

BARRELLEDNESS IN  $\lambda$ -SUMS OF NORMED SPACES

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Let  $E_n, n=1,2,\dots$  be a sequence of normed spaces and  $\lambda$  an AK-Köthe sequence space. The  $\lambda$ -sum of the spaces  $E_n$  is defined by  $\lambda\{E_n\} := \{(x_n)_n : x_n \text{ is in } E_n \text{ and } (|x_n|)_n \in \lambda\}$ . Starting from the topology in  $\lambda$ ,  $\lambda\{E_n\}$  can be given a natural topology. In this paper we characterize the dual of  $\lambda\{E_n\}$  as the  $\lambda^*$ -sum of  $E_n'$  and give conditions for  $\lambda\{E_n\}$  to be quasi-barrelled, barrelled, reflexive, bornological or distinguished.

1.  $\lambda$ -SUMS OF NORMED SPACES

Let  $\lambda$  be a sequence space which is normal in the sense of Köthe [7, §30], [13, Ch.2]. A family  $M$  which covers  $\lambda^*$  and consists of normal, absolutely convex, closed and  $\sigma(\lambda^*, \lambda)$ -bounded subsets of  $\lambda^*$  induces on  $\lambda$  the topology  $\tau$  of uniform convergence on  $M$  which, because of the normality, is given by the seminorms:

$$q_M: \beta = (\beta_n)_n \in \lambda \rightarrow q_M(\beta) := \sup \left\{ \sum_{n=1}^{\infty} |\beta_n \alpha_n| : \alpha \in M \right\}$$

as  $M$  runs through  $M$  [3], [11].

If  $E_n(|\cdot|) n=1,2,\dots$  is a sequence of normed spaces over the field  $\mathbb{K}$  of real or complex numbers, we define the  $\lambda$ -sum of  $E_n$  as  $\lambda\{E_n\} := \{(x_n)_n : x_n \in E_n \text{ and } (|x_n|)_n \in \lambda\}$ , note that if  $|x_n| \leq 1, n=1,2,\dots$  and  $\alpha \in \lambda$  then  $\alpha x := (\alpha_n x_n)_n$  is in  $\lambda\{E_n\}$ . Also  $\lambda\{E_n\}$  contains the algebraic direct sum,  $\phi\{E_n\}$  where  $\phi$  is the space of finitely non-zero sequences, of the spaces  $E_n$ . Starting from  $\tau$  we define

the topology  $\tau$  also on  $\lambda\{E_n\}$  (there is little danger of confusion) by means of the seminorms:

$$p_M: x = (x_n)_n \in \lambda\{E_n\} \rightarrow p_M(x) := q_M((|x_n|)_n)$$

as  $M$  runs through  $M$ .  $\ell^p$ -sums and  $c_0$ -sums of a sequence of normed spaces have been widely studied [2], [5, §17], [7, §26.8], [9, §4.9] or [12, III §§12, 15]. On the other hand,  $\lambda$ -sums of spaces  $E_n$  all equal to a fixed Hausdorff lcs  $E$  have been introduced by Pietsch [10] and studied in [3], [5, §19.4], [9, §4] or [11]. Our purpose here is to study barrelledness and related properties in the space  $\lambda\{E_n\}(\tau)$ , our immediate precursors being the paper by Lurje [8], where the barrelledness of  $\ell^p\{E_n\}$  is studied, and our [4], where we study barrelledness in  $\lambda\{E\}$ .

In what follows,  $\lambda$  stands for a perfect sequence space [7, §30], [13, Ch.2] and we shall consider  $\lambda$  and  $\lambda\{E_n\}$  endowed with the topology  $\tau$ . By using the continuity of the maps

$$I_k: x = (x_n)_n \in \lambda\{E_n\} \rightarrow I_k(x) := x_k \in E_k$$

$$J_k: x_k \in E_k \rightarrow J_k(x_k) := x_k e_k \in \lambda\{E_n\}$$

$$I: x = (x_n)_n \in \lambda\{E_n\} \rightarrow I(x) := (|x_n|)_n \in \lambda$$

where  $k \in \mathbb{N}$  and  $e_k$  stands for the  $k$ -th unit sequence, standard arguments [3, 3.8] or [11, 2.(3)] show that the completion of  $\lambda\{E_n\}$  is  $\lambda\{\tilde{E}_n\}$ . An element  $x = (x_n)_n$  in  $\lambda\{E_n\}(\tau)$  is said to have the property AK if  $x$  is the  $\tau$ -limit of the sequence of its finite sections  $P_k(x) := (x_1, x_2, \dots, x_k, 0, 0, \dots)$ . We shall be mainly concerned with the subspace of  $\lambda\{E_n\}(\tau)$  formed by those elements having AK. Clearly, this space is equal to the  $\lambda_r$ -sum of  $E_n$ , where  $\lambda_r$  is the subspace of sequences having AK in  $\lambda(\tau)$ , and coincides also with the  $\tau$ -closure of  $\phi\{E_n\}$  in  $\lambda\{E_n\}$ . In other terms:

$$\lambda_r\{E_n\} = \{x = (x_n)_n \in \lambda\{E_n\} : x = \tau\text{-}\lim_k P_k(x)\} = \overline{\phi\{E_n\}}^\tau$$

If  $M$  is the family of all normal, absolutely convex, closed and  $\sigma(\lambda^\times, \lambda)$ -bounded subsets of  $\lambda^\times$ ,  $\tau$  coincides with the strong topology  $\beta(\lambda, \lambda^\times)$ . In this case,  $\lambda_r$  is called the regular subspace of  $\lambda$  and has some interes-

ting properties, e.g.  $c_0 \cdot \lambda \subset \lambda_r$ ,  $\lambda_r$  is normal,  $(\lambda_r)^x = \lambda^x$ ,  $\beta(\lambda, \lambda^x)$  induces on  $\lambda_r$  its own strong topology,  $\lambda_r$  endowed with the Mackey topology  $\mu(\lambda_r, \lambda^x)$  is barrelled and, in fact,  $\lambda = \lambda_r$  if and only if  $\lambda(\beta(\lambda, \lambda^x))$  is barrelled [6], [7, §30], [13, Ch.2].

THEOREM 1. Define the generalized Köthe  $\alpha$ -dual of  $\lambda\{E_n\}$  by

$$(\lambda\{E_n\})^x := \{u = (u_n)_n : u_n \in E_n' \text{ and } \sum_n | \langle u_n, x_n \rangle | < \infty\}$$

Then we have the following chain of equalities:

$$(\lambda_r\{E_n\}(\tau))' = (\lambda\{E_n\})^x = (\lambda_r\{E_n\})^x = \lambda^x\{E_n'\} = \lambda^x \cdot \ell^\infty\{E_n'\}$$

Moreover, a subset  $A \subset (\lambda_r\{E_n\})'$  is equicontinuous if and only if it is contained in  $rM \cdot B_1$  for some  $r > 0$  and  $M \in M$ ,  $B_1$  standing for the unit ball of the normed space  $\ell^\infty\{E_n'\}$ .

PROOF. We shall prove the " $\subset$ " inclusions from the left to the right and then close the circle:

(1) If  $f \in (\lambda_r\{E_n\}(\tau))'$  take  $u_n := fJ_n \in E_n'$  for each  $n$ . Take arbitrary  $y = (y_n)_n$  in  $\lambda\{E_n\}$  and  $\alpha = (\alpha_n)_n$  in  $c_0$  and take  $|\beta_n| = 1$  such that  $|\alpha_n \langle u_n, y_n \rangle| = \alpha_n \beta_n \langle u_n, y_n \rangle$  then we have that  $\alpha\beta y = (\alpha_n \beta_n y_n)_n$  is in  $\lambda_r\{E_n\}$  hence

$$f(\alpha\beta y) = \lim_k f(P_k(\alpha\beta y)) = \sum_n |\alpha_n \langle u_n, y_n \rangle| < \infty$$

since  $\alpha$  was arbitrary in  $c_0$ , we obtain  $(|\langle u_n, y_n \rangle|)_n \in \ell^1$ .

(2) This is immediate.

(3) Let  $u = (u_n)_n$  in  $(\lambda_r\{E_n\})^x$  and  $\alpha = (\alpha_n)_n$  arbitrary in  $\lambda$ . For each  $n$  we find  $|x_n| = 1$  such that

$$|\alpha_n| |u_n| \leq |\alpha_n \langle u_n, x_n \rangle| + 1/2^n$$

Since  $(\alpha_n x_n)_n$  is in  $\lambda\{E_n\}$ , we have

$$\sum_n |\alpha_n| |u_n| \leq \sum_n |\langle u_n, \alpha_n x_n \rangle| + \sum_n 1/2^n < \infty$$

Since  $\alpha$  was arbitrary,  $(|u_n|)_n$  is in  $\lambda^x$ , i.e.  $u \in \lambda^x\{E_n'\}$ .

(4) Given  $u = (u_n)_n$  in  $\lambda^x\{E_n'\}$  define  $v_n := u_n / |u_n|$  if  $u_n \neq 0$  and  $v_n := 0$  otherwise (this notation will be often used in the sequel). Then  $u = (|u_n|)_n \cdot (v_n)_n$  where  $(|u_n|)_n \in \lambda^x$  and  $v := (v_n)_n$  is in  $B_1 \subset \ell^\infty\{E_n'\}$ .

(5) Take  $u = \alpha w$  where  $\alpha \in \lambda^x$  and for some  $r > 0$ ,  $|w_n| \leq r$  for

all  $n$ . Since  $M$  covers  $\lambda^x$ , take  $M$  in  $\mathcal{M}$  containing  $\alpha$ , then for all  $x$  in  $\lambda_r\{E_n\}$  we have

$$\sum_n |\langle u_n, x_n \rangle| \leq r \sum_n |\alpha_n| |x_n| \leq r p_M(x)$$

thus  $\langle u, x \rangle := \sum_n \langle u_n, x_n \rangle$  defines a  $\tau$ -continuous linear form on  $\lambda_r\{E_n\}$ .

Now if  $A$  is equicontinuous, take  $r > 0$  and  $M$  in  $\mathcal{M}$  such that  $|\langle u, x \rangle| \leq r p_M(x)$  for all  $u$  in  $A$  and  $x$  in  $\lambda_r\{E_n\}$ .

Take the corresponding  $v$  in  $B_1$ , let us check that  $(|u_n|)_n$  is in  $rM$  for all  $u$  in  $A$ . Indeed, take  $\alpha$  in  $M^0$  and  $\varepsilon > 0$ .

Choose  $|x_n| = 1$  such that  $|\alpha_n u_n| \leq \langle \alpha_n u_n, x_n \rangle + \varepsilon/2^n$ . Then for all  $k$  we have

$$\begin{aligned} \sum_{n=1}^k \alpha_n |u_n| &\leq \sum_{n=1}^k \langle u_n, \alpha_n x_n \rangle + \varepsilon \sum_{n=1}^k 1/2^n \leq \\ &\leq \langle u, (\alpha_1 x_1, \dots, \alpha_k x_k, 0, 0, \dots) \rangle + \varepsilon \leq \\ &\leq r p_M(\alpha_1 x_1, \dots, \alpha_k x_k, 0, 0, \dots) + \varepsilon \leq \\ &\leq r q_M(\alpha) + \varepsilon \end{aligned}$$

since  $k$  and  $\varepsilon$  were arbitrary,  $\sum_n \alpha_n |u_n| \leq r$  for all  $\alpha$  in  $M^0$  this implies that  $(|u_n|)_n$  is in  $rM^{00} = rM$ . Finally, if  $A = rM \cdot B_1$  it is clear that  $|\langle u, \cdot \rangle| \leq r p_M(\cdot)$  for all  $u \in A$ .

QED

COROLLARY. The strong dual of  $\lambda_r\{E_n\}(\tau)$  is the space  $\lambda^x\{E'_n\}(\beta)$  where  $\beta$  is the topology of uniform convergence on the family  $B$  of all normal, absolutely convex, closed and  $\sigma(\lambda, \lambda^x)$ -bounded subsets of  $\lambda$ .

PROOF. Let  $A$  be a  $\sigma(\lambda_r\{E_n\}, \lambda^x\{E'_n\})$ -bounded set. It is easy to see that  $I(A) = \{(|x_n|)_n : x \in A\}$  is  $\sigma(\lambda, \lambda^x)$ -bounded: recall that  $\lambda^x\{E'_n\} = \lambda^x \cdot \mathcal{L}^w\{E'_n\}$ . Thus if  $u$  is in  $\lambda^x\{E'_n\}$  then for  $x$  in  $A$

$$|\langle u, x \rangle| \leq \sum_n |u_n| |x_n| \leq q_H((|u_n|)_n) = p_H(u)$$

where  $H$ , the absolutely convex, closed and normal hull of  $A$ , is in  $B$ . Hence the strong topology in  $\lambda^x\{E'_n\}$  is coarser than  $\beta$ . On the other hand, take  $H$  in  $B$ . Since  $H$  is normal, the set  $H^* := \{p_k(\alpha) : \alpha \in H, k \in \mathbb{N}\}$  is also normal and included in both  $H$  and  $\lambda_r$ , and  $p_H(\cdot) = p_{H^*}(\cdot)$

in  $\lambda^x\{E_n^i\}$ . Take  $A := \{\beta y : \beta \in H^*, y_n \text{ in the unit ball of } E_n\}$ , then  $A$  is contained in  $\lambda_r\{E_n\}$  and for  $u$  in  $\lambda^x\{E_n^i\}$  we have

$$\begin{aligned} \sup\{|\langle u, \beta y \rangle| : \beta y \in A\} &= \sup\{|\sum_n \beta_n \langle u_n, y_n \rangle| : \beta y \in A\} = \\ &= \sup\{\sum_n |\beta_n| |u_n| : \beta \in H^*\} = p_{H^*}(u) = p_H(u) \end{aligned}$$

second equality:  $H^*$  is normal and  $y_n$  can be arbitrarily selected from the unit ball of  $E_n$ . Thus  $A$  is weakly bounded in  $\lambda_r\{E_n\}$  and  $\sup\{|\langle u, x \rangle| : x \in A\} = p_H(u)$ , this means that every  $\beta$ -continuous seminorm is also strongly continuous. QED

## 2. BARRELLED AND BORNOLICAL $\lambda_r\{E_n\}$

In this section,  $M$  (resp.  $B$ ) stands for the family of all normal, absolutely convex, closed and  $\sigma(\lambda^x, \lambda)$ -bounded (resp.  $\sigma(\lambda, \lambda^x)$ -bounded) sets in  $\lambda^x$  (resp.  $\lambda$ ), and we keep the notation  $\tau$  (resp.  $\beta$ ) for the corresponding topologies. In this case  $\lambda_r(\tau)$  is always barrelled and, under certain conditions, also bornological [6].

THEOREM 2. (1)  $\lambda_r\{E_n\}(\tau)$  is quasi-barrelled.

(2) If every  $E_n$  is barrelled then  $\lambda_r\{E_n\}(\tau)$  is barrelled.

(3) If  $\lambda_r$  and every  $E_n$  are reflexive, then  $\lambda_r\{E_n\}(\tau)$  is also reflexive.

PROOF. (1) Let  $A$  be a strongly bounded subset of the dual of  $\lambda_r\{E_n\}(\tau)$ . According to the preceding result,  $A$  is  $\beta$ -bounded, thus  $H$ , the absolutely convex, closed and normal hull of  $I(A)$ , is in  $M$ , and by writing every  $u$  in  $A$  as  $(|u_n|)_n \cdot (v_n)_n$  (notation from (4) in Theorem 1) we obtain  $A \subset H \cdot B_1$ . Now, according to Theorem 1,  $A$  is equicontinuous.

(2) By Banach-Mackey theorem [7, §21, 11.(8)], it suffices to prove that  $(\lambda_r\{E_n\}(\tau))'$  is weakly sequentially complete. By Theorem 1, this dual is the generalized Köthe  $\alpha$ -dual. Now, let  $u^{(p)} = (u_n^{(p)})_n$   $p=1, 2, \dots$  be a Cauchy se-

quence for the weak topology  $\sigma((\lambda_r\{E_n\})^x, \lambda_r\{E_n\})$ . It is clear that coordinatewise each sequence  $u_n^{(p)}$   $p=1,2,\dots$  is  $\sigma(E_n', E_n)$ -Cauchy. Since  $E_n$  is barrelled, all these sequences are weakly convergent. Call  $u_n := \sigma\text{-}\lim_p u_n^{(p)}$  for every  $n$ . Define  $u := (u_n)_n$ , we shall prove that  $u$  is in  $(\lambda_r\{E_n\})^x$  and  $u = \sigma\text{-}\lim_p u^{(p)}$ . Indeed: if  $x = (x_n)_n \in \lambda_r\{E_n\}$  then  $\alpha^{(p)} := (\langle u_n^{(p)}, x_n \rangle)_n$  is in  $\ell^1$  for all  $p=1,2,\dots$  and these terms form a  $\sigma(\ell^1, \ell^\infty)$ -Cauchy sequence (note that if  $\beta \in \ell^\infty$  then  $\beta x$  is in  $\lambda_r\{E_n\}$  and  $|\langle \alpha^{(p)} - \alpha^{(q)}, \beta \rangle| = |\langle u^{(p)} - u^{(q)}, \beta x \rangle|$ ). By Schur theorem [7, §22, 4. (2)]  $\alpha^{(p)}$   $p=1,2,\dots$  converges in the  $\ell^1$ -norm to some  $\alpha = (\alpha_n)_n \in \ell^1$ . Necessarily,  $\alpha_n = \lim_p \langle u_n^{(p)}, x_n \rangle = \langle u_n, x_n \rangle$ . Thus  $(\langle u_n, x_n \rangle)_n$  is in  $\ell^1$ , i.e.  $u \in (\lambda_r\{E_n\})^x$ , and we have  $\lim_p \langle u_n^{(p)}, x \rangle = \langle u, x \rangle$ .  
 (3) If  $\lambda_r(\tau)$  is reflexive then  $\lambda_r = (\lambda^x(\beta))' = \lambda$  and also  $\lambda^x = (\lambda^x)_r$  [7, §30, 7. (4)]. Then, by the result above, the strong dual of  $\lambda_r\{E_n\}(\tau)$  is  $\lambda^x\{E_n'\}(\beta) = (\lambda^x)_r\{E_n'\}(\beta)$ , whose strong dual is, in turn,  $\lambda\{E_n''\}(\tau) = \lambda_r\{E_n\}(\tau)$ . QED

COROLLARY. If  $\lambda_r(\tau)$  is a DF-space, then  $\lambda_r\{E_n\}(\tau)$  is also a DF-space.

PROOF. If  $A_m$   $m=1,2,\dots$  is a countable fundamental system of bounded sets in  $\lambda_r(\tau)$  and  $V_1$  stands for the unit ball in  $\ell^\infty\{E_n\}$ , then  $A_m \cdot V_1$   $m=1,2,\dots$  is the corresponding fundamental system in  $\lambda_r\{E_n\}(\tau)$ . Indeed, if  $A$  is  $\tau$ -bounded in  $\lambda_r\{E_n\}$  then  $I(A)$  is  $\tau$ -bounded in  $\lambda_r$ . Take  $A_m$  such that  $I(A) \subset A_m$  and for each  $x = (x_n)_n$  in  $A$  write  $x = (|x_n|)_n \cdot (y_n)_n$  with  $(y_n)_n$  in  $V_1$ . Then  $x$  is in  $I(A) \cdot V_1$  which is contained in  $A_m \cdot V_1$ . On the other hand,  $\lambda_r\{E_n\}$  is, as we have seen, quasi-barrelled, thus it is a DF-space. QED

THEOREM 3. If  $\lambda_r(\tau)$  is bornological, then  $\lambda_r\{E_n\}(\tau)$  is also bornological.

PROOF. By Theorem 2,  $\tau$  is the Mackey topology on  $\lambda_r\{E_n\}$ . Let  $f: \lambda_r\{E_n\} \rightarrow \mathbb{K}$  be a locally bounded linear form (i.e.  $f$  is bounded on  $\tau$ -bounded sets). According to [7, §28, 1. (3)] we must prove that  $f$  is  $\tau$ -continuous.

For every  $y$  in  $V_1$  (the unit ball in  $\ell^\infty\{E_n\}$ ) we define

$$I_y: \alpha \in \lambda_r(\tau) \rightarrow I_y(\alpha) := (\alpha_n y_n)_{n \in \mathbb{N}} \in \lambda_r\{E_n\}(\tau)$$

every  $I_y$  is linear and continuous. Now take

$$N := \{\alpha \text{ in } \lambda_r : |f(I_y(\alpha))| \leq 1 \text{ for all } y \text{ in } V_1\}$$

$N$  is absolutely convex and bornivorous, for if  $A$  is  $\tau$ -bounded in  $\lambda_r$ , then  $A \cdot V_1$  is  $\tau$ -bounded in  $\lambda_r\{E_n\}$  and we can find  $r > 0$  such that  $|f(I_y(\alpha))| \leq r$  for all  $y$  in  $V_1$  and all  $\alpha$  in  $A$ , therefore  $A \subset rN$ . Since  $\lambda_r(\tau)$  is bornological,  $N$  is a zero-neighborhood in  $\lambda_r(\tau)$  or, equivalently, we can find  $M$  in  $M$  such that  $|f(I_y(\alpha))| \leq q_M(\alpha)$  for all  $y$  in  $V_1$  and  $\alpha$  in  $\lambda_r$ . Finally, if  $x$  is in  $\lambda_r\{E_n\}$  and we write  $x = (|x_n| y_n)_n$  with  $y = (y_n)_n$  in  $V_1$ , we obtain

$$|f(x)| = |f(I_y((|x_n|)_n))| \leq q_M((|x_n|)_n) = p_M(x)$$

thus  $f$  is  $\tau$ -continuous. QED

Our next result extends, using a different approach, Proposition 2.3 in Bierstedt and Bonnet's celebrated paper [1], where it is used as a tool for their result about distinguished Köthe echelon spaces.

THEOREM 4. If  $\lambda_r(\tau)$  is distinguished, then  $\lambda_r\{E_n\}(\tau)$  is also distinguished.

PROOF. Since  $\lambda_r$  is weakly dense in  $\lambda$ , the strong topologies  $\beta(\lambda^\times, \lambda)$  and  $\beta(\lambda^\times, \lambda_r)$  coincide on  $\lambda^\times$ . Then the strong dual of  $\lambda_r(\tau)$  is the complete and barrelled space  $\lambda^\times(\beta(\lambda^\times, \lambda))$ . Now, by the Corollary to Theorem 1, the strong dual of  $\lambda_r\{E_n\}(\tau)$  is  $\lambda^\times\{E'_n\}(\beta)$  which is complete since so are all  $E'_n$ . Thus it suffices to prove that this space is quasi-barrelled (we cannot apply Theorem 2 since it is not true in general that  $(\lambda^\times)_r = \lambda^\times$ , e.g.  $\ell^\infty$ ). Let  $N$  be a bounded subset of the strong dual of  $\lambda^\times\{E'_n\}(\beta)$ . For each  $v$  in  $B_1$ , the unit ball in  $\ell^\infty\{E'_n\}$ , define the map

$$I_v: \alpha \in \lambda^\times \rightarrow I_v(\alpha) := (\alpha_n v_n)_{n \in \mathbb{N}} \in \lambda^\times\{E'_n\}$$

for  $f$  in  $N$  and  $v$  in  $B_1$ ,  $f \circ I_v$  is  $\beta(\lambda^x, \lambda)$ -continuous on  $\lambda^x$ . Moreover, the set

$$N^* := \{f \circ I_v : f \text{ in } N \text{ and } v \text{ in } B_1\}$$

is bounded in the strong dual of  $\lambda^x(\beta(\lambda^x, \lambda))$ : indeed, if  $A$  is bounded in  $\lambda^x$  then  $A \cdot B_1$  is bounded in  $\lambda^x\{E'_n\}$  and we can find  $r > 0$  such that  $|f(I_v(\alpha))| \leq r$  for all  $\alpha$  in  $A$ ,  $v$  in  $B_1$  and  $f$  in  $N$ . Therefore  $N^*$  is equicontinuous and we can find  $M$  in  $B$  such that  $|f(I_v(\cdot))| \leq q_M(\cdot)$  for all  $v$  in  $B_1$  and  $f$  in  $N$ . Finally, given  $u$  in  $\lambda^x\{E'_n\}$ , we write  $u = (|u_n|v_n)_n$  with  $v$  in  $B_1$  to obtain

$$|f(u)| = |f(I_v((|u_n|)_n))| \leq q_M((|u_n|)_n) = p_M(u)$$

for all  $f$  in  $N$ . Thus  $N$  is equicontinuous. QED

REMARK. The proof above shows indeed that "If  $\lambda(\tau)$  is quasi-barrelled, then  $\lambda\{E'_n\}(\tau)$  is also quasi-barrelled" without AK assumptions.

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