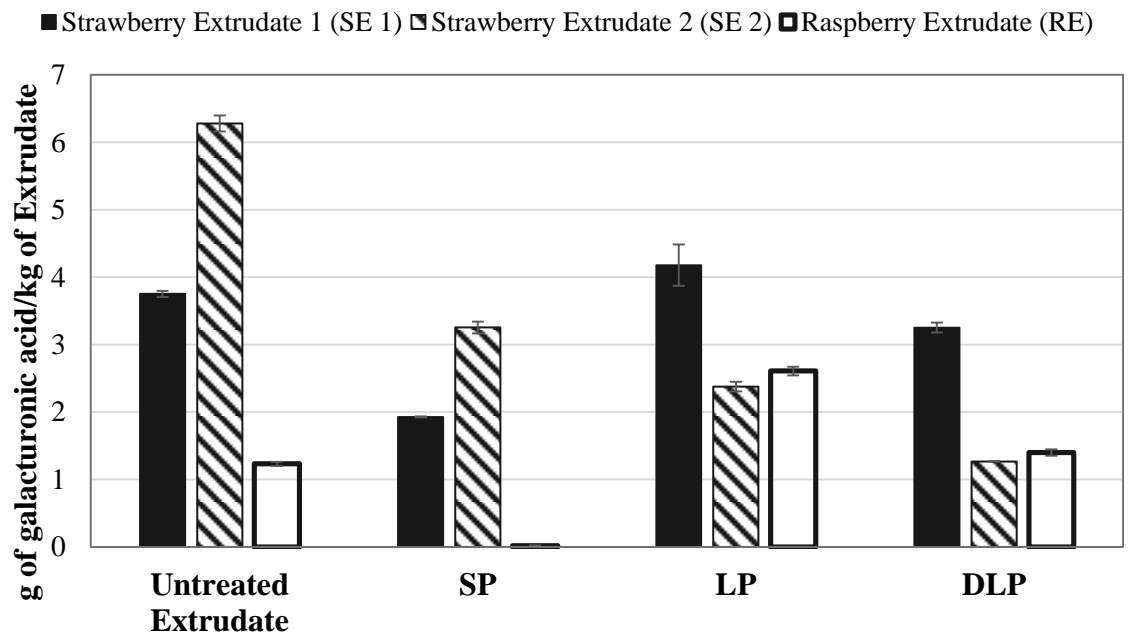


Figure 3.

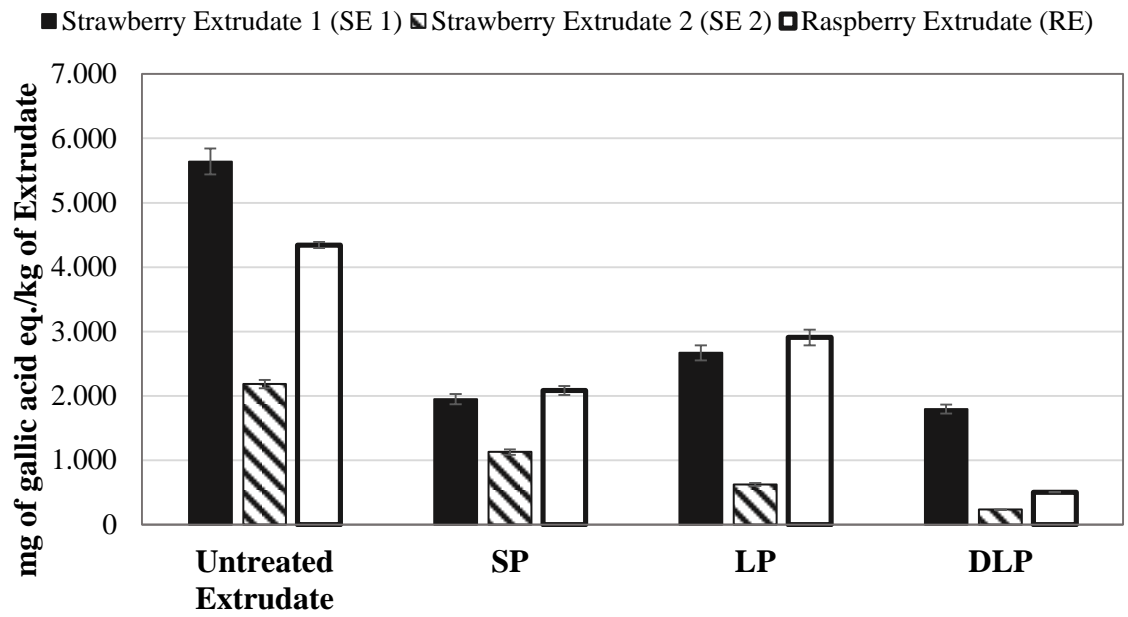
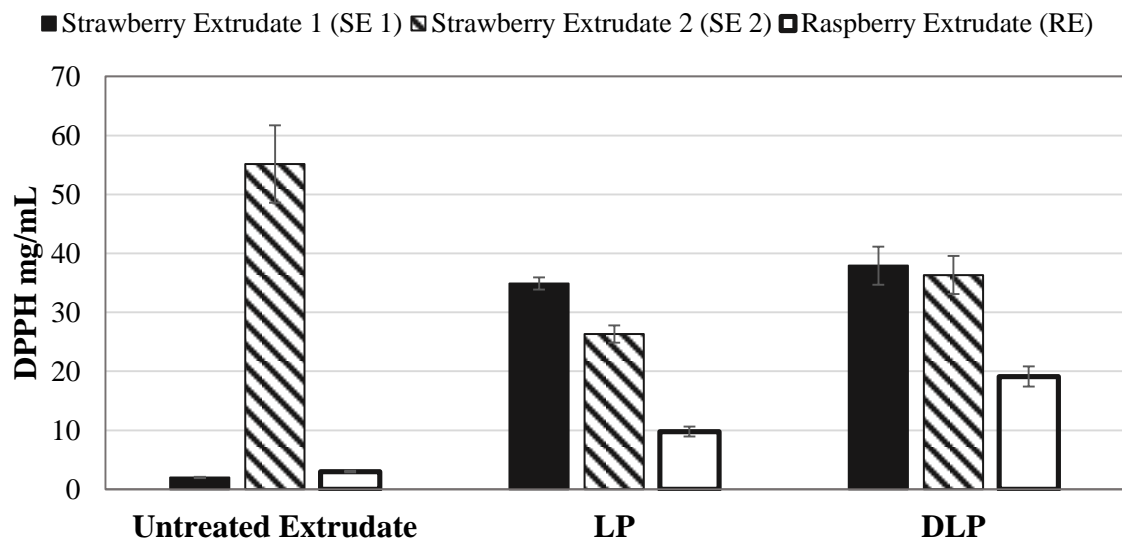


Figure 4.

A)



B)

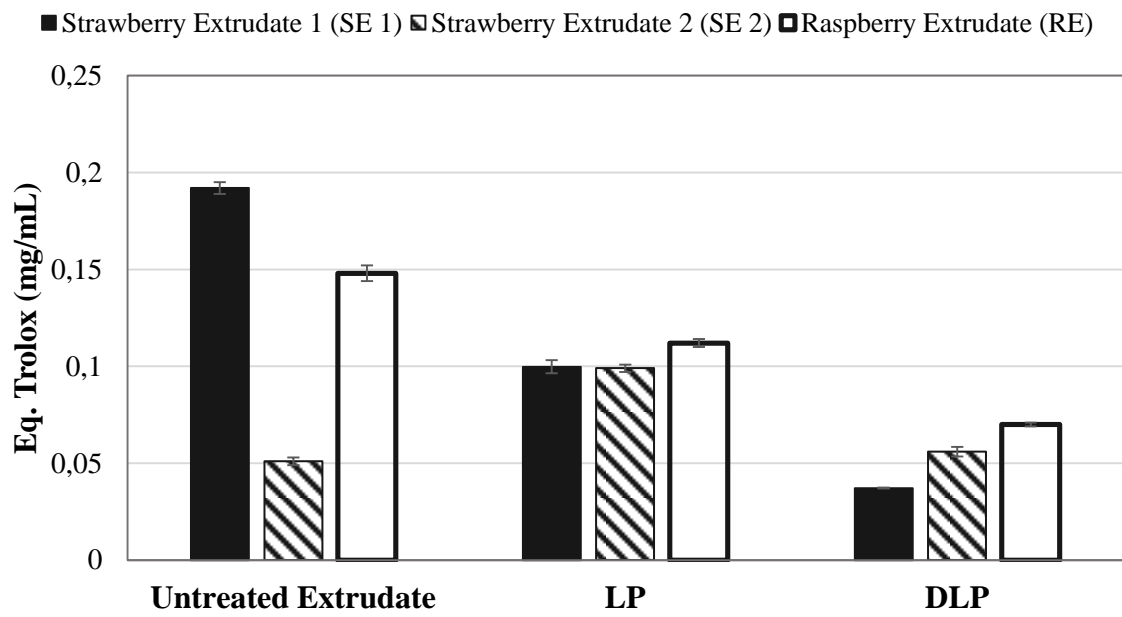
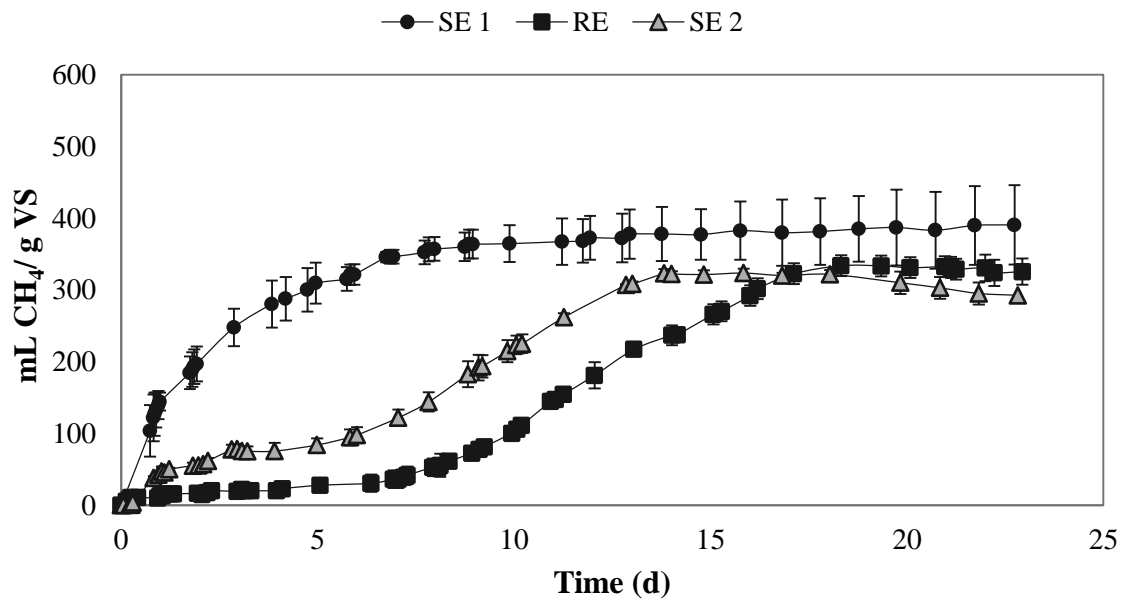


Figure 5.

A)



B)

