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**ELECTROCOAGULATION/FLOCCULATION OF CYANOBACTERIA FROM
SURFACE WATERS**

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Highlights

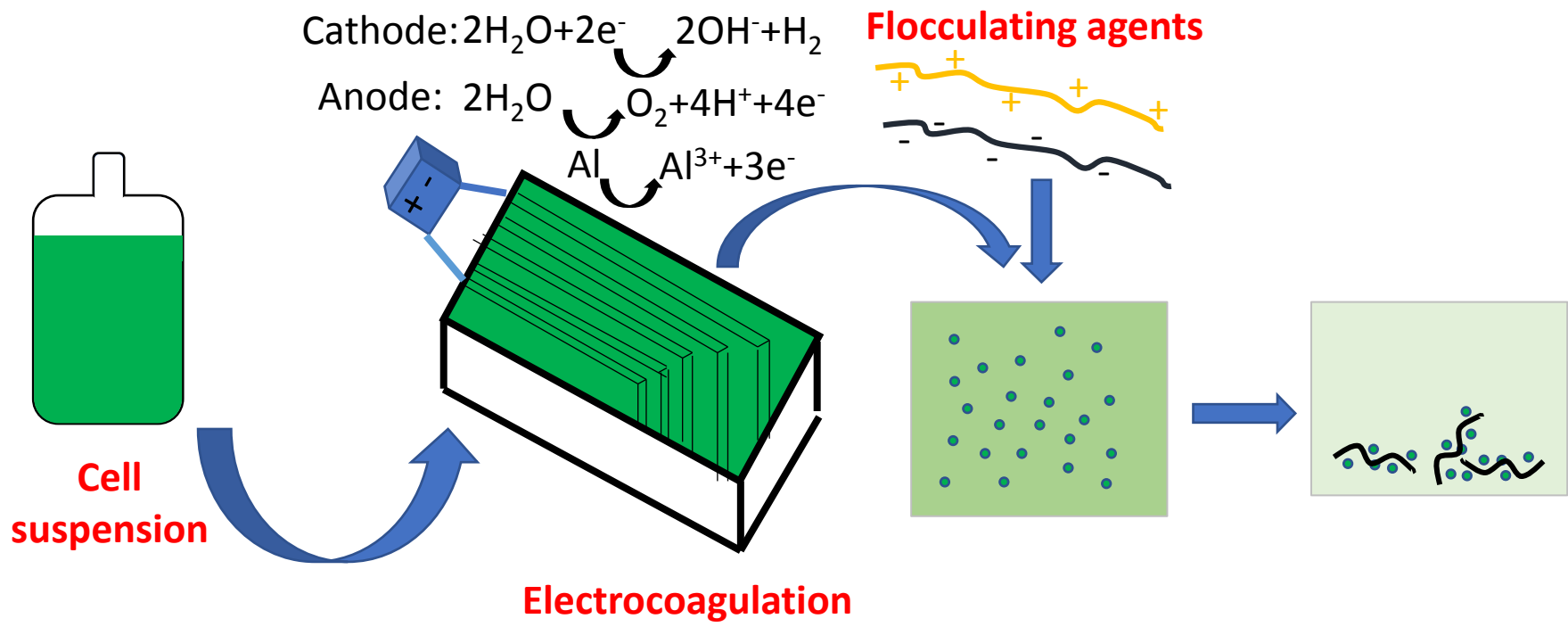
Electrocoagulation of cyanobacteria under low charge loading was optimal

Cell inactivation did not occur through complete lysis

Low doses of anionic flocculants reduce turbidity very efficiently

Extracellular organic matter interferes during flocculation

Combination of electrocoagulation and flocculation is economically feasible



1 **ABSTRACT**

2 Cyanobacterial blooming episodes in surface waters used for drinking purposes are
3 increasing due to eutrophication of water ecosystems. Water treatments should be
4 optimised to remove phytoplankton cells as well as their associated toxins. The
5 performance of a multicell electrocoagulation reactor operating under a continuous flow
6 and coupled with flocculation for cyanobacteria removal was examined.

7 Electrocoagulation of a suspension of the unicellular cyanobacteria *Microcystis*
8 *aeruginosa* and its related toxin microcystin-LR, as a model of toxic cyanobacterium
9 and cyanotoxin, respectively, showed that cell removal occurred through charge
10 neutralisation with the Al hydroxides generated in the system, whereas the toxin did not
11 undergo electrolytical processes. Cell inactivation-was a function of the charge loading
12 and the cell population. Inactivation of a 10^5 cells/ mL suspension was 34% for a charge
13 loading of 50 C/L whereas only 3% was achieved by increasing cyanobacteria
14 concentration by one-order of magnitude. Flocculation of the electrocoagulated
15 suspensions with anionic polyelectrolytes revealed a bridging mechanism, whereas an
16 electrostatic patch aggregation was involved with cationic flocculants.

17 Electrocoagulation/flocculation of a cyanobacterial blooming surface water (about 10^6
18 cells/mL) showed quite good concordance of cell inactivation values (9.7-10.7%) with
19 those detected previously with *Microcystis aeruginosa* (5.4%). However, the
20 performance of the flocculants was slightly worse as determined from the residual
21 turbidity values (17% vs. 7% in pure cultures of *M. aeruginosa*) due to the different
22 compositions of the extracellular organic matter.

23 .

24 **Key words:** electrocoagulation, flocculation, cyanobacteria, microcystins

25

26 1. INTRODUCTION

27 Cyanobacteria (blue-green algae) are found in many water ecosystems. High light
28 irradiation and the presence of a high concentration of nutrients, especially relevant
29 nitrogen and phosphorus, are responsible for cyanobacterial blooms with subsequent
30 deterioration of water quality by scum formation, hypoxia, toxin formation, bad taste
31 and odors (Lopez et al., 2008). Cyanobacterial blooming episodes have been increasing
32 as a result of the eutrophication of freshwater systems, many of which are used for
33 drinking purposes (Roegner et al., 2014; Huisman et al., 2018). The maintenance of
34 installations used in water treatment plants requires the control and removal of
35 cyanobacterial blooms for safer operation conditions, to avoid the clogging of devices.
36 In addition, removal of secondary metabolites (cyanotoxins) is needed because of their
37 high toxicity. Cyanotoxins are diverse in chemical structure and toxicity. For example,
38 microcystins are cyclic peptides with more than 80 variants but only four (LR, RR, LA
39 and YR) are of special concern due to their high hepatotoxicity and as potent tumour
40 promoters (Westrick et al., 2010). The WHO has established a guideline of 1 µg/ L in
41 drinking waters for the LR-variant.

42 On one hand water purification requires the removal of intact algae to avoid the
43 release of endotoxins by cell lysis; on the other hand, it requires the removal of
44 extracellular toxins. Therefore, the sequence of several water treatment units should be
45 optimised. Studies of the performance of different utilities used in drinking water
46 treatment plants (DWTP) for cyanobacteria removal are scarce. Clarification processes
47 are very efficient for cell removal (Drikas et al., 2001; Teixeira et al., 2017), but the
48 efficiency of these processes is species dependent (Henderson et al., 2008). Zamyadi et
49 al. (2012) obtained values larger than 99% for removal by conventional coagulation-
50 flocculation (C/F) processes of *Microcystis*, *Anabaena* and *Pseudoanabaena*; however,

51 *Aphanizomenon* removal with sand-anthracite filters was relatively poor (54-74%) and
52 lysis of cyanobacterial cells was observed. Further chlorination did not reduce the total
53 number of cells below the WHO Alert Level 1. Monitoring studies in seven DWTPs in
54 Egypt showed poor performance in the removal of *Oscillatory limnetica* using
55 conventional treatments (Mohamed, 2016). In several cases, microcystin concentration
56 was detected above the WHO guideline due to cell lysis during the C/F process. Shang
57 et al. (2018) also reported that the efficiency of the C/F processes in cyanobacteria
58 removal in DWTPs depended on the dominant seasonal species.

59 In recent years, electrocoagulation (EC) has received significant attention as an
60 alternative method to conventional C/F processes for remediation of waters (El-
61 Ashtoukhy et al., 2017; Gilhotra et al., 2018). The advantages of EC over conventional
62 C/F can be summarised as: (i) coagulants are electrogenerated in situ so that the
63 presence of competitive/interfering anions added as coagulant salts is avoided; (ii)
64 adjustment of pH is not necessary except for extreme cases; (iii) more effective and
65 rapid organic matter separation; (iv) flotation processes through the attachment of
66 pollutants/particles to microbubbles of oxygen and hydrogen generated in the system
67 increased the separation efficiency; (v) a smaller amount of coagulant is required with
68 the subsequent lower sludge production; and (vi) the operating costs are lower (Mollah
69 et al., 2004; Garcia-Segura et al., 2017).

70 Application of EC to algae removal is mainly aimed at harvesting biofuel
71 production (Fayad et al., 2017; Shi et al., 2017). Most studies have focused on the
72 influence of operating parameters such as current intensity, initial algae cell density,
73 material of the electrodes used, pH, etc. Aluminium and iron are the most commonly
74 used electrodes, but in the particular case of microalgae, the removal efficiency of Al
75 electrodes was higher than those of Fe, with the added advantage of reduced energy

76 consumption because of its larger dissociation and reduced electrode passivation by
77 oxide formation (Gao et al. 2010; Souza et al., 2016). The effect of current intensity is
78 related to the ohmic resistance of the suspension, and therefore, the greater the inter-
79 electrode distance, the greater the applied potential should be for identical metal
80 dissolution of the sacrificial anode. This effect was more pronounced with Fe electrodes
81 (Valero et al., 2015). Another factor influencing the efficiency of the EC process is the
82 composition of the biomass; the presence of chloride ions can generate chlorine and
83 enhance cell inactivation (Hakizimana et al., 2016). Cell abatement during the EC
84 process is positively correlated with temperature, pH and conductivity (Boudjema et al.,
85 2014). In the vast majority of studies, the system used consisted of a two-electrode EC
86 cell operating in a galvanostatic mode and tested in batch. In order to operate at a
87 workable rate of metal dissolution under realistic conditions, the use of electrodes with
88 large surface areas are required. This may be achieved by using several pairs of
89 electrodes connected in monopolar mode (Mollah et al., 2004). In the current study, the
90 EC/sedimentation of an algae suspension using a multi-electrode cell was studied.
91 During the first stage, the removal of the unicellular *Microcystis aeruginosa* and
92 microcystin-LR, as a model of toxic cyanobacterium and cyanotoxin, respectively, was
93 tested. Then, flocculation of the coagulates was assayed using polyelectrolytes of
94 different ionicities and molecular weights. Finally, the conditions previously determined
95 with *Microcystis* sp. were used to examine cyanobacteria removal from bloomed surface
96 water.

97

98 2. MATERIALS AND METHODS

99 2.1. Materials.

100 Dream Taq PCR Master Mix, DNA loading and 100 bp DNA Ladder gTPbio
101 were supplied by Thermo Fisher Scientific (MA, USA), ethidium bromide by Sigma-
102 Aldrich (MO, USA) and low EEO D1 agarose by BIOMOL (Hamburg, Germany). The
103 polyelectrolytes Chemifloc AN 270 (AN270), Chemifloc AN 870 (AN870), Chemifloc
104 PA47 (PA47) and Chemifloc AA (CAA) were kindly supplied by Chemipol S.A.
105 (Barcelona, Spain). The polyelectrolytes AN270, AN870 and CAA are anionic
106 flocculants with ionicity % mole of 20, 10 and 8. Their molecular weights are 10×10^3
107 kDa for AN270, 11×10^3 kDa for AN870 and 8×10^3 kDa for CAA. A cationic starch
108 (CS) with ionicity 22% mole was purchased from Penford Co. (CO, USA).
109 Cyanobacteria bloom surface water was collected from a water reservoir located in
110 Carrión de los Céspedes (Sevilla, Spain).

111

112 2.2. Cyanobacterial culture.

113 *Microcystis aeruginosa* PCC7806 (hereafter referred to as *Microcystis* sp.) was
114 obtained from the Pasteur Culture Collection and grown in BG11 medium (Rippka et
115 al., 1979) supplemented with 17.5 mmol/L NaNO₃ and 10 mmol/L NaHCO₃, under a
116 bubbling mixture of air/CO₂ (1% v:v) at 25°C with a light intensity of $75 \mu\text{E m}^2/\text{s}^+$
117 under continuous light.

118

119 2.3. Electrochemical set-up.

120 A cylindrical tank (11 cm radius and 33 cm height) with 10 L of cyanobacteria
121 suspension was connected to a peristaltic pump followed by a flowmeter that permitted
122 a set-up flow between 5 and 35 L/h, before the EC unit began operating under

123 continuous flow to reduce the time for electrocoagulation, and at the same time,
124 increase the amount of purified water relative to batch EC systems.-The surface of every
125 electrode used was 162 cm². The electrocoagulation unit was formed by five lead 3 mm
126 thick Al electrodes in parallel connected in monopolar mode to a generator providing a
127 current intensity (*j*) up to 37 mA/cm². The inter-electrode distance was 0.5 cm. The EC
128 unit worked in a bottom-top mode and operated in a potentiostatic mode between 5 and
129 20 V, the intensity being recorded. The volume of the EC cell was 0.604 L (8.5 cm base
130 x 12.5 cm width x 5.5 cm height). The hydraulic retention time (HRT) in the cell, i.e.,
131 the EC time, was set up to 1.0 and 2.2 min. A cubic receiver tank was fixed at the output
132 with a capacity of 3.4 L (15 cm base). After conducting an EC experiment, the
133 electrodes were washed with a 2% HCl solution, and then rinsed with water until no
134 chloride was detected as a precipitate by addition of AgNO₃.

135 The dosage rate of coagulant in the reactor is dependent on the EC time and the
136 current density which determines the consumption of the material of the anode. These
137 two parameters are related through the charge loading, which is defined as the charges
138 transferred in electrochemical reactions for a given amount of water treated.

139 The charge loading was calculated by:

140
$$Q = \frac{I t_{EC}}{V} \quad \text{Eq. [1]}$$

141 where *Q* is the charge loading (C/L), *I* is the applied current (A), *V* is the volume of the
142 EC cell, and *t_{EC}* is the EC time or HRT (min).

143 The amount of dissolved Al was theoretically determined by the use of
144 Faraday's law:

145
$$W = \frac{j t M}{n F} \quad \text{Eq. [2]}$$

146

147 where w is the quantity of electrode material dissolved (mg of Al / cm²), j the current
148 density (A/ cm²), t is the time in s , M is the relative molar mass of the electrode (26.982
149 g/ mol), n the number of electrons in oxidation/reduction reaction (3) and F - is
150 Faraday's constant (96485 C/ mol).

151

152 2.4. Cell enumeration.

153 *Microcystis aeruginosa* grown in axenic cultures was quantified using a flow
154 cytometer based on its autofluorescence. In surface water samples, the enumeration
155 associated with three size ranges for unicellular (3-8µm), filamentous (9-17 µm)
156 cyanobacteria and larger (18-30 µm) microorganisms were estimated using a particle
157 counter (Beckmann Coulter Z2, USA).

158

159 2.5. DNA extraction and PCR analysis.

160 A 50 mL aliquot of water was harvested by centrifugation (10000g for 5 min at
161 4°C); and the DNA of the cell pellets was extracted by a standard phenol-chloroform
162 procedure (Sambrook and Russell, 2001). PCR analysis of the extracted DNA was
163 performed using the primer pairs in Table S1 under the conditions described in detail in
164 Gkelis and Zaoutsos (2014). Thermal cycling was carried out using an Eppendorf
165 MasterCycler Pro. PCR products were separated with a 2% (w/v) agarose gel in 1X
166 TAE buffer stained with ethidium bromide and photographed under UV
167 transillumination.

168 PCR products were cloned into *E. coli* using a TOPO-TA cloning kit (Invitrogen,
169 USA). Random clones were sequenced. A homologue search using BLAST (NCBI)
170 allowed identification of the closest homologues.

171

172 2.6. Flow cytometer analysis

173 A FC500 flow cytometer (Beckman Coulter, USA) equipped with an air cooled 20
174 mW argon laser and an additional red diode laser emitting at fixed wavelengths of 488
175 and 630 nm, respectively, was used for measurement. Fluorescent filters and detectors
176 were all standard with green fluorescence collected in channel FL1 (525 nm) and orange
177 fluorescence in channel FL3 (620 nm). Probe fluorescence, chlorophyll a fluorescence,
178 forward scatter-FSC (cell size) and side scatter-SSC (cell granularity) data were
179 collected and analysed using CXP software. FSC and FL1 were used to quantify and
180 gate the cyanobacterial cells. The histogram plot was divided into two regions: counting
181 of particles emitting fluorescence were assigned to live cells, and particles with no
182 emission of fluorescence to dead cells. FSC was previously fitted to determine
183 cyanobacteria only.

184 Flow cytometer analysis with surface waters were performed after sonication for 2
185 min under 360 W, for disruption of colonies and filamentous cells. Under these
186 conditions, a good agreement between the total cell count using a cell counter and those
187 determined by flow cytometer analysis was obtained.

188

189 2.7. Microcystin analysis.

190 Microcystin-LR was extracted from water samples (50 mL) using OASIS HLB
191 solid-phase extraction cartridges as described in Meriluoto and Spoof (2005).

192 Microcystin recoveries were greater than 95%. Analytical determination was performed
193 using a Shimadzu HPLC equipped with a PDA detector. The reverse phase column used
194 was a 15 cm Kromasil 100 C18 and the flow rate was 1 mL/min. The isocratic mobile
195 phase was acetonitrile with water acidified to pH 3.0 by trifluoroacetic acid at a 38:62

196 (v:v) ratio. The wavelength was fixed at 270 nm, retention time was 5 min, and the
197 detection limit was 0.015 µg/L.

198

199 2.8. Flocculation experiments.

200 One hundred mL of water samples (cyanobacterial cultures, electrocoagulated
201 suspensions) were placed into 250 mL beakers. In the case of electrocoagulated
202 suspensions, two charge loadings were used, 10 and 50 C/L, corresponding to low and
203 intermediate voltages. A magnetic stirrer was inserted into the beakers and the different
204 volumes of flocculants were added at 700 rpm. Stirring continued at the same speed for
205 3 min and then decreased to 200 rpm for 15 min. After settling times of 15 min, reading
206 of supernatant turbidity was performed. Residual turbidity was calculated as the fraction
207 remaining in the supernatant from the initial value (expressed as percent).

208

209 2.9 Analytical determinations.

210 Analyses were performed according to the ISO tools for conductivity
211 (7888:1985), concentrations of nitrate (ISO 7890-1-11986), chemical oxygen demand
212 (ISO 15705) and dissolved oxygen (ISO 17289). Measurement of ORP was conducted
213 using a Hach Lange sensor MTC101. pH was measured using a GLP21 Crison pH
214 meter. An ICP-OES (Varian model 720-ES) was used for the determination of the
215 concentrations of P, K, Na, Ca, Mg, S, and Al in waters. For the Al analysis, the
216 solutions were treated previously with a 4 N HNO₃ solution for dissolution of
217 precipitates. A Shimadzu TOC-VSCH analyser was used for organic carbon analysis
218 after filtration of water samples through 0.22 µm membrane filters (Prat Dumas,
219 France). UV₂₅₄ was measured using a UV-Visible Shimadzu model 1201
220 spectrophotometer. A Shimadzu Turbiquant model DR3000 was used for turbidity

221 measurements. Zeta potential and particle dimension measurements were performed
222 using a Zetasizer Nanosystem and a Mastersizer 2000 system (Malvern Instruments,
223 GB), respectively.

224

225 **3. RESULTS AND DISCUSSION**

226 **3.1. EC of *Microcystis* sp. cultures**

227 A study of cell survival of *Microcystis aeruginosa* as a function of the charge
228 loading was performed (Fig. 1). For a 10^5 cells/mL concentration, a charge loading of
229 10 C/L yielded survival values of 81.4 ± 1.8 and $85.7 \pm 2.7\%$ for 1.0 and 2.2 min HRT,
230 respectively, which are not significantly different. However, the current intensity used
231 for a HRT of 2.2 min was about two-fold lower. An additional advantage of using
232 higher HRTs is the extension in Q values by operating under identical current
233 intensities. Q reached maximum values of approximately 50 C/L with $34.8 \pm 0.5\%$ of
234 inactivated cells for HRT of 2.2 min, whereas lower values were obtained for an HRT
235 of 1 min (18C/L with $22.0 \pm 1.8\%$ of inactivation).

236 An analysis of cell survival based on Q values showed that this was strongly related
237 to the initial concentration of the cyanobacteria. The survival decreased concomitantly
238 with increased Q values and was steepest for the lowest cell concentration used. When
239 the total concentration was 10^5 cells/mL, the survival decreased from $85.7 \pm 0.5\%$ for a Q
240 value of 5 C/L to $65.7 \pm 0.1\%$ for a Q of 50 C/L. On the contrary, the latter charge
241 loading only reduced alive cells up to $92.8 \pm 0.2\%$ while the concentration of *Microcystis*
242 sp. increased by one order of magnitude. Again, no difference was noticed on cell
243 survival based on Q values obtained with different HRTs and current intensities. As an
244 example, a Q value of 28 C/L yielded 93.9 ± 0.3 and $95.5 \pm 0.7\%$ survival for HRT of 1
245 and 2.2 min, respectively.

246 Microcystin-LR was monitored during the EC process (Fig.2). The guideline value
247 of 1.0 µg/L set by the WHO for drinking waters was already reached by both initial
248 *Microcystis* sp. suspensions, which was especially relevant for the highest cell
249 concentration used, exceeding 2.5-fold the value above the regulatory limit. In both
250 suspensions, the use of Q values larger than 20 C/L increased the microcystin-LR
251 concentration drastically, from 2.06±0.02 to 6.74±1.14 µg/L for a 10⁵ cells/mL
252 suspension and from 3.30±0.20 to 4.78±0.18 µg/L for 10⁶ cells/mL . This increase was
253 more pronounced with the 10⁵ cells/mL suspension of cyanobacteria which paralleled
254 the inactivation percentages (Fig. 1) that increased from 20% for 20 C/L to 34% for 50
255 C/L, whereas only a 3% inactivation was achieved for a 10⁶ cells/mL suspension.

256 The microcystin- LR concentrations in the electrocoagulated suspensions were
257 relatively low if inactivation occurred with full rupture of the cells, because intracellular
258 microcystins can amount to 98% of the total (Chow et al., 1997). Cell inactivation has
259 been assigned to irreversible permeabilisation of the cellular membrane with leakage of
260 cytoplasmic components by formation of transient pores when exposed to an external
261 electric field (Gheraout and Gheraout, 2010). The formation of these transient
262 aqueous pathways in the lipid bilayer should exceed a threshold-value, below which no
263 decreased viability is observed (Canatella et al., 2001). In principle, the electric field
264 across the cellular membrane should exceed 1 V to form water-filled pores in the
265 membrane's lipid bilayer (Nuccitelli et al., 2006).

266 This transmembrane potential is dependent on experimental conditions as the pulse
267 or duration of the electric field (Abderrahmane et al., 2008). For a 10⁵ cells/ml
268 *Microcystis* sp. suspension, Q values had low inactivation values due to the fact that the
269 threshold value for electroporation was barely reached. The larger inactivation amounts
270 observed at higher Q values are mainly associated with increased EC times that avoid

271 the restoration of the membrane, thus largely increasing ion transfer and provoking
272 irreversible cellular damage. Other factors affecting the magnitude of the cell
273 overpotential are the ionic concentration in the bulk, types of electrolyte and cell
274 concentration (Jiménez Izquierdo et al., 2010). The lowest inactivation observed for
275 similar Q values, with increasing cell concentration from 10^5 to 10^6 cells/mL, was due
276 to increased local perturbations with denser cell suspensions of the electric field caused
277 by neighbouring cells (Canatella et al., 2001).

278 Oxidative stress could also be responsible for inactivation of *Microcystis* sp. cells.
279 Wei et al. (2011) pointed out that in the vicinity of the electrodes, a pH gradient may be
280 formed and if the system is not buffered, the production of toxic hydrogen peroxide at
281 the cathode is feasible. As oxygenic photosynthetic organisms, cyanobacteria are
282 regularly challenged by oxidative stress caused by reactive oxygen species, such as
283 superoxide anion radicals, hydrogen peroxide and hydroxyl radicals produced in the
284 light as a consequence of photosynthetic electron transport and oxygen evolution.
285 Therefore, cyanobacteria as well as plants and algae are equipped with potent
286 antioxidant systems (Latifi et al., 2009). Strong resistance to externally added hydrogen
287 peroxide was found in the cyanobacterial strains *Synechocystis* sp. PCC6803 and
288 *Anabaena* sp. PCC7120 (Pascual et al., 2010). Thus, cultures of *Synechocystis* and
289 *Anabaena* in their mid-exponential phase were able to sustain growth in the presence of
290 7.5 mmol/L and 2.5 mmol/L hydrogen peroxide, respectively. Indeed, hydrogen
291 peroxide added to such cultures at a concentration of 0.5 mmol/L was completely
292 decomposed after 5 min in *Synechocystis* and after 12 min in *Anabaena* (Pascual et al.,
293 2010). The content and composition of antioxidant enzymes among cyanobacteria vary
294 between species. Peroxiredoxins are thiol-dependent peroxidases and gene encoding
295 peroxiredoxins (e.g. 2-Cys Prx), found in all cyanobacterial genomes examined. Some

296 species, such as *Synechocystis* sp. PCC6803, also contain a bifunctional catalase-
297 peroxidase (KatG), whereas others, such as *Anabaena* sp. PCC7120 and *Microcystis* sp.,
298 do not (see Supplementary Table 2 in Pascual et al., 2010). Therefore, it would be
299 reasonable to assume that *Microcystis* sp. has a tolerance towards hydrogen peroxide
300 similar to that of *Anabaena*, for example up to 2.5 mmol/L concentration. This is an
301 order of magnitude above the concentration that could be generated next to the cathode
302 in this system at the lowest charge loading, considering the stoichiometry reaction
303 between the dissolved Al and the potential H₂O₂ generated (0.5 mmol/L). Moreover, as
304 long as the EC proceeded, pH became more basic from initial values near neutrality that
305 paralleled lower redox potentials (Table 1). These two features avoided/decreased
306 generation of hydrogen peroxide at the cathode interface, contrasting with the trends in
307 cell inactivation (Fig. 1).

308 As shown in Table 1, the use of higher Q values in the EC electrocoagulation
309 process yielded a more reductive solution (ORP values decreased); therefore, other
310 redox reactions can take place. An inspection of Table 1 indicates that nitrate reduction
311 on the cathode occurred, accompanied by release of hydroxyls increasing the final pH
312 values.

313 Several mechanisms can be operated during the electrocoagulation of the
314 cyanobacteria and formation of settled flocs: charge neutralisation, sweep flocculation,
315 extracellular organic matter acting as an aid coagulant, hydroxide flocs filling gaps
316 between bristles, etc. (Henderson et al., 2008; Ghernaout et al., 2010). In Figure 3, the
317 residual turbidity and zeta potential clearly showed a parallel relationship with increased
318 Q values. The zeta potential of the cell suspensions were negative, -10.7 ± 1.0 and -
319 13.9 ± 0.9 mV for 10^5 and 10^6 cells/mL concentrations, respectively. This is due to
320 dissociation of functional groups with particular relevance to carboxylic acids located at

321 the cell surface and in extracellular organic matter present in the suspension
322 (Pivokonsky et al., 2016). For the 10^5 cells/mL suspension, the residual turbidity was
323 reduced to 81%, and subsequently, 22% by increasing Q values from 5 to 10 C/L, with a
324 concomitant reduction of zeta potential to -6.4 ± 0.7 and -2.2 ± 0.3 mV. At larger Q
325 values electroneutrality was achieved and the remaining turbidity reached very low
326 values because the vast majority of the cells were already settled in the flocs. This
327 pattern indicated that charge neutralisation was responsible for elimination of cells
328 during EC. Better evidence was noted using a denser cell population, which broadened
329 the range of observations before electroneutrality was reached. This was due to the fact
330 that larger amounts of Al, arising from the dissolution of the anode, would be necessary
331 for coagulation of the cells, for example, larger Q values in the case of charge
332 neutralisation. Again, an identical pattern was obtained, which agrees with the
333 observation that removal by charge neutralisation can be obtained if cells are spherical
334 and free from protruding appendages (Pieterse and Cloot, 1997) as with *Microcystis*.
335 Beyond electroneutrality, Zeta potential values were not reached and can be rationalised
336 on the basis of the Al chemistry. At low pH the cation Al^{3+} dominates, whereas the
337 hydroxide $Al(OH)_4^-$ is prevalent at pH values higher than 9. At intermediate pH, such as
338 those recorded in the EC of the cyanobacteria (Table 1), the insoluble hydroxide
339 $Al(OH)_3$ is dominant in equilibrium with very small amounts of soluble Al species
340 (Lindsay, 1979).

341 The experimental dissolved Al from the sacrificial anode was lower than that
342 theoretically estimated by Faraday's law (Fig. 4). Oxygen and hydrogen gases produced
343 along the course of the EC act as gas- insulating spheres that increase the electrical
344 resistance and reduce the effective intensity for Al dissolution under a low turbulence
345 regime (Mollah et al., 2004). Another source of this discrepancy may be certain

346 passivation of the electrode surface by patches of calcium and magnesium precipitates
347 with carbonate ions present in the solution. The water used contained 312 mg/L of
348 HCO_3^- , 22. mg/L of Mg^{2+} and 86.1 mg/L of Ca^{2+} . On the other hand, experimental
349 amounts of dissolved Al were greater for higher cell concentrations used at the same Q
350 values, which can be explained by lower solution resistance when using a solution with
351 greater conductivity (590 vs 530 $\mu\text{S}/\text{cm}$; Table 1). Therefore, a higher effective
352 potential and subsequent intensity were applied on the electrode for its dissolution.

353

354 **3.2. Flocculation of electrocoagulated suspensions of *Microcystis aeruginosa*.**

355 Application of charge loading of 10 and 50 C/L to a blooming *Microcystis*
356 *aeruginosa* concentration of 10^6 cells/mL yielded very different suspensions with
357 negative zeta potential and moderate turbidity for a charge loading of 10C/L, whereas
358 suspension near neutrality and high turbidity was observed with 50 C/L (Table 2). Two
359 of the flocculant concentrations used were those recommended in the use of commercial
360 flocculants for dewatering (10 and 100 mg/L); a lower one (1 mg/L) was also used.

361 For anionic flocculants, AN870 was the polyelectrolyte with better flocculation
362 properties. With the electrocoagulated suspension at 10 C/L, the residual turbidity
363 showed the lowest values relative to those of AN270 and CAA at the same dose.
364 Especially remarkable was the high residual turbidity with AN270 at the lowest dose.
365 On the contrary, the residual turbidity was already negligible for the 50 C/L suspension,
366 and the use of these flocculants only slightly improved the flocculation process. The
367 mechanism with polyelectrolytes of low charge densities (≤ 20 mol%) and high molar
368 masses, as with AN270 and AN870, was reported to occur via bridging between
369 particles (Bolto and Gregory, 2007). Cells were coagulated by charge neutralisation;
370 then, the overall surface of the particles (poly(Al)hydroxide-cell) was expected to be

371 neutral and capable of interaction with the non-charged portion of the polymer by Van-
372 der-Waals forces. The fact that higher removal (lower residual turbidity) was observed
373 with the polyelectrolyte of lower charge density (AN870), which is expected to develop
374 a more uncoiled conformation in solution revealing higher surface for interaction with
375 particles, seemed to corroborate it. The same mechanism was observed in the
376 flocculation of cationic polyacrylamides with negatively charged clay particles (Petzold
377 et al., 2004). Further evidence was obtained from the analysis of the particle size
378 distribution presented as volume fraction versus particle diameter (Figs. 5 and 6). An
379 increase in the size of the flocs with concentration revealed a bridging mechanism
380 where the inter-particle association via the hydrophobic segments of the polyelectrolyte
381 resulted in bigger flocs. This bridging mechanism was also predominant with the
382 anionic CAA as noted in its bimodal distribution; the only exception being the highest
383 dose for a charge loading of 50 C/L. A charge neutralisation mechanism would have
384 produced a unimodal distribution with a narrow and smaller range of particle diameters
385 (Ghimici and Nichifor, 2012).

386 The cationic polyelectrolytes PA47 and CS showed a similar pattern. With a 10 C/L
387 charge loading (Fig. 5), both polymers presented a multimodal distribution of
388 flocculated particles independent of the dose used; however, another mechanism was
389 operating related to the fact that the positive charge of the polyelectrolytes interacted
390 with the negative charge of the cell membrane. The addition of CS decreased the zeta
391 potential and practically reached electroneutrality with a 10 mg/L dose paralleled by a
392 slight decrease in the residual turbidity (Table 2). By increasing the dose 10-fold, the
393 zeta potential was reversed and the turbidity increased two-fold, indicating the presence
394 of an electrostatic patch aggregation mechanism. The binding of the polymer with the
395 cell did not neutralise the overall charge of the cell membrane, but only locally reversed

396 the charge resulting in patches of opposite charge on the cell. Electrostatic interactions
397 between opposite charged patches, located in different polymer-cell particles, induced
398 their flocculation. The addition of an excess of positive charge through increasing CS
399 concentration will increase the electrostatic repulsion with the subsequent restabilisation
400 of particles, as evidenced by the increased turbidity.

401 At the lowest polymer concentration, a peak was always present in a very narrow
402 size range (1.9-6.6 μm) and pointed to a charge neutralisation mechanism of free cells
403 in the suspension with the aluminium species, in accordance with the size of
404 *Microcystis aeruginosa* ranging between 3 and 7 μm (Henderson et al., 2008). At a 50
405 C/L charge loading (Fig. 6) the absence of this peak is explained by sweep flocculation ,
406 due to the larger of interaction of the (poly(Al)hydroxide-cell) particles with polymer
407 chains driving massive aggregation and increasing on the size of the flocs.

408 In general, using a concentration larger than 10 mg/L did not increase the efficiency
409 of the flocculation process. The anionic flocculants performed better than the cationic
410 ones; therefore, the polyelectrolytes AN270 and CAA were selected as probes for
411 flocculation of electrocoagulated suspensions of bloomed surface waters.

412

413 **3.3. Application of EC/flocculation to surface waters**

414 The water selected came from a lake with repetitive episodes of cyanobacteria blooming
415 (physico-chemical properties in Table S2). Cyanobacteria specific primers targeting the
416 16S rRNA gene revealed the presence of cyanobacteria species, the closest homologues
417 being *Microcystis elabens*, *Cyanobium gracile*, *Lengtong bryaceae* and *Synechococcus*
418 *rubescens*. PCR-based detection of a gene involved in the synthesis of microcystins
419 (*mcyB*) showed the presence of strains bearing this gene (Fig. S1). In general, nontoxic
420 strains do not contain *mcy* genes, but in some cases these strains may have fragments or

421 mutant forms of these genes that can be amplified with *mcy* primers, although they are
422 not able to produce toxins (Pham et al., 2015). Further corroboration of the presence of
423 toxic cyanobacteria strains was obtained by detection and quantification of microcystin-
424 LR ($4.3 \pm 0.7 \mu\text{g/L}$). Microcystin can be produced by unicellular and filamentous
425 (pluricellular) cyanobacteria. The analysis of cell size using a cell counter indicated that
426 the amount of unicellular and filamentous bacteria amounted to 90.9 ± 1.8 and $9.2 \pm 0.9\%$,
427 respectively. The total amount of cyanobacteria was determined to be 4.1×10^6 cells/mL.

428 Application of EC at charge loadings of 10 and 50 C/L only increased the
429 amount of inactivated cells by 5% (up to approximately 10%) (Table 3). These results
430 are in good concordance with those of inactivation of a 10^6 cells/mL suspension of
431 *Microcystis aeruginosa*, which showed percentages of $3.8 \pm 0.3\%$ for 10 C/L and
432 $7.2 \pm 0.2\%$ for 50 C/L (Fig. 1). After the electrocoagulated suspensions were treated with
433 flocculants, the amount of cyanobacteria in the supernatant was drastically reduced by
434 two and three orders of magnitude. In addition, the cells in the supernatant were
435 completely inactivated.

436 The flocculants performed worse than those with only *Microcystis* sp. cultures,
437 as revealed in the higher residual turbidity in the supernatant. This was especially the
438 case for the lower charge loading, with values of about 17% for both flocculants (Table
439 4) versus values of about 7% in *Microcystis* sp. flocculation tests (Table 2). Based on
440 the analysis of the zeta potential, no statistical difference existed at the lower charge
441 loading and thus, similar flocculation should be expected. At larger charge loading with
442 surface water, the slightly positive value of the zeta potential was presumed to decrease
443 the residual turbidity. This was made even higher by increased electrostatic interactions
444 of aluminium particles with negatively charged surfactant molecules; however, the
445 opposite trend was observed.

446 Reasoning behind this trend is the effect of the composition of the extracellular
447 organic matter (EOM) formed by photosynthesis and secondary metabolism by-
448 products, which differed between the species. The composition of EOM in *Microcystis*
449 *aeruginosa* amounts to 60% of hydrophilic compounds of which about 10% are charged
450 (Li et al., 2012). These hydrophilic compounds comprise a wide range of chemicals:
451 carbohydrates, hydroxyacids, peptides, low MW alkyl alcohols, aminoacids,
452 aminosugars, etc. (Pivokonsky et al., 2016). The low hydrophobicity is reflected in low
453 values of SUV_{254} as an estimation of the content of aromatic structures and conjugated
454 double bonds, which was 1.5 L/(m mg) for *Microcystis* sp. cultures. A small fraction of
455 the aluminium released during EC might combine with some components of the EOM,
456 such as negatively charged polysaccharides, which have been described for multiple
457 species associated with units of uronic acids (Li et al., 2001). In that case, the charge
458 neutralised fraction of EOM can behave as a better flocculant than AN270 and CAA,
459 via interactions with the neutral hydroxoaluminium-bacteria particles enhancing the
460 flocculation process. This process is in some way hampered in the case of flocculation
461 of electrocoagulated surface water, due to the presence of more hydrophobic
462 compounds as revealed in the higher SUV_{254} value (1.8 L/(m mg)).

463 The use of the anionic flocculants AN270 and CAA induced lysis of the coagulated
464 cells releasing microcystins (Table 4), which is an undesired effect. The concentration
465 measured in the supernatant exceeded that allowed by WHO several fold (1 µg/L).
466 Therefore, in selection of the flocculant, a compromise should be established between
467 the efficiency in the cyanobacteria removal and toxin solubilisation. The structure of the
468 two anionic flocculants used is common in water treatments: anionic polyacrylamide as
469 the case with AN270 and phosphate pregelatinised starch as with CAA. In principle,
470 surfactants carrying other functional groups could be advantageous in water treatment

471 processes because of their lower production of cyanotoxins, and more economic
472 removal thereof.

473

474 **3.4. Economic estimates**

475 In the economic evaluation of the process, a clear distinction should be established
476 between the EC process and that of flocculation. The operational parameters for
477 economic estimation with EC are mainly the electrodes, electricity, labour,
478 maintenance, and other fixed costs. Prices for electrical energy and electrode material in
479 May 2019 were 0.120 €/kW h and 1.76 €/kg, respectively. Electricity cost for EC of 1
480 m³ of water was estimated by applying a low charge loading (10 C/L) under the
481 operational conditions used herein which yielded a value of 0.443 €/m³. A larger charge
482 loading was excluded because its electricity cost was higher. No passivation and
483 regeneration of the electrode was included. The cost for electrode material amounted to
484 0.128 €/m³. Using a 10% increase in manpower plus maintenance, and other fixed costs
485 for water treatment of 1 m³ comes to 0.628€.

486 Prices for flocculants are in the range 1.29-1.97€/kg. The usual recommended rate is
487 10 mg/L, so that the price for purification of 1 m³ may reach a value of 0.0197€.
488 Therefore, the price for water treatment of 1 m³ of water with cyanobacterial bloom by
489 EC, followed by flocculation, will be approximately 0.648€. This price can be lowered
490 by improving the efficiency of the EC process through optimisation of several
491 parameters such as the electrode surface/cell volume ratio, inter-electrode gap, etc. For
492 an estimation of the total cost, further processes related to the intended water use should
493 be included in the calculations.

494

495 **4. CONCLUSIONS**

496 EC of *Microcystis aeruginosa* at low electrical current densities was advantageous over
497 the use of higher densities because of lower inactivation amounts of cells, avoiding
498 induced cell permeabilisation and release of endotoxins. EC was ineffective in removing
499 extracellular metabolites; however, other concomitant processes during the
500 electrodisolution of the anode occurred such as electroreduction of nitrate accompanied
501 with release of hydroxyls, raising the pH in the bulk suspension. Cells were removed by
502 charge neutralisation with the generated Al species in solution, which sedimented
503 rapidly when using high charge loading. Therefore, the application of commercial
504 flocculants to these electrocoagulated *Microcystis aeruginosa* suspensions were not
505 advantageous in the C/F process. However, the use of anionic flocculants at suspensions
506 generated at low charge loading improved the sedimentation velocity. The efficiency of
507 EC/flocculation applied to cyanobacterial blooming surface water was reduced relative
508 to experiments with axenic cultures due to variations in the chemical composition of the
509 EOM. An economic estimation indicated the feasibility of the combined use of these
510 two techniques for water treatments.

511

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521

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653 Species-dependence of cyanobacteria removal efficiency by different drinking water
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655

656 Table 1. pH, ORP, conductivity and nitrate concentration during EC as a function of the
 657 charge loading and *Microcystis aeruginosa* concentration.

Charge loading (C/L)	pH	ORP (mV)	Nitrate concentration (mg/L)	Conductivity (μS/cm)
Cells concentration, 10 ⁵ cells/mL				
0	6.93±0.03	-	12.7±1.6	530±8
4.9	6.98±0.04	-172±32	2.3±0.3	535±24
9.8	7.01±0.02	-257±15	1.9±0.6	501±13
18.4	7.25±0.03	-291±18	1.6±0.1	447±9
31.0	7.34±0.06	-306±9	1.8±0.5	410±6
40.5	7.45±0.05	-308±1	1.7±0.6	391±2
50.5	7.54±0.06	-315±8	1.8±0.3	385±8
Cells concentration, 10 ⁶ cells/mL				
0	6.95±0.02	-	28±2.3	590±12
6.9	6.95±0.32	-217±15	2.3±0.3	604±27
10.0	7.64±0.04	-264±16	1.9±0.7	599±35
18.0	7.77±0.09	-296±18	1.8±0.3	637±3
28.7	8.08±0.03	-352±8	1.6±0.1	577±31
38.4	8.23±0.11	-376±11	1.5±0.3	557±34
51.9	8.12±0.05	-443±19	1.7±0.4	472±9

663 Table 2. Zeta potential and residual turbidity of electrocoagulated suspensions at a
 664 charge loading of 10 and 50 C/L as a function of added polymer and its concentration in
 665 flocculation tests. The initial concentration of *Microcystis aeruginosa* was 10^6 cell/mL.

Conc. (mg/L)	Flocculant	Zeta potential (mV)		Residual turbidity (%) ^a	
		10 C/L	50 C/L	10 C/L	50 C/L
0	-	-6.2±0.2	-1.5±1.5	-	-
1	AN270	-7.0±0.7	-21.0±1.4	38.0	0.15
	CAA	-10.6±0.9	-1.9±0.7	10.6	0.49
	PA47	10.7±0.8	27.0±3.3	4.12	0.22
	AN870	-4.1±0.5	-5.4±0.6	5.70	0.31
	CS	-6.2±0.7	3.8±0.8	5.01	2.83
10	AN270	-5.2±0.5	-15.9±0.6	6.82	0.26
	CAA	-9.0±0.7	-4.2±2.7	6.73	0.35
	PA47	13.5±2.2	33.4±2.3	25.2	0.20
	AN870	-7.0±0.5	-13.9±0.7	6.02	0.35
	CS	-0.8±0.3	17.3±1.4	4.32	1.22
100	AN270	-6.0±0.4	-16.6±4.8	5.51	0.39
	CAA	-6.5±0.3	-15.8±2.0	8.42	0.72
	PA47	10.4±1.2	32.1±0.5	29.5	0.32
	AN870	-11.0±1.5	n.d.	7.54	0.55
	CS	3.1±0.7	15.7±0.5	8.73	6.51

666 a. Turbidity values after electrocoagulation were 56 and 186 NTU for 10 and 50 C/L
 667 charge densities.

668

669 Table 3. Cyanobacteria amounts and their corresponding survival and inactivation
 670 percentages in surface water after EC/flocculation as a function of the charge loading
 671 and the surfactant used (10 mg/L concentration).

Q (C/L)	Surfactant	Total amount of cyanobacteria (cells/mL) ^a	Alive cells (% of the total)	Inactivated cells (% of the total)
-	-	4.1x10 ⁶	94.5±0.7	5.40±0.4
10	-	3.8x10 ⁶	89.2±0.3	9.7±0.1
	AN270	5.5x10 ³	0	100
	CAA	2.8x10 ⁴	0	100
50	-	3.7x10 ⁶	88.7±0.5	10.7±0.1
	AN270	8.9x10 ³	0	100
	CAA	8.0x10 ³	0	100

672 a. Error limits in the determination were ±2%.

673

674 Table 4. Zeta potential, residual turbidity and LR-microcystin concentration of
 675 electrocoagulated suspensions of surface water at a charge loading of 10 and 50 C/L as
 676 a function of the surfactant used in flocculation tests . Surfactant concentration used was
 677 10 mg/L.

Surfactant	Zeta potential (mV)	Residual turbidity	LR-microcystin ($\mu\text{g/L}$)	Zeta potential (mV)	Residual turbidity	LR-microcystin ($\mu\text{g/L}$)
	10 C/L			50 C/L		
-	-7.5 \pm 1.2	-	4.3 \pm 0.7	5.4 \pm 1.4	-	6.3 \pm 0.9
AN270	-10.2 \pm 2.3	16.82	50.1 \pm 3.2	-14.9 \pm 1.0	0.85	52.2 \pm 2.6
CAA	-12.3 \pm 1.8	17.39	55.3 \pm 4.5	-11.3 \pm 0.7	0.99	55.4 \pm 1.4

678

679

680

681

682 **Figure captions.**

683 **Fig. 1.** *Microcystis* sp. survival and inactivation as a function of the charge loading
684 under different operational parameters such as the hydraulic retention time (HRT) and
685 total concentration of cyanobacteria. The HRTs used were 1.0 and 2.2 min, and the
686 concentrations were 10^5 and 10^6 cells/mL.

687 **Fig. 2.** Amounts of microcystin-LR detected in EC experiments with cell suspensions
688 of 10^5 and 10^6 cells/mL as a function of the charge loading.

689 **Fig. 3.** Changes in zeta potential and turbidity with charge loading during EC of
690 *Microcystis* sp. suspensions.

691 **Fig. 4.** Theoretical and experimental values of dissolved Al with charge loading for
692 electrocoagulated suspensions of 10^5 and 10^6 cells/mL.

693 **Fig. 5.** Floc size distribution of the electrocoagulated suspension obtained at a charge
694 loading of 10 C/L after treatment with the polyelectrolytes AN870, AN270, CAA, PA47
695 and CS at three doses.

696 **Fig. 6.** Floc size distribution of the electrocoagulated suspension obtained at a charge
697 loading of 50 C/L after treatment with the polyelectrolytes AN870, AN270, CAA, PA47
698 and CS at three doses.

Figure 1

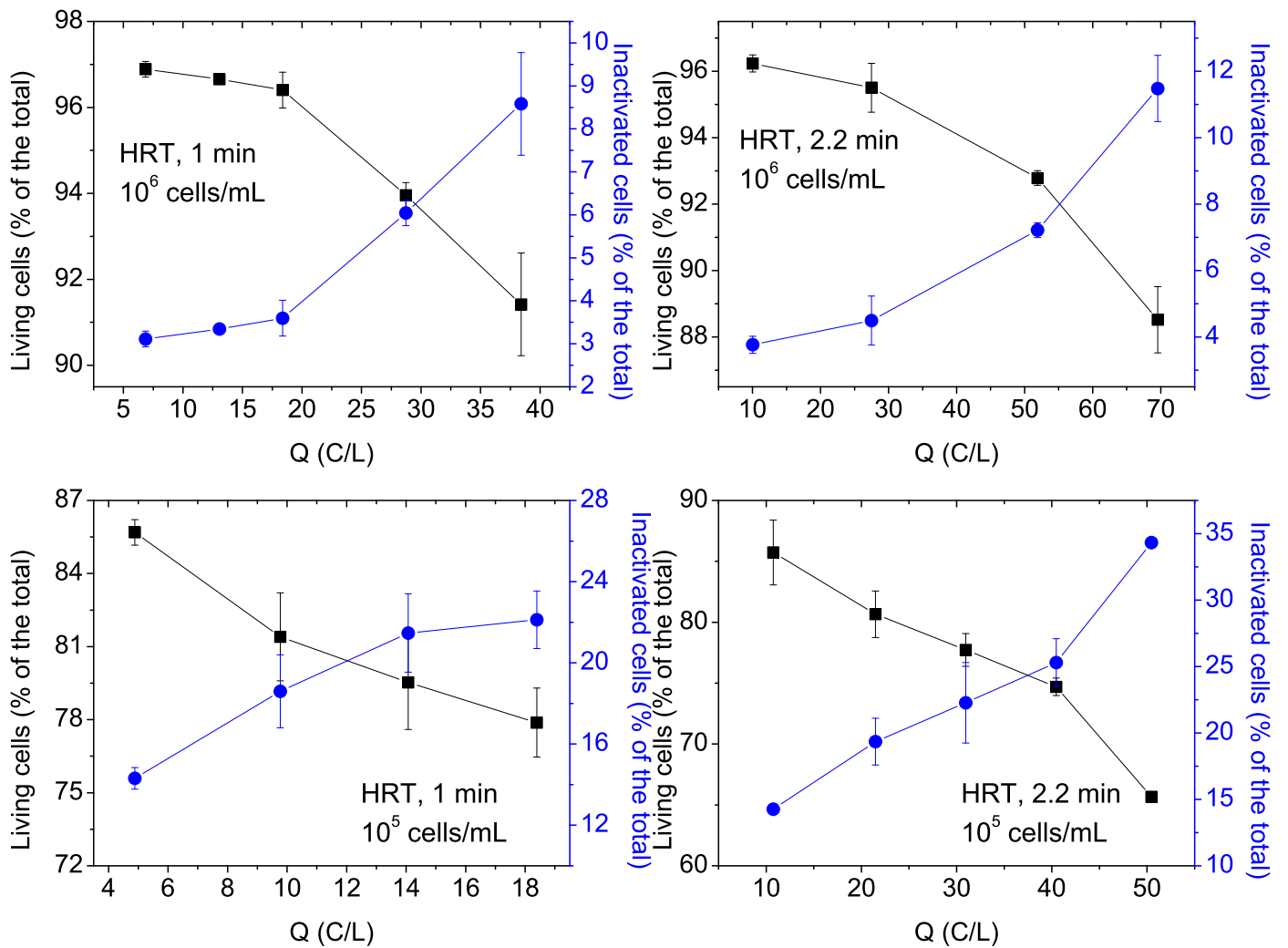


Figure 2

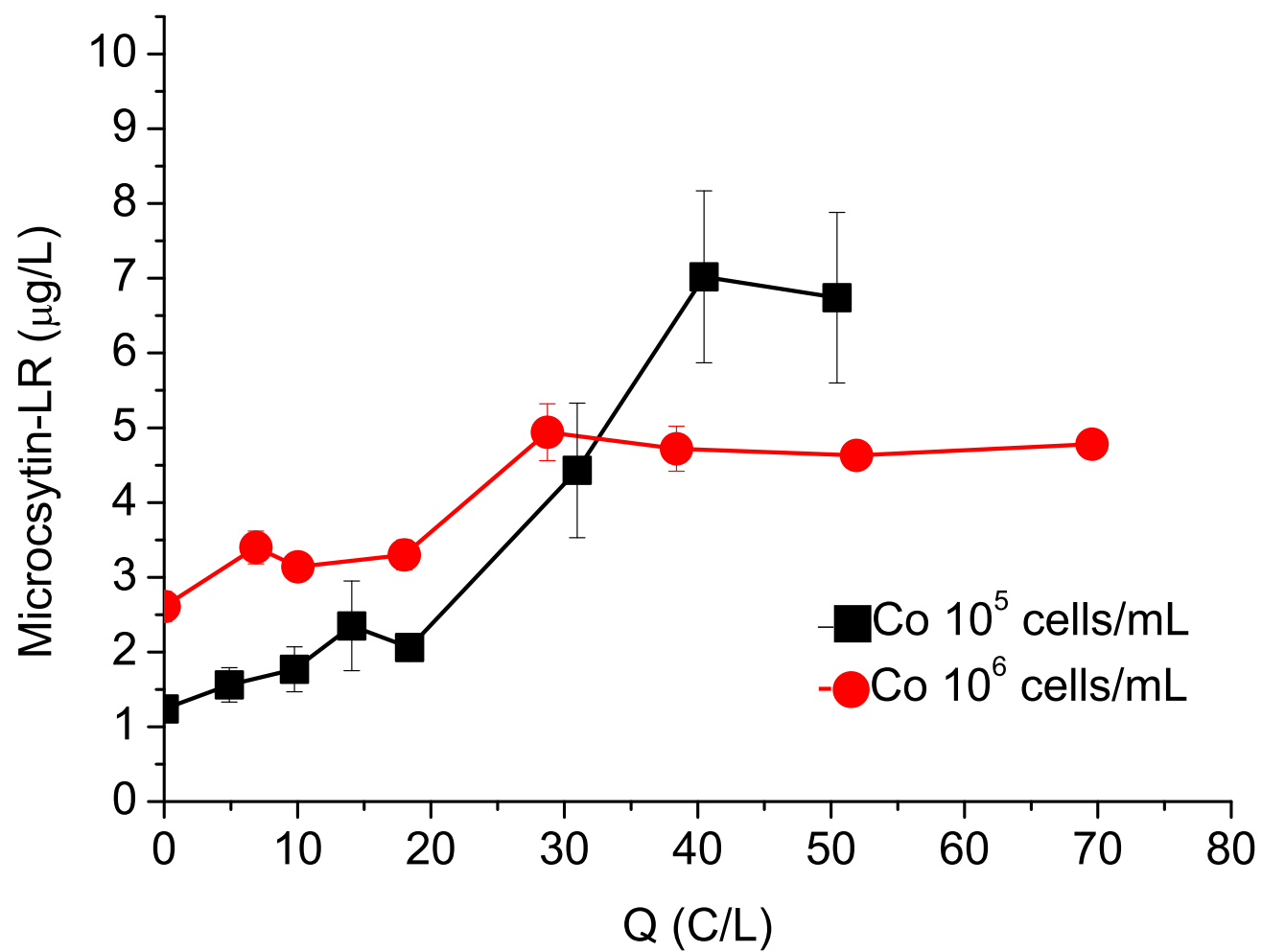


Figure 3

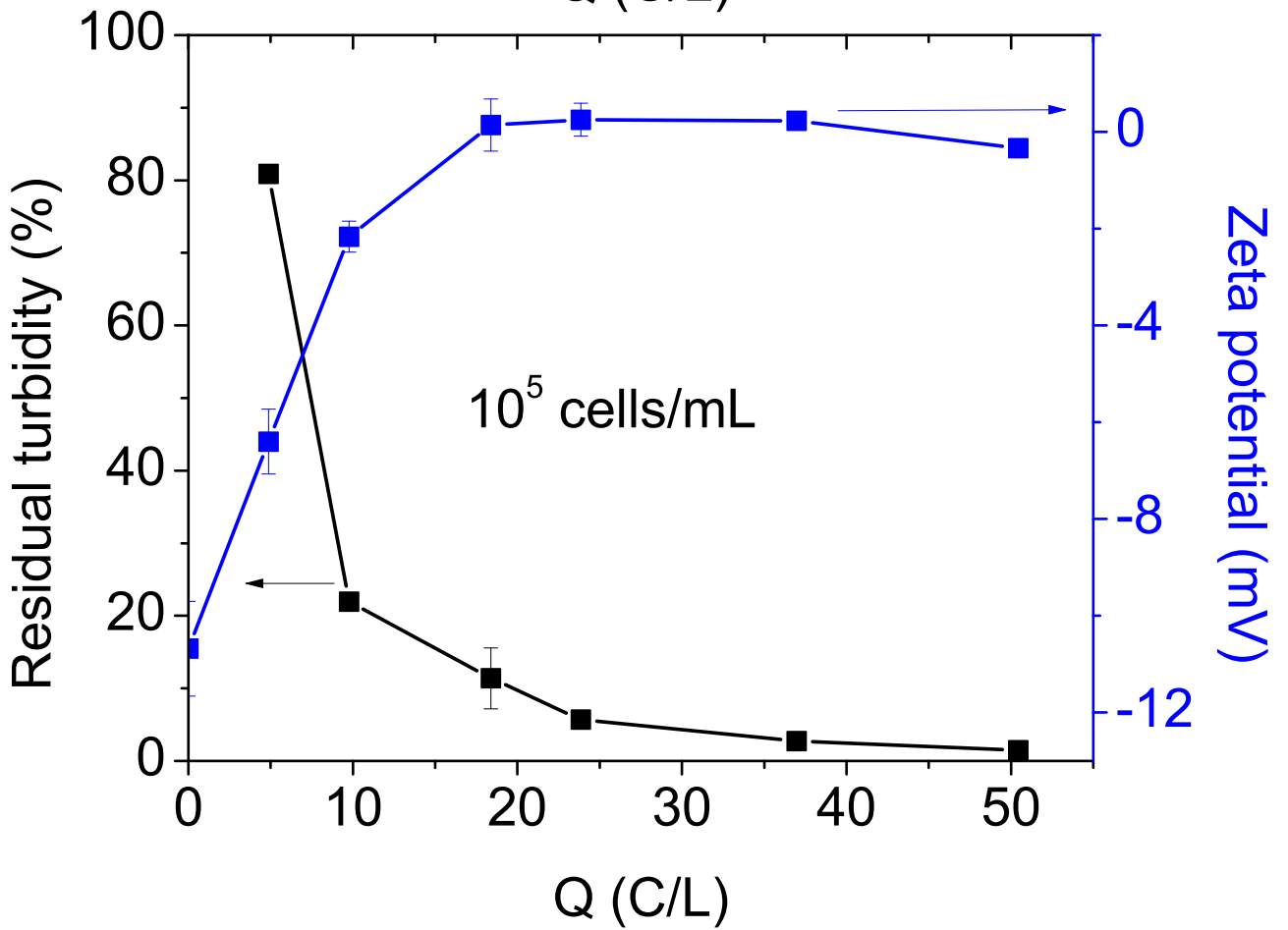
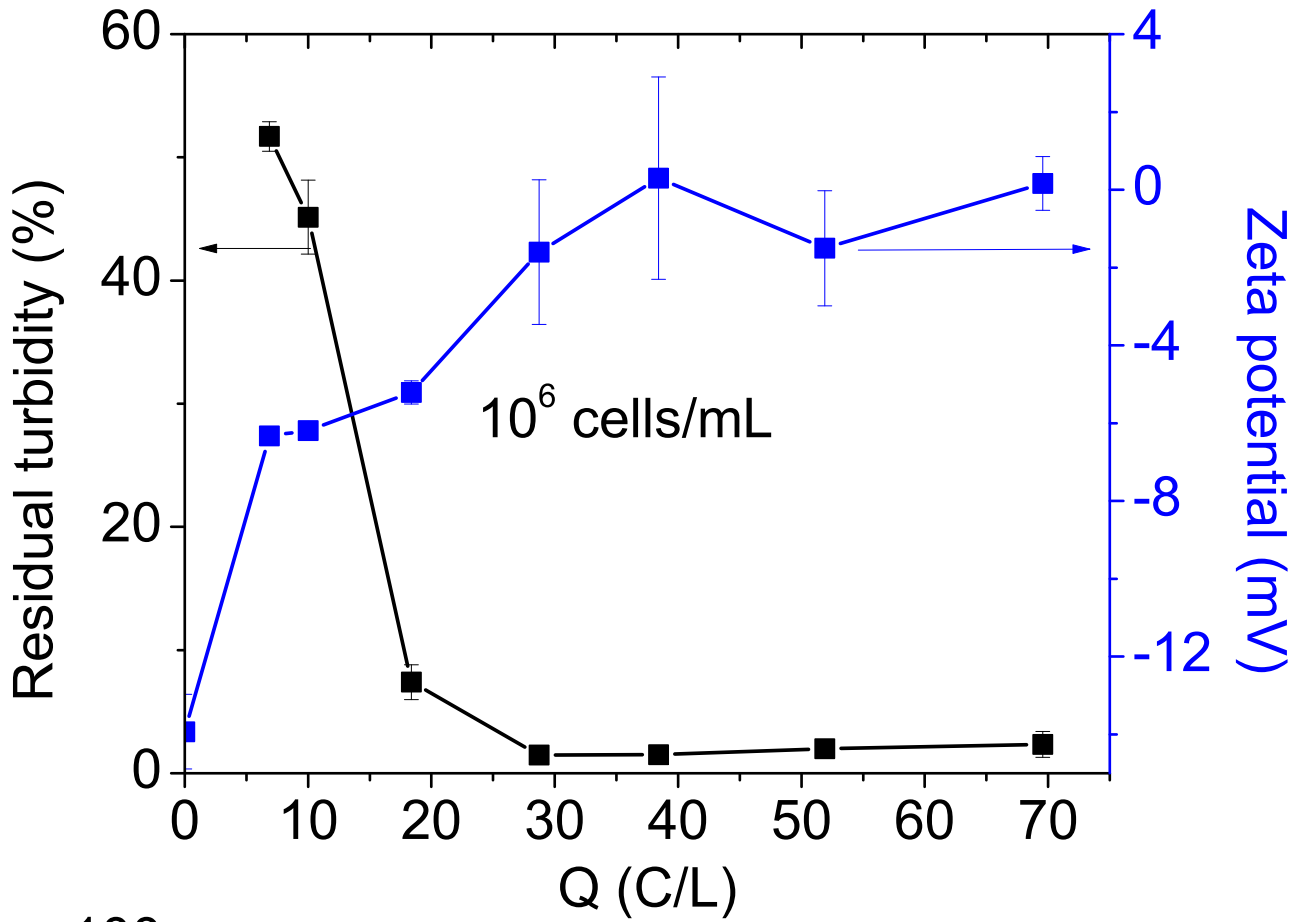


Figure 4

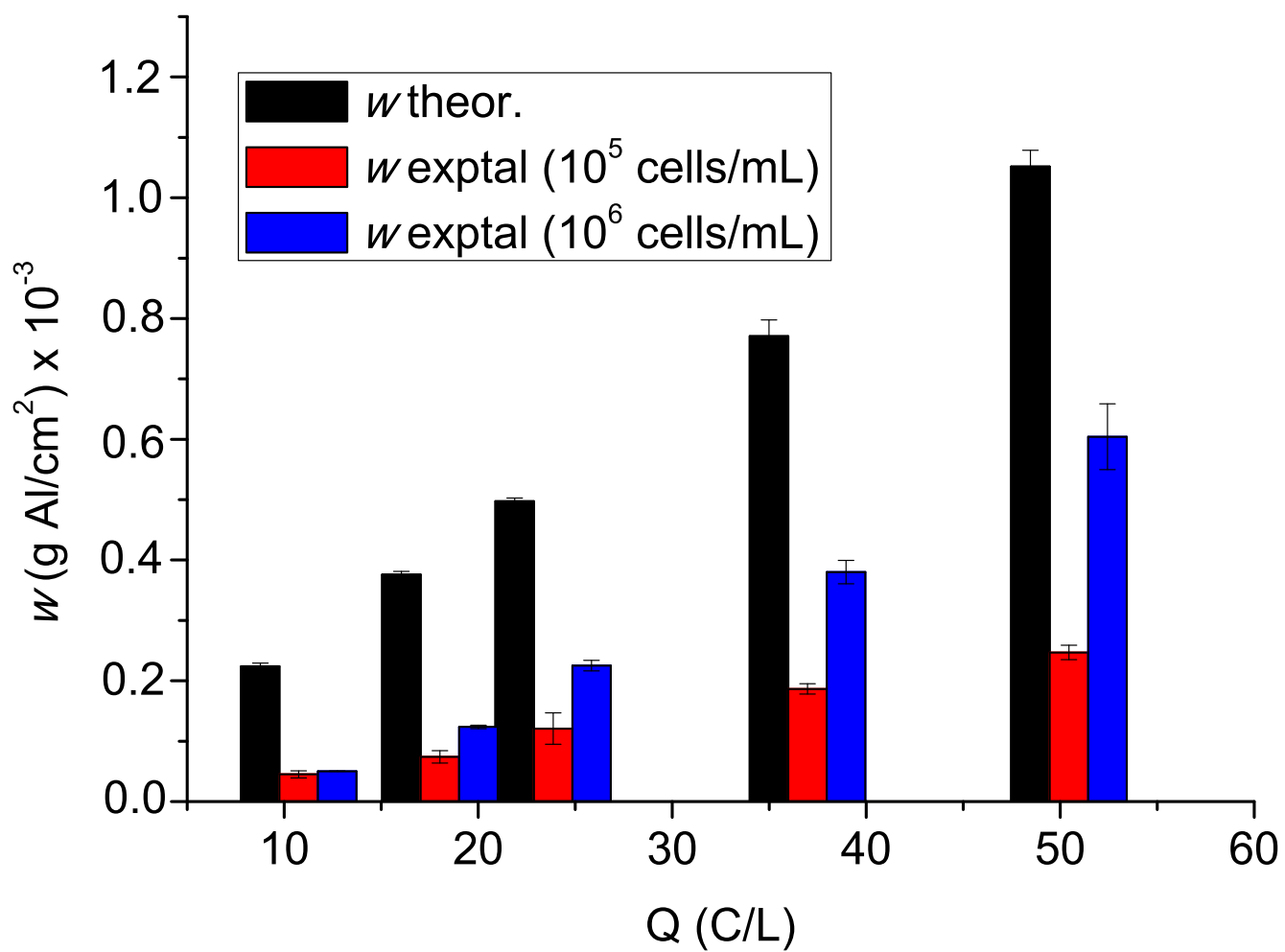


Figure 5

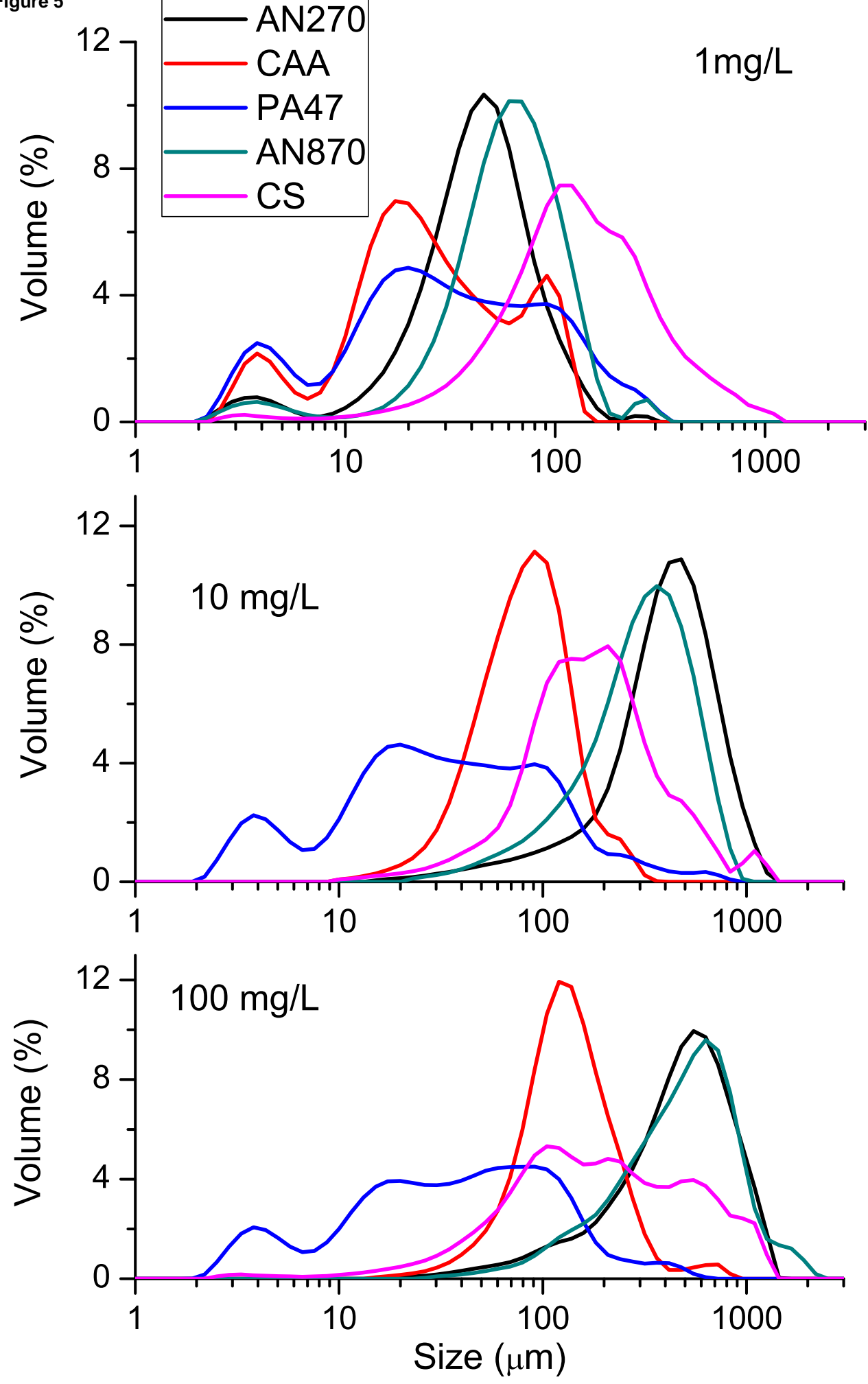
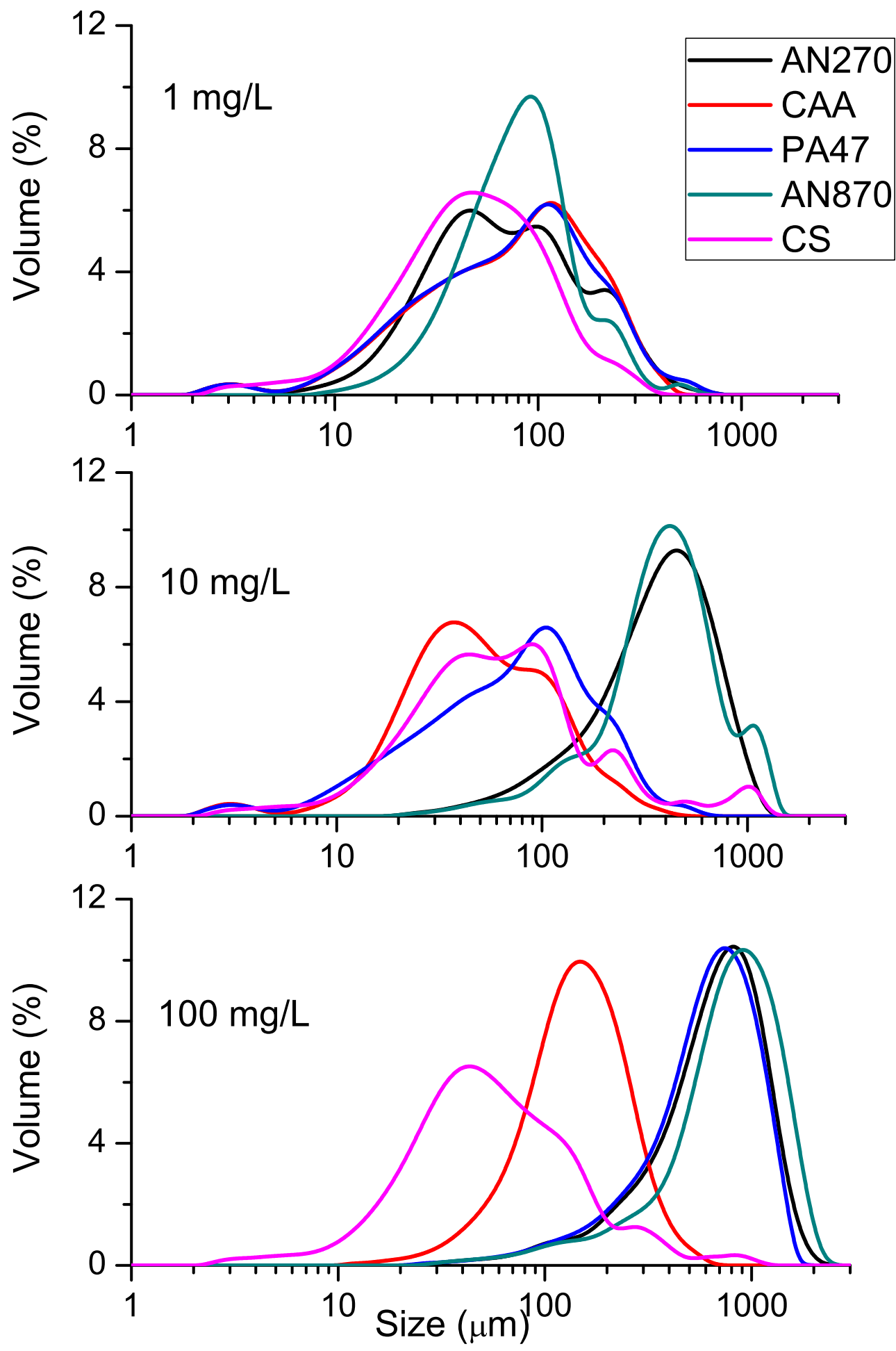


Figure 6



Supplementary data

Table S1. Primers used for PCR amplification.

Target	Primer set	Sequence (5'-3')	DNA length	Reference
Generic	CYA359F CYA781 R	GGGGAATYTTCCGCAATGGG GACTACAGGGGTATCTAATCCCTT T	470 pb	Nübel et al. 1997
<i>mcyB</i>	mcyB2959F mcyB3278R	TGGGAAGATGTTCTTCAGGTATCC AA AGAGTGGAAACAATATGATAAGCT AC	320 pb	Nonneman & Zimba, 2002

Nonneman, D., Zimba, P. 2002. A PCR-based test to assess the potential for microcystin occurrence in channel catfish production ponds. *J. Phycol.* 38, 230-234.

Nübel, U., García-Piche, F., Muyzer, G. 1997. PCR primers to amplify 16s rRNA genes from cyanobacteria. *Appl. Environ. Microbiol.* 63, 3327-3332.

Table S2. Physico-chemical properties of the surface water used and after electrocoagulation (10 and 50 C/L charge loadings).

Parameter	Raw water	10 C/L	50 C/L
ORP (mV)	+174±8	-321±29	-339±35
Dissolved O ₂ (g/L)	3.6±0.5	5.2±1.0	4.7±0.7
Conductivity (µS/cm)	1305±32	1049±14	841±83
pH	8.9±0.2	8.8±0.2	9.0±0.2
DQO (mg/L)	119±12	142±51	122±28
NO ₃ ⁻ (mg/L)	29.5±1.6	13.1±2.1	5.8±0.5
Turbidity	45.9±2.2	71.9±8.5	224.7±17.0

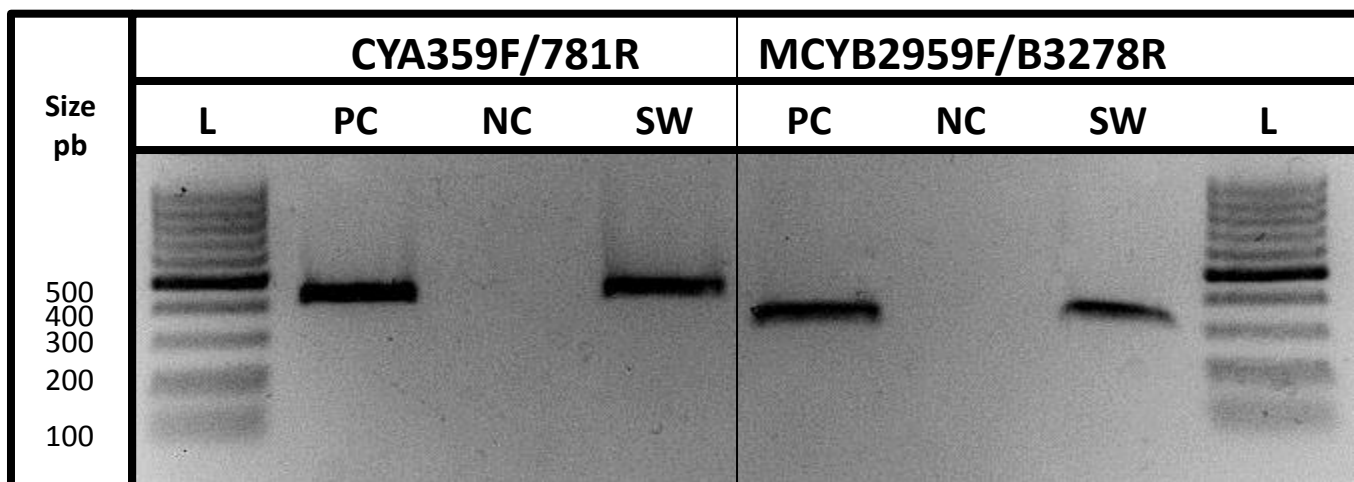


Figure S1. Negative image of an ethidium bromide-stained electrophoresis gel separation pattern of 6 PCR samples originated by amplification of cyanobacteria 16S rDNA with generic and *mcyB* specific primers in the surface water sample (SW). For 16S rDNA amplifications, a sample containing no DNA was used as the negative control (NC), and positive DNA extracted from an axenic culture of *M. aeruginosa* (PC) was used as positive control. L: DNA ladder, sizes of DNA ladder bands are indicated.